# APPLICATIONS OF GOLDMAN-MILLSON THEORY TO NAHT

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ABSTRACT. These are my notes for the talk on Goldman-Millson theory.

First we fix notation. Let G be a complex reductive Lie group,  $\mathfrak{g} = \text{Lie}G$ , X-smooth projective variety. Many of the statements that follow will actually work for a compact Kähler X.

### 1. Some examples

Hiro told us that every deformation problem in characteristic zero is goverened by a dgla and discussed the Betti deformation space. Let us look at two other relevant examples.

### Example

Let  $(P, \varphi)$  be a principal *G*-Higgs bundle,  $\varphi \in H^0(X, \mathrm{ad}P)$ ,  $\varphi \wedge \varphi = 0$ . Then the deformations of  $(P, \varphi)$  are controlled by

$$L^{\bullet}_{Dol}(P,\varphi) = \left(A^{\bullet}(\mathrm{ad}P), \overline{\partial}_{P} + \mathrm{ad}\varphi\right)$$

For simplicity, we will usually write  $L^{\bullet}_{Dol}$  for  $L^{\bullet}_{Dol}(P,\varphi)$  and  $\overline{\partial}$  for  $\overline{\partial}_{P}$ , the Dolbeault operator of P.

If we know P and  $\varphi$  reasonably well, we can be fairly explicit in working with this complex. Notice that  $L_{Dol}^{\bullet}$  is the total complex of the Dolbeaul resolution of certain complex of holomorphic vector bundles.

In particular, for dim X = 1, we have

$$L_{Dol}(P,\varphi) = A^{0,0}(\mathrm{ad}P) \bigoplus A^{1,0}(\mathrm{ad}P) \oplus A^{0,1}(\mathrm{ad}P) \bigoplus A^{1,1}(\mathrm{ad}P),$$

which is the total complex of

$$\begin{array}{c|c} A^{0,1}(\mathrm{ad}P) & \xrightarrow{\mathrm{ad}\varphi} & A^{1,1}(\mathrm{ad}P) \\ \hline \overline{\partial} & & & \uparrow \overline{\partial} \\ A^{0,0}(\mathrm{ad}P) & \xrightarrow{\mathrm{ad}\varphi} & A^{1,0}(\mathrm{ad}P) \end{array}$$

This is Dolbeault resolution of the complex  $adP \xrightarrow{ad\varphi} adP \otimes \Omega^1_X$  introduced by Biswas and Ramanan.

## Example

Let (P, D) be a principal *G*-bundle with a flat connection D = d' + d''. As usual, if we want to stay entirely in the holomorphic/analytic category, we treat this data as a holomorphic flat connection d'' on the holomorphic principal *G*-bundle (P, d''). Then the controlling dgla is  $L_{DR}^{\bullet}(P,D) = (A^{\bullet}(adP), D)$ . For instance, for a curve this is

$$\begin{array}{c} A^{0,1}(\mathrm{ad}P) \xrightarrow{d} A^{1,1}(\mathrm{ad}P) \\ \xrightarrow{d''} & \uparrow d'' \\ A^{0,0}(\mathrm{ad}P) \xrightarrow{d'} A^{1,0}(\mathrm{ad}P). \end{array}$$

If the Higgs and flat bundle are related by a harmonic metric, we have, as usual,

$$D = d' + d'' = \overline{\partial}_P + \partial + \mathrm{ad}\varphi + \mathrm{ad}\overline{\varphi} = D^+ + \theta = D' + D'',$$

where  $D^+ = \overline{\partial}_P + \partial$  is the metric-compatible piece of  $D, \theta = \varphi + \overline{\varphi}, D'' = \overline{\partial} + \mathrm{ad}\varphi, D' = \partial + \mathrm{ad}\overline{\varphi}.$ 

If we fix a point  $x \in X$ , we can look at the evaluation map  $\epsilon : A^0(\mathrm{ad}P) \to \mathfrak{g}$ . This gives us a *deformation diagram*, also known as  $\mathfrak{g}$ -augmented dgla, i.e., a pair  $(L^{\bullet}, \epsilon)$  where  $\epsilon : L^0 \to \mathfrak{g}$  is a morphism of dglas. The deformation diagram is *rigid* if  $\epsilon : H^0(L^{\bullet}) \hookrightarrow \mathfrak{g}$ . We are also going to assume that  $L^{\bullet}$  is non-negatively graded and dim  $H^i(L^{\bullet}) < \infty$ .

