

TALBOT NOTES (3)

Definition:

The homotopy Lie algebra  $\mathfrak{g}_*(X)$  of a space  $X$

- If  $X$  is not nilpotent  $\mathfrak{g}_*(X) = 0$

- If  $X$  is nilpotent (i.e.  $\pi_1(X)$  is nilpotent & acts unipotently on higher homotopy) Then

$$\mathfrak{g}_1(X) = \mathfrak{g}_0(\pi_1(X)) : \text{Malcev Lie algebra of } \pi_1(X)$$

$$\mathfrak{g}_n(X) = \pi_n(X; \mathbb{Q}) \quad \text{for } n \geq 2$$

The bracket is the Whitehead bracket:  $S^4 \times S^k$  has cell decomposition with 1 0-cell, 1 k-cell, 1 k-cell & 1  $k+l$ -cell with attaching map  $S^{4+k+l-1} \rightarrow S^4 \vee S^k$ .

So if  $\alpha \in \pi_{k+1}(X)$ ,  $\beta \in \pi_k(X)$ ,  $[\alpha, \beta] \in \pi_{k+l-1}(X)$  by this map.

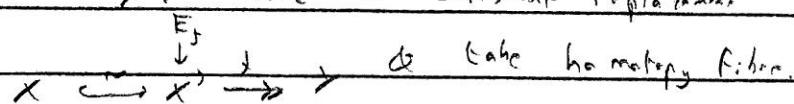
Theorem:

The homotopy Lie algebra (of a smooth complex variety) has a mixed Hodge structure.

In fact Hain has shown that almost everything in the universe has a MHS.

Theorem

- $H^*(\Omega X)$  has a MHS, for  $\Omega X$  based loop space
- Consider  $X \xrightarrow{\sim} Y$ . Take a cofibrant replacement



If 1)  $E_+$  is connected, & 2)  $Y$  is nilpotent

Then  $H^*(E_\delta)$  has a MHS

• If  $X$  as before,  $\tilde{X} \rightarrow X$  connected normal

cover with Galois group acting unipotently. Then  
 $H^*(\tilde{X})$  has a MHS.

Main Idea:

If we have a nice model for the rational de Rham complex  $A^*(X; \mathbb{Q})$  of  $X$ , then under favourable conditions one can construct algebraically nice models for  $A^*(\Delta^r X; \mathbb{Q})$  or  $A^*(E_\delta; \mathbb{Q})$  etc.

If one can produce an MHS on  $A^*(X; \mathbb{Q})$  & our constructions preserve MHSs then we can pass them over.

Definition:

de Rham

Many details suppressed

IF  $X$  is a space, we define a mixed Hodge complex For  $X$  to be a "mixed Hodge complex"  $C^\bullet$ , with a quasi-isomorphism  $\to A^*(X; \mathbb{Q})$ .

Construction

IF  $C^\bullet$  is such a MHC, &  $M^\bullet, N^\bullet$  are " $C^\bullet$ -modules," then one can perform a Bar construction to form a MHC  $B(M^\bullet, C^\bullet, N^\bullet)$

Theorem (Main) (de Rham  $\rightarrow$  Homotopy Hodge Theorem)

Let  $(X, *)$  be any pointed space, whose

Betti numbers are finite, and which has a de Rham MHC. Then

a) The homotopy Lie algebra of  $X$  has a MHS in which the filtered pieces are ideals.

b) The completed group ring  $\widehat{\mathbb{Z}\pi_1(K, x)}$  has a MHS.

### Theorem (Deligne)

Any smooth variety has a de Rham MHC.

Moral: Not only do all these things have mixed Hodge structures, but anything we can build from them by nice constructions does too.

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For instance, the homotopy fibre of  $\mathbb{CP}^1 \hookrightarrow \mathbb{CP}^2$  has a MHS we can compute by these methods.

### Functionality:

This is a delicate matter: these constructions are often not functors. But, our homotopy fibre construction has attached long exact sequence on homotopy

$$\dots \rightarrow \pi_{n+1}(Y) \xrightarrow{\partial} \pi_n(E_f) \xrightarrow{i_*} \pi_n(X) \xrightarrow{f_*} \pi_n(Y) \rightarrow \dots$$

i.e.  $f_*$  can be made morphisms of MHSs.

Jacob Lurie

Higher Nonabelian Cohomology I

One can look at the abelian cohomology, which is a representable functor

$$H^*(X; A) = [X, k(A, n)]$$

$$\text{where } \pi_1(k(A, n)) = \begin{cases} A & \text{if } n \\ 0 & \text{otherwise} \end{cases}$$

If  $G$  is a general group, we can describe

$$H^1(X; G) = [X, BG]$$

$$= \{ \text{isomorphism classes of principal } G\text{-bundles} \}$$

$$= \text{Hom}(\pi_1(X), G) / \text{conjugation} \quad \text{assuming } X \text{ is connected.}$$

More generally, for any space  $Y$ , you can write

$$H(X; Y) = [X, Y] : \text{recovering above examples if}$$

$$Y = k(A, n) \text{ or } BG.$$

Definition: (Higher stacks)

A prestack is a functor  $\mathcal{F} : \mathbf{G-algebras} \rightarrow \mathbf{SSet}$ . So it's a simplicial presheaf on affine schemes. Roughly we'll expect to land in Kan complexes  $\approx$  topological spaces.

Example:

If  $X$  is a scheme, we can define a prestack via

$$X(R) = \text{Hom}(\text{Spec } R, X)$$

Example:

If  $G$  is an algebraic group, define a prestack  $BG$  via

$$BG(R) = \text{Nerve of groupoid of } G\text{-torsors on } \text{Spec } R$$

Another formula for this would be

$$X(R) = \beta(G(R))$$

### Example

Let  $V$  be a commutative linear algebraic group (a vector space). Then define

$$K(V, n)(R) = K(V \otimes R, n)$$

$\stackrel{\sim}{\rightarrow}$   
 $V(R)$

### Remark:

Let  $X$  be a scheme, thought of as a prestack. Then

- $[X, R]$  is the set of isomorphism classes of algebraic  $G$ -torsors on  $X$ .
- $[X, K(V, n)] = H^0(X; \mathcal{O}_X \otimes V)$ .

Here we're using the model category structure on simplicial presheaves.

### Definition:

We say that a prestack  $X$  is a stack if  $X$  satisfies descent for the flat topology. i.e. given cover

$$\dots \xrightarrow{\quad} U \times U \xrightarrow{\quad} U \longrightarrow \mathrm{Spec} R$$

we require

$$X(R) \xrightarrow{\quad} \mathrm{holim} (X(U) \xrightarrow{\quad} X(U \times_{\mathrm{Spec} R} U) \xrightarrow{\quad} \dots)$$

to be a homotopy equivalence (be also for hypercoverings).

E.g.: If  $\mathrm{Spec} R = U \cup V$ , this says

$$\begin{array}{ccc} X(\mathrm{Spec} R) & \xrightarrow{\quad} & X(V) \\ \downarrow & & \downarrow \\ X(U) & \xrightarrow{\quad} & X(U \cap V) \end{array}$$

must be a homotopy pullback.

Our world of prestacks contains objects one thinks of in two ways: schemes or cohomology theories, & evaluating becomes just looking at maps between them.

Let  $X$  be a pointed prestack, i.e. either a functor  $X : \mathbb{C}\text{-algebras} \rightarrow \text{pointed sSets}$ , or a prestack with a functor  $\ast \rightarrow X$ .

Definition:

The homotopy groups of  $X$  can be defined as follows:

$$\pi_i^{\text{naive}}(X) : \mathbb{C}\text{-alg} \rightarrow \begin{cases} \text{abelian groups} & i \geq 2 \\ \text{groups} & i = 1 \\ \text{sets} & i = 0 \end{cases}$$

by

$$\pi_i^{\text{naive}}(X)(R) = \pi_i(X(R)).$$

Then

$$\pi_i(X) = \text{sheafification of } \pi_i^{\text{naive}}(X).$$

Example

$\pi_0^{\text{naive}}(BG)$  is the functor of isomorphism classes of  $G$ -torsors  $[X, BG]$ . Then  $\pi_0(BG) = \ast$  point.

