# 16 Elliptic curves over $\mathbb{C}$ (part 2)

Last time we showed that every lattice  $L \subseteq \mathbb{C}$  gives rise to an elliptic curve

$$E_L$$
:  $y^2 = 4x^3 - g_2(L)x - g_3(L)$ ,

where

$$g_2(L) = 60G_4(L) := 60 \sum_{L^*} \frac{1}{\omega^4}, \qquad g_3(L) = 140G_6(L) = 140 \sum_{L^*} \frac{1}{\omega^6},$$

with  $L^* := L - \{0\}$ , and we defined a map

$$\Phi \colon \mathbb{C}/L \to E_L(\mathbb{C})$$

$$z \mapsto \begin{cases} (\wp(z), \wp'(z)) & z \notin L \\ 0 & z \in L \end{cases}$$

where

$$\wp(z) = \wp(z; L) = \frac{1}{z^2} + \sum_{\omega \in L^*} \left( \frac{1}{(z - \omega)^2} - \frac{1}{\omega^2} \right)$$

is the Weierstrass  $\wp$ -function for the lattice L, and

$$\wp'(z) = -2\sum_{\omega \in L} \frac{1}{(z-\omega)^3}.$$

In this lecture is to prove two theorems. First we will prove that  $\Phi$  is an isomorphism of additive groups; it is also an isomorphism of complex manifolds [3, Cor. 5.1.1], and of complex Lie groups, but we won't prove this now. Second, we will prove that every elliptic curve  $E/\mathbb{C}$  is isomorphic to  $E_L$  for some lattice L; this is also known as the Uniformization Theorem.

### 16.1 The isomorphism from a torus to the corresponding elliptic curve

**Theorem 16.1.** Let  $L \subseteq \mathbb{C}$  be a lattice and let  $E_L: y^2 = 4x^3 - g_2(L)x - g_3(L)$  be the corresponding elliptic curve. The map  $\Phi: \mathbb{C}/L \to E_L(\mathbb{C})$  is a group isomorphism.

*Proof.* We first note that  $\Phi(0) = 0$ , so  $\Phi$  preserves the identity, and for all  $z \notin L$  we have

$$\Phi(-z) = (\wp(-z), \wp'(-z)) = (\wp(z), -\wp'(z)) = -\Phi(z),$$

since  $\wp$  is even and  $\wp'$  is odd, so  $\Phi$  is compatible with taking inverses.

Let  $L = [\omega_1, \omega_2]$ . There are three points of order 2 in  $\mathbb{C}/L$ ; if  $L = [\omega_1, \omega_2]$  these are  $\omega_1/2, \omega_2/2$ , and  $(\omega_1 + \omega_2)/2$ . By Lemma 15.31,  $\wp'$  vanishes these points, hence  $\Phi$  maps points of order 2 in  $\mathbb{C}/L$  to points of order 2 in  $E_L(\mathbb{C})$ , since the latter are the points with y-coordinate zero. Moreover,  $\Phi$  is injective on points of order 2, since  $\wp(z)$  maps each point of order 2 in  $\mathbb{C}/L$  to a distinct root of  $4\wp(z)^3 - g_2(L)\wp(z) - g_3(L)$ , as shown in the proof of Lemma 15.32. The restriction of  $\Phi$  to  $(\mathbb{C}/L)[2]$  defines a bijection of  $(\mathbb{C}/L)[2] \xrightarrow{\sim} E_L[2] \simeq \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$  with  $\Phi(0) = 0$ , which must be a group isomorphism.

<sup>&</sup>lt;sup>1</sup>This is not difficult to show, but it would distract us from our immediate goal. We will see an explicit isomorphism of complex manifolds in a few lectures when we study modular curves, and in that case we will take the time to define precisely what this means and to prove it.

To show that  $\Phi$  is surjective, let  $(x_0, y_0) \in E_L(\mathbb{C})$ . The elliptic function  $f(z) = \wp(z) - x_0$  has order 2, hence it has two zeros in the fundamental parallelogram  $\mathcal{F}_0$ , by Theorem 15.18. Neither of these zeros occurs at z = 0, since f has a pole at 0. So let  $z_0 \neq 0$  be a zero of f(z) in  $\mathcal{F}_0$ . Then  $\wp(z_0) = x_0$ , which implies  $\Phi(z_0) = (x_0, \pm y_0)$  and therefore  $(x_0, y_0) = \Phi(\pm z_0)$ ; thus  $\Phi$  is surjective.

