<span id="page-0-0"></span>The FPP conjecture and computing the unitary dual

David Vogan, MIT

Representation theory days In honor of George Lusztig

MIT, November 9–11 2024

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Slides at http://www-math.mit.edu/∼dav/paper.html

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### <span id="page-2-0"></span>Intro

This talk is about unitary reps of real groups. But...

- 1. The conference is to celebrate George's work
- 2. George doesn't talk about unitary representations
- 3. George doesn't talk about real groups
- 4. So what was I thinking?

I will tell you here what I omit in the rest of the talk.

Every time I say "we can compute," or "the  $atlas$ software can compute," what I mean is this:

The computation is completely inaccessible; but George found a straightforward way to do it.

Main example: character formulas for irr reps.

Beilinson, Bernstein, Kashiwara, and Brylinski related char formulas to symmetric-subgroup-equivariant perverse sheaves on flag varieties; and those George could write down in his sleep.

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# What's this about really?

*G*(R) real reductive algebraic group.

 $\bar{G}(\mathbb{R})_{\mu}$  = (equiv classes of) irr unitary reps of  $G(\mathbb{R})$ . I'll assume that studying this set (unitary dual) is the most world's best problem.

How can you approach it?

I'll start by saying what the answer looks like.

 $G(\mathbb{R}) \rightsquigarrow$  {finite set of compact polyhedra  $U_j$ }. Each  $U_j \rightsquigarrow$  (real vector space  $V_j$ , cone-in-a-lattice  $C_j$ )  $\widehat{G(\mathbb{R})}_u = \coprod_j U_j \times V_j \times C_j$ .

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# This just in. . .

 $G(\mathbb{R}) \rightsquigarrow$  {finite set of compact polyhedra  $U_i$ }. Each  $U_j \rightsquigarrow$  (real vector space  $V_j$ , cone-in-a-lattice  $C_j$ )  $\widehat{G(\mathbb{R})}_u = \coprod_j U_j \times V_j \times C_j.$ 

The FPP conjecture (stated below) constrains the cpt polyhedra *U<sup>j</sup>* and the cone-in-lattice factors *C<sup>j</sup>* .

The FPP conjecture was recently proven by Dougal Davis and Lucas Mason-Brown.

The constraints make  $\widehat{G(\mathbb{R})}_\nu$  computable (by the  $\texttt{atlas}$ software) for any particular value of *G*(R).

Computing unitary dual of a series of *classical* groups is (thanks to Barbasch, Arthur. . . ) a combinatorial problem for which one can hope for a complete and explicit answer.

(We don't yet have such an answer  $\odot$ .)

The *exceptional* groups are another matter.

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## <span id="page-5-0"></span>*Really* computable?

Here is some information about the computations.



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#### **Patterns**



*E*<sup>7</sup> done by Jeffrey Adams in 1000 atlas processes. Created overhead: sum of 1000 process times isn't comparable to single process times.

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#### What we see and why we care

Very roughly: time, memory, and # unitary faces depend mostly on  $rank(K)$ . Here are some approximations.



 $R$  .01  $\times$  10<sup>R</sup> .02  $\times$  7<sup>R</sup> ... 2  $\times$  5<sup>R</sup><br>Reason to make estimates: to guess how difficult it will be to calc FPP unitary faces in split  $E_8$ , and how complicated answer is.

First, expect several million FPP unitary faces.

If calculation is divided among many processors, need 150 gb for most processes: and perhaps 1 tb for a few of them.

To address the predicted weeks or months of CPU time, can consider separately each of 320,000 orbits of *K* on B.

Steve Miller: many orbits take few secs; but some require day or two.

He is pursuing this work on hundreds of machines at Rutgers, and has completed about 280,000 orbits.

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#### <span id="page-8-0"></span>Immer mit dem einfachsten Beispiel. . .