Definition:

A pointed prestack  $X$  is a higher gerbe if

1)  $X$  is a stack

2)  $\pi_0(X) = \ast$

3)  $\pi_1(X)$  is represented by an affine <sup>unipotent</sup>  $n$  group scheme

4)  $\pi_i(X)$  are represented by affine commutative group schemes

i.e.  $R \mapsto \text{Hom}_{\mathbb{C}}(V, R)$  for  $V$  a vector space).

Roughly, these are sometimes also called very presentable  $n$ -stacks.

Remark:

The collection of these stacks is organised into a model category. Further, you have Postnikov towers.

If  $X$  is any stack you can associate to it  $\tau_{\leq n} X$  killing higher homotopy. For  $X$  a higher gerbe have

$$\begin{array}{ccc} & \downarrow & \\ & \nearrow i & \leftarrow k(\pi_3(X), 3) \\ X & \longrightarrow & \tau_{\leq 2} X \leftarrow k(\pi_2(X), 2) \text{ homotopy fibre} \\ & \searrow & \downarrow \\ & & \tau_{\leq 1} X = B(\pi_1(X)) \end{array}$$

where  $k(\pi_2(X), 2)(R) = k(\pi_2(X(R)), 2)$  etc.

For these functors  $X$  can be recovered as the limit of its Postnikov tower.

Question: What is a quasicoherent sheaf on a prestack  $X$ ?

Case 1:  $X$  a scheme.

Definition:

A quasicoherent sheaf on  $X$  is a functor assigning to each  $R$ -point  $\eta \in X(R)$ : ( $\eta: \text{Spec } R \rightarrow X$ ) an  $R$ -module  $M_\eta$  ( $\eta^* \mathcal{Y}$ ). This is functorial in  $\eta$ , i.e. in maps between rings

$$\begin{array}{ccc} \text{Spec } R & \xrightarrow{\eta} & X \\ \uparrow & \nearrow \eta^* & \\ \text{Spec } R' & & M_{\eta^*} \cong R' \otimes_R M_\eta. \end{array}$$

In an abstract way, define the category

$$Qcoh(X)^\heartsuit = \text{holim}_{\mathcal{L}X(R)} (R\text{-modules})$$

This makes sense for  $X$  any prestack.

Examples:

$$\bullet Qcoh(BG)^\heartsuit = \text{category of algebraic representations of } G$$

$$\bullet Qcoh(k(V, 2))^\heartsuit = \mathbb{C}\text{-vector spaces}$$

Analogies:

Spaces	Prestacks
Covering spaces	$\mathbb{Q}$ -quasicoherent Sheaves
$G \hookrightarrow \text{Set}$	$BG$ linear representations of $G$

dg-Categories over  $\mathbb{C}$ .

Definition:

A dg-category  $\mathcal{C}$  over  $\mathbb{C}$  has

1) objects  $X$ :

2) For every  $X_1, X_2$ , a chain complex  $\text{Hom}(X_1, X_2)$ .

3) Multiplication  $\text{Hom}(X_1, X_2) \otimes \text{Hom}(X_2, X_3) \rightarrow \text{Hom}(X_1, X_3)$ .

a map of chain complexes, i.e.

$$d(\alpha \circ \beta) = d(\alpha) \circ \beta + (-1)^{|\alpha|} \alpha \circ d(\beta).$$

4) Associativity, in the way we'd expect.

Example:

Let  $R$  be a  $\mathbb{C}$ -algebra.  $\text{Chain}(R)$  is the category

of chain complexes of  $R$ -modules, naturally a dg-category.

This category has a model category structure,

so consider  $\text{Chain}(R)^{\text{cof}}$ : cofibrant objects: a sub-dg-category.

dg-categories form a model category themselves,  
the homotopy category has

$$\text{Hom}_{\text{dg}}(X, Y) = \text{Ho}(\text{Hom}(X, Y)_0).$$

So say  $F: \mathcal{C} \rightarrow \mathcal{D}$  is a weak equivalence if it's  
an equivalence, &  $\text{Hom}(X, Y)_0 \rightarrow \text{Hom}(FX, FY)_0$  is  
a quasi-isomorphism  $\forall X, Y$ . The fibrations & cofibrations  
are somewhat technical.

Definition:

$$Q\text{Coh}(X) = \varprojlim_{Y \in X(R)} (\text{Chain}(R)^{\text{cof}}) \quad \text{for } X \text{ prestack.}$$

This is a dg-category, closely related to  $Q\text{Coh}(X)$ .

Theorem (A version of higher Tannaka duality)

If  $X$  is a prestack &  $Y$  is a higher gerbe,  
you get an inclusion

$$\text{Map}(X, Y) \longrightarrow \text{Fun}^{\otimes}(Q\text{Coh}(Y), Q\text{Coh}(X))$$
$$f \longmapsto f^*$$

If you look at functors preserving the symmetric  
monoidal structure up to coherent homotopy, preserving  
homotopy colimits, & preserves being concentrated in  
degree  $\geq 0$ , then you can recover  $f$  from  $f^*$ .

Jacob Lurie

Higher Nonabelian Cohomology II

We'll try to give analogues of  $H_{dR}$  &  $H_{\text{dR}}$  in our language of higher stacks.

Question: What does it mean to have a flat connection on a vector bundle?

Well, our connections give a notion of parallel transport between fibres  $E_x, E_y$ , on a path  $p$  from  $x$  to  $y$ .

Flatness means this doesn't depend on the element in the homotopy class of paths.

In algebraic geometry, for  $X$  a variety, say  $x, y \in X(R)$  are infinitesimally close if  $x, y$  have the same image in  $X(R/N_1(R))$ .

Definition (Grothendieck).

A quasicoherent sheaf with connection on a smooth variety  $/C$  is a quasicoherent sheaf  $\mathcal{Y}$ , with the data of an isomorphism  $\alpha^* \mathcal{Y}, \beta^* \mathcal{Y}$  whenever  $\alpha \& \beta$  are infinitesimally close, with transitivity.

Claim 1: The following are equivalent.

- 1) A quasicoherent sheaf with connection.
- 2) A quasicoherent sheaf  $\mathcal{Y}$  with connection in the traditional sense:  $\nabla: \mathcal{Y} \rightarrow \mathcal{Y} \otimes \Omega_X^1$ , such that  $\nabla^2 = 0$  (flatness) (& usual Leibniz rule).

3) A sheaf on  $X$  with action of the sheaf  $D_X$  of algebraic differential operators, which is quasi-coherent as an  $\mathcal{O}_X$ -module.

Note:

If  $X = \mathbb{A}^1 = \text{Spec } \mathbb{C}[t]$ , then  $D_X$  has global sections  $\mathbb{C}[t, \frac{d}{dt}]$ ;  $[t, \frac{d}{dt}] = 1$ .

Claim 2:

The analogous statement to 1 holds where quasi-coherent sheaves are replaced by algebraic vector bundles, & the  $D_X$ -modules are required to be  $\mathcal{O}_X$ -coherent.

If  $X$  is projective, this is further equivalent to giving a smooth vector bundle on  $X^n$  with flat connection in the usual sense.

Definition:

$X_{\text{dR}} : \mathbb{C}\text{-algebras} \rightarrow \text{Sets} \subseteq \text{sSets}$  is the functor

$$X_{\text{dR}}(R) = X(R/\mathbb{N}:1(R))$$

$= X(R)/\text{infinitesimal closeness}$  (using  $X$  smooth variety)

This is a prestack.

