# 18.783 Elliptic Curves Lecture 4

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## The function field of a curve

#### **Definition**

Let C/k be a plane projective curve f(x,y,z)=0 with  $f\in k[x,y,z]$  nonconstant, homogeneous, and irreducible in  $\bar{k}[x,y,z]$ . The function field k(C) is the set of equivalence classes of rational functions g/h such that:

- (i) g and h are homogeneous polynomials in k[x, y, z] of the same degree;
- (ii) h is not divisible by f, equivalently, h is not an element of the ideal (f);
- (iii)  $g_1/h_1$  and  $g_2/h_2$  are considered equivalent whenever  $g_1h_2-g_2h_1\in (f)$ .

Addition: 
$$\frac{g_1}{h_1} + \frac{g_2}{h_2} = \frac{g_1h_2 + g_2h_1}{h_1h_2}$$
, Multiplication  $\frac{g_1}{h_1} \cdot \frac{g_2}{h_2} = \frac{g_1g_2}{h_1h_2}$ , Inverse:  $\left(\frac{g}{h}\right)^{-1} = \frac{h}{g}$ . If  $g \in (f)$  then  $g/h = 0$  in  $k(C)$ , so we don't define  $(g/h)^{-1}$  in this case.

The field k(C) is a transcendental extension of k (of transcendence degree 1).

 ${\color{red} {\bf \Theta}}$  Pro tips:  ${\color{red} {ullet}}$  Don't confuse k(C) and C(k).  ${\color{red} {\bf \bullet}}$  Don't assume k[x,y,z]/(f) is a UFD.

# Evaluating functions in k(C) at a point in $C(\bar{k})$

For  $g/h \in k(C)$  with  $\deg g = \deg h = d$  and any  $\lambda \in k^{\times}$  we have

$$\frac{g(\lambda x, \lambda y, \lambda z)}{h(\lambda x, \lambda y, \lambda z)} = \frac{\lambda^d g(x, y, z)}{\lambda^d h(x, y, z)} = \frac{g(x, y, z)}{h(x, y, z)} \checkmark$$

For any  $P \in C(\bar{k})$  we have f(P) = 0, so if  $g_1/h_1 = g_2/h_2$  with  $h_1(P), h_2(P) \neq 0$ , then  $g_1(P)h_2(P) - g_2(P)h_1(P) = f(P) = 0$ , so  $(g_1/h_1)(P) = (g_2/h_2)(P)$ .

To evaluate  $\alpha \in k(C)$  at  $P \in C(\bar{k})$  we need to choose  $\alpha = q/h$  with  $h(P) \neq 0$ .

## Example

$$f(x,y,z) = zy^2 - x^3 - z^2x$$
,  $P = (0:0:1)$ ,  $\alpha = 3xz/y^2$ . We have

$$\alpha(P) = \frac{3xz}{y^2}(0:0:1) = \frac{3xz^2}{x^3 + z^2x}(0:0:1) = \frac{3z^2}{x^2 + z^2}(0:0:1) = 3$$

## **Rational maps**

#### **Definition**

We say that  $\alpha \in k(C)$  is defined at  $P \in C(\bar{k})$  if  $\alpha = g/h$  with  $h(P) \neq 0$ .

### Definition

Let  $C_1/k$  and  $C_2/k$  be projective plane curves. A rational map  $\phi\colon C_1\to C_2$  is a triple  $(\phi_x:\phi_y:\phi_z)\in\mathbb{P}^2(k(C_1))$  such that for any  $P\in C_1(\bar k)$  where  $\phi_x,\phi_y,\phi_z$  are defined and not all zero we have  $(\phi_x(P):\phi_y(P):\phi_z(P))\in C_2(\bar k)$ .

The rational map  $\phi$  is defined at P if there exists  $\lambda \in k(C_1)^{\times}$  such that  $\lambda \phi_x, \lambda \phi_y, \lambda \phi_z$  are defined and not all zero at P.

# Rational maps (alternative approach)

Let  $C_1: f_1(x,y,z)=0$  and  $C_2: f_2(x,y,z)=0$  be projective curves over k. If  $\psi_x,\psi_y,\psi_z\in k[x,y,z]$  are homogeneous of the same degree, not all in  $(f_1)$ , and  $f_2(\psi_x,\psi_y,\psi_z)\in (f_1)$ , then at least one and possibly all of

$$(\psi_x/\psi_z : \psi_y/\psi_z : 1), \qquad (\psi_x/\psi_y : 1 : \psi_z/\psi_y), \qquad (1 : \psi_y/\psi_x : \psi_z/\psi_x)$$

is a rational map  $\psi \colon C_1 \to C_2$ . Call two such triples  $(\psi_x \colon \psi_y \colon \psi_z)$  and  $(\psi_x' \colon \psi_y' \colon \psi_z')$  equivalent if  $\psi_x' \psi_y - \psi_x \psi_y'$  and  $\psi_x' \psi_z - \psi_x \psi_z'$  and  $\psi_y' \psi_z - \psi_y \psi_z'$  all lie in  $(f_1)$ .

