

## 28 Global class field theory, the Chebotarev density theorem

Recall that a global field is a field with a product formula whose completions at nontrivial absolute values are local fields. By the Artin-Whaples theorem (see Problem Set 7), every such field is either

- a *number field*: finite extension of  $\mathbb{Q}$  (characteristic zero);
- a *global function field*: finite extension of  $\mathbb{F}_q(t)$  (positive characteristic).

In Lecture 25 we defined the *adele ring*  $\mathbb{A}_K$  of a global field  $K$  as the restricted product

$$\mathbb{A}_K := \prod_v (K_v, \mathcal{O}_v) = \{(a_v) \in \prod K_v : a_v \in \mathcal{O}_v \text{ for almost all } v\},$$

where  $v$  ranges over the places of  $K$  (equivalence classes of absolute values),  $K_v$  denotes the completion of  $K$  at  $v$ , and  $\mathcal{O}_v$  is the valuation ring of  $K_v$  if  $v$  is nonarchimedean, and equal to  $K_v$  otherwise. As a topological ring,  $\mathbb{A}_K$  is locally compact and Hausdorff. The field  $K$  is canonically embedded in  $\mathbb{A}_K$  via the diagonal map  $x \mapsto (x, x, x, \dots)$  whose image is discrete, closed, and cocompact; see Theorem 25.12.

In Lecture 26 we defined the *idele group*

$$\mathbb{I}_K := \prod (K_v^\times, \mathcal{O}_v^\times) = \{(a_v) \in \prod K_v^\times : a_v \in \mathcal{O}_v^\times \text{ for almost all } v\},$$

which coincides with the unit group of  $\mathbb{A}_K$  but has a finer topology (using the restricted product topology ensures that  $a \mapsto a^{-1}$  is continuous, which is not true of the subspace topology). As a topological group,  $\mathbb{I}_K$  is locally compact and Hausdorff. The multiplicative group  $K^\times$  is canonically embedded as a discrete subgroup of  $\mathbb{I}_K$  via the diagonal map  $x \mapsto (x, x, x, \dots)$ , and the *idele class group* is the quotient  $C_K := \mathbb{I}_K/K^\times$ , which is locally compact but not compact.

### 28.1 The idele norm

The idele group  $\mathbb{I}_K$  surjects onto the ideal group  $\mathcal{I}_K$  of invertible fractional ideals of  $\mathcal{O}_K$  via the surjective homomorphism

$$\begin{aligned} \varphi: \mathbb{I}_K &\rightarrow \mathcal{I}_K \\ a &\mapsto \prod \mathfrak{p}^{v_{\mathfrak{p}}(a)}, \end{aligned}$$

where  $v_{\mathfrak{p}}(a)$  is the  $\mathfrak{p}$ -adic valuation of the component  $a_v \in K_v^\times$  of  $a = (a_v) \in \mathbb{I}_K$  at the finite place  $v$  corresponding to the absolute value  $\|\cdot\|_{\mathfrak{p}}$ . We have the following commutative diagram of exact sequences:

$$\begin{array}{ccccccc} 1 & \longrightarrow & K^\times & \longrightarrow & \mathbb{I}_K & \longrightarrow & C_K \longrightarrow 1 \\ & & \downarrow x \mapsto (x) & & \downarrow \varphi & & \downarrow \\ 1 & \longrightarrow & \mathcal{P}_K & \longrightarrow & \mathcal{I}_K & \longrightarrow & \text{Cl}_K \longrightarrow 1 \end{array}$$

where  $\mathcal{P}_K$  is the subgroup of principal ideals and  $\text{Cl}_K := \mathcal{I}_K/\mathcal{P}_K$  is the ideal class group.

**Definition 28.1.** Let  $L/K$  is a finite separable extension of global fields. The *idele norm*  $N_{L/K}: \mathbb{I}_L \rightarrow \mathbb{I}_K$  is defined by  $N_{L/K}(b_w) = (a_v)$ , where each

$$a_v := \prod_{w|v} N_{L_w/K_v}(b_w)$$

is a product over places  $w$  of  $L$  that extend the place  $v$  of  $K$  and  $N_{L_w/K_v}: L_w \rightarrow K_v$  is the field norm of the corresponding finite separable extension of local fields  $L_w/K_v$ .