## 2. PROREPRESENTABILITY RESULTS

As Hiro explained, to a dgla we can assign the corresponding functors from  $\operatorname{Art}_{\mathbb{C}}$  to groupoids (DGM) and to Sets (IsoDGM). In the terminology of Marco Manetti, these are the functors MC and  $\operatorname{Def}_L$ . One then is interested in the prorepresentability of the deformation functor or, if less lucky, in having a hull for IsoDGM or pro-representing a different functor (see below).

**Theorem 2.1.** If  $(L^{\bullet}, \epsilon)$  is formal, rigid and  $\epsilon : L^0 \to \mathfrak{g} \to 0$ , then  $IsoDGM(\ker \epsilon)$  is prorepresented by the germ at the origin of

$$C_{H(L)} \times \mathfrak{g}/\epsilon(H^0),$$

where  $C_{H(L)}$  is the cone in  $H^1$  given by the cup product.

**Corollary 2.1.** Given a formal dgla  $L^{\bullet}$  with  $H^0(L^{\bullet})$ ,  $IsoDGM(L^{\bullet})$  is prorepresented by the germ at the origin of  $C_{H(L)}$ .

The corollary follows from the Theorem by taking  $\mathfrak{g} = 0$  and  $\epsilon = 0$ .

Notation: If we have a transformation groupoid (X, G) and a set Y with Gaction, we define a new transformation groupoid,  $(X, G) \bowtie Y := (X \times Y, G)$ , where G acts on the product by the diagonal action.

The proof of the theorem uses the following Lemma, which is also often used in applications.

**Lemma 2.1.** If  $((L^{\bullet}, d = 0), \epsilon)$  is rigid, then  $C_{H(L)} \times \mathfrak{g}/\epsilon(L^0)$  prorepresents the functor  $IsoDGM(L, \epsilon, ) := IsoDGM(L) \bowtie \exp(\mathfrak{g} \otimes \mathfrak{m}).$ 

It is not true that  $IsoDGM(L^{\bullet})$  is always prorepresentable! However, every splitting,  $\delta$ , of  $L^{\bullet}$  gives a hull  $Kur^{\delta} \to IsoDGM(L^{\bullet})$ .

Intuitively: In abelian Hodge theory  $\delta = Gd^*$ , where G is the Green operator.

Formally,  $\delta \in Hom^{-1}(L^{\bullet}, L^{\bullet})$ , such that  $\delta^2 = 0$ ,  $\delta d\delta = \delta$ ,  $d\delta d = d$ . Then this gives a decomposition  $L^i = B^i \oplus \mathcal{H}^i \oplus C^i = imd \oplus \ker d \cap \ker \delta \oplus im\delta$ . One then shows that the functor  $Kur^{\delta}$  is prorepresented by the germ of the origin of the space

$$\mathcal{K} = \ker \left( \eta \mapsto pr_{\mathcal{H}}[\Phi^{-1}(\eta), \Phi^{-1}(\eta)] \right) \subset \mathcal{H}^1,$$

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where  $\Phi$  is the Kuranishi map  $\Phi(x) = x + \frac{1}{2}\delta[x, x]$ .

 $\mathcal{K}$  is invariant under quasi-isomorphisms, in particular, if  $(L^{\bullet}, d)$  is formal,  $\mathcal{K} = C_{H(L)}$ .

A version of the above statements is proved in Simpson-Eyssidieux:

If  $(L^{\bullet}, \epsilon)$  is *rigid*,  $IsoDGM(L, \epsilon, \cdot)$  is prorepresented by the germ at the origin of  $\ker (\mathcal{H}^1 \ni \eta \mapsto pr_{\mathcal{H}}[\eta, \eta] \in \mathcal{H}^2)$ .

