# 18.783 Elliptic Curves Lecture 10

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## Lecture 9 recap: generic DLP bounds

**Pohlig-Hellman**:  $O(n \log n + n\sqrt{p})$ , where  $n = \log N$ , largest prime p|N. **Baby-steps giant-steps**:  $(2 + o(1))\sqrt{N}$  time,  $(2 + o(1))\sqrt{N}$  space. **Pollard-** $\rho$  (Las Vegas):  $(\sqrt{\pi/2} + o(1))\sqrt{N}$  expected time,  $O(\log N)$  space.

### **Theorem (Shoup)**

Let G be cyclic group of prime order N.

- Every deterministic generic algorithm for the discrete logarithm problem in G uses at least  $(\sqrt{2} + o(1))\sqrt{N}$  group operations.
- Every Las Vegas generic algorithm for the discrete logarithm problem in G expects to use at least  $(\sqrt{2}/2 + o(1))\sqrt{N}$  group operations.

Shoup's lower bounds match the best upper bounds to within a factor of 2.

## Index calculus: a non-generic algorithm for the DLP

Let  $G = \langle \alpha \rangle = (\mathbb{Z}/p\mathbb{Z})^{\times}$  and identify G with  $[1, N] \cap \mathbb{Z}$ , where N = #G = p - 1. For  $e \in \mathbb{Z}$  we can use the prime factorization  $\alpha^e \beta^{-1} = \prod_i p_i^{e_i}$  to obtain a relation

$$e_1 \log_\alpha p_1 + \dots + e_b \log_\alpha p_b + \log_\alpha \beta = e.$$
(1)

which would allow us to compute  $\log_{\alpha}\beta$  if we knew the values of  $\log_{\alpha}p_i$ .

**Our plan**: Pick a smallish set of primes  $S = \{p : p \leq B\} = p_1, \dots p_b$  (the factor base), and generate relations as in (1) by picking random  $e \in [1, N]$  and attempting to factor  $\alpha^e \beta^{-1}$  over our factor base (e.g. by trial division, or something more clever).

How we win: Collect relations that uniquely determine  $\log_{\alpha} p_1, \ldots, \log_{\alpha} p_b, \log_{\alpha} \beta$ and use linear algebra over the ring  $\mathbb{Z}/N\mathbb{Z}$  to solve the system for  $\log_{\alpha} \beta$ .

When we expect to win: After about  $\pi(B) \cdot N/\psi(N, B)$  attempts, where  $\psi(N, B)$  is the number of *B*-smooth integers in [1, N], those with all prime factors less than *B*.

# **Optimizing the smoothness bound** *B*

**Theorem (Canfield–Erdős-Pomerance)** As  $u, x \to \infty$  with  $u < (1 - \epsilon) \log x / \log \log x$  we have  $\psi(x, x^{1/u}) = xu^{-u+o(u)}$ .

With trial division factoring takes  $O(\pi(B)\mathsf{M}(\log N))$  time and we expect to need

$$O(\pi(B)u^u\pi(B)\mathsf{M}(\log N))\approx B^2u^u=N^{2/u}u^u$$

time to get enough relations, where  $u := \log N / \log B$  so that  $N^{1/u} = B$ .

To minimize  $f(u) := \log(N^{2/u}u^u) = \frac{2}{u}\log N + u\log u$  we want to choose u so that  $f'(u) = -2u^{-2}\log N + 2(uN)^{-1} + \log u + 1 = 0.$ 

Ignoring O(1) terms, we want  $u^2 \log u \approx 2 \log N$ , meaning  $u \approx 2 \sqrt{\log N / \log \log N}$ .

### Expected running time of our index calculus algorithm

Our choice of  $u \approx 2\sqrt{\log N / \log \log N}$  yields the smoothness bound

 $B = N^{1/u} = \exp(u^{-1}\log N) = \exp(1/2\sqrt{\log N \log \log N}) = L_N[1/2, 1/2],$ 

where we have used the standard subexponential asymptotic notation

$$L_N[a,c] := \exp((c+o(1))(\log N)^a (\log \log N)^{1-a}),$$

interpolating  $L_N[0,c] = (\log N)^{c+o(1)}$  (polynomial),  $L_N[1,c] = N^{c+o(1)}$  (exponential).

