These notes summarize the material in §6.1-6.3 of [1] presented in lecture.

## 18.1 The Jacobian of a compact Riemann surface

Let *X* be a compact Riemann surface of genus *g* with holomorphic atlas  $\{\psi_i : U_i \xrightarrow{\sim} V_i \subseteq \mathbb{C}\}$ and transition maps  $\psi_{ij} : \psi_j \circ \psi_i^{-1} : V_{ij} \to V_j$  for all  $U_i \cap U_j \neq \emptyset$ , where  $V_{ij} := \psi_i (U_i \cap U_j)$ . In Lecture 7 we considered the space  $\Omega^1(X)$  of meromorphic differentials  $\omega$  of degree *n* on *X* defined by a collection of meromorphic functions  $\{\omega_i : V_i \to \mathbb{C}\}$  satisfying

$$\omega_i = \left(\omega_j \circ \psi_{ij} \left(\frac{d\psi_i}{d\psi_j}\right)^n\right)$$

on  $V_{ij}$  (modulo equivalence  $\{\omega_i\} = \omega \simeq \theta = \{\theta_i\}$  if  $\{\omega_i\} \cup \{\theta_i\}$  is a meromorphic differential). We associated to each  $\omega$  a divisor div $(\omega) = \sum_p \operatorname{ord}_p(\omega_i \circ \psi_i)$  in the divisor group  $\operatorname{Div}(X)$  (the free abelian group generated by  $P \in X(\mathbb{C})$ ), and call a meromorphic differential  $\omega$  holomorphic if  $\omega = 0$  or div $(\omega) \ge 0$  (meaning every coefficient of div $(\omega)$  is nonnegative). We showed that the space of holomorphic differentials  $\Omega_0^1(X)$  is a complex vector space of dimension g.

For a lattice  $\Gamma \leq SL_2(\mathbb{R})$ , we showed how to associate to each automorphic  $f \in A_{2n}(\Gamma)$  a meromorphic differential  $\omega_f$  of degree n, and we showed that  $\omega_f$  is holomorphic precisely when f is a cusp form. For cusp forms of weight 2 we get a holomorphic differential of degree 1 and the map  $f \mapsto \omega_f$  induces an isomorphism  $S_2(\Gamma) \simeq \Omega_0^1(X_{\Gamma})$ , where  $X_{\Gamma} := \Gamma \setminus \mathbf{H}^*$ .

The homology group of  $H_1(X,\mathbb{Z})$  formed by equivalence classes of closed loops on X (1-cycles modulo boundaries) is a free abelian group of rank 2g. Each  $\gamma \in H_1(X,\mathbb{Z})$  determines a linear form on  $\Omega_0^1(X)$  via the map

$$\omega \mapsto \int_{\gamma} \omega.$$

This induces an injective map  $\mathbb{Z}^{2g} \simeq H_1(X,\mathbb{Z}) \hookrightarrow \Omega_0^1(X)^{\vee} = \operatorname{Hom}(H_0^1(X),\mathbb{C}) \simeq \mathbb{C}^g$  that allows us to view  $H_1(X,\mathbb{Z})$  as a lattice  $\Lambda = H_1(X,\mathbb{Z}) \simeq \mathbb{Z}^{2g}$  in the vector space  $V = \Omega_0^1(X)^{\vee} \simeq \mathbb{C}^g$ . The quotient  $H_0^1(X)^{\vee}/H_1(X,\mathbb{Z})$  is a complex torus  $V/\Lambda$  that can be equipped with a Riemann form (a positive definite Hermitian form  $V \times V \to \mathbb{C}$  that maps  $\Lambda \times \Lambda$  to  $\mathbb{Z}$ ) that induces a polarization (a homomorphism to the dual torus) which makes it an abelian variety called the Jacobian of X, denoted Jac(X).

It turns out that because our complex torus  $V/\Lambda$  arose from a Riemann surface, this polarization is actually an isomorphism and Jac(X) is a principally polarized abelian variety.

Recall that compact Riemann surfaces are also algebraic curves (varieties of dimension 1) that are smooth projective curves over  $\mathbb{C}$ . The Jacobian of a compact Riemann surfaces is also an algebraic variety, and in fact a smooth projective variety of dimension g. Moreover, as a complex torus, the Jacobian is naturally equipped with a group operation (add vectors in V and reduce modulo  $\Lambda$ ), and this induces a group structure on the corresponding projective variety that is defined by morphisms, making it an algebraic group. This group is obviously abelian (since  $V/\Lambda$  is), but in fact it any smooth projective variety that is also an algebraic group, including those that are not necessarily Jacobians, has an abelian group structure; thus all projective algebraic groups are called abelian varieties (note that linear algebraic groups like  $GL_n$  are not projective).

