

# TALK 3: FINITENESS PROPERTIES OF MODULI SPACES OF HIGH-DIMENSIONAL MANIFOLDS

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## 1. MODULI SPACE

Let  $M$  be a smooth manifold of dimension  $d$ .

Task: classify smooth  $M$ -bundles over a CW complex  $B$ .

**Example 1.**  $M = \mathbb{R}^d$ , the forgetful map induces an isomorphism

$$\{\text{vector bundles of rank } d \text{ over } B\}/\text{iso} \xrightarrow{\cong} \{\text{smooth } \mathbb{R}^d\text{-bundles over } B\}/\text{iso}.$$

One can construct a space  $m_d$  such that there is a bijection

$$(*) \quad [B, m_d] \xrightarrow{\cong} \{\text{vector bundles of rank } d \text{ over } B\}/\text{iso}.$$

In fact, let

$$\begin{aligned} m_{d,k} &= \{V \subset \mathbb{R}^{d+k} \mid V \text{ is a linear subspace isomorphic to } \mathbb{R}^d\} \\ &\cong \text{inj}(\mathbb{R}^d, \mathbb{R}^{d+k}) / \text{GL}_d(\mathbb{R}), \\ m_d &= \text{colim}_k m_{d,k}, \end{aligned}$$

where  $\text{inj}$  is the subspace of linear maps with trivial kernel. Then,  $m_d$  satisfies the property (\*).

In general, one can make the following definition

$$\begin{aligned} m_{M,k} &= \{W \subset \mathbb{R}^{d+k} \mid W \text{ is a diffeomorphic to } M\} \\ &\cong \text{Emb}(M, \mathbb{R}^{d+k}) / \text{Diff}(M), \\ m_M &= \text{colim}_k m_{M,k} \simeq \text{B Diff}(M). \end{aligned}$$

$m_M$  is the moduli space of smooth manifolds diffeomorphic to  $M$ , in the sense that there is a bijection

$$[B, m_M] \xrightarrow{\cong} \{\text{smooth } M\text{-bundles over } B\}/\text{iso}.$$

Question: homotopy type of  $\text{B Diff}(M)$ , or  $H_*$ ,  $\pi_*$  of it.

$H^*(\text{B Diff}(M))$ , ring of characteristic classes of smooth  $M$ -bundles;

$\pi_*(\text{B Diff}(M))$ , classifies  $M$ -bundles over spheres.

Recall that

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<sup>2</sup>Notes taken by Songqi Han.

- $H^*(m_d)$  is a direct sum of copies of  $\mathbb{Z}$  and  $\mathbb{Z}/2$ . In particular, it's finitely generated. The corollary of this includes the computation of the bordism group and the signature theorem.
- $\pi_*(m_d)$  can only take values among the following, 0,  $\mathbb{Z}/2$  and  $\mathbb{Z}$ . It is related to the classification of the exotic spheres.

**Theorem 2** (B–Krannich–Kupers). *Let  $M$  be a closed connected oriented smooth manifold with  $\dim M = 2n \geq 6$ . If  $\pi_1 M$  is finite, then  $\pi_* \mathrm{B} \mathrm{Diff}^+(M)$  and  $H^{*-1}(\mathrm{B} \mathrm{Diff}^+(M))$  are finitely generated, for  $* \geq 2$ .  $\mathrm{Diff}^+(M)$  stands for the oriented diffeomorphism group of  $M$ .*

*Remark.* When  $* = 1$ ,  $\pi_0 \mathrm{Diff}^+(M)$  is a group of type  $F_\infty$ . This is proved by Sullivan for the case when  $\pi_1 M$  is trivial, and generalised by Triantafyllou to the case when  $\pi_1 M$  is finite.

## 2. LOW DIMENSIONAL CASES

$\dim = 2$ . Smale proved that

$$\mathrm{B} \mathrm{Diff}^+(S^2) \simeq \mathrm{B} \mathrm{SO}(3).$$

$\dim = 3$ . Hatcher proved that

$$\mathrm{B} \mathrm{Diff}^+(S^3) \simeq \mathrm{B} \mathrm{SO}(4).$$

In general, for every quotient space  $S^3/G$  of  $S^3$  by isometric action,  $\pi_*(\mathrm{B} \mathrm{Diff}(S^3/G))$  is finitely generated (Bamler and Kleiner proved the space of Riemannian metrics on  $S^3/G$  with constant sectional curvature 1 is contractible).

$\dim = 4$ . The theorem doesn't hold. Baraglia proved

$$\pi_2(\mathrm{B} \mathrm{Diff}(K3)) \supset \oplus_{i=1}^{\infty} \mathbb{Z}.$$

## 3. HIGH DIMENSION IN 70-80s

Waldhausen proved there is a splitting up to homotopy of the A-theory space into the product of the stable homotopy theory and the smooth Whitehead space

$$A(M) \simeq Q_+(M) \times Wh^d(M).$$

The connected component of the loop space of the Whitehead space is homotopy equivalent to the classifying space of the stable pseudoisotopy group.

$$\Omega_0 Wh^d(M) \simeq \mathrm{B} \mathcal{P}(M) = \operatorname{colim}_k \mathrm{B} P(M \times D^k).$$

The pseudoisotopy group  $P(M)$  of  $M$  is the subgroup of the diffeomorphism group of  $M \times I$  fixing  $\partial M \times I \cup M \times \{0\}$ .

Igusa proved

$$\mathrm{B} P(M) \rightarrow \mathrm{B} \mathcal{P}(M)$$

is about  $d/3$ -connected. If the homotopy automorphism group (group of weak self homotopy equivalences) of  $M$  is known, then  $\mathrm{B} P(M)$  can be related to  $\mathrm{B} \mathrm{Diff}(M)$  by surgery theory.

