

This is summary of the material from §1.5, §1.7 of [1] and §37-38 of [2] presented in lecture.

4.1 Cusps and stabilizers

Recall that the action of $SL_2(\mathbb{R})$ on the extended upper half plane $\bar{\mathbf{H}} := \mathbf{H} \cup \mathbb{P}^1(\mathbb{R}) \subseteq \mathbb{P}^1(\mathbb{C})$ via linear transformations stabilizes the boundary $\partial\mathbf{H} = \mathbb{P}^1(\mathbb{R})$ and is transitive on \mathbf{H} and $\partial\mathbf{H}$. We classified nontrivial elements $\alpha \in SL_2(\mathbb{R})$ according to $\text{disc}(\alpha) = \text{tr}(\alpha)^2 - 4\det(\alpha)$ as

- **elliptic** if $\text{disc}(\alpha) < 0$, equivalently, $\alpha \in SL_2(\mathbb{R})_z$ for exactly one $z \in \mathbf{H}$ and no $z \in \partial\mathbf{H}$;
- **parabolic** if $\text{disc}(\alpha) = 0$, equivalently, $\alpha \in SL_2(\mathbb{R})_z$ for no $z \in \mathbf{H}$ and exactly one $z \in \partial\mathbf{H}$;
- **hyperbolic** if $\text{disc}(\alpha) > 0$, equivalently, $\alpha \in SL_2(\mathbb{R})_z$ for no $z \in \mathbf{H}$ and exactly two $z \in \partial\mathbf{H}$.

If $\alpha \in SL_2(\mathbb{R})$ fixes more than two points in $\bar{\mathbf{H}}$ then $\alpha = \pm 1$ trivial.

Definition 4.1. For $\Gamma \leq SL_2(\mathbb{R})$ we classify the $z \in \bar{\mathbf{H}} = \mathbf{H} \cup \partial\mathbf{H}$ as follows:

- $z \in \bar{\mathbf{H}}$ is **ordinary** if $\Gamma_z \subseteq \{\pm 1\}$ (in which case Γ_z is **trivial**);
- $z \in \mathbf{H}$ is an **elliptic point** if Γ_z contains an elliptic $\gamma \in \Gamma$;
- $z \in \partial\mathbf{H}$ is a **parabolic point** or **cusp** if Γ_z contains a parabolic $\gamma \in \Gamma$;
- $z \in \partial\mathbf{H}$ is a **hyperbolic point** if Γ_z contains a hyperbolic $\gamma \in \Gamma$ (so $\Gamma_{z,z'} \subseteq \Gamma_z$ for some $z' \neq z$).

For $\Gamma \in SL_2(\mathbb{R})$ we let $Z(\Gamma) = \Gamma \cap \{\pm 1\}$ denote its trivial subgroup, so that $\Gamma/Z(\Gamma)$ is isomorphic to the image of Γ in $PSL_2(\mathbb{R})$.

Theorem 4.2. Let $\Gamma \leq SL_2(\mathbb{R})$ be a Fuchsian group and let $z \in \bar{\mathbf{H}} = \mathbf{H} \cup \partial\mathbf{H}$. The following hold:

- If z is an elliptic point of Γ then Γ_z is a finite cyclic group that strictly contains $Z(\Gamma)$;
- If z is a cusp of Γ then $\Gamma_z/Z(\Gamma) \simeq \mathbb{Z}$ and $\pm\Gamma_z$ is $SL_2(\mathbb{R})$ -conjugate to $\langle \pm \begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix} \rangle$ with $h > 0$;
- If z is a hyperbolic point of Γ then $\Gamma_z \supseteq \Gamma_{z,z'} \supsetneq Z(\Gamma)$ (for some $z' \neq z$) then $\Gamma_{z,z'}/Z(\Gamma) \simeq \mathbb{Z}$ and $\pm\Gamma_{z,z'}$ is $SL_2(\mathbb{R})$ -conjugate to $\langle \pm \begin{pmatrix} u & 0 \\ 0 & 1/u \end{pmatrix} \rangle$ with $u > 0$.