This advice stayed on Michael Artin's board while he wrote *Algebra*.  $G(\mathbb{R}) \rightsquigarrow$  {finite set of compact polyhedra  $U_i$ }. Each  $U_j \rightsquigarrow$  (real vector space  $V_j$ , cone-in-a-lattice  $C_j$ )  $\widehat{G(\mathbb{R})}_u = \coprod_j U_j \times V_j \times C_j.$  $\widehat{SL(2,\mathbb{R})}_u \rightsquigarrow \big\{ (\text{point} \times \mathbb{R}^1 \times \{0\}) \longleftrightarrow \text{spherical unitary princ series} \big\}$ (point  $\times \mathbb{R}^1 \times \{0\}$ )  $\longleftrightarrow$  nonsph unitary princ series (point  $\times \mathbb{R}^0 \times \mathbb{N}$ )  $\longleftrightarrow$  holomorphic discrete series (point  $\times \mathbb{R}^0 \times \mathbb{N}$ )  $\longleftrightarrow$  antihol discrete series  $([0,1] \times \mathbb{R}^0 \times \{0\}) \longleftrightarrow \text{complementary series}$ 

This is two lines, two half lattices, and one interval. Picture for *SL*(2,R) found by Valentine Bargmann in 1947.

For those with OCD or PhD: more words are needed to make this precise. Example: nonsph princ ser at 0 is sum of two irreps: nonsph(pt,  $(0, 0) =$  hol ds(pt,  $(0, 0) +$  antihol ds(pt,  $(0, 0)$ ).

That answer has this form for any real reductive  $G(\mathbb{R})$  comes from Harish-Chandra, Langlands, Knapp, Zuckerman  $\approx$  1985.

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# So what do we need to do?

 $G(\mathbb{R}) \rightsquigarrow$  {finite set of compact polyhedra  $U_i$ }. Each  $U_j \rightsquigarrow$  (real vector space  $V_j$ , cone-in-a-lattice  $C_j$ )  $\widehat{G(\mathbb{R})}_u = \coprod_j U_j \times V_j \times C_j.$ Describe  $\widehat{G(\mathbb{R})}_u \leftrightarrow$  describe cpt polyhedra  $U_j$ . Vec space *V<sup>j</sup>* , cone-in-lattice *C<sup>j</sup>* important but easy. Main question today: what do cpt polyhed *U<sup>j</sup>* look like? Answer:  $U_j$  is finite union of product of simplices. Goals for today:

- 1. say what kinds of simplices are allowed
- 2. recall work of Barbasch, (Barbasch and his friends) giving beautiful precise list of simplices in many cases
- **3.** say how at las software computes ugly precise list of simplices in all cases

Realistically: I'll mostly talk about (1).

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The FPP

# <span id="page-10-0"></span>Remind me about the Weyl group. . .

*G* cplx conn red alg group ⊃ *B* Borel ⊃ *H* max torus.  $(X^*$  alg chars of *H*)  $\supset$  (*R* roots)  $\supset$  ( $\Pi$  simple roots).  $(X_*$  alg cochars)  $\supset (R^{\vee}$  coroots)  $\supset (Π^{\vee}$  simple coroots). Based root datum of *G* is  $(X^*, \Pi, X_*, \Pi^{\vee})$ ,  $\mathfrak{h}_\mathbb{R}^* = X^* \otimes_\mathbb{Z} \mathbb{R}$ .  $\mathfrak{h}^*_{\mathbb R}$  is the real vector space where the classical root system lives. Coroot hyperplanes:  $E_{\alpha^{\vee}} = \{ \gamma \in \mathfrak{h}^*_{\mathbb{R}} \mid \gamma(\alpha^{\vee}) = 0 \}$  ( $\alpha^{\vee}$  in  $R^{\vee}$ ). Each coroot  $\alpha^{\vee}$  defines simple reflection:  $\mathfrak{h}^*_{\mathbb{R}} \to \mathfrak{h}^*_{\mathbb{R}},$ 

$$
s_{\alpha^{\vee}}(\gamma) = \gamma - \gamma(\alpha^{\vee}) \cdot \alpha, \quad s_{\alpha^{\vee}}(\alpha) = -\alpha, \quad s_{\alpha^{\vee}} =
$$
 identity on  $E_{\alpha}$ .

Weyl group of *G* is  $W =$  group generated by all  $s_{\alpha}$  . The open positive Weyl chamber is the open simplicial cone

 $C^+ = \{ \gamma \in \mathfrak{h}_{\mathbb{R}}^* \mid \gamma(\alpha^{\vee}) > 0 \quad (\alpha^{\vee} \in \Pi^{\vee} \text{ simple}) \}.$ 

A Weyl chamber in  $\mathfrak{h}_{\mathbb{R}}^*$  is a subset  $w \cdot C^+$  (some  $w \in W$ ).