We now show that  $\Phi$  is injective. Let  $z_1, z_2 \in \mathcal{F}_0$  and suppose that  $\Phi(z_1) = \Phi(z_2)$ . If  $2z_1 \in L$  then  $z_1$  is a 2-torsion element and we have already shown that  $\Phi$  restricts to a bijection on  $(\mathbb{C}/L)[2]$ , so we must have  $z_1 = z_2$ . We now assume  $2z_1 \notin L$ , which implies  $\wp'(z_1) \neq 0$ . As argued above, the roots of  $f(z) = \wp(z) - \wp(z_1)$  in  $\mathcal{F}_0$  are  $\pm z_1$ , thus  $z_2 \equiv \pm z_1 \mod L$ . We also have  $\wp'(z_1) = \wp'(z_2)$ , and this forces  $z_2 \equiv z_1 \mod L$ , since  $\wp'(-z_1) = -\wp'(z_1) \neq \wp'(z_1)$  because  $\wp'(z_1) \neq 0$ .

It remains only to show that  $\Phi(z_1 + z_2) = \Phi(z_1) + \Phi(z_2)$ . So let  $z_1, z_2 \in \mathcal{F}_0$ ; we may assume that  $z_1, z_2, z_1 + z_2 \notin L$  since the case where either  $z_1$  or  $z_2$  lies in L is immediate, and if  $z_1 + z_2 \in L$  then  $z_1$  and  $z_2$  are inverses modulo L, a case treated above.

The points  $P_1 = \Phi(z_1)$  and  $P_2 = \Phi(z_2)$  are affine points in  $E_L(\mathbb{C})$ , and the line  $\ell$  between them cannot be vertical because  $P_1$  and  $P_2$  are not inverses (since  $z_1$  and  $z_2$  are not). So let y = mx + b be an equation for this line, and let  $P_3$  be the third point where the line intersects the curve  $E_L$ . Then  $P_1 + P_2 + P_3 = 0$ , by the definition of the group law on  $E_L(\mathbb{C})$ .

Now consider the function  $\ell(z) = -\wp'(z) + m\wp(z) + b$ . It is an elliptic function of order 3 with a triple pole at 0, so it has three zeros in the fundamental region  $\mathcal{F}_0$ , two of which are  $z_1$  and  $z_2$ . Let  $z_3$  be the third zero in  $\mathcal{F}_0$ . The point  $\Phi(z_3)$  lies on both the line  $\ell$  and the elliptic curve  $E_L(C)$ , hence it must lie in  $\{P_1, P_2, P_3\}$ ; moreover, we have a bijection from  $\{z_1, z_2, z_3\}$  to  $\{\Phi(z_1), \Phi(z_2), \Phi(z_3)\} = \{P_1, P_2, P_3\}$ , and this bijection must send  $z_3$  to  $P_3$  if  $P_3$  is distinct from  $P_1$  and  $P_2$ . If  $P_3$  coincides with exactly one of  $P_1$  or  $P_2$ , say  $P_1$ , then  $\ell(z)$  has a double zero at  $z_1$  and we must have  $z_3 = z_1$ ; and if  $P_1 = P_2 = P_3$  then clearly  $z_1 = z_2 = z_3$ . Thus in every case we must have  $P_3 = \Phi(z_3)$ .

We have  $P_1 + P_2 + P_3 = 0$ , so it suffices to show  $z_1 + z_2 + z_3 \in L$ , since this implies

$$\Phi(z_1 + z_2) = \Phi(-z_3) = -\Phi(z_3) = -P_3 = P_1 + P_2 = \Phi(z_1) + \Phi(z_2).$$

Let  $\mathcal{F}_{\alpha}$  be a fundamental region for L whose boundary does not contain any zeros or poles of  $\ell(z)$  and replace  $z_1, z_2, z_3$  by equivalent points in  $\mathcal{F}_{\alpha}$  if necessary.

Applying Theorem 15.17 to g(z) = z and  $f(z) = \ell(z)$  yields

$$\frac{1}{2\pi i} \int_{\partial \mathcal{F}_{\alpha}} z \frac{\ell'(z)}{\ell(z)} dz = \sum_{w \in F_{\alpha}} \operatorname{ord}_{w}(\ell) w = z_{1} + z_{2} + z_{3} - 3 \cdot 0 = z_{1} + z_{2} + z_{3}, \tag{1}$$

where the boundary  $\partial \mathcal{F}_{\alpha}$  of  $\mathcal{F}_{\alpha}$  is oriented counter-clockwise.