Consequence:  $\mathcal{QCoh}(X_{\text{dR}})^{\heartsuit} = \text{quasi-coherent sheaves with connection.}$

Example:  $[X_{\text{dR}}, \mathbf{BGL}_n] = \text{flat vector bundles of rank } n \text{ on } X$

$[X_{\text{dR}}, \mathbf{BG}] = \text{flat } G\text{-bundles on } X$ .

$[X_{\partial R}, K(A', n)] = H_{\partial R}^n(X)$ . This is why we call it  $X_{\partial R}$ .

Question: How can we get Delbeault cohomology?

Think of  $X_{\partial R}(R)$  as a groupoid with objects  $X(R)$ , & isomorphisms when points are infinitesimally close. We can take its nerve - a set.

$$\begin{array}{c} X \times X \times X(R) \\ \downarrow \quad \downarrow \\ X \times X(R) \subseteq (X \times X)(R) = X(R) \times X(R) \\ \downarrow \quad \downarrow \\ X(R) \end{array}$$

where  $\hat{X}^n(R) = \{y_1, \dots, y_n \in X(R) \text{ all infinitesimally close to each other}\}$

This is precisely the nerve.

So define a homotopy equivalent version of  $X_{\partial R}(R)$ :  
the simplicial set above.

This sits inside  $X(R) \subseteq X^2(R) \subseteq X^3(R) \dots$

A fancy way of describing this is

$$X^{n+1}(R) = \text{Hom}(\text{Spec}(R) \times \{0, 1, \dots, n\} \rightarrow X)$$

Via Functor  $n \mapsto \{0, 1, \dots, n\}$  from finite ordered sets  
to Schemes: So a cosimplicial scheme. Thus  $X^{n+1}(R)$   
is a simplicial scheme.

We want to generalise this.

Our starting point will be a cosimplicial scheme  $T^\bullet$ , where  $T^n = \text{Some scheme } \text{Spec } A_n$ , where  $A_n$  is  $(n+1)$ -dimensional as a vector space over  $\mathbb{C}$ .

$A_0 = \mathbb{C} : A_1 = \text{some 2d } \mathbb{C}\text{-algebra.}$

Maps  $A_0 \xleftarrow{\quad} A_1 \xleftarrow{\quad} A_2 \dots$  simplicial  $\mathbb{C}$ -algebra

The map  $d_0, d_1 : A_1 \rightarrow A_0$  allow us to write

$$A_1 = \underbrace{\mathbb{C} \oplus \ker(d_0)}_{\mathbb{I} \cong \mathbb{C}}.$$

$$d_1 : A_1 \rightarrow \mathbb{C}, \text{ restrict to } \mathbb{I} \xrightarrow{\lambda} \mathbb{C}.$$

Conclusion:

Any time we have the above data we get a 1-d  $\mathbb{C}$ -vector space  $\mathbb{I}$ , & a map  $\mathbb{I} \xrightarrow{\lambda} \mathbb{C}$ .

In fact this essentially uniquely determines  $A_1$ :

This is dictated by multiplication on  $\mathbb{I}$ : choose a generator  $x \in \mathbb{I}$ . So  $x^2 = \mu x$ .

$$\Rightarrow \lambda(x)^2 = \mu \lambda(x) \text{ suggesting } \mu = \lambda(x).$$

This defines  $A_1$  as an algebra.

$$\text{Continuing, } A_2 = A_1 \times_{\mathbb{C}} A_1$$

$$A_n = A_1 \times_{\mathbb{C}} \cdots \times_{\mathbb{C}} A_1 \text{ n-times.}$$

There are fundamentally two cases,  $\lambda = 0$  or  $\lambda \neq 0$ .

Example:

$\lambda = 0$  wlog  $\mathbb{I} = \mathbb{C}$ . This produces

$$\mathbb{C} \xleftarrow{\quad} \mathbb{C}[\epsilon]/(\epsilon^2) \xleftarrow{\quad} \mathbb{C}[\epsilon]/(\epsilon^3) \cdots$$

Simplicial ring, hence cosimplicial scheme

which we'll draw as

$$x \rightarrow x \rightrightarrows \overset{\nearrow}{\rightrightarrows} \dots$$

Look at  $\text{Hom}(T^\circ, X)$  now. This looks like

$$X \leftarrow TX \leftarrow TX \times TX \leftarrow \dots$$

points      points      points with  
              with      two tangent vectors  
              tangent vector

One can complete this to  $(TX)^\wedge$  at each level.

This is a functor  $C\text{-algebras} \rightarrow \text{Simplicial sets}$ , i.e.  
a prestack  $X_{\text{pre}}$ .

$$X_{\text{pre}}(R) = \coprod_{\eta \in X(R)} B(N:1(R) \otimes_R T_{X,\eta})$$

Question: What is a quasi-coherent sheaf on  $X_{\text{pre}}$ ?

Answer: It's a rule which assigns to each  $\eta \in X(R)$

an  $R$ -module acted on by  $N:1(R) \otimes_R T_{X,\eta}$ .

i.e. Quasi-coherent sheaves on  $X$  with an action of  $\overset{\wedge}{T_X}$ .

Example

$X = \mathbb{A}^1$ ,  $T_X \cong X \times \mathbb{A}^1$ . An action of  $\mathbb{A}^1$  on a vector space  $V$  means an algebraic map  $\mathbb{A}^1 \rightarrow \text{Aut}(V)$ .

This is necessarily given by  $t \mapsto e^{tE} : E \in \text{End}(V)$ .

Note: this is algebraic  $\Leftrightarrow E$  is nilpotent, so the power series is eventually zero.

What if we formally complete  $T_X$ ? Then we only need to be defined in a formal neighbourhood of 0.

So any  $E$  will do.

More generally, if  $X = V$ ,  $T_X = X \times V$   
 $\hat{T}_X = X \times \hat{V}$

So a representation of  $\hat{V}$  is the same data as  
an action of  $\text{Sym}^* V$ : need an  $E$  for each generator,  
all commuting.

For more general  $X$ , locally trivialise the tangent bundle,  
giving

Upshot: Quasi-coherent sheaves on  $X_{Dol}$   
= Quasi-coherent sheaves on  $X$  with an action of  $\text{Sym}^* T_X$   
= Quasi-coherent sheaves on  $T_X^*$   
= Higgs Sheaves  $\mathcal{Y} \xrightarrow{\Theta} \mathcal{Y} \otimes \Omega_X^1$  such that  $\Theta^2 = 0$ .

Relatedly,  $[X_{Dol}, G] = G\text{-Higgs bundles}$

$$[X_{Dol}, k(A^1, \eta)] = H^0_{Dol}(X)$$

More generally

Definition:

For any stack  $\mathcal{Y}$ ,

$$H_{Dol}(X; \mathcal{Y}) = [X_{Dol}, \mathcal{Y}]$$

$$H_{dR}(X; \mathcal{Y}) = [X_{dR}, \mathcal{Y}]$$

Question:

How are these related?

Certainly there was a family of constructions with  
parameter  $\lambda$ ,  $\lambda=0$  giving Delbeault,  $\lambda=1$  giving de Rham.

