

# 18.783 Elliptic Curves

## Lecture 7

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# Hasse's theorem

## Definition (from Lecture 6)

If  $\alpha$  is an isogeny, the **dual isogeny**  $\hat{\alpha}$  is the unique isogeny for which  $\hat{\alpha} \circ \alpha = [\deg \alpha]$ . The **trace** of  $\alpha \in \text{End}(E)$  is  $\text{tr } \alpha := \alpha + \hat{\alpha} = 1 + \deg \alpha - \deg(1 - \alpha) \in \mathbb{Z}$ .

## Theorem (Hasse, 1933)

Let  $E/\mathbb{F}_q$  be an elliptic curve over a field over a finite field. Then

$$\#E(\mathbb{F}_q) = q + 1 - \text{tr } \pi_E,$$

where the trace of the Frobenius endomorphism  $\pi_E$  satisfies  $|\text{tr } \pi_E| \leq 2\sqrt{q}$ .

## Definition

The **Hasse interval**  $\mathcal{H}(q)$  is  $[q + 1 - 2\sqrt{q}, q + 1 + 2\sqrt{q}] = [(\sqrt{q} - 1)^2, (\sqrt{q} + 1)^2]$

# The Legendre symbol

## Definition

For odd primes  $p$  the Legendre symbol is defined by

$$\left(\frac{a}{p}\right) = \begin{cases} 1 & \text{if } y^2 = a \text{ has two solutions mod } p \\ 0 & \text{if } y^2 = a \text{ has one solution mod } p \\ -1 & \text{if } y^2 = a \text{ has no solutions mod } p \end{cases} = \#\{\alpha \in \mathbb{F}_p : \alpha^2 = a\} - 1.$$

We also define  $\left(\frac{a}{\mathbb{F}_q}\right)$  for  $a \in \mathbb{F}_q$  with  $q$  odd; just replace  $\mathbb{F}_p$  with  $\mathbb{F}_q$ .

For  $E: y^2 = x^3 + Ax + B$  over  $\mathbb{F}_q$  we have

$$\#E(\mathbb{F}_q) = 1 + \sum_{x \in \mathbb{F}_q} \left(1 + \left(\frac{x^3 + Ax + B}{\mathbb{F}_q}\right)\right) = q + 1 + \sum_{x \in \mathbb{F}_q} \left(\frac{x^3 + Ax + B}{\mathbb{F}_q}\right).$$

## Naive point counting

Let  $E: y^2 = x^3 + Ax + B$  be an elliptic curve over  $\mathbb{F}_q$ . Computing  $\#E(\mathbb{F}_q)$  via

$$\#E(\mathbb{F}_q) = 1 + \#\{(x, y) \in \mathbb{F}_q^2 : y^2 = x^3 + Ax + B\}$$

take  $O(q^2M(\log q))$  time, which in terms of  $n = \log q$  is  $O(\exp(2n)M(n))$ . But

$$\#E(\mathbb{F}_q) = q + 1 + \sum_{x \in \mathbb{F}_q} \left( \frac{x^3 + Ax + B}{\mathbb{F}_q} \right).$$

can be computed in  $O(\exp(n)M(n))$  time by precomputing a table of squares in  $\mathbb{F}_q$ .

But  $\#E(\mathbb{F}_p)$  lies in the Hasse interval  $\mathcal{H}(q)$  of width  $4\sqrt{q}$ . Surely we can do better!

## Computing the order of a point

The order  $|P|$  of any  $P \in E(\mathbb{F}_q)$  divides  $\#E(\mathbb{F}_q) \in \mathcal{H}(q) = [(\sqrt{q} - 1)^2, (\sqrt{q} + 1)^2]$ . If we put  $M_0 = \lceil (\sqrt{q} - 1)^2 \rceil$ , we can find a multiple  $M$  of  $|P|$  in  $\mathcal{H}(q)$  by computing

$$M_0P, (M_0 + 1)P, (M_0 + 2)P, \dots, MP = 0.$$

We have  $M \leq M_0 + 4\sqrt{q}$ , so this takes  $O(\sqrt{q} \log q) = O(\exp(n/2)M(n))$  time.