Let us now evaluate the integral in (1); to ease the notation, define  $f(z) := \ell'(z)/\ell(z)$ , which we note is an elliptic function (hence periodic with respect to L). We then have

$$\int_{\partial F_{\alpha}} z f(z) dz = \int_{\alpha}^{\alpha + \omega_{1}} z f(z) dz + \int_{\alpha + \omega_{1}}^{\alpha + \omega_{1} + \omega_{2}} z f(z) dz + \int_{\alpha + \omega_{1}}^{\alpha} z f(z) dz + \int_{\alpha + \omega_{1}}^{\alpha} z f(z) dz$$

$$= \int_{\alpha}^{\alpha + \omega_{1}} z f(z) dz + \int_{\alpha}^{\alpha + \omega_{2}} (z + \omega_{1}) f(z) dz + \int_{\alpha + \omega_{1}}^{\alpha} (z + \omega_{2}) f(z) dz + \int_{\alpha + \omega_{2}}^{\alpha} z f(z) dz$$

$$= \omega_{1} \int_{\alpha}^{\alpha + \omega_{2}} f(z) dz + \omega_{2} \int_{\alpha + \omega_{1}}^{\alpha} f(z) dz. \tag{2}$$

Note that we have used the periodicity of f(z) to replace  $f(z + \omega_i)$  by f(z), and to cancel integrals in opposite directions along lines that are equivalent modulo L.

For any closed (not necessarily simple) curve C and a point  $z_0 \notin C$ , the quantity

$$\frac{1}{2\pi i} \int_C \frac{dz}{z - z_0}$$

is the winding number of C about  $z_0$ , and it is an integer (it counts the number of times the curve C "winds around" the point  $z_0$ ); see [1, Lem. 4.2.1] or [4, Lem. B.1.3].

The function  $\ell(\alpha + t\omega_2)$  parametrizes a closed curve  $C_1$  from  $\ell(\alpha)$  to  $\ell(\alpha + \omega_2) = \ell(\alpha)$ , as t ranges from 0 to 1. The winding number of  $C_1$  about the point 0 is the integer

$$c_1 := \frac{1}{2\pi i} \int_{C_1} \frac{dz}{z - 0} = \frac{1}{2\pi i} \int_0^1 \frac{\ell'(\alpha + t\omega_2)}{\ell(\alpha + t\omega_2)} \omega_2 dt = \frac{1}{2\pi i} \int_{\alpha}^{\alpha + w_2} \frac{\ell'(z)}{\ell(z)} dz = \frac{1}{2\pi i} \int_{\alpha}^{\alpha + \omega_2} \frac{\ell'(z)}{\ell(z)} dz.$$
 (3)

Similarly, the function  $\ell(\alpha + t\omega_1)$  parameterizes a closed curve  $C_2$  from  $\ell(\alpha)$  to  $\ell(\alpha + \omega_1)$ , and we obtain the integer

$$c_2 := \frac{1}{2\pi i} \int_{C_2} \frac{dz}{z - 0} = \frac{1}{2\pi i} \int_0^1 \frac{\ell'(\alpha + t\omega_1)}{\ell(\alpha + t\omega_1)} \omega_1 dt = \frac{1}{2\pi i} \int_{\alpha}^{\alpha + \omega_1} \frac{\ell'(z)}{\ell(z)} dz = \frac{1}{2\pi i} \int_{\alpha}^{\alpha + \omega_1} \frac{\ell'(z)}{\ell(z)} dz.$$
 (4)

Plugging (3), and (4) into (2), and applying (1), we see that

$$z_1 + z_2 + z_3 = c_1 \omega_1 - c_2 \omega_2 \in L,$$

as desired.  $\Box$ 

## 16.2 The *j*-invariant of a lattice

**Definition 16.2.** The *j-invariant* of a lattice L is defined by

$$j(L) = 1728 \frac{g_2(L)^3}{\Delta(L)} = 1728 \frac{g_2(L)^3}{g_2(L)^3 - 27g_3(L)^2}.$$

Recall that  $\Delta(L) \neq 0$ , by Lemma 15.32, so j(L) is always defined.