Betley proved if  $\pi_1 M$  is finite, then  $\pi_* A(M)$  are finitely generated.

With these results, one can show for  $d$  large and  $k < d/3$ ,  $\pi_k \text{B Diff}(M)$  is finitely generated.

#### 4. HIGH DIMENSION IN 21ST CENTURY

**Proposition 3** (Galatius–Randal-Williams-Friedrich). *Let  $M^{2n}$  be a compact manifold with finite  $\pi_1$ . Then*

- (1)  $H_k(\text{B Diff}_\partial(M \# W_g))$  is independent of  $g$ .  $W_g = S^n \times S^n$ .
- (2) stable homology is expressable in terms of a homotopy quotient of the connected component of the Madsen–Tillmann spectrum  $\Omega_0^\infty MT\Theta$ .

As a corollary,  $H_k(\text{B Diff}_\partial(M \# W_g))$  is finitely generated, for large  $g$ .

**Proposition 4** (Goodwillie-Klein-Weiss). *If  $M^d$  can be built from a point by attaching handles of index less than  $d - 2$ , then for a manifold of dimension  $d$ ,*

$$\text{Emb}(M, N) = \lim(T_1 \leftarrow T_2 \leftarrow \cdots),$$

where

- $T_1 = \text{Bun}(TM, TN) \simeq \text{Imm}(M, N)$  is the space of bundle maps,
- there is a fibration sequence  $L_k \rightarrow T_k \rightarrow T_{k-1}$ ;  $L_k$  is the space of sections of a bundle over  $\text{Conf}_k(M)$ , with  $F$  also related to the configuration space.

If in addition,  $\pi_1 M$  is finite, then  $\pi_*(\text{Emb}(M, M))$  is finitely generated.

**Proposition 5** (Kupers-Weiss fibre sequence). *If  $M$  is compact, there is a fibration sequence*

$$\text{B Diff}_\partial(\partial M \times I) \rightarrow \text{B Diff}(M) \rightarrow \text{B Emb}(M).$$

*Since the left term is an  $E_1$ -space, i.e. a topological monoid, this fibration sequence can be extended to the right by one term  $\text{B}^2 \text{Diff}_\partial(\partial M \times I)$ , which is simply connected.*

*Proof of the main theorem.* (1) There is a fibration sequence

$$\text{Diff}_\partial(K) \rightarrow \text{Diff}(M) \rightarrow \text{Emb}(K, M),$$

where  $K$  only contains handles of  $M$  of index  $\leq 2$ . Since  $\pi_* \text{Emb}(K, M)$  is finitely generated, it suffices to prove  $\pi_*(\text{Diff}_\partial(K))$  is finitely generated.

(2) There is a fibration sequence by proposition 5

$$\text{B Diff}_\partial(\partial K \times I) \rightarrow \text{B Diff}_\partial(K) \rightarrow \text{B Emb}^\cong(K),$$

where  $\text{Emb}^\cong$  is the union of connected components of  $\text{Emb}$  of embeddings that are isotopic to diffeomorphisms. Since  $\text{B Emb}^\cong(K)$  has finitely generated homotopy groups by proposition 4, it suffices to prove  $\text{B Diff}_\partial(\partial K \times I)$  has finitely generated homotopy groups.

(3) Since  $\text{B Diff}_\partial(\partial K \times I)$  is a connected topological monoid, it suffices to consider instead its classifying space  $\text{B}^2 \text{Diff}_\partial(\partial K \times I)$ , which is simply connected. By a theorem of Serre, a simply connected space has finitely generated homotopy

groups if it has finitely generated homology groups. The problem reduces to prove  $B^2 \text{Diff}_\partial(\partial K \times I)$  has finitely generated homology groups.

(4) Observing that  $\partial(K \# W_g) \cong \partial K$ , it suffices to prove  $B^2 \text{Diff}_\partial(\partial(K \# W_g) \times I)$  has finitely generated homology groups.

(5) There is a fibration sequence by proposition 5

$$B \text{Diff}_\partial(K \# W_g) \rightarrow B \text{Emb}^\cong(K \# W_g) \rightarrow B^2 \text{Diff}_\partial(\partial(K \# W_g) \times I).$$

By proposition 3, the left term has finitely generated homology groups (major difficulty here, related to nonempty boundary). With a standard spectral sequence argument, it suffices to show  $B \text{Emb}^\cong(K \# W_g)$  has finitely generated homology groups.

(6) By proposition 4,  $B \text{Emb}^\cong(K \# W_g)$  has finitely generated homotopy groups. By proposition 5, there is a short exact sequence

$$* \rightarrow A \rightarrow \pi_1 B \text{Diff}_\partial(K \# W_g) \rightarrow \pi_1 B \text{Emb}^\cong(K \# W_g) \rightarrow *.$$

where  $A$  is a finitely generated abelian group. Since the middle term is of type  $F_\infty$  (same difficulty as above), the right term is of type  $F_\infty$ . One concludes the theorem with the following lemma.  $\square$

**Lemma 6.** *Let  $X$  be path connected. Suppose  $\pi_1 X$  is of type  $F_\infty$  and  $\pi_k X$  is finitely generated for every  $k \geq 2$ , then  $H_*(X)$  is finitely generated.*

#### REFERENCES

- [1] Mauricio Bustamante, Manuel Krannich, and Alexander Kupers, *Finiteness properties of automorphism spaces of manifolds with finite fundamental group*, 2021.