Proof. (i) $SL_2(\mathbb{R})_z$ is conjugate to $SL_2(\mathbb{R})_i = SO_2(\mathbb{R}) \simeq S^1$, a compact abelian group. Since Γ is discrete, $\Gamma_z = \Gamma \cap SL_2(\mathbb{R})_z$ is finite, hence cyclic, and it contains an elliptic $\gamma \notin Z(\Gamma)$.

(ii) WLOG $z = \infty$ and $\Gamma_z = \Gamma_\infty$ is upper triangular and contains some $\gamma = \begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix}$ with $h \neq 0$ (we can conjugate Γ_z to achieve this). Up to ± 1 every element of Γ_z must be of this form: if $\alpha = \begin{pmatrix} a & b \\ 0 & 1/a \end{pmatrix} \in \Gamma_x$ with $|a| < 1$ then $\alpha^n \gamma \alpha^{-n} = \begin{pmatrix} 1 & a^{2n}h \\ 0 & 1 \end{pmatrix} \in \Gamma_z$ for all $n \geq 1$, but Γ_z is discrete. Thus $\Gamma_x/Z(\Gamma)$ is isomorphic to a nontrivial discrete subgroup of \mathbb{R} , which implies $\Gamma_x/Z(\Gamma) \simeq \mathbb{Z}$.

(iii) WLOG $z = 0$ and $\Gamma_z \Gamma_0 \supseteq \Gamma_{0,\infty} \supsetneq Z(\Gamma)$, so that $\Gamma_{z,z'} = \Gamma_{0,\infty}$ is diagonal, consisting of elements of the form $\begin{pmatrix} u & 0 \\ 0 & 1/u \end{pmatrix}$ with $u \in \mathbb{R}^\times$. It follows that $\Gamma_x/Z(\Gamma)$ is a nontrivial discrete subgroup of $\mathbb{R}^\times / \pm 1$, which implies $\Gamma_x/Z(\Gamma) \simeq \mathbb{Z}$. \square

Corollary 4.3. For any Fuchsian group Γ we may partition $\bar{\mathbf{H}} = \mathbf{H} \cup \partial\mathbf{H}$ into elliptic points, cusps, hyperbolic points, and ordinary points. In this partition, \mathbf{H} consists of elliptic and ordinary points, while $\partial\mathbf{H}$ consists of parabolic, hyperbolic and ordinary points.

Proof. The theorem implies that a cusp cannot also be a hyperbolic point. \square

Corollary 4.4. Let Γ be a Fuchsian group. Every finite index $\Gamma' \leq \Gamma$ has the same set of cusps as Γ .

Proof. Any cusp x of Γ' is a cusp of Γ , since $\Gamma'_x \subseteq \Gamma_x$. If x is a cusp of Γ then $\Gamma'_x = \Gamma'_x \cap \Gamma$ has finite index in Γ_x , which is infinite, so Γ'_x cannot be trivial and x is also a cusp of Γ' . \square

Note that even when $\Gamma' \leq \Gamma$ has the same set of cusps as Γ it need not have the same cusp orbits (the cusp orbits of Γ' will be a refinement of the partition of the cusps of Γ into cusp orbits).

Definition 4.5. For a Fuchsian group Γ and $z \in \overline{\mathbf{H}} = \mathbf{H} \cup \partial\mathbf{H}$, the **order** of z with respect to Γ is

$$e_z := \#\Gamma_z/Z(\Gamma) \in \mathbb{Z}_{>0} \cup \{\infty\}.$$

It follows from the theorem above that $e_z = 1$ if z is ordinary, $1 < e_z < \infty$ if z is elliptic, and $e_z = \infty$ if z is a cusp or hyperbolic point.

Corollary 4.6. *If $-1 \notin \Gamma$ then every elliptic point of Γ has odd order.*

Proof. The group $\pm\Gamma$ is a finite cyclic group whose unique element of order 2 is -1 . □

Definition 4.7. Let Γ be a Fuchsian group, let $x \in \partial\mathbf{H}$ be a cusp of Γ , and choose $\sigma \in \mathrm{SL}_2(\mathbb{R})$ so $\sigma x = \infty$. Then $\pm\sigma\Gamma_x\sigma^{-1} = \pm\left\langle \begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix} \right\rangle$ with $h > 0$. If $\begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix} \in \sigma\Gamma_x\sigma^{-1}$ then x is a **regular** cusp, and otherwise x is **irregular**. The latter can arise only when $-1 \notin \Gamma$, and the regularity of x does not depend on the choice of σ .