The elliptic curve  $E_L$ :  $y^2 = 4x^3 - g_2(L)x - g_3(L)$  is isomorphic to the elliptic curve  $y^2 = x^3 + Ax + B$ , where  $g_2(L) = -4A$  and  $g_3(L) = -4B$ . Thus

$$j(L) = 1728 \frac{g_2(L)^3}{g_2(L)^3 - 27g_3(L)^2} = 1728 \frac{(-4A)^3}{(-4A)^3 - 27(-4B)^2} = 1728 \frac{4A^3}{4A^3 + 27B^2} = j(E_L).$$

Thus the j-invariant of a lattice L is the same as the j-invariant of the corresponding elliptic curve  $E_L$ . We now define the discriminant of an elliptic curve so that it agrees with the discriminant of the corresponding lattice.

**Definition 16.3.** The discriminant of an elliptic curve  $E: y^2 = x^3 + Ax + B$  is

$$\Delta(E) = -16(4A^3 + 27B^2).$$

This definition applies to any elliptic curve E/k defined by a short Weierstrass equation, whether  $k = \mathbb{C}$  or not, but for the moment we continue to focus on elliptic curves over  $\mathbb{C}$ .

Recall from Theorem 14.14 that elliptic curves E/k and E'/k are isomorphic over  $\bar{k}$  if and only if j(E)=j(E'). Thus over an algebraically closed field like  $\mathbb{C}$ , the j-invariant characterizes elliptic curves up to isomorphism. We now define an analogous notion of isomorphism for lattices.

**Definition 16.4.** Lattices L and L' are said to be homothetic if  $L' = \lambda L$  for some  $\lambda \in \mathbb{C}^{\times}$ .

**Theorem 16.5.** Two lattices L and L' are homothetic if and only if j(L) = j(L').

*Proof.* Suppose L and L' are homothetic, with  $L' = \lambda L$ . Then

$$g_2(L') = 60 \sum_{\omega \in L'^*} \frac{1}{w^4} = 60 \sum_{\omega \in L^*} \frac{1}{(\lambda \omega)^4} = \lambda^{-4} g_2(L).$$

Similarly,  $g_3(L') = \lambda^{-6}g_3L$ , and we have

$$j(L') = 1728 \frac{(\lambda^{-4}g_2(L))^3}{(\lambda^{-4}g_2(L))^3 - 27(\lambda^{-6}g_3(L))^2} = 1728 \frac{g_2(L)^3}{g_2(L)^3 - 27g_3(L)^2} = j(L).$$

To show the converse, let us now assume j(L) = j(L'). Let  $E_L$  and  $E_{L'}$  be the corresponding elliptic curves. Then  $j(E_L) = j(E_{L'})$ . We may write

$$E_L \colon y^2 = x^3 + Ax + B,$$

with  $-4A = g_2(L)$  and  $-4B = g_3(L)$ , and similarly for  $E_{L'}$ , with  $-4A' = g_2(L')$  and  $-4B' = g_3(L')$ . By Theorem 14.13, there is a  $\mu \in \mathbb{C}^{\times}$  such that  $A' = \mu^4 A$  and  $B' = \mu^6 B$ , and if we let  $\lambda = 1/\mu$ , then  $g_2(L') = \lambda^{-4} g_2(L) = g_2(\lambda L)$  and  $g_3(L') = \lambda^{-6} g_3(L) = g_3(\lambda L)$ , as above. We now show that this implies  $L' = \lambda L$ .

Recall from Theorem 15.29 that the Weierstrass  $\wp$ -function satisfies

$$\wp'(z)^2 = 4\wp(z)^3 - g_2\wp(z) - g_3.$$

Differentiating both sides yields

$$2\wp'(z)\wp''(z) = 12\wp(z)^2\wp'(z) - g_2\wp'(z)$$

$$\wp''(z) = 6\wp(z)^2 - \frac{g_2}{2}.$$
(5)

By Theorem 15.28, the Laurent series for  $\wp(z;L)$  at z=0 is

$$\wp(z) = \frac{1}{z^2} + \sum_{n=1}^{\infty} (2n+1)G_{2n+2}z^{2n} = \frac{1}{z^2} + \sum_{n=1}^{\infty} a_n z^{2n},$$

where  $a_1 = g_2/20$  and  $a_2 = g_3/28$ .

