

## 1 Introduction

Most of the content of this overview lecture is contained in the [slides](#) that were used in class. These notes contain some additional details on using the Newton polygon to compute the genus of a plane curve. They imply, in particular, that all nonsingular cubics, including the Weierstrass equation  $y^2 = x^3 + Ax + B$  with  $-16(4A^3 + 27B^2) \neq 0$ , are curves of genus 1, as are Edward's curves:  $x^2 + y^2 = 1 + cx^2y^2$  with  $c \neq 0, 1$ .

### 1.1 Computing the genus of a plane curve

Let  $k$  be a field with algebraic closure  $\bar{k}$ . For a polynomial  $f \in k[x, y]$  we use  $f^* \in k[x, y, z]$  to denote its homogenization.

**Definition 1.1.** For a polynomial  $f(x, y) = \sum a_{ij}x^i y^j \in k[x, y]$ , the *Newton polygon*  $\Delta(f)$  of  $f$  is the convex hull of the set  $\{(i, j) : a_{ij} \neq 0\} \subseteq \mathbb{Z}^2$  in  $\mathbb{R}^2$ . The interior and boundary of  $\Delta(f)$  are denoted  $\Delta^\circ(f)$  and  $\partial\Delta(f)$ , respectively, and for each edge  $\gamma \subseteq \Gamma\Delta(f)$  we define the polynomial  $f_\gamma(x, y) := \sum_{(i,j) \in \gamma} a_{ij}x^i y^j$ .

**Theorem 1.2** (Baker's Theorem). *Let  $f(x, y) \in k[x, y]$  be irreducible in  $\bar{k}[x, y]$ , and let  $F := \text{Frac}(k[x, y]/(f))$  denote the corresponding function field, with genus  $g(F)$ . Then*

$$g(F) \leq \#\{\Delta^\circ(F) \cap \mathbb{Z}^2\}.$$

*Proof.* See [1, Theorem 2.4] for a short proof based on the Riemann–Roch theorem. □

**Definition 1.3.** A polynomial  $f \in k[x, y]$  is *nondegenerate* with respect to an edge  $\gamma$  of  $\partial\Delta(f)$  if the polynomials  $f_\gamma, x \frac{\partial f_\gamma}{\partial x}, y \frac{\partial f_\gamma}{\partial y}$  have no common zero in  $(\bar{k}^\times)^2$ . The polynomial  $f$  is *nondegenerate* with respect to  $\Delta(f)$  if it is nondegenerate with respect to every edge of  $\partial\Delta(f)$  and not divisible by  $x$  or  $y$ .

**Remark 1.4.** For any edge  $\gamma$  of  $\Delta(f)$ , if either of the partial derivatives of  $f_\gamma(x, y)$  is a monomial, then  $f$  is nondegenerate with respect to  $\gamma$ , since monomials have no zeros in  $(\bar{k}^\times)^2$ .

**Proposition 1.5.** *Let  $f(x, y) \in k[x, y]$  be an irreducible nondegenerate polynomial in  $\bar{k}[x, y]$ , and suppose  $f^*(x, y, z)$  has no singularities outside  $\{(0 : 0 : 1), (0 : 1 : 0), (1 : 0 : 0)\}$ . Then*

$$g(F) = \#\{\Delta^\circ(F) \cap \mathbb{Z}^2\}.$$

*Proof.* See [2, Theorem 4.2] □

**Example 1.6.** Let  $f(x, y) = y^2 - x^3 - Ax + B$ , with  $A, B \in k$ , and  $-16(4A^3 + 27B^2) \neq 0$ . Then  $f(x, y)$  is irreducible in  $\bar{k}[x, y]$ , and  $\partial\Delta(f)$  has the three edges  $\gamma_1 = [(0, 0), (3, 0)]$ ,  $\gamma_2 = [(0, 0), (0, 2)]$ , and  $\gamma_3 = [(0, 2), (0, 3)]$ . We have

$$\begin{aligned} f_{\gamma_1}(x, y) &= -x^3 - Ax - B, \\ f_{\gamma_2}(x, y) &= y^2 - B, \\ f_{\gamma_3}(x, y) &= y^2 - x^3. \end{aligned}$$

The polynomial  $f(x, y)$  is not divisible by  $x$  or  $y$ , and the fact that the discriminant of  $x^3 + Ax + B$  is nonzero implies that  $f$  is nondegenerate with respect to  $\gamma_1$ . By Remark 1.4,

$f$  is also nondegenerate with respect to the edges  $\gamma_2$  and  $\gamma_3$ . Thus  $f(x, y)$  is nondegenerate, and  $f^*(x, y, z)$  has no singularities at all, so Proposition 1.5 implies that

$$g(F) = \#\{\Delta^0(F) \cap \mathbb{Z}^2\} = \#\{(1, 1)\} = 1.$$

**Example 1.7.** Let  $f(x, y) = x^2 + y^2 - 1 - cx^2y^2$  with  $c \neq 0, 1$ . Then  $f(x, y)$  is irreducible in  $\bar{k}[x, y]$ , and  $\partial\Delta(f)$  has the four edges  $\gamma_1 = [(0, 0), (2, 0)]$ ,  $\gamma_2 = [(0, 0), (0, 2)]$ ,  $\gamma_3 = [(0, 2), (2, 2)]$ , and  $\gamma_4 = [(2, 0), (2, 2)]$ . We have

$$\begin{aligned} f_{\gamma_1}(x, y) &= x^2 - 1, \\ f_{\gamma_2}(x, y) &= y^2 - 1, \\ f_{\gamma_3}(x, y) &= y^2 - cx^2y^2, \\ f_{\gamma_4}(x, y) &= x^2 - cx^2y^2. \end{aligned}$$

The polynomial  $f(x, y)$  is not divisible by  $x$  or  $y$  and Remark 1.4 applies to all four  $f_{\gamma_i}$ , thus  $f$  is nondegenerate. The homogenized polynomial  $f^*(x, y, z)$  is singular only at  $(0 : 1 : 0)$  and  $(1 : 0 : 0)$ , so  $f$  satisfies the hypothesis of Proposition 1.5 and

$$g(F) = \#\{\Delta^0(F) \cap \mathbb{Z}^2\} = \#\{(1, 1)\} = 1.$$

## References

- [1] Peter Beelen, *A generalization of Baker's theorem*, Finite Fields and Their Applications **15** (2009), 558–568.
- [2] Peter Beelen and Ruud Pellikaan, *The Newton polygon of plane curves with many rational points*, Designs, Codes and Cryptography **21** (2000), 41–67.