It follows from Corollary 11.24 and Remark 11.25 that the idele norm  $N_{L/K}: \mathbb{I}_L \rightarrow \mathbb{I}_K$  agrees with the field norm  $N_{L/K}: L^\times \rightarrow K^\times$  on the subgroup of principal ideles  $L^\times \subseteq \mathbb{I}_L$ . The field norm is also compatible with the ideal norm  $N_{L/K}: \mathcal{I}_L \rightarrow \mathcal{I}_K$  (see Proposition 6.6), and we have the following commutative diagram:

$$\begin{array}{ccccc} L^\times & \longrightarrow & \mathbb{I}_L & \longrightarrow & \mathcal{I}_L \\ \downarrow N_{L/K} & & \downarrow N_{L/K} & & \downarrow N_{L/K} \\ K^\times & \longrightarrow & \mathbb{I}_K & \longrightarrow & \mathcal{I}_K \end{array}$$

The image of  $L^\times$  in  $\mathbb{I}_L$  under the composition of the maps on the top row is precisely the group  $\mathcal{P}_L$  of principal ideals, and the image of  $K^\times$  in  $\mathbb{I}_K$  is similarly  $\mathcal{P}_K$ . Taking quotients yields induced norm maps on the idele and ideal class groups, both of which we also denote  $N_{L/K}$ , and we have a commutative square

$$\begin{array}{ccc} C_L & \longrightarrow & \text{Cl}_L \\ \downarrow N_{L/K} & & \downarrow N_{L/K} \\ C_K & \longrightarrow & \text{Cl}_K \end{array}$$

## 28.2 The Artin homomorphism

We now construct the global Artin homomorphism using the local Artin homomorphisms we defined in the previous lecture. Let us first fix once and for all a separable closure  $K^{\text{sep}}$  of our global field  $K$ , and for each place  $v$  of  $K$ , a separable closure  $K_v^{\text{sep}}$  of the local field  $K_v$ . Let  $K^{\text{ab}}$  and  $K_v^{\text{ab}}$  denote maximal abelian extensions within these separable closures; henceforth all abelian extensions of  $K$  and the  $K_v$  are assumed to lie in these maximal abelian extensions.

By Theorem 27.2, each local field  $K_v$  is equipped with a local Artin homomorphism

$$\theta_{K_v}: K_v^\times \rightarrow \text{Gal}(K_v^{\text{ab}}/K_v).$$

For each finite abelian extension  $L/K$  and each place  $w|v$  of  $L$ , composing  $\theta_{K_v}$  with the natural map  $\text{Gal}(K_v^{\text{ab}}/K_v) \rightarrow \text{Gal}(L_w/K_v)$  yields a surjective homomorphism

$$\theta_{L_w/K_v}: K_v^\times \rightarrow \text{Gal}(L_w/K_v)$$

with kernel  $N_{L_w/K_v}(L_w^\times)$ . When  $K_v$  is nonarchimedean and  $L_w/K_v$  is unramified we have  $\theta_{L_w/K_v}(\pi_v) = \text{Frob}_{L_w/K_v}$  for all uniformizers  $\pi_v$  of  $K_v$ . Note that by Theorem 11.20, every finite separable extension of  $K_v$  is of the form  $L_w$  for some place  $w|v$ .

We now define an embedding of Galois groups

$$\begin{aligned} \varphi_w : \text{Gal}(L_w/K_v) &\hookrightarrow \text{Gal}(L/K) \\ \sigma &\mapsto \sigma|_L \end{aligned}$$

The map  $\varphi_w$  is well defined and injective because every element of  $L_w$  can be written as  $\ell x$  for some  $\ell \in L$  and  $x \in K_v$  (any  $K$ -basis for  $L$  spans  $L_w$  as a  $K_v$  vector space), so each  $\sigma \in \text{Gal}(L_w/K_v)$  is uniquely determined by its action on  $L$ , which fixes  $K \subseteq K_v$ . If  $v$  is archimedean then  $\varphi_w(\text{Gal}(L_w/K_v))$  is either trivial or generated by the involution corresponding to complex conjugation in  $L_w \simeq \mathbb{C}$ . If  $v$  is a finite place and  $\mathfrak{q}$  is the prime of  $L$  corresponding to  $w|v$ , then  $\varphi_w(\text{Gal}(L_w/K_v))$  is the decomposition group  $D_{\mathfrak{q}} \subseteq \text{Gal}(L/K)$ ; this follows from parts (5) and (6) of Theorem 11.23.