Comparing coefficients for the  $z^{2n}$  term in (5), we find that for  $n \geq 2$  we have

$$(2n+2)(2n+1)a_{n+1} = 6\left(\sum_{k=1}^{n-1} a_k a_{n-k} + 2a_{n+1}\right),\,$$

and therefore

$$a_{n+1} = \frac{6}{(2n+2)(2n+1)-12} \sum_{k=1}^{n-1} a_k a_{n-k}.$$

This allows us to compute  $a_{n+1}$  from  $a_1, \ldots, a_{n-1}$ , for all  $n \geq 2$ . It follows that  $g_2(L)$  and  $g_3(L)$  uniquely determine the function  $\wp(z) = \wp(z; L)$  (and therefore the lattice L where  $\wp(z)$  has poles), since  $\wp(z)$  is uniquely determined by its Laurent series expansion about 0.

Now consider L' and  $\lambda L$ , where we have  $g_2(L') = g_2(\lambda L)$  and  $g_3(L') = g_3(\lambda L)$ . It follows that  $\wp(z; L') = \wp(z; \lambda L)$  and  $L' = \lambda L$ , as desired.

Corollary 16.6. Two lattices L and L' are homothetic if and only if the corresponding elliptic curves  $E_L$  and  $E_{L'}$  are isomorphic.

Thus homethety classes of lattices correspond to isomorphism classes of elliptic curves over  $\mathbb{C}$ , and both are classified by the j-invariant. Recall from Theorem 14.12 that every complex number is the j-invariant of an elliptic curve  $E/\mathbb{C}$ . To prove the Uniformization Theorem we just need to show that the same is true of lattices.

#### 16.3 The j-function

Every lattice  $[\omega_1, \omega_2]$  is homothetic to a lattice of the form  $[1, \tau]$ , with  $\tau$  in the upper half plane  $\mathbb{H} = \{z \in \mathbb{C} : \text{im } z > 0\}$ ; we may take  $\tau = \pm \omega_2/\omega_1$  with the sign chosen so that im  $\tau > 0$ . This leads to the following definition of the j-function.

**Definition 16.7.** The *j*-function  $j: \mathbb{H} \to \mathbb{C}$  is defined by  $j(\tau) = j([1, \tau])$ . We similarly define  $g_2(\tau) = g_2([1, \tau]), g_3(\tau) = g_3([1, \tau]),$  and  $\Delta(\tau) = \Delta([1, \tau]).$ 

Note that for any  $\tau \in \mathbb{H}$ , both  $-1/\tau$  and  $\tau + 1$  lie in  $\mathbb{H}$  (the maps  $\tau \mapsto 1/\tau$  and  $\tau \mapsto -\tau$  both swap the upper and lower half-planes; their composition preserves them).

**Theorem 16.8.** The j-function is holomorphic on  $\mathbb{H}$ , and satisfies  $j(-1/\tau) = j(\tau)$  and  $j(\tau + 1) = j(\tau)$ .

*Proof.* From the definition of  $j(\tau) = j([1, \tau])$  we have

$$j(\tau) = 1728 \frac{g_2(\tau)^3}{\Delta(\tau)} = 1728 \frac{g_2(\tau)^3}{g_2(\tau)^3 - 27g_3(\tau)^2}.$$

The series defining

$$g_2(\tau) = 60 \sum_{\substack{m,n \in \mathbb{Z} \\ (m,n) \neq (0,0)}} \frac{1}{(m+n\tau)^4}$$
 and  $g_3(\tau) = 140 \sum_{\substack{m,n \in \mathbb{Z} \\ (m,n) \neq (0,0)}} \frac{1}{(m+n\tau)^6}$ 

converge absolutely for any fixed  $\tau \in \mathbb{H}$ , by Lemma 15.22, and they converge uniformly over  $\tau$  in any compact subset of  $\mathbb{H}$ . The proof of this last fact is straight-forward but slightly technical; see [2, Thm. 1.15] for the details. It follows that  $g_2(\tau)$  and  $g_3(\tau)$  are holomorphic on  $\mathbb{H}$ , and therefore  $\Delta(\tau) = g_2(\tau)^3 - 27g_3(\tau)^2$  is also holomorphic on  $\mathbb{H}$ . Since  $\Delta(\tau)$  is nonzero for all  $\tau \in \mathbb{H}$ , by Lemma 15.32, the j-function  $j(\tau)$  is holomorphic on  $\mathbb{H}$  as well.

The lattices  $[1, \tau]$  and  $[1, -1/\tau] = -1/\tau[1, \tau]$  are homothetic, and the lattices  $[1, \tau + 1]$  and  $[1, \tau]$  are equal; thus  $j(-1/\tau) = j(\tau)$  and  $j(\tau + 1) = j(\tau)$ , by Theorem 16.5.