4.2 Adjoining cusps

For a Fuchsian group Γ we let P_Γ denote its set of cusps, and define $\mathbf{H}_\Gamma^* := \mathbf{H} \cap P_\Gamma$. We extend the topology of \mathbf{H} to \mathbf{H}_Γ^* by taking defining open neighborhoods $U_l := \{z \in \mathbf{H} : \mathrm{Im}(z) > l\} \cup \{\infty\}$ of ∞ for all $l > 0$, and we take $\mathrm{SL}_2(\mathbb{R})$ -translates σU_l as open neighborhoods of $\sigma\infty$ for each cusp $\sigma\infty$ of Γ . Then \mathbf{H}_Γ^* is a Hausdorff space, on which Γ acts.

This action is not proper, since cusps have infinite stabilizers, but the quotient $X_\Gamma := \Gamma \backslash \mathbf{H}_\Gamma^*$ is Hausdorff (see [1, Lemma 1.7.7]), and we can give it the structure of a Riemann surface (see [1, §1.8]). If Γ is a Fuchsian group and X_Γ has finite volume (under the measure induced by the measure dv on \mathbf{H}), then X_Γ is a compact Riemann surface, by Siegel's Theorem [1, Thm. 1.9.1], hence an algebraic curve of some genus $g \geq 0$. In this case the number of elliptic points and cusps is necessarily finite [1, Thm. 1.7.8].

4.3 The modular group

The **modular group** $\Gamma = \mathrm{SL}_2(\mathbb{Z})$ is a Fuchsian group containing -1 , generated by the matrices

$$S := \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \quad \text{and} \quad T := \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}.$$

A Dirichlet domain for Γ is given by

$$D(2i) = \{z \in \mathbf{H} : |z| \geq 1 \text{ and } \mathrm{Re}(z) \in [-1/2, 1/2]\},$$

with elliptic points $i = \zeta_4$ of order 2, with $\Gamma_i = \langle S \rangle$, and $\omega = \zeta_3$ of order 3 with $\Gamma_\omega = \langle ST \rangle$. The Dirichlet domain $D(2i)$ also contains the elliptic point $T\omega$ on its boundary.

The closure of $D(2i)$ in \mathbf{H}_Γ^* contains a single cusp ∞ with $\Gamma_\infty = \langle \pm T \rangle$ and $P_\Gamma = \Gamma\infty = \mathbb{P}^1(\mathbb{Q})$. Then $Y(1) := Y_\Gamma := \Gamma \backslash \mathbf{H} \simeq \mathbb{C}$ and $X(1) := X_\Gamma := \Gamma \backslash \mathbf{H}_\Gamma^* \simeq \mathbb{P}^1(\mathbb{C})$.

Every subgroup of $\Gamma = \mathrm{SL}_2(\mathbb{Z})$ is also a Fuchsian group, but we will only be interested in those that have finite index. An important example of such groups is given by **congruence subgroups**, which are subgroups of $\mathrm{SL}_2(\mathbb{Z})$ that contain the group

$$\Gamma(N) := \{\gamma \in \mathrm{SL}_2(\mathbb{Z}) : \gamma \equiv 1 \pmod{N}\}.$$

Two particularly important examples of congruence subgroups are

$$\Gamma_0(N) := \left\{ \gamma \in \mathrm{SL}_2(\mathbb{Z}) : \gamma \equiv \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \pmod{N} \right\} \quad \text{and} \quad \Gamma_1(N) := \left\{ \gamma \in \mathrm{SL}_2(\mathbb{Z}) : \gamma \equiv \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}.$$

We note that not all finite index subgroups of $\mathrm{SL}_2(\mathbb{Z})$ are congruence subgroups.