More generally, for any place  $v$  of  $K$ , the Galois group  $\text{Gal}(L/K)$  acts on the set  $\{w|v\}$ , via  $|\alpha|_{\sigma(w)} := |\sigma(\alpha)|_w$ , and  $\varphi_w(\text{Gal}(L_w/K_v))$  is the stabilizer of  $w$  under this action. It thus makes sense to call  $\varphi_w(\text{Gal}(L_w/K_v))$  the *decomposition group* of the place  $w$ . For  $w|v$  the groups  $\varphi_w(\text{Gal}(L_w/K_v))$  are necessarily conjugate, and in our abelian setting, equal.

Moreover, the composition  $\varphi_w \circ \theta_{L_w/K_v}$  defines a map  $K_v^\times \rightarrow \text{Gal}(L/K)$  that is independent of the choice of  $w|v$ : this is easy to see when  $v$  is an unramified nonarchimedean place, since then  $\varphi_w(\theta_{L_w/K_v}(\pi_v)) = \text{Frob}_v$  for every uniformizer  $\pi_v$  of  $K_v$ , and this determines  $\varphi_w \circ \theta_{L_w/K_v}$  since the  $\pi_v$  generate  $K_v^\times$ .

For each place  $v$  of  $K$  we now embed  $K_v^\times$  into the idele group  $\mathbb{I}_K$  via the map

$$\begin{aligned} \iota_v : K_v^\times &\hookrightarrow \mathbb{I}_K \\ \alpha &\mapsto (1, 1, \dots, 1, \alpha, 1, 1, \dots), \end{aligned}$$

whose image intersects  $K^\times \subseteq \mathbb{I}_K$  trivially. This embedding is compatible with the idele norm in the following sense: if  $L/K$  is any finite separable extension and  $w$  is a place of  $L$  that extends the place  $v$  of  $K$  then the diagram

$$\begin{array}{ccc} L_w^\times & \xrightarrow{N_{L_w/K_v}} & K_v^\times \\ \downarrow \iota_w & & \downarrow \iota_v \\ \mathbb{I}_L & \xrightarrow{N_{L/K}} & \mathbb{I}_K \end{array}$$

commutes.

Now let  $L/K$  be a finite abelian extension. For each place  $v$  of  $K$ , let us pick a place  $w$  of  $L$  extending  $v$  and define

$$\begin{aligned} \theta_{L/K} : \mathbb{I}_K &\rightarrow \text{Gal}(L/K) \\ (a_v) &\mapsto \prod_v \varphi_w(\theta_{L_w/K_v}(a_v)), \end{aligned}$$

where the product takes place in  $\text{Gal}(L/K)$ . The value of  $\varphi_w(\theta_{L_w/K_v}(a_v))$  is independent of our choice of  $w|v$ , as noted above. The product is well defined because  $a_v \in \mathcal{O}_v^\times$  and  $v$  is unramified in  $L$  for almost all  $v$ , in which case

$$\varphi_w(\theta_{L_w/K_v}(a_v)) = \text{Frob}_v^{v(a_v)} = 1,$$

It is clear that  $\theta_{L/K}$  is a homomorphism, since each  $\varphi_w \circ \theta_{L_w/K_v}$  is, and  $\theta_{L/K}$  is continuous because its kernel is a union of open sets: each  $a := (a_v) \in \ker \theta_{L/K}$  lies in an open set

$U_a := U_S \times \prod_{v \notin S} \mathcal{O}_v^\times \subseteq \ker \theta_{L/K}$ , where  $S$  contains all ramified  $v$  and all  $v$  for which  $a_v \notin \mathcal{O}_v^\times$ , and  $U_S$  is the kernel of  $(a_v)_{v \in S} \mapsto \prod_{v \in S} \varphi_w(\theta_{L_w/K_v}(a_v))$ , an open subset of  $\prod_{v \in S} \mathcal{O}_v^\times$ .