#### 16.4 The modular group

We now consider the modular group

$$\Gamma = \mathrm{SL}_2(\mathbb{Z}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{Z}, \ ad - bc = 1 \right\}.$$

As proved in Problem Set 8, the group  $\Gamma$  acts on  $\mathbb{H}$  via linear fractional transformations

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \tau = \frac{a\tau + b}{c\tau + d},$$

and it is generated by the matrices  $S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$  and  $T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . This implies that the *j*-function is invariant under the action of the modular group; in fact, more is true.

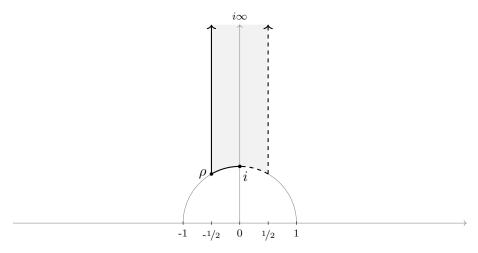


Figure 1: Fundamental domain  $\mathcal{F}$  for  $\mathbb{H}/\Gamma$ , with  $i = e^{\pi/2}$  and  $\rho = e^{2\pi i/3}$ .

**Lemma 16.9.** We have  $j(\tau) = j(\tau')$  if and only if  $\tau' = \gamma \tau$  for some  $\gamma \in \Gamma$ .

*Proof.* We have  $j(S\tau) = j(-1/\tau) = j(\tau)$  and  $j(T\tau) = j(\tau+1) = j(\tau)$ , by Theorem 16.8, It follows that if  $\tau' = \gamma \tau$  then  $j(\tau') = j(\tau)$ , since S and T generate  $\Gamma$ .

To prove the converse, let us suppose that  $j(\tau) = j(\tau')$ . Then by Theorem 16.5, the lattices  $[1, \tau]$  and  $[1, \tau']$  are homothetic So  $[1, \tau'] = \lambda[1, \tau]$ , for some  $\lambda \in \mathbb{C}^{\times}$ . There thus exist integers a, b, c, and d such that

$$\tau' = a\lambda\tau + b\lambda$$
$$1 = c\lambda\tau + d\lambda$$

From the second equation, we see that  $\lambda = \frac{1}{c\tau + d}$ . Substituting this into the first, we have

$$\tau' = \frac{a\tau + b}{c\tau + d} = \gamma\tau, \qquad \text{where } \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathbb{Z}^{2\times 2}.$$

Similarly, using  $[1, \tau] = \lambda^{-1}[1, \tau']$ , we can write  $\tau = \gamma'\tau'$  for some integer matrix  $\gamma'$ . The fact that  $\tau' = \gamma\gamma'\tau'$  implies that  $\det \gamma = \pm 1$  (since  $\gamma$  and  $\gamma'$  are integer matrices). But  $\tau$  and  $\tau'$  both lie in  $\mathbb{H}$ , so we must have  $\det \gamma = 1$ ; therefore  $\gamma \in \Gamma$  as desired.

Lemma 16.9 implies that when studying the j-function it suffices to study its behavior on  $\Gamma$ -equivalence classes of  $\mathbb{H}$ , that is, the orbits of  $\mathbb{H}$  under the action of  $\Gamma$ . We thus consider the quotient of  $\mathbb{H}$  modulo  $\Gamma$ -equivalence, which we denote by  $\mathbb{H}/\Gamma$ . The actions of  $\gamma$  and  $-\gamma$  are identical, so taking the quotient by  $\mathrm{PSL}_2(\mathbb{Z}) = \mathrm{SL}_2(\mathbb{Z})/\{\pm 1\}$  yields the same result, but for the sake of clarity we will stick with  $\Gamma = \mathrm{SL}_2(\mathbb{Z})$ .

We now wish to determine a fundamental domain for  $\mathbb{H}/\Gamma$ , a set of unique representatives in  $\mathbb{H}$  for each  $\Gamma$ -equivalence class. For this purpose we will use the set

$$\mathcal{F} = \{ \tau \in \mathbb{H} : \text{re}(\tau) \in [-1/2, 1/2) \text{ and } |\tau| \ge 1, \text{ such that } |\tau| > 1 \text{ if } \text{re}(\tau) > 0 \}.$$

**Lemma 16.10.** The set  $\mathcal{F}$  is a fundamental domain for  $\mathbb{H}/\Gamma$ .

<sup>&</sup>lt;sup>2</sup>Some authors write this quotient as  $\Gamma\backslash\mathbb{H}$  to indicate that the action is on the left.