Assume  $Y$  is a higher gerbe. Then we can compare using Tannaka dualities:

$$H_{Dol}(X; Y)$$

$\cong$

$$\Pi_0 \text{Fun}^{\otimes}(\mathcal{Q}\text{Coh}(Y), \underset{\text{Higgs sheaves}}{\text{complexes of}})$$

$$H_{DR}(X; Y)$$

$\cong$

$$\Pi_0 \text{Fun}^{\otimes}(\mathcal{Q}\text{Coh}(Y), \underset{\text{algebraic D-modules}}{\text{complexes of}})$$

How are these related?

$$\text{Harmonic} \rightarrow (\mathcal{E}, D = \partial + \bar{\partial} + \theta + \bar{\theta}, h)$$

$$\text{Bundles} \quad D'' = \bar{\partial} + \theta$$

$$\textcircled{*} \quad \text{polystable Higgs bundles with vanishing } c_i \Rightarrow \mathcal{E}, \mathcal{Y}$$

$$V, W \in \text{semisimple vector bundles with flat connection} = \textcircled{**}$$

$\cong$

$\cong$

$$\text{complexes of Higgs theory} = \mathcal{Q}\text{Coh}(X_{\text{pol}})$$

$$\mathcal{Q}\text{Coh}(X_{\text{DR}}) = \underset{\text{D-modules}}{\text{complexes of}}$$

$$\text{where } \text{Map}(\mathcal{E}, \mathcal{Y})_* = (\Lambda^*(\text{Hom}(\mathcal{E}, \mathcal{Y})), \bar{\partial} + \theta)$$

$\text{Map}(\mathcal{Y}, \mathcal{W})_* = (\Lambda^*(\underline{\text{Hom}}(\mathcal{Y}, \mathcal{W})), D)$  give dg-category structures.

Idea: Try to define, for  $\mathcal{E}, \mathcal{Y}$  harmonic bundles, a chain complex  $\text{Map}^{\text{harmonic}}(\mathcal{E}, \mathcal{Y})$  mapping to the above, giving to both.

Try  $\text{Map}^{\text{harmonic}}(\mathcal{E}, \mathcal{Y}) = \text{harmonic forms} \subseteq \Lambda^*(\text{Hom}(\mathcal{E}, \mathcal{Y}))$  with trivial differential.

However, we lose the dg-category structure, as multiplication of forms doesn't preserve harmonicity.

Instead, look at  $\ker(\partial + \bar{\partial} = D' : \Lambda^*\underline{\text{Hom}}(\mathcal{E}, \mathcal{Y}) \rightarrow \Lambda^*\text{Hom}(\mathcal{E}, \mathcal{Y}))$  with differential  $D = D''$ . So this complex maps to both.

All these dgas are equivalent. This can be extrapolated to larger chunks of the dg-categories formally.

Indeed, it gives

$$\begin{array}{ccc} \text{Higgs sheaves built} & \xleftarrow{\sim} & \text{D-modules built from } \times \times \\ \text{From } \bullet & & \text{From } \bullet \\ \text{D1} & & \text{D1} \\ \text{complexes of Higgs} & & \text{complexes of D-modules} \\ \text{sheaves} & & \end{array}$$

This gets you a long way toward

$$H_{\text{D1}}(X; Y)$$

$$H_{\text{dR}}(X; Y)$$

On RHS:  $\otimes$ -functors factor through these subcategories,  
& using duals on  $\mathcal{Q}\text{Coh}(Y)^\heartsuit \subseteq \mathcal{Q}\text{Coh}(Y)$ , as  $Y$  a  
higher Gerbe

On LHS: This doesn't quite work

But we at least get an injection RHS  $\hookrightarrow$  LHS.

With assumptions on  $Y$  you can say more, e.g. if  
 $\pi_1(Y)$  is unipotent it's bijective.

Anthony

## Schematic Homotopy Types

Lecture 22

Goal: Given a variety  $X$ , smooth quasi-projective /  $\mathbb{C}$ ,  
define a stack  $(X \otimes \mathbb{C})^{\text{Sch}}$ , & a  $\mathbb{C}^*$  action  
on it. This recovers the Hodge structure.

This is contained in the paper Affine Stacks of Toën.

### The Schmatization Problem

First stated in "Pursuing Stacks" by Grothendieck, but  
we'll discuss Toën's vision of it.

Given an abelian group object in  $H_0(k) =$

$H_0(\text{Simplicial presheaves on the site of schemes } / k)$ ,

define a classifying stack  $B\mathcal{A}$ , itself an abelian

group stack. Iterating gives Eilenberg-MacLane stacks

$$K(A, i) = BK(A_{i-1}) \rightarrow K(A, 0) = A.$$

Grothendieck: The stacks  $K(G_a, i)$  are fundamental

examples of schematic homotopy types /  $k$ . These

ought to be stable under holims in  $H_0(k)$ .

### Definition:

Given a subcategory of  $H_0(k)$ , call it a schematic homotopy-type category if it contains  $K(G_a, i)$ ,  
(it is stable under holim).

Consider the function  $H_0(k) \xrightarrow{\text{RP}} H_0(\text{sSet})$ . We can  
consider this restricted to  $\mathcal{C}$  a schematic homotopy  
type category.

Schematization Problem:

Find such a  $\mathcal{C} \subseteq \text{Ho}(\mathbb{Q})$  such that  $R\mathbb{P}/\mathcal{C}$  has a left adjoint  $\otimes_{\mathbb{Q}} : \text{Ho}(\text{SSet}) \rightarrow \mathcal{C}$  which is Fully Faithful when restricted to rational connected finite type homotopy types.

AFFine Stacks:

Work over a Field  $k$ . Let  $\text{Alg}_k$  be the category of commutative  $k$ -algebras,  $\text{Sch}_k$  the category of schemes over  $k$  so  $\text{Spec} : (\text{Alg}_k)^{\text{op}} \rightarrow \text{Sch}_k$ .

Want a homotopy category version.

Replace  $\text{Alg}_k$  by  $\text{Alg}_k^{\Delta}$ : model category of cosimplicial algebras,  
 $\text{Sch}_k$  by  $\text{SPc}(k)$  : simplicial presheaves /  $\text{AFF}_k^{\text{flat}}$ .

N normalization  
The equivalences on  $\text{Alg}_k^{\Delta}$  are  $A \rightarrow B$  such that  $H^i(NA) \rightarrow H^i(NB)$  are isomorphisms

Fibrations are surjections level wise

Cofibrations determined by lifting property.

Definition:

$\text{Spec} : (\text{Alg}_k^{\Delta})^{\text{op}} \rightarrow \text{SPc}(k)$  is defined to be

$\text{Spec}(A)(\text{Spec}(B)) = \underline{\text{Hom}}(A, B)$ , where  $\underline{\text{Hom}}$  is simplicial hom.

$\underline{\text{Hom}}(A, B)_n = \text{Hom}(A_n, B)$ .

Fact:

$\text{Spec}$  is right Quillen, so has a right derived functor

$R\text{Spec} : \text{Ho}(\text{Alg}_k^{\Delta}) \rightarrow \text{Ho}(k)$

Proposition (Toën)

$\mathbb{R}\text{Spec}$  is fully faithful.

Definition:

A stack is affine if it is in the essential image of  $\mathbb{R}\text{Spec}$ :  $F \sim \mathbb{R}\text{Spec}(A)$ .

Examples:

$$K(C_{\Delta^i}) \sim \text{Spec}(S_{\Delta^i})$$

where  $S_{\Delta^i}$  is the free cosimplicial algebra on  $S_k^i$ ,

$S_k^i$  the cosimplicial  $k$ -module corresponding to the complex with  $k$  in degree  $i$ , 0 elsewhere.

In fact all affine stacks are limits of such stacks.

Toën has proved we can characterise affine stacks:

$F$  is affine iff it is subaffine &  $\mathcal{O}$ -local, i.e.

•  $F = h_X$ ,  $X$  simplicial affine scheme

•  $F$  is local w.r.t  $\mathcal{O}$ -equivalences,

### Schematic Homotopy Types

Given a stack  $F$  we can talk about its affinification

$$\begin{array}{c} F \\ \downarrow \\ (F \otimes k)^{\text{uni}} \end{array}$$

it is the universal stack with such a morphism.

This provides a solution to the Schematisation problem:

$$(F \otimes k)^{\text{uni}} = \mathbb{R}\text{Spec } \mathcal{O}(F) \quad \text{where } \mathcal{O} \text{ is right adjoint to Spec.}$$

What is the schematisation  $(X \otimes k)^{\text{sch}}$ ?