If  $L_1 \subseteq L_2$  are two finite abelian extensions of  $K$ , then  $\theta_{L_1/K}(a) = \theta_{L_2/K}(a)|_{L_1}$  for all  $a \in \mathbb{I}_K$ . The  $\theta_{L/K}$  form a compatible system of homomorphisms from  $\mathbb{I}_K$  to the inverse limit  $\varprojlim_L \text{Gal}(L/K) \simeq \text{Gal}(K^{\text{ab}}/K)$ , where  $L$  ranges over finite abelian extensions of  $K$  in  $K^{\text{ab}}$  ordered by inclusion. By the universal property of the profinite completion, they uniquely determine a continuous homomorphism.

**Definition 28.2.** Let  $K$  be a global field. The *global Artin homomorphism* is the continuous homomorphism

$$\theta_K: \mathbb{I}_K \rightarrow \varprojlim_L \text{Gal}(L/K) \simeq \text{Gal}(K^{\text{ab}}/K)$$

defined by the compatible system of homomorphisms  $\theta_{L/K}: \mathbb{I}_K \rightarrow \text{Gal}(L/K)$ , where  $L$  ranges over finite abelian extensions of  $K$  in  $K^{\text{ab}}$ .

The isomorphism  $\text{Gal}(K^{\text{ab}}/K) \simeq \varprojlim \text{Gal}(L/K)$  is the natural isomorphism between a Galois group and its profinite completion with respect to the Krull topology (Theorem 26.22) and is thus canonical, as is the global Artin homomorphism  $\theta_K: \mathbb{I}_K \rightarrow \text{Gal}(K^{\text{ab}}/K)$ .

**Proposition 28.3.** *Let  $K$  be global field. The global Artin homomorphism  $\theta_K$  is the unique continuous homomorphism  $\mathbb{I}_K \rightarrow \text{Gal}(K^{\text{ab}}/K)$  with the property that for every finite abelian extension  $L/K$  in  $K^{\text{ab}}$  and every place  $w$  of  $L$  lying over a place  $v$  of  $K$  the diagram*

$$\begin{array}{ccc} K_v^\times & \xrightarrow{\theta_{L_w/K_v}} & \text{Gal}(L_w/K_v) \\ \downarrow \iota_v & & \downarrow \varphi_w \\ \mathbb{I}_K & \xrightarrow{\theta_{L/K}} & \text{Gal}(L/K) \end{array}$$

commutes, where the homomorphism  $\theta_{L/K}$  is defined by  $\theta_{L/K}(a) := \theta_K(a)|_L$ .

*Proof.* That  $\theta_K$  has this property follows from its construction. Now suppose that there is another continuous homomorphism  $\theta'_K: \mathbb{I}_K \rightarrow \text{Gal}(K^{\text{ab}}/K)$  with the same property. We may view elements of  $\text{Gal}(K^{\text{ab}}/K) \simeq \varprojlim \text{Gal}(L/K)$  as elements of  $\prod_{L/K} \text{Gal}(L/K)$ , where  $L$  varies over finite abelian extensions of  $K$  in  $K^{\text{ab}}$ . If  $\theta_K$  and  $\theta'_K$  are not identical, then there must be an  $a \in \mathbb{I}_K$  and a finite abelian extension  $L/K$  for which  $\theta_{L/K}(a) \neq \theta'_{L/K}(a)$ .

Let  $S$  be a finite set of places of  $K$  that includes all places  $v$  for which  $a_v \notin \mathcal{O}_v^\times$  and all ramified places of  $L/K$ . Define  $b \in \mathbb{I}_K$  by  $b_v := 1$  for  $v \in S$  and  $b_v := a_v$  for  $v \notin S$ , so that  $a = b \prod_{v \in S} \iota_v(a_v)$ . Then  $\theta_{L_w/K_v}(b_v) = 1$  for all places  $v$ , so we must have  $\theta_{L/K}(b) = 1 = \theta'_{L/K}(b)$ , and for  $v \in S$  we have

$$\theta_{L/K}(\iota_v(a_v)) = \varphi_w(\theta_{L_w/K_v}(a_v)) = \theta'_{L/K}(\iota_v(a_v)),$$

by the commutativity of the diagram in the proposition. But then

$$\theta_{L/K}(a) = \theta_{L/K}(b) \prod_{v \in S} \theta_{L/K}(\iota_v(a_v)) = \theta'_{L/K}(b) \prod_{v \in S} \theta'_{L/K}(\iota_v(a_v)) = \theta'_{L/K}(a),$$

which is a contradiction. So  $\theta'_K = \theta_K$  as claimed.  $\square$

### 28.3 The main theorems of global class field theory

In the global version of Artin reciprocity, the idele class group  $C_K := \mathbb{I}_K/K^\times$  plays the role that the multiplicative group  $K_v^\times$  plays in local Artin reciprocity (Theorem 27.2).