*Proof.* We need to show that for every  $\tau \in \mathbb{H}$ , there is a unique  $\tau' \in \mathcal{F}$  such that  $\tau' = \gamma \tau$ , for some  $\gamma \in \Gamma$ . We first prove existence. Let us fix  $\tau \in \mathbb{H}$ . For any  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$  we have

$$\operatorname{im}(\gamma\tau) = \operatorname{im}\left(\frac{a\tau + b}{c\tau + d}\right) = \frac{\operatorname{im}((a\tau + b)(c\bar{\tau} + d))}{|c\tau + d|^2} = \frac{(ad - bc)\operatorname{im}\tau}{|c\tau + d|^2} = \frac{\operatorname{im}\tau}{|c\tau + d|^2} \tag{6}$$

Let  $c\tau + d$  be a shortest vector in the lattice  $[1, \tau]$ . Then c and d must be relatively prime, and we can pick integers a and b so that ad - bc = 1. The matrix  $\gamma_0 = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  then maximizes the value of  $\operatorname{im}(\gamma\tau)$  over  $\gamma \in \Gamma$ . Let us now choose  $\gamma = T^k\gamma_0$ , where k is chosen so that  $\operatorname{re}(\gamma\tau) \in [1/2, 1/2)$ , and note that  $\operatorname{im}(\gamma\tau) = \operatorname{im}(\gamma_0\tau)$  remains maximal. We must have  $|\gamma\tau| \geq 1$ , since otherwise  $\operatorname{im}(S\gamma\tau) > \operatorname{im}(\gamma\tau)$ , contradicting the maximality of  $\operatorname{im}(\gamma\tau)$ . Finally, if  $\tau' = \gamma\tau \notin \mathcal{F}$ , then we must have  $|\gamma\tau| = 1$  and  $\operatorname{re}(\gamma\tau) > 0$ , in which case we replace  $\gamma$  by  $S\gamma$  so that  $\tau' = \gamma\tau \in \mathcal{F}$ .

It remains to show that  $\tau'$  is unique. This is equivalent to showing that any two  $\Gamma$ equivalent points in  $\mathcal{F}$  must coincide. So let  $\tau_1$  and  $\tau_2 = \gamma_1 \tau_1$  be two elements of  $\mathcal{F}$ , with  $\gamma_1 = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , and assume im  $\tau_1 \leq \text{im } \tau_2$ . By (6), we must have  $|c\tau_1 + d|^2 \leq 1$ , thus

$$1 \ge |c\tau_1 + d|^2 = (c\tau_1 + d)(c\bar{\tau}_1 + d) = c^2|\tau_1|^2 + d^2 + 2cd\operatorname{re}\tau_1 \ge c^2|\tau_1|^2 + d^2 - |cd| \ge 1,$$

where the last inequality follows from  $|\tau_1| \ge 1$  and the fact that c and d cannot both be zero (since det  $\gamma = 1$ ). Thus  $|c\tau_1 + d| = 1$ , which implies im  $\tau_2 = \text{im } \tau_1$ . We also have  $|c|, |d| \le 1$ , and by replacing  $\gamma_1$  by  $-\gamma_1$  if necessary, we may assume that  $c \ge 0$ . This leaves 3 cases:

- 1. c = 0: then |d| = 1 and a = d. So  $\tau_2 = \tau_1 \pm b$ , but  $|\operatorname{re} \tau_2 \operatorname{re} \tau_1| < 1$ , so  $\tau_2 = \tau_1$ .
- 2. c = 1, d = 0: then b = -1 and  $|\tau_1| = 1$ . So  $\tau_1$  is on the unit circle and  $\tau_2 = a 1/\tau_1$ . Either a = 0 and  $\tau_2 = \tau_1 = i$ , or a = -1 and  $\tau_2 = \tau_1 = \rho$ .
- 3. c = 1, |d| = 1: then  $|\tau_1 + d| = 1$ , so  $\tau_1 = \rho$ , and im  $\tau_2 = \text{im } \tau_1 = \sqrt{3}/2$  implies  $\tau_2 = \rho$ .

In every case we have  $\tau_1 = \tau_2$  as desired.

**Theorem 16.11.** The restriction of the j-function to  $\mathcal{F}$  defines a bijection from  $\mathcal{F}$  to  $\mathbb{C}$ .