Assuming the linear algebra is negligible (it is), the total expected time is

$$B^2 u^u = L_N[1/2, 1/2]^2 \cdot L_N[1/2, 1] = L_N[1/2, 2].$$

With ECM, smoothness testing becomes negligible and we can achieve  $L_N[1/2, \sqrt{2}]$ . More sophisticated techniques (NFS) heuristically yield  $L_N[1/3, (64/9)^{1/3}]$ .

### Current state of the art

For finite fields  $\mathbb{F}_{p^n} \simeq \mathbb{F}_p[x]/(f)$  the function field sieve uses a factor base of low degree polynomials in  $\mathbb{F}_p[x]$  representing elements of  $\mathbb{F}_{p^n}$  to obtain an  $L_N[1/3, c]$  bound.

In 2013 Joux found an  $L_N[1/4, c]$ -time algorithm for  $\mathbb{F}_{p^n}^{\times}$  for suitable p and n.

Joux and collaborators improved these techniques rapidly, eventually leading to a DLP algorithm for  $\mathbb{F}_{p^n}^{\times}$  with p = O(n) that runs in time  $n^{\log n}$ , which is better than  $L_N[\epsilon, c]$  for any  $\epsilon, c > 0$  (quasi-polynomial time).

## **Instant poll**

For  $E(\mathbb{F}_p)$  the only DLP algorithms we know are generic. For prime fields  $\mathbb{F}_q$  we have a subexponential-time algorithm for DLP in  $\mathbb{F}_q^{\times}$ , and for suitable prime powers q we have a quasi-polynomial time algorithm. Based on this information, what do you think the current records are for solving DLP in  $E(\mathbb{F}_p)$ ,  $\mathbb{F}_p^{\times}$ ,  $\mathbb{F}_q^{\times}$ ?

A. 64 bits, 192 bits, 4,096 bits

- B. 117 bits, 795 bits, 30,750 bits
- C. 161 bits, 2,011 bits, 86,117 bits

D. No idea, "subexponential" and "quasi-polynomial" mean nothing to me.

E. No idea, it really depends on the constant factors.

# The Pollard p-1 factorization method

### Algorithm

Given an integer N and a smoothness bound B, attempt to factor N as follows:

- **1.** Pick a random integer  $a \in [1, N-1]$ ; if  $gcd(a, N) = d \neq 1$  return (d, N/d).
- **2.** Set b = a and for increasing primes  $\ell \leq B$ : **2.1** Replace b with  $b^{\ell^e}$  where  $\ell^{e-1} < N \leq \ell^e$ . If b = 1 then give up. **2.2** if  $gcd(b-1, N) = d \neq 1$  then return (d, N/d).

#### Theorem

Let p, q|N be primes. If p-1 is  $\ell$ -smooth but q-1 is not for some prime  $\ell \leq B$  then the algorithm succeeds with probability at least  $1 - 1/(\ell+1)$ .

*Proof.* When we reach  $\ell$  in 2.2 we will have  $b = a^m \equiv 1 \mod p$ , since (p-1)|m. But some prime  $\ell' > \ell$  divides q-1 but not m, so  $\Pr[b \not\equiv 1 \mod q] \ge 1 - \frac{1}{\ell+1}$ .

### Robbing a random bank

If  $\#(\mathbb{Z}/N\mathbb{Z})^{\times}$  has a *B*-smooth prime factor then Pollard's algorithm is very likely to succeed, but this is unlikely for any particular N = pq a product of two large primes.

For random pq in [N, 2N] we expect the probability is  $u^{-u}$ , where  $u = \log N / \log B$ . That is small, but only subexponentially so; if we try  $u^u$  random pq we should succeed.

If we let  $u = \sqrt{2 \log N / \log \log N}$ , then  $B = N^{1/u} = L_N[1/2, 1/\sqrt{2}]$  and we should expect to factor a random pq in [N, 2N] in time  $N^{1/u}u^u = L_N[1/2, \sqrt{2}]$ .

**Key point**: By varying pq we vary the group  $(\mathbb{Z}/pq\mathbb{Z})^{\times}$ . But what if pq is fixed?

Lenstra: We can vary the group by picking a random elliptic curve "modulo pq".