**Theorem 28.4** (GLOBAL ARTIN RECIPROCITY). *Let  $K$  be a global field. The kernel of the global Artin homomorphism  $\theta_K$  contains  $K^\times$ , and we thus have a continuous homomorphism*

$$\theta_K: C_K \rightarrow \text{Gal}(K^{\text{ab}}/K),$$

*with the property that for every finite abelian extension  $L/K$  in  $K^{\text{ab}}$  the homomorphism*

$$\theta_{L/K}: C_K \rightarrow \text{Gal}(L/K)$$

*obtained by composing  $\theta_K$  with the natural map  $\text{Gal}(K^{\text{ab}}/K) \rightarrow \text{Gal}(L/K)$  is surjective with kernel  $N_{L/K}(C_L)$ , inducing an isomorphism  $C_K/N_{L/K}(C_L) \simeq \text{Gal}(L/K)$ .*

**Remark 28.5.** When  $K$  is a number field,  $\theta_K$  is surjective but not injective; its kernel is the connected component of the identity in  $C_K$ . When  $K$  is a global function field,  $\theta_K$  is injective but not surjective; its image consists of automorphisms  $\sigma \in \text{Gal}(K^{\text{ab}}/K)$  corresponding to integer powers of the Frobenius automorphism of  $\text{Gal}(k^{\text{sep}}/k)$ , where  $k$  is the constant field of  $K$  (this is precisely the dense image of  $\mathbb{Z}$  in  $\widehat{\mathbb{Z}} \simeq \text{Gal}(k^{\text{sep}}/k)$ ).

We also have a global existence theorem.

**Theorem 28.6** (GLOBAL EXISTENCE THEOREM). *Let  $K$  be a global field. For every finite index open subgroup  $H$  of  $C_K$  there is a unique finite abelian extension  $L/K$  in  $K^{\text{ab}}$  for which  $N_{L/K}(C_L) = H$ .*

As with the local Artin homomorphism, taking profinite completions yields an isomorphism that allows us to summarize global class field theory in one statement.

**Theorem 28.7** (MAIN THEOREM OF GLOBAL CLASS FIELD THEORY). *Let  $K$  be a global field. The global Artin homomorphism  $\theta_K$  induces a canonical isomorphism*

$$\widehat{\theta}_K: \widehat{C}_K \xrightarrow{\sim} \text{Gal}(K^{\text{ab}}/K)$$

*of profinite groups.*

We then have an inclusion reversing bijection

$$\begin{aligned} \{ \text{finite index open subgroups } H \text{ of } C_K \} &\longleftrightarrow \{ \text{finite abelian extensions } L/K \text{ in } K^{\text{ab}} \} \\ H &\mapsto (K^{\text{ab}})^{\theta_K(H)} \\ N_{L/K}(C_L) &\leftrightarrow L \end{aligned}$$

and corresponding isomorphisms  $C_K/H \simeq \text{Gal}(L/K)$ , where  $H = N_{L/K}(C_L)$ . We also note that the global Artin homomorphism is *functorial* in the following sense.

**Theorem 28.8** (FUNCTORIALITY). *Let  $K$  be a global field and let  $L/K$  be any finite separable extension (not necessarily abelian). Then the following diagram commutes*

$$\begin{array}{ccc} C_L & \xrightarrow{\theta_L} & \text{Gal}(L^{\text{ab}}/L) \\ \downarrow N_{L/K} & & \downarrow \text{res} \\ C_K & \xrightarrow{\theta_K} & \text{Gal}(K^{\text{ab}}/K). \end{array}$$