*Proof.* Injectivity follows immediately from Lemmas 16.9 and 16.10. It remains to prove surjectivity. We have

$$g_2(\tau) = 60 \sum_{\substack{n,m \in \mathbb{Z} \\ (m,n) \neq (0,0)}} \frac{1}{(m+n\tau)^4} = 60 \left( 2 \sum_{m=1}^{\infty} \frac{1}{m^4} + \sum_{\substack{n,m \in \mathbb{Z} \\ n \neq 0}} \frac{1}{(m+n\tau)^4} \right).$$

The second sum tends to 0 as im  $\tau \to \infty$ . Thus we have

$$\lim_{\mathrm{im}\tau\to\infty}g_2(\tau)=120\sum_{m=1}^\infty m^{-4}=120\,\zeta(4)=120\,\frac{\pi^4}{90}=\frac{4\pi^4}{3},$$

where  $\zeta(s)$  is the Riemann zeta function. Similarly,

$$\lim_{\text{im}\tau\to\infty} g_3(\tau) = 280 \,\zeta(6) = 280 \,\frac{\pi^6}{945} = \frac{8\pi^6}{27}.$$

Thus

$$\lim_{\mathrm{im}\tau\to\infty}\Delta(\tau) = \left(\frac{4}{3}\pi^4\right)^3 - 27\left(\frac{8}{27}\pi^6\right)^2 = 0.$$

(this explains the coefficients 60 and 140 in the definitions of  $g_2$  and  $g_3$ ; they are the smallest pair of integers that ensure this limit is 0). Since  $\Delta(\tau)$  is the denominator of  $j(\tau)$ , the quantity  $j(\tau) = g_2(\tau)^3/\Delta(\tau)$  is unbounded as im  $\tau \to \infty$ .

In particular, the j-function is non-constant, and by Theorem 16.8 it is holomorphic on  $\mathbb{H}$ . The open mapping theorem implies that  $j(\mathbb{H})$  is an open subset of  $\mathbb{C}$ ; see [4, Thm. 3.4.4].

We claim that  $j(\mathbb{H})$  is also a closed subset of  $\mathbb{C}$ . Let  $j(\tau_1), j(\tau_2), \ldots$  be an arbitrary convergent sequence in  $j(\mathbb{H})$ , converging to  $w \in \mathbb{C}$ . The j-function is  $\Gamma$ -invariant, by Lemma 16.9, so we may assume the  $\tau_n$  all lie in  $\mathcal{F}$ . The sequence im  $\tau_1, \text{im } \tau_2, \ldots$  must be bounded, say be B, since  $j(\tau) \to \infty$  as im  $\tau \to \infty$ , but the sequence  $j(\tau_1), j(\tau_2), \ldots$  converges; it follows that the  $\tau_n$  all lie in the compact set

$$\Omega = \{ \tau : \text{re } \tau \in [-1/2, 1/2], \text{im } \tau \in [1/2, B] \}.$$

There is thus a subsequence of the  $\tau_n$  that converges to some  $\tau \in \Omega \subset \mathbb{H}$ . The *j*-function is holomorphic, hence continuous, so  $j(\tau) = w$ . It follows that the open set  $j(\mathbb{H})$  contains all its limit points and is therefore closed.

The fact that the non-empty set  $j(\mathbb{H}) \subseteq \mathbb{C}$  is both open and closed implies that  $j(\mathbb{H}) = \mathbb{C}$ , since  $\mathbb{C}$  is connected. It follows that  $j(\mathcal{F}) = \mathbb{C}$ , since every element of  $\mathbb{H}$  is  $\Gamma$ -equivalent to an element of  $\mathcal{F}$  (Lemma 16.10) and the j-function is  $\Gamma$ -invariant (Lemma 16.9).

Corollary 16.12 (Uniformization Theorem). For every elliptic curve  $E/\mathbb{C}$  there exists a lattice L such that  $E = E_L$ .

*Proof.* Given  $E/\mathbb{C}$ , pick  $\tau \in \mathbb{H}$  so that  $j(\tau) = j(E)$  and let  $L' = [1, \tau]$ . We have

$$j(E) = j(\tau) = j(L') = j(E_{L'}),$$

so E is isomorphic to  $E_{L'}$ , by Theorem 14.13, where the isomorphism is given by the map  $(x,y) \mapsto (\mu^2 x, \mu^3 y)$  for some  $\mu \in \mathbb{C}^{\times}$ . If now let  $L = \frac{1}{\mu} L'$ , then  $E = E_L$ .

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