Idea: Replace  $\mathcal{O}$ -equivalences by  $\mathcal{P}$ -equivalences:

morphisms inducing isomorphism on cohomology with coefficients in all  $k(A, V, n)$ ,  $A$  an affine group scheme,  $V$  a linear finite-dimensional representation of  $A$ .  $k(A, V, n) = k(V, n)_{A, \text{aff}}$ .

The schematic homotopy types are pointed connected stacks  $F$  that satisfy

- $\mathbb{R} \int_2 F$  is affine

- $F$  is  $\mathcal{P}$ -local

So  $(X \otimes k)^{\text{sch}}$  is the (the universal) such stack among  $X \rightarrow (X \otimes k)^{\text{sch}}$ .

Ting.  
Based on the paper "Schematic homotopy types & non-abelian Hodge Theory" of Katzarkov-Pantev & Toën

Remark: The Hodge filtration on  $H^*(X; \mathbb{C})$  is equivalent to the  $\mathbb{C}^\times$ -action on  $H^*(X; \mathbb{C})$ ,

$$\text{if } y \in H^{p,q}, \lambda \in \mathbb{C}^\times, \lambda(y) = \lambda^p y.$$

If  $X$  is smooth projective over  $\mathbb{C}$ , let  $(X \otimes \mathbb{C})^{\text{sch}}$  be its schematic homotopy type.

Properties:

$$H^*(L(X \otimes \mathbb{C})^{\text{sch}}; \mathbb{G}_a) \cong H^*(X; \mathbb{C})$$

$$\pi_i((X \otimes \mathbb{C})^{\text{sch}}, *) \cong \pi_i(X, *) \otimes \mathbb{C}. \text{ if } i > 1, X \text{ simply connected.}$$

$$\cdot \pi_1((X \otimes \mathbb{C})^{\text{sch}}, *) \cong \pi_1(X, *)^{\text{alg}} \text{ pro-algebraic completion}$$

The main result of KPT is to define a  $\mathbb{C}^\times$ -action  $\mathbb{C}^\times \curvearrowright (X \otimes \mathbb{C})^{\text{sch}}$  that will recover the  $\mathbb{C}^\times$ -action on cohomology, hence the Hodge structure.

Morgan in '78 defined a MHS on  $\pi_i(X, -) \otimes \mathbb{C}$  for  $i > 1$ ,  $X$  simply connected.

Simpson in '98 defined a  $\mathbb{C}^\times$ -action on  $\pi_1(X, *)^{\text{red}}$ , the pro-reductive completion.

Theorem:

The  $\mathbb{C}^\times$  action on  $F = (X \otimes \mathbb{C})^{\text{sch}}$  exists, such that

- 1) The induced action on  $H^i(F, \mathbb{Q}_\ell) \cong H^i(X; \mathbb{C})$  is the above action.
- 2) The induced action on  $\pi_1(F, x)^{\text{red}}$ : the maximal reductive quotient of the pro-reductive completion,  $\cong \pi_1(X, x)^{\text{red}}$ , agrees with the above.
- 3) The induced action on  $\pi_1(F, x)_{i>1}$  also agrees with the above.

There's also an analogue of the mixed structure: a weight tower:

$$F \rightarrow \dots \rightarrow F_i \rightarrow \dots \rightarrow F_1 \rightarrow F_0 = *$$

of pointed stacks with homotopy fibres  $W_i$ .

The associated long exact sequence on homotopy groups

$$\pi_*(F) \rightarrow \dots \rightarrow \pi_*(F_i) \rightarrow \dots \rightarrow \pi_*(F_j) \rightarrow \pi_*(F_0)$$

$$\uparrow \quad \checkmark \quad \uparrow \quad \checkmark$$

$$\pi_*(w_{i-1}) \quad \pi_*(w_0)$$

Forms an exact couple  $A \xrightarrow{A} A$  by taking direct sums, whose associated spectral sequence can be used to calculate  $\pi_*(F)$ . This gives new constraints to the homotopy types of smooth projective varieties.

### Construction:

Start with the category  $L_{Dol}$  of Higgs bundles over  $X$ . (polystable with vanishing  $c_1$ ).

Objects :  $(V, D')$   $V$   $\mathbb{C}^\infty$  vector bundle

$$D' : V \rightarrow V \otimes A'$$

$$\text{with } D'(a, s) = \bar{\partial} a \cdot s + a D'(s).$$

$T_{Dol}$  will be the category of Ind-objects in  $L_{Dol}$ : inductive limits of objects. One can think of it as a completion.

Similarly, have  $L_{dR}$  : Flat bundles over  $X$ .

Objects :  $(V, \nabla)$  as usual.

$T_{dR}$  will be the category of Ind-objects in  $L_{dR}$ .

Pick  $x \in X$ . Let  $G_x = \pi_1(X, x)^{\text{red}}$

Non-abelian Hodge correspondence tells us these categories are equivalent.  $L_{Dol} \xleftrightarrow{\sim} L_{dR}$ ,  $T_{Dol} \xleftrightarrow{\sim} T_{dR}$ .

- Facts:
- There's a  $\mathbb{C}^*$ -action on  $L_{D,1}$   
 $(V, \bar{\partial} + \theta) \xrightarrow{\lambda} (V, \bar{\partial} + \lambda\theta)$ .
  - Let  $w_x : L_{D,1} \rightarrow \text{Vect}$  be the functor taking fibre at  $x$   
 $v_x : T_{D,1} \rightarrow \text{Vect}$  similarly.
  - The latter has a right adjoint  $p$ :  
 $p(\mathbb{1}) = \mathcal{O}(G_x)$  using NAHT.
  - $\mathbb{1}$  is an algebra, hence so is  $p(\mathbb{1})$ .
  - $w_x$  is  $\mathbb{C}^*$ -invariant, hence so is  $p$ .  
 $\Rightarrow p(\mathbb{1})$  is fixed by  $\mathbb{C}^*$ .
  - If  $(V, D'') \in L_{D,1}$ , one can associate to it  
 $A^\circ(V) \xrightarrow{D''} A^1(V) \rightarrow \dots$
  - $p(\mathbb{1})$  is an inductive system of such, so  
it also has a Dolbeault complex  $A_{D,1}^\circ(p(\mathbb{1}), D'')$ .

Now we can start to describe the  $\mathbb{C}^*$ -action.

$$\mathbb{C}^* \hookrightarrow A_{D,1}^\circ(p(\mathbb{1}), D'')$$

$$p(\mathbb{1}) \xrightarrow{u_\lambda} \lambda p(\mathbb{1}), \text{ as } p(\mathbb{1}) \text{ is } \mathbb{C}^*\text{-fixed.}$$

inducing  $u_\lambda$  on the Dolbeault complex.

Also  $[\lambda] := \text{mult by } \lambda^p$  on p.g. forms is another  $\mathbb{C}^*$  action.

So our action is  $u_\lambda^{-1} \circ [\lambda] : A_{D,1} \rightarrow A_{D,1}$ .

$g_x \hookrightarrow A_{D,1}^\circ(p(\mathbb{1}), D'')$  also, compatibly,

i.e. quotient still has a  $\mathbb{C}^*$ -action. Let

$$A = C_{D,1}^\circ(X, \mathcal{O}(G_x)) = D(A_{D,1}^\circ(p(\mathbb{1}), D'')) : \text{denormalisation}$$

using Dolb-Kan.

Claim:

$$\mathbb{R} \text{Spec } A / G_x \cong (X \otimes \mathfrak{e})^{\text{sch}}$$

This gives a  $\mathfrak{e}^*$ -action on the RHS from that on the left.

Remark:

This also works identically for  $A_{\text{dR}}(\mathcal{O}(G_x), \nabla)$ .

The model you get is called  $(X \otimes \mathfrak{e})^{\text{diff}}$ .

Carlos Simpson

Local Systems on Non-compact Varieties

Focus on the paper "a weight two phenomenon": look at rank

1 local systems on the easiest non-compact variety:

$$U = \mathbb{P}^1 \setminus \{\infty\} \cong G_m.$$

Review: twistor space picture.