The moduli space  $\mathscr{A}_g$  of principally polarized abelian varieties of dimension g has dimension g(g+1)/2, while the moduli space  $\mathscr{M}_g$  of smooth projective curves of genus  $g \ge 2$  has dimension 3g - 3. Thus for large g almost all principally polarized abelian varieties are not Jacobians. But for g = 1 every abelian variety is a Jacobian (in fact an elliptic curve), and for g = 2, 3

the dimensions of  $\mathcal{A}_g$  and  $\mathcal{M}_g$  coincide, meaning that almost all principally polarized abelian varieties of dimension  $g \leq 3$  are Jacobians.

Recall the divisor class group  $\operatorname{Pic}^{0}(X) = \operatorname{Div}^{0}(X) / \operatorname{Prin}(X)$  of divisors of degree zero modulo principal divisors those associated to elements of the function field  $\mathbb{C}(X)$ . We should note that our identification of  $\operatorname{Pic}^{0}(X)$  with the divisor class group is valid for smooth projective curves over an algebraically closed field, but  $\operatorname{Pic}^{0}(X)$  also has an intrinsic definition that may differ from the divisor class group in other settings.

There is a canonical isomorphism  $\operatorname{Pic}^{0}(X) \xrightarrow{\sim} \operatorname{Jac}(X)$  defined as follows. Given  $D \in \operatorname{Div}^{0}(X)$  we write *D* in the form

$$D = \sum_{i} (P_i - Q_i)$$

(this is always possible for  $X/\mathbb{C}$ ) and associate to *D* the linear form

$$\omega\mapsto \sum_i \int_{P_i}^{Q_i} \omega$$

in  $\Omega_0^1(X)^{\vee}$ . Reducing modulo the lattice  $H_1(X, \mathbb{C})$  yields an element of Jac(X). This is the Abel-Jacobi map, which can be viewed as an extension of the map  $X \to \text{Pic}^0(X)$  that sends  $x \in X(\mathbb{C})$  to the divisor  $x - x_0$ , where  $x_0 \in X(\mathbb{C})$  is a fixed rational point.

If  $\phi : X \to Y$  is a nonconstant holomorphic map of compact Riemann surfaces, we have a pullback map  $\phi^* : \mathbb{C}(Y) \to \mathbb{C}(X)$  defined by  $\phi^* f = f \circ \phi$ , which extends to a linear map of holomorphic differentials

$$\phi^* \colon \Omega^1_0(Y) \to \Omega^1_0(X).$$

Taking duals induces the pushforward map on Jacobians  $h_*: \operatorname{Jac}(X) \to \operatorname{Jac}(Y)$ . There is also a pushforward map  $h_*: \operatorname{Pic}^0(X) \to \operatorname{Pic}^0(Y)$  induced by the pushforward map  $\operatorname{Div}^0(X) \to \operatorname{Div}^0(Y)$  that sends  $P \in \mathbb{C}(X)$  to  $h(P) \in \mathbb{C}(Y)$ , and the two maps are compatible with the isomorphisms  $\operatorname{Jac}(X) \simeq \operatorname{Pic}^0(X)$  and  $\operatorname{Jac}(Y) \simeq \operatorname{Pic}^0(Y)$ .

There is also a pullback map  $h^*$ :  $\operatorname{Pic}_0(Y) \to \operatorname{Pic}_0(X)$  induced by the map  $\operatorname{Div}^0(Y) \to \operatorname{Div}^0(X)$ that sends  $Q \in Y(\mathbb{C})$  to the sum of the points  $P \in \phi^{-1}(Q)$ , and a corresponding pullback map  $\operatorname{Jac}(Y) \to \operatorname{Jac}(X)$  that can be defined using the trace map  $\Omega_0^1(X) \to \Omega_0^1(Y)$ . These pullback maps are also compatible with the isomorphisms  $\operatorname{Jac}(X) \simeq \operatorname{Pic}^0(X)$  and  $\operatorname{Jac}(Y) \simeq \operatorname{Pic}^0(Y)$ .

## 18.2 Modular Jacobians and Hecke operators

Let  $\Gamma_1$  and  $\Gamma_2$  be congruence subgroups,  $\alpha \in \operatorname{GL}_2^+(\mathbb{Q})$  and  $\Gamma_3 = \alpha^{-1}\Gamma_1 \alpha \cap \Gamma_2$ . If we decompose  $\Gamma_3 \setminus \Gamma_2$  as  $\coprod_i \Gamma_3 \gamma_i$  and  $\Gamma_1 \alpha \Gamma_2$  as  $\coprod_j \Gamma_1 \beta_j$  and let  $\Gamma'_3 := \alpha \Gamma_3 \alpha^{-1} = \Gamma_1 \cap \alpha \Gamma_2 \alpha^{-1}$  then

$$\Gamma_2 \longleftrightarrow \Gamma_3 \xrightarrow{\sim} \Gamma'_3 \longrightarrow \Gamma_1$$

where the isomorphism is  $\gamma \mapsto \alpha \gamma \alpha^{-1}$  and the other arrows are inclusions. This induces morphism of modular curves

$$X_{\Gamma_2} \xleftarrow{\pi_2} X_{\Gamma_3} \simeq X'_3 \xrightarrow{\pi_1} X_{\Gamma_1}$$