This holds in particular when  $\psi_*' = \lambda \psi_*$  for some nonzero homogeneous  $\lambda \in k[x,y,z]$ , so we can always remove any common factor of  $\psi_x, \psi_y, \psi_z$ .

Equivalent triples define the same rational map, and every rational map can be defined this way: if  $\phi = (\frac{g_x}{h_x}: \frac{g_y}{h_y}: \frac{g_z}{h_z})$  then take  $\psi_x := g_x h_y h_z$ ,  $\psi_y := g_y h_x h_z$ ,  $\psi_z := g_z h_x h_y$ .

The rational map given by  $[\psi_x,\psi_y,\psi_z]$  is defined at  $P\in C_1(\bar k)$  whenever any of  $\psi_x(P),\psi_y(P),\psi_z(P)$  is nonzero, in which case  $(\psi_x(P):\psi_y(P):\psi_z(P))\in C_2(\bar k)$ .

## **Morphisms**

#### **Definition**

A morphism is a rational map  $\phi \colon C_1 \to C_2$  that is defined at every  $P \in C_1(\bar{k})$ .

### **Theorem**

If  $C_1$  is a smooth projective curve then every rational map  $\phi\colon C_1\to C_2$  is a morphism. (Because when  $C_1$  is smooth its coordinate ring  $k[C_1]$  is a Dedekind domain.)

#### **Theorem**

A morphism of projective curves is either surjective or constant.

(Because projective varieties are complete/proper.)

Projective curves are isomorphic if there is an invertible morphism  $\phi: C_1 \to C_2$ . We then have a bijection  $C_1(\bar{k}) \to C_2(\bar{k})$ , but this necessary condition is not sufficient!

# An equivalence of categories

Every surjective morphism of projective curves  $\phi\colon C_1\to C_2$  induces an injective morphism  $\phi^*\colon k(C_2)\to k(C_1)$  of function fields defined by  $\alpha\mapsto\alpha\circ\phi$ .

### **Theorem**

The categories of smooth projective curves over k with surjective morphisms and function fields of transcendence degree one over k are contravariantly equivalent via the functor  $C\mapsto k(C)$  and  $\phi\mapsto\phi^*$ .

Every curve C, even singular affine curves, has a function field (for plane curves f(x,y)=0, k(C) is the fraction field of k[C]:=k[x,y]/(f)). The function field k(C) is categorically equivalent to a smooth projective curve  $\tilde{C}$ , the desingularization of C.

One can construct  $\tilde{C}$  from C geometrically (using blow ups), but its existence is categorical, and in many applications the function field is all that matters.

## **Isogenies**

Let  $E_1, E_2$  be elliptic curves over k, with distinguished points  $O_1, O_2$ .

## **Definition**

An isogeny  $\phi \colon E_1 \to E_2$  is a surjective morphism that is also a group homomorphism.

## Definition (apparently weaker but actually equivalent)

An isogeny  $\phi \colon E_1 \to E_2$  is a non-constant rational map with  $\phi(O_1) = O_2$ .

 $E_1$  and  $E_2$  are isomorphic if there are isogenies  $\phi_1 \colon E_1 \to E_2$  and  $\phi_2 \colon E_2 \to E_1$  whose composition is the identity (the isogenies  $\phi_1$  and  $\phi_2$  are then called isomorphisms).

Morphisms  $\phi \colon E_1 \to E_1$  with  $\phi(O_1) = O_1$  are endomorphisms.

Note that  $E_1 \to O_1$  is an endomorphism, but it is **not an isogeny** (for us at least).

Endomorphisms that are isomorphisms are called automorphisms.

# **Examples of isogenies and endomorphisms**

- The negation map  $[-1]: P \mapsto -P$  defined by  $(x:y:z) \mapsto (x:-y:z)$  is an isogeny, an endomorphism, an isomorphism, and an automorphism.
- For any integer n the multiplication by n map  $[n]\colon P\mapsto nP$  is an endomorphism. It is an isogeny for  $n\neq 0$  and an automorphism for  $n=\pm 1$ .
- For  $E/\mathbb{F}_q$  we have the Frobenius endomorphism  $\pi_E \colon (x:y:z) \mapsto (x^q:y^q:z^q)$ . It induces a group isomorphism  $E(\overline{\mathbb{F}}_q) \to E(\overline{\mathbb{F}}_q)$ , but it is **not an isomorphism**.
- For  $E/\mathbb{F}_q$  of characteristic p the map  $\pi\colon (x:y:z)\mapsto (x^p:y^p:z^p)$  is an isogeny, but typically not an endomorphism. For  $E\colon y^2=x^3+Ax+B$  the image of  $\pi$  is the elliptic curve  $E^{(p)}\colon y^2=x^3+A^px+B^p$ , which need not be isomorphic to E.