### Algorithm (Fast order computation)

Given  $P \in E(\mathbb{F}_q)$  and  $M \in \mathcal{H}(q)$  such that  $MP = 0$ , compute  $|P|$  as follows:

1. Compute  $M = p_1^{e_1} \cdots p_r^{e_r}$  and set  $m := M$ .
2. For each prime  $p_i$ , while  $p_i | m$  and  $(m/p_i)P = 0$ , replace  $m$  by  $m/p_i$ .
3. Output  $|P| = m$ .

This algorithm takes much less than  $O(\exp(n/2)M(n))$  time.

(in fact  $O(\exp(n/5)n^{16/5})$  deterministically and  $\exp(n^{1/2+o(1)})$  probabilistically).

# The exponent of a group

## Definition

The **exponent** of a finite group  $G$  is  $\lambda(G) := \text{lcm}\{|g| : g \in G\}$ .

## Lemma

Let  $G$  be a finite abelian group. Then  $\exists g \in G$  such that  $|g| = \lambda(G)$ .

Proof: Put  $G \simeq \mathbb{Z}/n_1\mathbb{Z} \oplus \cdots \oplus \mathbb{Z}/n_r\mathbb{Z}$  with  $n_i | n_{i+1}$  and take any generator of  $\mathbb{Z}/n_r\mathbb{Z}$ .

## Theorem

Let  $G$  be a finite abelian group. If  $g$  and  $h$  are uniformly distributed elements of  $G$  then

$$\Pr[\text{lcm}(|g|, |h|) = \lambda(G)] > \frac{6}{\pi^2}.$$

Proof:  $\Pr[\text{lcm}(|g|, |h|) = \lambda(G)] \geq \prod_{p|\lambda(G)} (1 - p^{-2}) > \prod_p (1 - p^{-2}) = \zeta(2)^{-1} = 6/\pi^2$ .

## Counting points on quadratic twists

Let  $E: y^2 = x^3 + Ax + B$  be an elliptic curve over  $\mathbb{F}_q$  and pick  $s \in \mathbb{F}_q$  so  $\left(\frac{s}{\mathbb{F}_q}\right) = -1$ .

Then  $\tilde{E}: sy^2 = x^3 + Ax + B$  is a (non-isomorphic) **quadratic twist** of  $E$ , and we have

$$\#E(\mathbb{F}_q) = q + 1 + \sum_{x \in \mathbb{F}_q} \left( \frac{x^3 + Ax + B}{\mathbb{F}_q} \right)$$

$$\#\tilde{E}(\mathbb{F}_q) = q + 1 - \sum_{x \in \mathbb{F}_q} \left( \frac{x^3 + Ax + B}{\mathbb{F}_q} \right)$$

$$\#E(\mathbb{F}_q) + \#\tilde{E}(\mathbb{F}_q) = 2q + 2.$$

To compute  $\#E(\mathbb{F}_q)$  it suffices to compute either  $\#E(\mathbb{F}_q)$  or  $\#\tilde{E}(\mathbb{F}_q)$ .

We can put  $\tilde{E}$  in Weierstrass form as  $\tilde{E}: y^2 = x^3 + s^2Ax + s^3B$ .

# Mestre's theorem/algorithm

## Theorem (Mestre)

Let  $p > 229$  be prime,  $E/\mathbb{F}_p$  an elliptic curve with quadratic twist  $\tilde{E}/\mathbb{F}_p$ .  
At least one of  $\lambda(E(\mathbb{F}_p))$  and  $\lambda(\tilde{E}(\mathbb{F}_p))$  has a unique multiple in  $\mathcal{H}(p)$ .

## Algorithm (Mestre)

Given  $E/\mathbb{F}_p$  with  $p > 229$  compute  $E(\mathbb{F}_p)$  as follows:

1. Compute  $\tilde{E}$ , and set  $E_0 := E$ ,  $E_1 := \tilde{E}$ ,  $N_0 := 1$ ,  $N_1 := 1$ ,  $i := 0$ .
2. While neither  $N_0, N_1$  has a unique multiple  $U_0, U_1$  in  $\mathcal{H}(p)$ :
  - a. Pick a random  $P \in E_i(\mathbb{F}_p)$  and compute  $M \in \mathcal{H}(p)$  such that  $MP = 0$ .
  - b. Use  $M$  to compute  $|P|$ , then replace  $N_i$  with  $\text{lcm}(N_i, |P|)$  and replace  $i$  by  $1 - i$ .
3. Output  $\#E(\mathbb{F}_p) = U_0$  or  $\#E(\mathbb{F}_p) = 2p + 2 - U_1$  (whichever is defined).