Say  $X$  is a compact curve. Let  $M$  be the Hitchin hyperkähler moduli space for some group  $G$ .

$$(M, I) = M_{0,1}$$

$$(M, J) = M_{0,2}$$

$$\text{Let } \mathbb{P}^1 = \{xI + yJ + zk : (x^2 + y^2 + z^2) = 1\}$$

Then  $T_w = M \times \mathbb{P}^1$  has a natural complex structure  
 $\downarrow$   
 $\mathbb{P}^1$

such that on the fibre over  $\lambda$ , it's the complex structure given by  $\lambda$ . The horizontal  $\mathbb{P}^1$ 's - preferred sections are holomorphic.

We have antipodal involution on  $\mathbb{P}^1$ , which extends to an antilinear involution  $\sigma : T_w \rightarrow T_w$ . Then

$$M = \{\text{preferred sections}\} \subseteq \Gamma(\mathbb{P}^1, T_w)^\sigma : \sigma\text{-invariant.}$$

In fact it's a union of connected components.

This says that if we deform a preferred section  $\sigma$ -invariantly it stays preferred. Or equivalently

$$(\mathbb{P}^1, \Gamma(\mathbb{P}^1, T_w))^\sigma \xrightarrow{\sim} TM \quad \rho \in M \Leftrightarrow (\mathbb{P}^1 \rightarrow T_w)$$

More precisely, this should be an isomorphism when we evaluate at any point  $\lambda$ .

Why is this? Well the normal bundle satisfying

$$N_{\pi(P)/\mathbb{P}^1} = \rho^* T(\mathbb{P}^1/\mathbb{P}^1) = \mathcal{O}_{\mathbb{P}^1}(1)^n \quad \text{and } \dim_{\mathbb{C}}(M)$$

The Euclidean property:

$$\begin{aligned} T_p(T(P, \mathbb{P}^1)) &\cong \Gamma(\mathbb{P}^1, \rho^* \Gamma(T(\mathbb{P}^1/\mathbb{P}^1))) \text{ compatibly with } \alpha \\ &\cong \Gamma(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(1)^n) \end{aligned}$$

so we have . . .

$$\sigma \hookrightarrow \Gamma(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(1)^n) = \mathbb{C}^{2n} \rightarrow \mathbb{C}^n = (\mathcal{O}_{\mathbb{P}^1}(1))_n = T_p(M)$$

$$\Gamma(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(1)^n) = \mathbb{R}^{2n} \quad \begin{matrix} \downarrow & \nearrow \sim \\ \text{so for any } \lambda \end{matrix}$$

Conclusion:

The normal bundles to the preferred sections being semistable of weight 1 slope 1 corresponds to the existence of the quaternionic structure.

More precisely, if we have  $H_R \leq H_C = H^{0,1} \oplus H^{1,0}$  a weight 1 real Hodge structure then it has a natural quaternionic structure. We can also form the Rees bundle by taking the Rees construction for  $F^+$  &  $\bar{F}^+$  at 0 and  $\infty$  respectively. This is really

$$\begin{aligned} (H^{0,0} \otimes \mathcal{O}_{\mathbb{P}^1}(0)) \oplus (H^{0,1} \otimes \mathcal{O}_{\mathbb{P}^1}(\infty)) \\ \cong \mathcal{O}_{\mathbb{P}^1}(1)^{2g} \end{aligned}$$

Weight 2 case

Now lets go back to  $U = \mathbb{P}^1 \setminus \{\infty, \infty\} \cong X \setminus D$ .

$\pi_1(U) = \mathbb{Z}$ : So a rank 1 local system

is just a map  $\mathbb{Z} \xrightarrow{\cong} \mathbb{C}^*$  given by monodromy  $f = \rho(\psi)$ .

A rank 1 bundle with connection (logarithmic at 0) is a pair  $(L, D)$ ,  $L$  a line bundle on  $X$ ,  $D$  a connection  $D : L \rightarrow L \otimes \Omega_X^1(\log D)$ .

Assume  $L = \mathcal{O}_{\mathbb{P}^1}$ , so  $D = d + \beta \frac{dz}{z}$ . Then the monodromy is  $f = e^{2\pi i \beta}$ .

A rank 1 Higgs bundle is a pair  $(E, \theta)$ , again assume  $E = \mathcal{O}_{\mathbb{P}^1}$ ,  $\theta = \alpha \frac{dz}{z}$  since  $\mathcal{H}(End(E) \otimes \Omega_X^1(\log D)) = \mathcal{H}(\Omega_X^1(\log D))$

How do these correspond? we need to choose a harmonic metric  $h : |e|_h = z^a$  where  $e$  is a unit section,  $z$  is the coordinate, so we need polynomial growth: flatness  $\cdot a$  will determine a growth rate of sections of  $L$  or  $E$ .

Near  $z=0$  we get the parabolic filtration

$E_u = \{ \text{Sections } v \text{ of } E \text{ such that}$

$$|v|_h \leq |z|^{-u-\epsilon} \quad \forall \epsilon > 0 \}$$

Giving a parabolic bundle.

If you do this, producing a parabolic weight and residue

then this will correspond to a connection  $(b, \beta)$ :

related by a formula

Motivically, what does this mean?

$$H^1(U, \mathbb{Z}) = \mathbb{Z}(1) : \text{weight } 2 \text{ motive}$$

due to the 2 punctures. Create a bundle over  $\mathbb{A}^1$ :  
the  $\lambda$ -line of "possible residues of  $\lambda$ -connections",  
 $\mathcal{O}_{\mathbb{A}^1} = \mathbb{C} * \mathbb{A}^1$ . But now

$\lambda$ -connections on  $U \iff \mu$ -connections on  $\tilde{U}$   
for  $\mu = \lambda^\dagger$ . This is the Deligne gluing.

In total, these glue together as an  $\mathcal{O}_{\mathbb{P}^1(2)}$ .

We'll have an involution  $\sigma$  on this, & the residues of  
harmonic bundles will be the  $\sigma$ -invariant sections,  
like in the compact case.

$$\text{But } \Gamma(\mathcal{O}_{\mathbb{P}^1(2)}) = \mathbb{C}^3, \text{ so } \Gamma(\mathcal{O}_{\mathbb{P}^1(2)})^\sigma = \mathbb{R}^3.$$

The evaluation maps down to  $\mathbb{R}_0, \mathbb{R}, \mathbb{R}_+ \cong \mathbb{C}$ .

Botong WangThe Higher Dimensional Non-compact CaseWe'll work locally  $\rightarrow$  so let $X = \Delta^*$  punctured disc

$$\bar{X} = \Delta, E = \mathcal{O}_X, D = a \frac{dz}{z}, a \in \mathbb{C}.$$

Example:

$$|w_1|_h = |z|^\alpha, \alpha \in \mathbb{R} \quad \text{In this setting,}$$

$$\text{so } D_{1,h} = D_0 + \alpha \frac{dz}{z}, \bar{D} = \bar{a} \frac{d\bar{z}}{\bar{z}}$$

$$D = \bar{D}_{1,h} + D + \bar{D} = \bar{D} + D_0 + \bar{a} \frac{d\bar{z}}{\bar{z}} + (a + \bar{a}) \frac{dz}{z}$$

This makes it easy to compute the residue.