## 28.4 Relation to ideal-theoretic version of global class field theory

Let  $K$  be a number field and let  $\mathfrak{m} : M_K \rightarrow \mathbb{Z}_{\geq 0}$  be a modulus for  $K$ , which we view as a formal product  $\mathfrak{m} = \prod_v v^{e_v}$  over the places  $v$  of  $K$  with  $e_v \leq 1$  when  $v$  is archimedean and  $e_v = 0$  when  $v$  is complex (see Definition 21.2). For each place  $v$  we define the open subgroup

$$U_K^{\mathfrak{m}}(v) := \begin{cases} \mathcal{O}_v^\times & \text{if } v \nmid \mathfrak{m}, \text{ where } \mathcal{O}_v^\times := K_v^\times \text{ when } v \text{ is infinite,} \\ \mathbb{R}_{>0} & \text{if } v \mid \mathfrak{m} \text{ is real, where } \mathbb{R}_{>0} \subseteq \mathbb{R}^\times \simeq \mathcal{O}_v^\times := K_v^\times, \\ 1 + \mathfrak{p}^{e_v} & \text{if } v \mid \mathfrak{m} \text{ is finite, where } \mathfrak{p} = \{x \in \mathcal{O}_v : |x|_v < 1\}, \end{cases}$$

and let  $U_K^{\mathfrak{m}} := \prod_v U_K^{\mathfrak{m}}(v) \subseteq \mathbb{I}_K$  denote the corresponding open subgroup of  $\mathbb{I}_K$ . The image  $\overline{U}_K^{\mathfrak{m}}$  of  $U_K^{\mathfrak{m}}$  in the idele class group  $C_K = \mathbb{I}_K / K^\times$  is a finite index open subgroup. The idelic version of a ray class group is the quotient

$$C_K^{\mathfrak{m}} := \mathbb{I}_K / (U_K^{\mathfrak{m}} K^\times) = C_K / \overline{U}_K^{\mathfrak{m}},$$

and we have isomorphisms

$$C_K^{\mathfrak{m}} \simeq \text{Cl}_K^{\mathfrak{m}} \simeq \text{Gal}(K(\mathfrak{m})/K),$$

where  $\text{Cl}_K^{\mathfrak{m}}$  is the ray class group for the modulus  $\mathfrak{m}$  (see Definition 21.3), and  $K(\mathfrak{m})$  is the corresponding *ray class field*, which we can now define as the finite abelian extension  $L/K$  for which  $N_{L/K}(C_L) = \overline{U}_K^{\mathfrak{m}}$ , whose existence is guaranteed by Theorem 28.6.

If  $L/K$  is any finite abelian extension, then  $N_{L/K}(C_L)$  contains  $\overline{U}_K^{\mathfrak{m}}$  for some modulus  $\mathfrak{m}$ ; this follows from the fact that the groups  $\overline{U}_K^{\mathfrak{m}}$  form a fundamental system of open neighborhoods of the identity. Indeed, the conductor of the extension  $L/K$  (see Definition 22.24) is precisely the minimal modulus  $\mathfrak{m}$  for which this is true. It follows that every finite abelian extension  $L/K$  lies in a ray class field  $K(\mathfrak{m})$ , with  $\text{Gal}(L/K)$  isomorphic to a quotient of a ray class group  $C_K^{\mathfrak{m}}$ .

## 28.5 The Chebotarev density theorem

We conclude this lecture with a proof of the Chebotarev density theorem, a generalization of the Frobenius density theorem you proved on Problem Set 10. Recall from Lecture 18 and Problem Set 9 that if  $S$  is a set of primes of a number field  $K$ , the *Dirichlet density* of  $S$  is defined by

$$d(S) := \lim_{s \rightarrow 1^+} \frac{\sum_{\mathfrak{p} \in S} N(\mathfrak{p})^{-s}}{\sum_{\mathfrak{p}} N(\mathfrak{p})^{-s}} = \lim_{s \rightarrow 1^+} \frac{\sum_{\mathfrak{p} \in S} N(\mathfrak{p})^{-s}}{\log \frac{1}{s-1}},$$

whenever this limit exists. As you proved on Problem Set 9, if  $S$  has a natural density then it has a Dirichlet density and the two coincide (and similarly for polar density).

In order to state Chebotarev's density theorem we need one more definition: a subset  $C$  of a group  $G$  is said to be *stable under conjugation* if  $\sigma\tau\sigma^{-1} \in C$  for all  $\sigma \in G$  and  $\tau \in C$ . Equivalently,  $C$  is a union of conjugacy classes of  $G$ .