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# What do Weyl chambers look like?

╲ ╲ ╲ ╲ ╲  $\searrow$ ╲ ╲ ╲  $\angle$ ╱ Γ ╱ Γ Ϊ ╱ Γ Γ Γ  $E_{(0.1)}$ *S*<sub>(1,−1)</sub> · *C*<sup>+</sup> *E*<sub>(1,−1)</sub> *C* +  $s_{(0,1)} \cdot C^+$  $\mathfrak{h}_{\mathbb{R}}^*$  for  $Sp(4,\mathbb{R})$ 

 $\overline{C}^+$  is fundamental domain for  $W$  action on  $\mathfrak{h}_{\mathbb{R}}^*.$ Action of *W* on Weyl chambers is simply transitive dominant faces of  $\overline{C}^+$  of codim  $d \longleftrightarrow$  cardinality  $d$  subsets of  $\Pi^\vee$ any face of  $b_{\mathbb{R}}^*$  is in W (unique dom face)

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# <span id="page-12-0"></span>And the affine Weyl group?

Standard terminology: what's below is the dual affine Weyl group. Based root datum of *G* is  $(X^*, \Pi, X_*, \Pi^\vee)$ ,  $\mathfrak{h}_\mathbb{R}^* = X^* \otimes_\mathbb{Z} \mathbb{R}$ . Aff coroots are  $R^{V,aff} = \{(a^V, m) \mid a^V \in R^V, m \in \mathbb{Z}\}.$ Pos aff coroots are  $R^{\vee,aff,+} = \{( \alpha^{\vee}, m) \mid m > 0 \text{ or } \alpha^{\vee} \in R^{\vee,+}, m = 0 \}.$ <br>Write  $\alpha^{\vee} =$  lowest coroot (unique if G simple) Write  $\alpha_0^{\vee}$  = lowest coroot (unique if *G* simple). Simple aff coroots are  $\Pi^{\vee,aff} = \{(\alpha^{\vee}, 0) \mid \alpha^{\vee} \in \Pi^{\vee}\} \cup \{(\alpha_0^{\vee}, 1)\}.$ Aff hyperplanes  $E_{\alpha^V,m} = \{ \gamma \in \mathfrak{h}_{\mathbb{R}}^* \mid \gamma(\alpha^V) + m = 0 \}.$ aff coroot  $\rightsquigarrow$  simple aff reflection:  $b_{\mathbb{R}}^* \rightarrow b_{\mathbb{R}}^*$ ,  $s_{\alpha^{\vee},m}(\gamma) = \gamma - (\gamma(\alpha^{\vee}) + m) \cdot \alpha, \quad s_{\alpha^{\vee},m} = \text{id} \text{ on } E_{\alpha^{\vee},m}.$ Affine Weyl group of *G* is  $W^{\text{aff}} =$  group generated by all  $s_{\alpha}v_m$ .

The open fundamental alcove is the open simplex

$$
\mathcal{A}^+ = \{ \gamma \in \mathfrak{h}_{\mathbb{R}}^* | \gamma(\alpha^{\vee}) + m > 0 \quad ((\alpha^{\vee}, m) \in \Pi^{\vee, \text{aff}} \text{ simple}) \}
$$
  
=  $\{ \gamma \in C^+ | \gamma(\alpha_0^{\vee}) < 1 \}.$ 

An alcove in  $\mathfrak{h}_{\mathbb{R}}^*$  is a subset  $w \cdot \mathcal{A}^+$  (some  $w \in W^{\text{aff}}$ ).

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## What do alcoves look like?