Example:

$$w = \mathcal{O}_X^2 \text{ now, } w = w^1 \oplus w^2, \text{ with hole unit sections}$$

 $w_1, w_2$ 

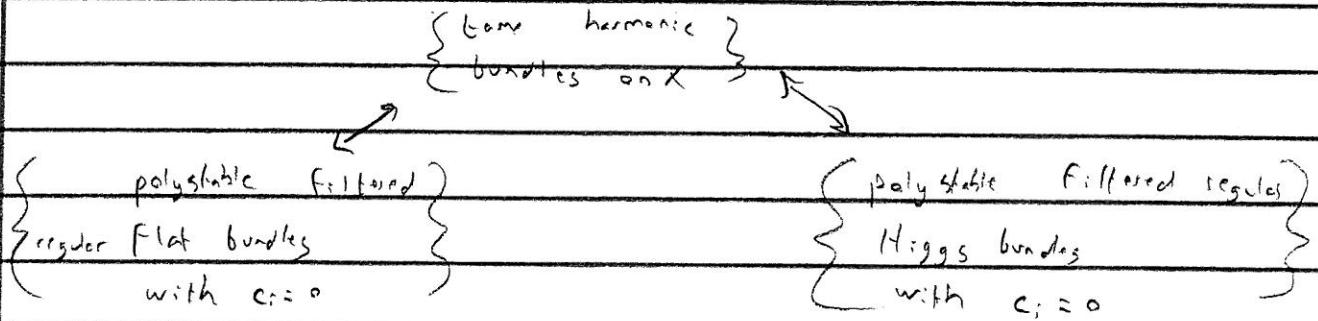
$$\text{Say } D(w_1) = \frac{1}{2}w_1 \frac{dz}{z}, D(w_2) = 0,$$

$$\text{so } \text{reg}(D) = \begin{pmatrix} 0 & \frac{1}{2} \\ 0 & 0 \end{pmatrix}. \text{ A harmonic metric will be}$$

$$|w_1|_h = |\log |z||^{1/2}, |w_2|_h = |\log |z||^{-1/2}.$$

Now, let  $X \hookrightarrow \bar{X}$ ,  $X$  quasi-projective, $\bar{X} \setminus X = D$  a simple normal crossings divisor.

One can show there are correspondences



This was proved by Simpson, Mochizuki, Biggervard.

The directions from harmonic are easier. We'll

Focus on Higgs  $\rightarrow$  Harmonic.

Theorem (Mochizuki) (Higgs  $\leftrightarrow$  Harmonic)

Let  $(E_x, \theta)$  be a regular filtered Higgs bundle

on  $(\bar{X}, D)$  (relative version). Put  $E := E_x|_X$ :

no longer filtered as the filtration all happens at

the divisor. It is polystable with  $c_i = 0$  iff  
 $\exists$  a pluriharmonic metric  $h$  for  $(E, \theta)$  on  $X$ , which  
is adapted to the filtration. Such a metric is  
unique up to obvious ambiguity.

We'll define the objects in this statement.

Definition:

$E_x$ , a filtered sheaf on  $(\bar{X}, D)$ , is a  
family of sheaves parameterised by  $\mathbb{R}^k$ ,  
(where  $D = D_1 \cup \dots \cup D_k$ ), such that

①  $a \leq b \Rightarrow E_a \subseteq E_b$ , ( $\leq$  in all coordinates.)

②  $E_a = E_a \oplus \mathcal{O}_{\bar{X}}(-\Lambda; D)$ , where  
 $\Lambda' = a - (\Lambda_1, \dots, \Lambda_k)$   $\Lambda_i \in \mathbb{Z}$

③  $E_a = E_{a+\epsilon}$  for  $\epsilon$  small enough.

So understanding  $E_x \subseteq \dots \subseteq E_{x+1}$  suffices, as

$E_{x+1} = E_x \oplus \mathcal{O}(D)$ .

$E_{x+1}/E_x = E_{x+1}|_D$ , so really this is a filtration  
on  $E|_D$ .

## Chern Characters of $E_x$ .

How do we define  $C_i$ ? We need to take into account the whole family.

Example:

Suppose we have jump  $\begin{array}{c} E_0 \\ \downarrow \\ E_{1,2} \\ \downarrow \quad \downarrow \\ 0 \quad \frac{1}{2} \quad 1 \end{array}$ .  
Then we should have

$$C_i(E_x) = \frac{1}{2} C_i(E_0) + \frac{1}{2} C_i(E_{1,2})$$

One generalizes this by linear algebra.

Stability: If  $F_x \subseteq E_x$ , stability as usual means  
slope  $(F_x) \leq \text{slope } (E_x)$  as usual : using the  
above to define degree.

## Sketch Proof of $\Rightarrow$ in the Theorem

We want to construct a pluriharmonic metric.

Strategy:

Step 1: Take appropriate initial metric

Step 2: Deform it along the heat equation

Step 3: Take limit : show it's Hermite - Einstein

In the compact case 1 is trivial, 2 & 3 are hard,  
but were solved by Simpson

Theorem:

Let  $(Y, \omega)$  be a Kähler manifold satisfying some conditions.

$F(h) = \partial\bar{\partial} +$   
 $\bar{\partial}\partial = D\bar{D}$   
 $+ \bar{D}D$   
(e.g. finite volume). Let  $(E, \bar{\partial}_E, \theta, h_0)$  be a Higgs bundle with a metric s.t.  $F(h)$  is bounded wrt  $h_0$  &  $\omega$ .

If it is analytically stable, then  $\exists$  a Hermitian-Einstein metric  $h$  satisfying

- $h$  &  $h_0$  are mutually bounded (so they give the same filtration).

This is fine in the non-compact case, so we must solve 1): Find a good initial metric.

Need to specify some thing on the smooth part of  $D$  & its singular part. They look something like  $\Delta^{-k} \times \Delta^{n-1}$  &  $(\Delta^*)^k \times \Delta^{n-k}$  locally.

How can one globalise local constructions: gluing local pieces? Recall the following

Definition:

Suppose  $(E, \theta)$  is a filtered Higgs bundle. Fix a jump  $d$ . Then one can define  $\text{res}_d(\theta)$  acting on  $\text{res}_d(E)$ .

Since  $D_i$  are projective, eigenvalues of  $\text{res}_d(\theta)$  are constant:  $\text{res}(\theta)$  is a section of  $\text{End}(E)|_{D_i}$ .

Take its characteristic polynomial:

$$t^r + a_1 t^{r-1} + \dots + a_r.$$

$$a_i \in H^0(D_i, \mathcal{O}_X^{\otimes i}|_{D_i}).$$

Thus the situation is very similar to the curve case.

Good Case:

$\text{res}(\Theta)$  diagonalisable. Morizuki calls this case "graded semisimple". Here we can pick a good initial metric by working locally.

What about the bad case? This is hard, so we need to reduce to the good case. We "disturb" the filtration.

e.g.:  $\text{res}(\Theta) = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} = \phi \hookrightarrow V$ .

Filter by  $V_i = \ker \phi^i$ ,  $V_i = V$ .

If there is bad behaviour at jump  $\alpha$ , split into two jumps  $E_{\alpha-\epsilon}^\epsilon, E_{\alpha+\epsilon}^\epsilon$  corresponding to this splitting by weight filtration

Suppose  $(E_\alpha, \Theta)$  is in the bad case.

Disturb to  $(E_\alpha^\epsilon, \Theta)$  to make it good.

Then we use:

Theorem: (to take a limit  $\epsilon \rightarrow 0$ )

Let  $(E_m, \bar{\partial}_m, \theta_m, h_m)$  be a sequence of tame

harmonic bundles on  $X$ . Assume the sections

$\{\det(t - \theta_m)\} \in \Gamma(\text{Sym}^* \Omega_X^1(\log D)[t])$  are

convergent. Then  $\exists$  a subsequence which converges

to a tame harmonic bundle  $(E_\infty, \bar{\partial}_\infty, \theta_\infty, h_\infty)$

on  $X$ . (weakly in  $L^p_2$  locally on  $X \setminus D$  for  $p \gg 0$ ).

Apply this theorem to the harmonic metrics for each  $(E^\varepsilon, \theta)$ , & take a limit. Note the condition is satisfied because  $\theta$  is fixed.

Note: This theorem is essentially properness of the Hitchin map.

Idea: bound eigenvalues of  $\theta$

$$\Rightarrow \text{bound } |\theta|$$

$$\Rightarrow \text{bound } |F(h)| = |\theta\bar{\theta} + \bar{\theta}\theta|$$

Then use Uhlenbeck compactness (an idea of Atiyah-Bott).