Each point of  $X_{\Gamma_2}$  is mapped via  $\pi_1 \circ \alpha \circ \pi_2^{-1}$  to a multiset of points of  $X_{\Gamma_1}$ . Viewing points on  $X_{\Gamma_1}$  and  $X_{\Gamma_2}$  as  $\Gamma_i$ -orbits in **H**<sup>\*</sup> we have

$$\Gamma_{2}z \stackrel{\pi_{2}^{-1}}{\mapsto} \{\Gamma_{3}\gamma_{i}z\} \stackrel{\alpha}{\to} \{\Gamma_{3}'\beta_{j}z\} \stackrel{\pi_{1}}{\to} \{\Gamma_{1}\beta_{j}z\}$$

We have a corresponding map  $\Gamma_1 \alpha \Gamma_2$ : Div $(X_{\Gamma_2}) \rightarrow$  Div $(X_{\Gamma_1})$ , which induces a map

that we can view as map of Jacobians of modular curves:  $Jac(X_{\Gamma_2}) \rightarrow Jac(X_{\Gamma_1})$ .

Applying  $S_2(\Gamma) \simeq \Omega_0^1(X_{\Gamma})$  and taking the corresponding isomorphism of the dual space yields an isomorphism

$$\operatorname{Jac}(X_{\Gamma}) \simeq S_2(\Gamma)^{\vee} / H_1(X_{\Gamma}, \mathbb{Z})$$

that is compatible with pushforward and pullback maps. The map

$$\Gamma_1 \alpha \Gamma_2 : S_2(\Gamma_1) \rightarrow S_2(\Gamma_2)$$

induces a map on the dual spaces, and a map on Jacobians

$$\Gamma_1 \alpha \Gamma_2$$
: Jac( $X_2$ )  $\rightarrow$  Jac( $X_1$ ),

which defines an action of the Hecke algebra  $T = \mathbb{Z}[\Gamma \alpha \Gamma]$  on the Jacobian Jac( $X_{\Gamma}$ ).

## 18.3 Eichler-Shimura

Now let  $f \in S_2(\Gamma_1(N))$  be a newform, hence an eigenform of  $\mathbb{T}(N) = \mathbb{Z}[\Gamma_1(N)\Delta(N)/\Gamma_1(N)]$ , and consider the eigenvalue map

 $\lambda_f: \mathbb{T}(N) \to \mathbb{C}$ 

defined by  $f|_2T = \lambda_f(T)f$ . Let  $I_f := \ker \lambda_f := \{T \in \mathbb{T}(N) : f|_2T = 0\}$ . Since  $\mathbb{T}(N)$  acts on  $\operatorname{Jac}(X_1(N))$ , we can view  $I_f\operatorname{Jac}(X_1(N))$  as a subgroup of the divisor class group  $\operatorname{Pic}^0(X_1(N))$  corresponding to an abelian subvariety of  $\operatorname{Jac}_1(X_1(N))$ .

**Definition 18.1.** The modular abelian variety  $A_f$  associated to the newform  $f \in S_2(\Gamma_1(N))$  is the quotient  $Jac(X_1(N))/I_f Jac(X_1(N))$ .

The eigenvalues  $\lambda_f(T)$  and the Fourier coefficients  $a_n(f)$  are algebraic integers that generate a number field  $\mathbb{Q}(f)$ . By letting  $\operatorname{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  act on the coefficients of f, we obtain a Galois conjugate newform  $f^{\sigma}$  associated to each  $\sigma \in \operatorname{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  (equivalently, we can view the coefficients of f as abstract elements of the number field  $\mathbb{Q}(f)$  and consider the different embedding of  $\mathbb{Q}(f)$  into  $\mathbb{C}$ .

The set of all Galois conjugates of f spans a subspace of  $S_2(\Gamma_1(N))$  of dimension  $[\mathbb{Q}(f):\mathbb{Q}]$ , and this is equal to the dimension of  $A_f$ ; indeed  $A_f$  is invariant under the action of  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ and can be viewed as an abelian variety over  $\mathbb{Q}$ . Replacing f with a Galois conjugate newform does not change  $A_f$ .

If we let  $V_f$  denote the subspace of  $S_2(\Gamma_1(N))$  spanned by the Galois conjugates of f and consider  $H_1(X_1(N),\mathbb{Z}) \hookrightarrow \Omega_0^1(X_1(N)) \simeq S_2(\Gamma_1(N))$ , restricting  $H_1(X_1(N),\mathbb{Z})$  to its action on elements of  $V_f \subseteq S_2(\Gamma_1(N))$  yields a lattice  $\Lambda_f \subseteq V_f$ , and we can alternatively define  $A_f$  as the abelian variety corresponding to the complex torus  $V_f/\Lambda_f$  (which also admits a polarization).

## References

[1] Fred Diamond and Jerry Shurman, A first course in modular forms, Springer, 2005.

[2] Toshitsune Miyake, *Modular forms*, Springer, 2006.