 $\cancel{\times}$  $\divideontimes$ ╲ ╲  $\times$ ╲ ╲ ❅ ❅ ╲ ╲  $\times$ ╲ ╲  $\mathcal{A}(0,0)$ ╲ ╲ ❅ ╲  $\searrow$ ❅ ╲ ╲ ❅ ╲ ╲ ❅ ╲ ╲ ❅ ╲  $\searrow$  $\divideontimes$ ╲ ╲  $\times$ ╲ ╲ ❅  $\begin{picture}(120,110) \put(0,0){\dashbox{0.5}(11.5){ }} \put(15,0){\dashbox{0.5}(11.5){ }} \put(15,0){\dash$  $\swarrow$ Ж Γ ╱  $\times$ ╱ Ϊ Ж  $\cancel{\times}$ Γ ╱  $\times$ ╱ Ϊ ╱ ╱ র∕ Γ  $\overline{\diagup}$  $\divideontimes$ Ж Ϊ ╱  $\times$ ╱ Γ ¥ Γ Γ  $\!\!\sqrt{ }$ Γ  $\overline{\diagup}$  $(2, 1) = \rho$ Ж Ϊ ╱  $\times$ Ϊ Γ  $\divideontimes$  $\overline{\nearrow}$  $\swarrow$  $\mathcal{A}^+$  $\diagup$  $\searrow$ ❞  $\mathfrak{h}_{\mathbb{R}}^*$  for  $Sp(4,\mathbb{R})$  $\overline{\mathcal{A}}^+$  is fundamental domain for  $\mathcal{W}^{\mathrm{aff}}$  action on  $\mathfrak{h}^*_{\mathbb{R}}.$ 

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Action of *W*aff on alcoves is simply transitive fund faces of  $\overline{\mathcal{A}}^{+}$  of codim  $d \longleftrightarrow$  order  $d$  subsets of  $\Pi^{\vee,\mathsf{aff}}$ any face of  $\mathfrak{h}_\mathbb{R}^*$  is in  $W^{\text{aff}}$  (unique fundamental face)

# <span id="page-14-0"></span>What good are all these faces?

Langlands classif: irrs of real infl character indexed by

- 1. discrete parameter  $(x, \lambda) \approx$  lowest *K*-type
- 2. continuous parameter  $\gamma =$  infinitesimal character.

Here  $x = KGB$  element: orbit of  $K(\mathbb{C})$  on Borels in  $G(\mathbb{C})$ .

Finite # of *<sup>x</sup>*: <sup>3</sup> for *SL*(2, *<sup>R</sup>*), <sup>201</sup> for *Sp*(8,R), <sup>320206</sup> for split *<sup>E</sup>*8.

Given x, set of allowed  $\lambda$  is finite # of cones in lattices

Given  $(x, \lambda)$ , set of allowed  $\gamma$  is affine space  $V_{\mathbb{R}}(x, \lambda) \subset \mathfrak{h}_{\mathbb{R}}^*$ .

Therefore  $V_{\mathbb{R}}(x,\lambda)$  is disjoint union of faces.

Theorem (Speh-V) Fix discrete parameter (*x*, λ).

- 1. If  $\gamma_1, \gamma_2 \in$  same face of  $V_R(x, \lambda)$ , then irr reps  $J(x, \lambda, \gamma_1)$  and  $J(x, \lambda, \gamma_2)$  are both unitary or both nonunitary.
- 2. Set of unitary  $\gamma$  is a compact polyhedron  $U(x, \lambda) \subset V_{\mathbb{R}}(x, \lambda)$ , a finite union of faces.

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# What does that say about the unitary dual?

Corollary Set  $\widehat{G}(\widehat{\mathbb{R}})_{\text{weak}} =$  unitary reps of real infl char. Then

 $\widehat{G(\mathbb{R})}_{u,\text{real}} = \bigcup_{x \in KGB} \bigcup_{\lambda \text{ allowed}} U(x, \lambda)$ *x*∈*KGB* λ allowed for *x*

Claim in introduction:

 $G(\mathbb{R}) \rightsquigarrow$  {finite set of compact polyhedra  $U_i$ }. Each  $U_j \rightsquigarrow$  (real vector space  $V_j$ , cone-in-a-lattice  $C_j$ )

 $\widehat{G(\mathbb{R})}_u = \coprod_j U_j \times V_j \times C_j.$ 

Polyhedra *<sup>U</sup>*(*x*, λ) are the *<sup>U</sup><sup>j</sup>* in the introduction.

Extending Cor to all infl chars gives real vector spaces *V<sup>j</sup>* .

Given *<sup>x</sup>*, <sup>λ</sup>'s are finite union of cones in lattices *<sup>C</sup><sup>j</sup>* .

To prove Claim, need to show  $U(x, \lambda)$  is nearly independent of  $\lambda$ . To describe unitary dual, need to compute all  $U(x, \lambda)$ .

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## <span id="page-16-0"></span>What's the FPP...