**Theorem 28.9** (CHEBOTAREV DENSITY THEOREM). *Let  $L/K$  be a finite Galois extension of number fields with Galois group  $G := \text{Gal}(L/K)$ . Let  $C \subseteq G$  be stable under conjugation, and let  $S$  be the set of primes  $\mathfrak{p}$  of  $K$  unramified in  $L$  with  $\text{Frob}_{\mathfrak{p}} \subseteq C$ . Then  $d(S) = \#C/\#G$ .*

Note that  $G$  is not assumed to be abelian, so  $\text{Frob}_{\mathfrak{p}}$  is a conjugacy class, not an element. However, the main difficulty in proving the Chebotarev density theorem (and the only place where class field theory is used) occurs when  $G$  is abelian, in which case  $\text{Frob}_{\mathfrak{p}}$  contains a single element. The main result we need is a corollary of the generalization of Dirichlet's theorem on primes in arithmetic progressions to number fields that we proved in Lecture 22, a special case of which we record below.

**Proposition 28.10.** *Let  $\mathfrak{m}$  be a modulus for a number field  $K$  and let  $\text{Cl}_K^{\mathfrak{m}}$  be the corresponding ray class group. For every ray class  $c \in \text{Cl}_K^{\mathfrak{m}}$  the Dirichlet density of the set of primes  $\mathfrak{p}$  of  $K$  that lie in  $c$  is  $1/\#\text{Cl}_K^{\mathfrak{m}}$ .*

*Proof.* Apply Corollary 22.22 to the congruence subgroup  $\mathcal{C} = \mathcal{R}_K^{\mathfrak{m}}$ . □

The Chebotarev density theorem for abelian extensions follows from Proposition 28.10 and the existence of ray class fields, which we now assume.<sup>1</sup>

**Corollary 28.11.** *Let  $L/K$  be a finite abelian extension of number fields with Galois group  $G$ . For every  $\sigma \in G$  the Dirichlet density of the set  $S$  of primes  $\mathfrak{p}$  of  $K$  unramified in  $L$  for which  $\text{Frob}_{\mathfrak{p}} = \{\sigma\}$  is  $1/\#G$ .*

*Proof.* Let  $\mathfrak{m} = \text{cond}(L/K)$  be the conductor of the extension  $L/K$ ; then  $L$  is a subfield of the ray class field  $K(\mathfrak{m})$  and  $\text{Gal}(L/K) \simeq \text{Cl}_K^{\mathfrak{m}}/H$  for some subgroup  $H$  of the ray class group. For each unramified prime  $\mathfrak{p}$  of  $K$  we have  $\text{Frob}_{\mathfrak{p}} = \{\sigma\}$  if and only if  $\mathfrak{p}$  lies in one of the ray classes contained in the coset of  $H$  in  $\text{Cl}_K^{\mathfrak{m}}/H$  corresponding to  $\sigma$ . The Dirichlet density of the set of primes in each ray class is  $1/\#\text{Cl}_K^{\mathfrak{m}}$ , by Proposition 28.10, and there are  $\#H$  ray classes in each coset of  $H$ ; thus  $d(S) = \#H/\#\text{Cl}_K^{\mathfrak{m}} = 1/\#G$ . □

We now derive the general case from the abelian case.

*Proof of the Chebotarev density theorem.* It suffices to consider the case where  $C$  is a single conjugacy class, which we now assume; we can reduce to this case by partitioning  $C$  into conjugacy classes and summing Dirichlet densities (as proved on Problem Set 9). Let  $S$  be the set of primes  $\mathfrak{p}$  of  $K$  unramified in  $L$  for which  $\text{Frob}_{\mathfrak{p}}$  is the conjugacy class  $C$ .

Let  $\sigma \in G$  be a representative of the conjugacy class  $C$ , let  $H_{\sigma} := \langle \sigma \rangle \subseteq G$  be the subgroup it generates, and let  $F_{\sigma} := L^{H_{\sigma}}$  be the corresponding fixed field. Let  $T_{\sigma}$  be the set of primes  $\mathfrak{q}$  of  $F_{\sigma}$  unramified in  $L$  for which  $\text{Frob}_{\mathfrak{q}} = \{\sigma\} \subseteq \text{Gal}(L/F_{\sigma}) \subseteq \text{Gal}(L/K)$  (note that the Frobenius class  $\text{Frob}_{\mathfrak{q}}$  is a singleton because  $\text{Gal}(L/F_{\sigma}) = H_{\sigma}$  is abelian). We have  $d(T_{\sigma}) = 1/\#H_{\sigma}$ , since  $L/F_{\sigma}$  is abelian, by Corollary 28.11.<sup>2</sup>