# The multiplication-by-2 map

Let E/k be defined by  $y^2=x^3+Ax+B$  and let  $\phi$  be the endomorphism  $P\mapsto 2P$ . The doubling formula for affine  $P=(x:y:1)\in E(\bar k)$  is given by

$$\phi_x(x,y) = m(x,y)^2 - 2x = \frac{(3x^2 + A)^2 - 8xy^2}{4y^2},$$

$$\phi_y(x,y) = m(x,y)(x - \phi_x(x,y)) - y = \frac{12xy^2(3x^2 + A) - (3x^2 + A)^3 - 8y^4}{8y^3},$$

with  $m(x,y):=(3x^2+A)/(2y)$ . We then have  $\phi:=(\psi_x/\psi_z:\psi_y/\psi_z:1)$  with

$$\psi_x(x, y, z) = 2yz((3x^2 + Az^2)^2 - 8xy^2z),$$
  

$$\psi_y(x, y, z) = 12xy^2z(3x^2 + Az^2) - (3x^2 + Az^2)^3 - 8y^4z^2,$$
  

$$\psi_z(x, y, z) = 8y^3z^3.$$

How do we evaluate this morphism at the point O := (0:1:0)?

# The multiplication-by-2 map

How do we evaluate this morphism at the point O := (0:1:0)?

We can add any multiple of  $f(x,y,z)=y^2z-x^3-Axz^2-Bz^3$  to any of  $\psi_x$ ,  $\psi_y$ ,  $\psi_z$ ; this won't change the morphism  $\phi$ .

Replacing  $\psi_x$  by  $\psi_x + 18xyzf$  and  $\psi_y$  by  $\psi_y + (27f - 18y^2z)f$ , and simplifying yields

$$\begin{split} & \psi_x(x,y,z) = 2y \big( xy^2 - 9Bxz^2 + A^2z^3 - 3Ax^2z \big), \\ & \psi_y(x,y,z) = y^4 - 12y^2z(2Ax + 3Bz) - A^3z^4 + 27Bz(2x^3 + 2Axz^2 + Bz^3) + 9Ax^2(3x^2 + 2Az^2), \\ & \psi_z(x,y,z) = 8y^3z. \end{split}$$

Now  $\phi(O) = (\psi_x(0,1,0) : \psi_y(0,1,0) : \psi_z(0,1,0)) = (0:1:0) = O$ , as expected.

That wasn't particularly fun. u But there is a way to completely avoid this! u

# A standard form for isogenies

#### Lemma

Let  $E_1: y^2 = f_1(x)$  and  $E_2: y^2 = f_2(x)$  be elliptic curves over k and let  $\alpha: E_1 \to E_2$  be an isogeny. Then  $\alpha$  can be put in the affine standard form

$$\alpha(x,y) = \left(\frac{u(x)}{v(x)}, \frac{s(x)}{t(x)}y\right),$$

where  $u, v, s, t \in k[x]$  are polynomials with  $u \perp v$  and  $s \perp t$ .

## **Corollary**

When  $\alpha \colon E_1 \to E_2$  is defined as above we necessarily have  $v^3|t^2$  and  $t^2|v^3f_1$ .

It follows that v(x) and t(x) have the same set of roots in  $\bar{k}$ , and these roots are precisely the x-coordinates of the affine points in  $E_1(\bar{k})$  that lie in the kernel of  $\alpha$ . In particular,  $\ker \alpha$  is a finite subgroup of  $E_1(\bar{k})$ .

# Degree and separability

## **Definition**

Let  $\alpha(x,y) = \left(\frac{u(x)}{v(x)}, \frac{s(x)}{t(x)}y\right)$  be an isogeny in standard form.

The degree of  $\alpha$  is  $\deg \alpha := \max(\deg u, \deg v)$ .

We say that  $\alpha$  is separable if (u/v)' is nonzero, otherwise  $\alpha$  is inseparable.

## **Definition** (equivalent)

Let  $\alpha \colon E_1 \to E_2$  be an isogeny, let  $\alpha^* \colon k(E_2) \to k(E_1)$  be the corresponding embedding of function fields, and consider the field extension  $k(E_1)/\alpha^*(k(E_2))$ .

The degree of  $\alpha$  is the degree of the field extension  $k(E_1)/\alpha^*(k(E_2))$ .

We say that  $\alpha$  is separable if  $k(E_1)/\alpha^*(k(E_2))$  is separable, otherwise  $\alpha$  is inseparable.

## **Examples**

- The standard form of the negation map [-1] is [-1](x,y)=(x,-y). It is separable and has degree 1.
- The standard form of the multiplication-by-2 map [2] is

$$[2](x,y) = \left(\frac{x^4 - 2Ax^2 - 8Bx + A^2}{4(x^3 + Ax + B)}, \frac{x^6 + 5Ax^4 + 20Bx^3 - 5A^2x^2 - 4ABx - A^3 - 8B^2}{8(x^3 + Ax + B)^2}y\right).$$

It is separable and has degree 4.

• The standard form of the Frobenius endomorphism of  $E/\mathbb{F}_q$  is

$$\pi_E(x,y) = (x^q, (x^3 + Ax + B)^{(q-1)/2}y).$$

Note that we have used the curve equation to transform  $y^q$  (here q is odd). It is inseparable, because  $(x^q)'=qx^{q-1}=0$ , and it has degree q.