## Lecture 26

Sam Cunningham

Local Systems on  $\mathbb{P}^1 \setminus$  a Finite Set of Points

The Middle Convolution.

We'll understand local systems on  $\mathbb{P}^1 \setminus S = U$ ,  $S = \{q_1, \dots, q_n\}$ .

One can think of these

Betti: representations  $\pi_1(U) \rightarrow GL(r, \mathbb{C})$ ,

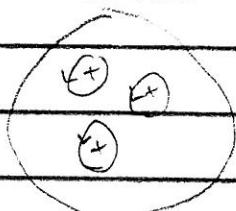
i.e. a collection  $M_1, \dots, M_n$ , where  $M_1 M_2 \cdots M_n = I$

of matrices in  $GL(r, \mathbb{C})$ , up to simultaneous conjugation.

de Rham: Meromorphic connections with logarithmic singularities  
at the  $q_i$ .

Associated to such an object we get local data.

$f$  = conjugacy classes of local monodromies  
around the puncture, or conjugacy classes  
of residue matrices.



We'll fix this local data  $f$ , & consider  
the set  $M(f) = \{\text{local systems on } U \text{ with local data } f\}$ .

Questions:

1) When is  $M(f)$  empty? This is called the  
Deligne - Simpson problem.

2) How can we construct these local systems  
when they exist?

Important Special Case :  $M(f) = pt$ .

Such a local system is called Rigid : i.e. it  
is determined by its local data.

In the rigid case, Katz gave an answer to 1) & 2).

He showed every rigid irreducible local system on  $U$   
 Could be built from 1-dimensional local systems  
 explicitly.

### Historical Motivation:

The classical perspective is thinking of the local systems as coming from systems of ODEs.

An important class of equations on  $U$  is the hypergeometric equations

$$z(1-z)f'' - (c - (a+b+1)z)f' - abf,$$

where  $a, b, c \in \mathbb{C}$ . Singularities are at  $0, 1, \infty$ .

They have 2-d spans of solutions built from the hypergeometric function  ${}_2F_1(a, b, c; z)$ .

The corresponding local system of solutions has eigenvalues of local monodromy

$$1, e^{-2\pi i c} \text{ around } 0$$

$$1, e^{2\pi i(c-a-b)} \text{ around } 1$$

$$e^{2\pi i a}, e^{2\pi i b} \text{ around } \infty$$

### Theorem (Riemann, in other words).

The local system " ${}_2F_1(a, b, c)$ " is rigid & irreducible,  
 and every (rigid) irreducible rank 2 local system on  
 $\mathbb{P}^1 \setminus \{0, 1, \infty\}$  is of the form  $\mathcal{L} \oplus {}_2F_1(a, b, c)$   
 where  $\mathcal{L}$  is a rank 1 local system.

Note: in rank 1 matrix = conjugacy class of matrices, so  
 the problems are easy.

Key Idea:

There's a particular formula for  ${}_2F_1$ :

$${}_2F_1(a, b, c; z) = \int t^{a-c} (1-t)^{c-b-1} (z-t)^{-a} dt \\ = (z^{a-c} (1-z)^{c-b-1}) * z^{-a}$$

So think of the rank 2 local system as a convolution of rank 1 local systems

Middle Convolution will be an assignment

$$\{\text{local system on } U\} \times \{\text{1-d local system on } \mathbb{G}_m\} \xrightarrow{*^m} \{\text{local system on } U\}$$

↑  
"Kummer local system".

Properties:

- 1) Local data for  $L *^m K^M$  only depends on the local data  $\mu_i$  of  $L$  &  $\mu_j$ :  $t \mapsto K(t, \mu_j)$ .
- 2) If  $L$  is irreducible, then the operation is invertible with inverse  $*^m K^M$ .

Theorem (Katz)

If  $L$  is a rigid irreducible local system on  $U$  of rank  $\geq 2$ , then by doing combinations of

1)  $\otimes$  with rank 1 local system

2)  $*^m$  with Kummer local system,

then we can reduce the rank.

Katz's Algorithm

Suppose we start with a local datum. Then apply the above theorem until either:

1) We get to a 1-dimensional local system on  $U$ .

In this case we can invert the operations.

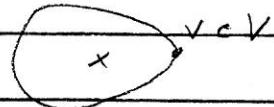
2) We get to local data so that "doesn't make sense". Then you deduce that the original moduli space was empty.

Remark:

Similar methods have been applied in various non-rigid situations too.

Remark:

The word middle here is the same middle as middle perversity. Given a local system can consider  $H^*(U, \mathbb{Z})$ . Say  $U = \mathbb{C}^r$ . So one can look at 1-chains say, for monodromy  $M \in \text{End}(V)$



& singular chain complex

$$V(\bullet) \xleftarrow{M-I} V(0)$$

Cohomology comes from fixed vectors for the monodromy.

The middle/intersection cohomology of  $\mathbb{Z}$  is the cohomology of  $j_{!*} \mathbb{Z} = R^0 j_* \mathbb{Z}$ .

Unpacking this, have distinguished triangle

$$R^0 j_* \mathbb{Z} \rightarrow R j_* \mathbb{Z} \rightarrow R^1 j_* \mathbb{Z} L \dashv \dashv$$

↑  
computing middle  
cohomology

↑  
computing  
ordinary  
cohomology

↑  
computing local  
cohomology

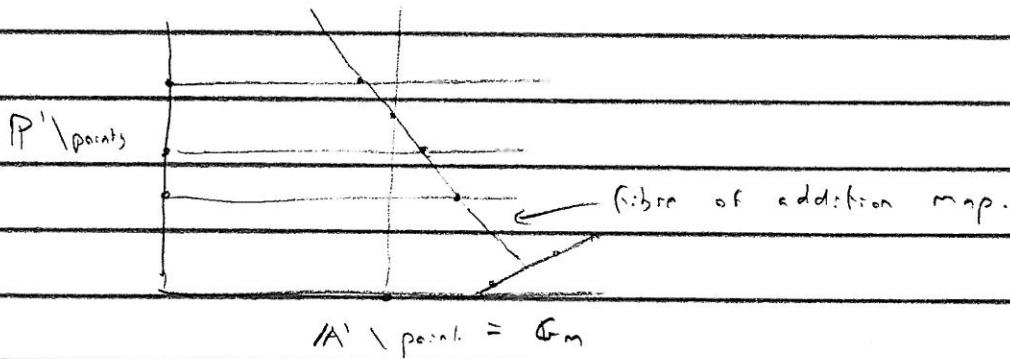
$\oplus H^1(D_S^*, \mathbb{Z})_{D_S^+}$

From this we produce a long exact sequence

$$0 \rightarrow MH^0 \xrightarrow{\cong} H^0 \rightarrow 0$$

$$0 \rightarrow MH^1 \rightarrow H^1 \rightarrow \bigoplus_s H^1(D_s, 2) \rightarrow MH^2 \rightarrow 0$$

Ordinary convolution of sheaves on  $A'$  is



$$\alpha: A' \times A' \rightarrow A', \exists * g = R\alpha_*(\exists \otimes g)$$

integrate along Fibres of the addition map.

Definition:

What we wrote before is just  $R\beta_{*}(\exists \otimes g_{\text{can}})$ .

Consider diagram

$$\begin{array}{ccc} U \times G_m & \xrightarrow{\sim} & V \hookrightarrow P^1 \times A' \\ (x, t) & \mapsto & (x, t-x) \end{array} \quad \downarrow p_2$$

$A'$

So if  $\mathcal{L}$  is on  $U$ ,  $\mathcal{X}$  on  $G_m$ , then we define

$$\mathcal{L} * \mathcal{X} = R\beta_{*}(\mathcal{L} \otimes \mathcal{X}_{t-x}).$$