# The elliptic curve factorization method (ECM)

### Algorithm

Given  $N \in \mathbb{Z}$ , a smoothness bound B, and a prime bound M, attempt to factor N:

- 1. Pick random  $a, x_0, y_0 \in [0, N-1]$  and set  $b = y_0^2 x_0^3 ax_0$ .
- 2. if  $d = \gcd(4a^3 + 27b^2, N) \neq 1$  return (d, N/d) if d < N but give up if d = N.
- **3.** Let  $Q = (x_0 : y_0 : 1)$  and for increasing primes  $\ell \leq B$ :
  - **3.1** Replace Q with  $\ell^e Q \mod N$  where  $\ell^{e-1} \leq (\sqrt{M}+1)^2 < \ell^e$ . Give up if  $Q_z = 0$ . **3.2** If  $d = \gcd(Q_z, N) \neq 1$  then return (d, N/d).

#### Theorem

Let  $P_1$  and  $P_2$  be the reductions of  $(x_0 : y_0 : 1)$  modulo distinct  $p_1, p_2 | N$  with  $p_1 \leq M$ . If  $|P_1|$  is  $\ell$ -smooth and  $|P_2|$  is not for some  $\ell \leq B$  then the algorithm succeeds in 3.2.

## Heuristic complexity of ECM

The Hasse interval  $[p+1-2\sqrt{p}, p+1+2\sqrt{p}]$  is too narrow to apply CEP bounds. We can prove  $\#E(\mathbb{F}_p) \in [p+1-\sqrt{p}, p+1+\sqrt{p}]$  with probability at least 1/2, and roughly uniformly distributed over this interval (Sato-Tate on average).

If we heuristically assume integers in  $[p + 1 - \sqrt{p}, p + 1 + \sqrt{p}]$  are as likely to be smooth as integers in [p, 2p] we can compute the optimal choice of  $B = L_M[1/2, 1/\sqrt{2}]$ . We generally don't know what M should be, so start small and double it. This yields

$$L_p[1/2,\sqrt{2}]\mathsf{M}(\log N),$$

where p is the smallest prime factor of N. We can then use ECM to test whether a given integer N is  $L_N[1/2, c]$ -smooth in expected time

$$\begin{split} L_{L_N[1/2,c]} \left[ 1/2, \sqrt{2} \right] &\approx \exp\left(\sqrt{2\log(\exp(c\sqrt{\log N \log \log N}) \log\log(\exp(c\sqrt{\log N \log \log N}))}\right) \\ &= L_N[1/4, \sqrt{c}]. \end{split}$$

### **Montgomery curves**

#### Definition

A Montgomery curve is an elliptic curve defined by an equation of the form

$$By^2 = x^3 + Ax^2 + x$$

with  $B \neq 0$  and  $A \neq \pm 2$ . Put v = Bx + AB/3,  $w = B^2y$ , x = u/B,  $y = w/B^2$  to get

$$w^{2} = v^{2} + (B^{2} - A^{2}B^{2}/3)v + (2A^{3}B^{3}/27 - AB^{3}/3)$$

an elliptic curve in Weierstrass form.

To compute  $\left(x_{3},y_{3}\right)=\left(x_{1},y_{1}\right)+\left(x_{2},y_{2}\right)$  we use

$$x_3 = Bm^2 - (A + x_1 + x_2), \qquad y_3 = m(x_1 - x_3) - y_1,$$

where  $m = (y_2 - y_1)/(x_1 - x_2)$  or  $m = (3x_1^2 + 2Ax_1 + 1)/(2By_1)$ .

### **Montgomery ladder**

Let  $(x_4, y_4) = (x_1, y_1) - (x_2, y_2)$ . In projective coordinates we have  $x_3 = z_4 [(x_1 - z_1)(x_2 + z_2) + (x_1 + z_1)(x_2 - z_2)]^2$ ,  $z_3 = x_4 [(x_1 - z_1)(x_2 + z_2) - (x_1 + z_1)(x_2 - z_2)]^2$ .

This allow us to compute  $P_1 + P_2$  using 6 multiplications, assuming we know  $P_1 - P_2$ .

### Algorithm (Montgomery Ladder)

**Input**: A point  $P = (x_1 : z_1)$  on a Montgomery curve and a positive integer m. **Output**: The point  $mP = (x_m : z_m)$ .

- 1. Let  $m = \sum_{i=0}^{k} m_i 2^i$  be the binary representation of m.
- 2. Set Q[0] = P and compute Q[1] = 2P (note that P = Q[1] Q[0]).
- 3. For i = k 1 down to 0:  $Q[1 m_i] \leftarrow Q[1] + Q[0], Q[m_i] \leftarrow 2Q[0].$

**4.** Return Q[0].