As you proved on Problem Set 9, restricting to degree-1 primes (primes whose residue field has prime order) does not change Dirichlet densities, so let us replace  $S$  and  $T_{\sigma}$  by their subsets of degree-1 primes, and define  $T_{\sigma}(\mathfrak{p}) := \{\mathfrak{q} \in T_{\sigma} : \mathfrak{q}|\mathfrak{p}\}$  for each  $\mathfrak{p} \in S$ .

**Claim:** For each prime  $\mathfrak{p} \in S$  we have  $\#T_{\sigma}(\mathfrak{p}) = [G : H_{\sigma}]$ .

**Proof of claim:** Let  $\mathfrak{r}$  be a prime of  $L$  lying above  $\mathfrak{q} \in T_{\sigma}(\mathfrak{p})$ . Such an  $\mathfrak{r}$  is unramified, since  $\mathfrak{p}$  is, and we have  $\text{Frob}_{\mathfrak{r}} = \sigma$ , since  $\text{Frob}_{\mathfrak{q}} = \{\sigma\}$ . It follows that  $\text{Gal}(\mathbb{F}_{\mathfrak{r}}/\mathbb{F}_{\mathfrak{q}}) = \langle \bar{\sigma} \rangle \simeq H_{\sigma}$ .

<sup>1</sup>This assumption is not necessary; indeed Chebotarev proved his density theorem in 1923 without it. With slightly more work one can derive the general case from the cyclotomic case  $L = K(\zeta)$ , where  $\zeta$  is a primitive root of unity, which removes the need to assume the existence of ray class fields; see [4] for details.

<sup>2</sup>Note that the integers  $\#H_{\sigma}$  and  $[G : H_{\sigma}]$  do not depend on the choice of  $\sigma$  (the  $H_{\sigma}$  are all conjugate).



Therefore  $f_{\tau/q} = \#H_\sigma$  and  $\#\{\tau|q\} = 1$ , since  $\#H_\sigma = [L : F_\sigma] = \sum_{\tau|q} e_{\tau/q} f_{\tau/q}$ . We have  $f_{\tau/p} = f_{\tau/q} f_{q/p} = f_{\tau/q} = \#H_\sigma$ , since  $f_{q/p} = 1$  for degree-1 primes  $q|p$ , and  $e_{\tau/p} = 1$ , thus

$$\#G = [L : K] = \sum_{\tau|p} e_{\tau/p} f_{\tau/p} = \#\{\tau|p\} \#H_\sigma = \#T_\sigma(p) \#H_\sigma,$$

so  $\#T_\sigma(p) = \#G/\#H_\sigma = [G : H_\sigma]$  as claimed.

We now observe that

$$\sum_{p \in S} N(p)^{-s} = \sum_{\sigma \in C} \sum_{p \in S} \frac{1}{[G : H_\sigma]} \sum_{q \in T_\sigma(p)} N(q)^{-s} = \frac{\#C}{[G : H_\sigma]} \sum_{q \in T_\sigma} N(q)^{-s}$$

since  $N(q) = N(p)$  for each degree-1 prime  $q$  lying above a degree-1 prime  $p$ , and therefore

$$d(S) = \frac{\#C}{[G : H_\sigma]} d(T_\sigma) = \frac{\#C \#H_\sigma}{[G : H_\sigma]} = \frac{\#C}{\#G}. \quad \square$$

**Remark 28.12.** The Chebotarev density theorem holds for any global field; the generalization to function fields was originally proved by Reichardt [3]; see [2] for a modern proof (and in fact a stronger result). In the case of number fields (but not function fields!) Chebotarev's theorem also holds for natural density. This follows from results of Hecke [1] that actually predate Chebotarev's work; Hecke showed that the primes lying in any particular ray class have a natural density.

## References

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- [3] Hans Reichardt, *Der Primdivisorsatz für algebraische Funktionenkörper über einem endlichen Konstantenkörper*, Mathematische Zeitschrift **40** (1936) 713–719.
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