 $\mathsf{FPP} \subset \mathfrak{h}_{\mathbb{R}}^*$  for  $Sp(4,\mathbb{R})$ 



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fundamental parallelepiped = { $\gamma \in \mathfrak{h}^*_{\mathbb{R}} \mid 0 \leq \gamma(\alpha^{\vee}) \leq 1 \mid (\alpha \in \Pi)$ }

Union of  $\#W/\#Z(G_{sc})$  alcoves.



(Those numbers are  $7 \times 10^8$  and  $2.4 \times 10^{10}$ .)

#### ... and how does it help the unitary dual?

Real Langlands parameter  $(x, \lambda, \gamma)$  defines

- 1. Cartan involution  $\theta = \theta(x)$  acting on  $b^*$ <br>2. Cartan decomp  $b^* = t^* + a^*$  (+1 eigen
- 2. Cartan decomp  $\mathfrak{h}_{\mathbb R}^* = \mathfrak{t}_{\mathbb R}^* + \mathfrak{a}_{\mathbb R}^*$  (±1 eigenspaces)
- 3. differential of  $\lambda$  *d* $\lambda \in t_{\mathbb{R}}^*$ <br>4. "A-parameter  $\nu = \nu(x)$
- 4. "A-parameter  $v = \gamma(x, \lambda, \gamma) = \gamma d\lambda$
- 5. Definition of param  $\rightsquigarrow \gamma \in \overline{C^+}$  is dominant.



 $(2, 1) = \rho$   $(x, \lambda)$  first disc series, Siegel par  $\theta = \begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix}$ ,  $d\lambda = (1/2, -1/2)$  $a_{\mathbb{R}}^* = \{(t, t)\}$ 

green line is allowed infl chars  $\gamma$ .

unitary part is some vertices  $(2 + m_0, m_0)/2$ , edges { $(1 + t, t)$  |  $t ∈ (1 + m<sub>1</sub>/2, 1 + (m<sub>1</sub> + 1)/2)$ }, some  $m_0 \in \{-1, 0, 1\}$ ,  $m_1 \in \{-1, 0\}$ .

Define  $U_{FPP}(x, \lambda) = \{y \in FPP \mid J(x, \lambda, y) \text{ is unitary}\}.$ 

 $U_{FPP}(x, \lambda)$  is the single red point  $[1, 0]$ : only  $m_0 = -1$  is unitary.

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## <span id="page-18-0"></span>FPP conj (Davis, Mason-Brown theorem)

Suppose  $(x, \lambda, \gamma)$  is a real Langlands parameter of infinitesimal character  $\gamma$ .

FPP conjecture is distilled from work of Dan Barbasch.

Define  $S(\gamma) = \{ \alpha \in \Pi \mid \gamma(\alpha^{\vee}) \leq 1 \}$ , a set of simple roots,

 $q = q(\gamma) = 1 + u$  parabolic with Levi generated by  $S(\gamma)$ .

- 1. *γ* belongs to the FPP if and only if  $q = q$ .
- 2. Conjecture If  $J(x, \lambda, \nu)$  is unitary, then q is  $\theta$ -stable.
- 3. If q is  $\theta$ -stable, then  $J(x, \lambda, \gamma)$  is good range cohomologically induced from *<sup>J</sup>*(*x<sup>L</sup>*, λ*<sup>L</sup>*, γ*<sup>L</sup>*) on *<sup>L</sup>*. Here  $\lambda_l = \lambda - \rho(\mathfrak{u}), \gamma_l = \gamma - \rho(\mathfrak{u}), \gamma_l \in FPP(L)$ .

$$
U(x,\lambda) = \bigcup_{\theta\text{-stable } q} U_{\text{FPP}}(x_L,\lambda_L) + \rho(u)
$$

e-stable q<br>Conclusion: unitary dual is known if we compute (finitely many)  $U_{FPP}(x, \lambda)$ , the FPP infl characters for unitary reps in the series  $(x, \lambda)$ .

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#### <span id="page-19-0"></span>Three cheers!



I chose this picture (from Bert Kostant's 80th birthday conference in Vancouver in 2008) for several reasons.

First: George is clearly the tallest person in the picture.

Second: the presence of my student Monica Nevins, who now works entirely on *p*-adic groups. George affects everyone he meets.

Third: our colleague Victor Guillemin is laughing, presumably at a joke from George.

Thank you George, for a memorable half century!

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