# 3. First Applications

**Theorem 3.1.** Suppose (P,p) is a point in a closed orbit of  $R_{DR/Dol}(X, x, G)$ and  $C \subset H^1(L_{DR/Dol}^{\bullet})$  is the corresponding quadratic cone. Then the functor  $IsoDGM(L_{DR/Dol}^{\bullet}, \epsilon, )$  is prorepresented by  $C \times h^{\perp}$ , where  $h^{\perp}$  is the orthogonal complement to  $\epsilon(A^0(adP)) \subset \mathfrak{g}$ . Consequently, the completions of the moduli spaces at the origin satisfy:

$$\widehat{R_{Dol}(X, x, G)} \simeq \widehat{R_{Dol}(X, x, G)}, \ \widehat{M_{Dol}(X, G)} \simeq \widehat{M_{DR}(X, G)}$$

Idea of Proof:

Prove formality and then apply the GM theorem. For example, starting with a Higgs bundle, pick an HYM metric on (P, p), and write the usual decomposition:

 $D = D' + D'' = \overline{\partial}_P + \partial + \mathrm{ad}\varphi + \mathrm{ad}\overline{\varphi}, \ D' = \partial + \mathrm{ad}\overline{\varphi}, \ D'' = \overline{\partial} + \mathrm{ad}\varphi.$ 

There are natural morphisms of dgla's

$$(L^{\bullet}_{Dol},\epsilon) {\scriptstyle{\checkmark}} ((\ker D',D''),\epsilon) {\scriptstyle{\longrightarrow}} ((\mathcal{H}^{\bullet},0),\epsilon).$$

By the "principle of two types"  $(\partial \overline{\partial}$ -lemma), this is a quasi-isomorphism. A similar argument works for the De Rham deformation theory.

### 4. Relative GM theory

Next we need a relative version of GM theory in order to deal with  $R_{Hod}$ . Consider  $(L^{\bullet}, d)$ : a dgla over  $\mathbb{C}[\lambda]$ , where the  $L^i$  are flat  $\mathbb{C}[\lambda]$ -modules. We can assign to it a functor  $\operatorname{Art}_{\mathbb{C}[\lambda]} \to Gpds$ , using the same formulas as before. (Here  $\operatorname{Art}_{\mathbb{C}[\lambda]}$  denotes the category of local Artin  $\mathbb{C}[\lambda]$ -algebras.) That is, for a given  $B \in \operatorname{Art}_{\mathbb{C}[\lambda]}$ , the objects of our groupoid are elements  $\eta \in L^1 \otimes_{\mathbb{C}[\lambda]} \mathfrak{m}_B$ , satisfying  $d\eta + \frac{1}{2}[\eta, \eta] = 0$ , and the morphisms between two objects  $\eta, \eta'$  are determined by  $\eta' = ds + e^s \eta e^{-s}$ .

If P is a flat principal G-bundle with harmonic K-reductions  $P_K$  (where  $K \subset G$  is the maximal compact), we consider the dgla

$$L^{\bullet}_{Hod}(P) = (A^{\bullet}(\mathrm{ad}P) \otimes_{\mathbb{C}} \mathbb{C}[\lambda], \lambda D' + D'')$$

One then shows:

- $L^{\bullet}_{Hod}$  gives the deformation theory of  $\mathcal{M}_{Hod}$  near the twistor line of P. For that one has to check that if  $B \in \operatorname{Art}_{\mathbb{C}[\lambda]}$ , then  $L^{\bullet}_{Hod}(B)$  is the groupoid with objects maps  $Spec(B) \to \mathcal{M}_{Hod}$  plus an isomorphism of the induced map  $Spec(B/\mathfrak{m}) \to \mathcal{M}_{Hod}$  with  $Spec(B/\mathfrak{m}) \to \mathbb{A}^1 \to \mathcal{M}_{Hod}$  (the second arrow being the preferred section determined by P).
- $L^{\bullet}_{Hod}$  is formal over  $\mathbb{C}[\lambda]$ : this involves the same strategy as above. By proving a statement about harmonic elements being a product, one gets

$$\widehat{R_{Hod}}(X, x, G) \simeq \widehat{R_{Dol}}(X, x, G) \widehat{\times} \mathbb{A}^1,$$

and similary for  $M_{Hod}$  and  $M_{Dol}$ .

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- In fact (using Artin's Approximation Theorem) one shows that the above holds etale locally trivially. Namely, given  $P \in M_{Hod}(X, G)_{\lambda}$ , there exists an etale neighbourhood  $U \to M_{Hod}(X, G)$  of P, and and etale morphism  $U \to M_{Hod}(X, G)_{\lambda} \times \mathbb{A}^1$ .
- The above implies the *isosingularity principle*: Given a point  $y \in R_{Dol}(X, x, G)$ , there exist  $z \in R_{DR}(X, x, G)$ , together with isomorphic etale neighbourhoods. The local systems corresponding to y and z have isomorphic semisimplifications.

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