4.3.1 Triangle groups

Definition 4.8. Let k be a field whose characteristic is not 2. A **quaternion algebra** $B := \left(\frac{a,b}{k} \right)$ over a field k is a (unital associative) k -algebra that has a k -basis of the form $\{1, i, j, ij\}$ with

$$i^2 = a, \quad j^2 = b, \quad ij = -ji.$$

A quaternion algebra is either a division ring (**nonsplit**) or isomorphic to $M_2(k)$ (**split**). The **standard involution** on B is the map $\alpha \mapsto \bar{\alpha}$ that sends $\alpha = t + xi + yj - zij$ to $\bar{\alpha} = t - xi - yj - zij$. The (reduced) norm of $\alpha \in B$ is $N(\alpha) = \alpha \bar{\alpha} = t^2 - ax^2 - by^2 + abz^2 \in k$, which is multiplicative and nonzero precisely when α is a unit in B . The set of norm-1 elements B^1 form a subgroup of the unit group B^\times .

Let $B = \left(\frac{a,b}{\mathbb{Q}} \right)$ be a quaternion algebra over \mathbb{Q} . We say that a place p of \mathbb{Q} is **ramified** if B if $B \otimes_{\mathbb{Q}} \mathbb{Q}_p$ is a division ring, and **unramified** if $B \otimes_{\mathbb{Q}} \mathbb{Q}_p$ is split. We call B **definite** if it is ramified at the archimedean place of \mathbb{Q} , equivalently, $B_\infty := B \otimes_{\mathbb{Q}} \mathbb{R} \simeq \mathbb{H} = \left(\frac{-1,-1}{\mathbb{R}} \right)$ and **indefinite** otherwise. The quaternion algebra $\left(\frac{a,b}{\mathbb{Q}} \right)$ is definite if and only if $a, b < 0$.

The set of ramified places of B is finite of even cardinality; conversely, for every finite set Σ of places of \mathbb{Q} of even cardinality, there is a quaternion algebra with ramified exactly at the places in Σ , which is unique up to isomorphism (this is true more generally for quaternion algebras over any global field, see [2, Theorem 14.6.1]). The **discriminant** of B is the product of the primes that ramify in B , which we negate when ∞ is ramified; the discriminant uniquely determines the isomorphism class of a quaternion algebra over \mathbb{Q} (and in fact $|B|$ does).

If B is an indefinite quaternion algebra over \mathbb{Q} , equivalently $\mathrm{disc}(B) > 0$ we can embed B in $M_2(\mathbb{R})$ via $B \hookrightarrow B_\infty \simeq M_2(\mathbb{R})$ by writing $B = \left(\frac{a,b}{\mathbb{Q}} \right)$ with $a > 0$ and defining

$$\begin{aligned} \iota_\infty : B &\hookrightarrow M_2(\mathbb{Q}(\sqrt{a})) \subseteq M_2(\mathbb{R}) \\ t + xi + yj + zij &\mapsto \begin{pmatrix} t + x\sqrt{a} & y + z\sqrt{a} \\ b(y - z\sqrt{a}) & t - x\sqrt{a} \end{pmatrix}. \end{aligned}$$

For any $\alpha = t + xi + yj + zij \in B$ we then have

$$\det \iota_\infty(\alpha) = t^2 - ax^2 - by^2 + abz^2 = N(\alpha),$$

thus ι_∞ restricts to group homomorphisms $B^\times \hookrightarrow \mathrm{GL}_2(\mathbb{R})$ and $B^1 \hookrightarrow \mathrm{SL}_2(\mathbb{R})$.

An **order** O in a quaternion algebra B over \mathbb{Q} is a subring that is finitely generated as a \mathbb{Z} -module with $O \otimes_{\mathbb{Z}} \mathbb{Q} = B$. It's norm-1 unit group $O^1 := O \cap B^1$ is a discrete subgroup of $\mathrm{SL}_2(\mathbb{R})$, hence a Fuchsian group.

Consider the indefinite quaternion algebra $B = \left(\frac{-1,3}{\mathbb{Q}} \right)$ of discriminant 6. It's unit group is

$$O := \mathbb{Z} \oplus \mathbb{Z}i \oplus \mathbb{Z}j \oplus \mathbb{Z}k, \quad k = \frac{1 + i + j + ij}{2}.$$

The Fuchsian group $\Gamma := \iota_\infty(O^1)$ has no cusps, and $X_\Gamma = Y_\Gamma = \Gamma \backslash \mathbb{H} = X(\Gamma)$ is a good compact complex 1-orbifold.

References

- [1] Toshitsune Miyake, *Modular forms*, Springer, 2006.
- [2] John Voight, *Quaternion algebras*, Springer, 2021.