We expect  $O(1)$  iterations in Step 2, expected running time is  $O(\exp(n/2)M(n))$ .



# Baby-steps giant-steps

## Algorithm (Shanks)

Given  $P \in E(\mathbb{F}_q)$  compute  $M \in \mathcal{H}(q)$  such that  $MP = 0$  as follows:

1. Pick  $r, s \in \mathbb{Z}_{>0}$  such that  $rs \geq 4\sqrt{q}$  and put  $a := \lceil (\sqrt{q} - 1)^2 \rceil = \min(\mathcal{H}(q) \cap \mathbb{Z})$ .
2. Compute **baby steps**  $S_{\text{baby}} := \{0, P, 2P, \dots, (r-1)P\}$ .
3. Compute **giant steps**  $S_{\text{giant}} := \{aP, (a+r)P, (a+2r)P, \dots, (a+(s-1)r)P\}$ .
4. For each  $P_{\text{giant}} = (a+ir)P$  check if  $P_{\text{giant}} + P_{\text{baby}} = 0$  for some  $P_{\text{baby}} = jP$ .  
If so, output  $M = a + ri + j$ .

Every  $M \in \mathcal{H}(q)$  can be written as  $M = a + ir + j$  with  $0 \leq i < s$  and  $0 \leq j < r$ , and

$$MP = (a + ri)P + jP = P_{\text{giant}} + P_{\text{baby}} = 0,$$

for some  $P_{\text{giant}} \in S_{\text{giant}}$  and  $P_{\text{baby}} \in S_{\text{baby}}$ . Complexity is  $O(\exp(n/4)M(n))$ .

## Batching inversions

In order to efficiently match giant steps with baby steps we use affine coordinates. Addition in  $E(\mathbb{F}_q)$  uses  $3\mathbf{M} + \mathbf{1}$  or  $4\mathbf{M} + \mathbf{1}$  operations in  $\mathbb{F}_q$ , or  $O(\mathbf{M}(n) \log n)$  time.

### Algorithm

Given  $\alpha_1, \dots, \alpha_m \in \mathbb{F}_q$  compute  $\alpha_1^{-1}, \dots, \alpha_m^{-1}$  as follows:

1. Set  $\beta_0 := 1$  and compute  $\beta_i := \beta_{i-1}\alpha_i$  for  $i$  from 1 to  $m$ .
2. Compute  $\gamma_m := \beta_m^{-1}$ .
3. For  $i$  from  $m$  down to 1 compute  $\alpha_i^{-1} := \beta_{i-1}\gamma_i$  and  $\gamma_{i-1} := \gamma_i\alpha_i$ .

This takes less than  $3m\mathbf{M} + \mathbf{1}$  operations in  $\mathbb{F}_q$ , or  $O(m\mathbf{M}(n) + \mathbf{M}(n) \log n)$  time. For  $m \geq \log n$  this is  $O(\mathbf{M}(n))$  per inversion, on average, rather than  $O(\mathbf{M}(n) \log n)$ .

For large  $m$  the cost of each baby/giant step is effectively  $6\mathbf{M}$  operations in  $\mathbb{F}_q$ .

## Point counting summary

The table below summarizes the complexity of various algorithms to compute  $\#E(\mathbb{F}_q)$ . Complexity bounds are bit-complexities in terms of  $n = \log q$ .

algorithm	time complexity	space complexity
Totally naive	$O(\exp(2n)M(n))$	$O(n)$
Legendre symbols on the fly	$O(\exp(n)M(n) \log n)$	$O(n)$
Legendre symbols precomputed	$O(\exp(n)M(n))$	$O(\exp(n)n)$
Mestre with linear search	$O(\exp(n/2)M(n))$	$O(n)$
Mestre with baby-steps giant-steps	$O(\exp(n/4)M(n))$	$O(\exp(n/4)n)$
Schoof's algorithm	$O(\text{poly}(n))$	$O(\text{poly}(n))$

For Mestre's algorithm these are expected running times, the rest are deterministic. Probabilistic optimizations to Schoof's algorithm (SEA) are used in practice for large  $q$ .