## HOMEWORK FOR 18.100B AND 18.100C, SPRING 2007 ASSIGNMENT 4: DUE THURSDAY 1 MARCH, AT 11:00 IN 2-108.

Please remember to tell us which lecture section you are in (Ciubotaru, Melrose, or Parker).

- (1) (20 points) For E any subset of a metric space X, define  $E^{\circ}$  to be the union of all open sets contained inside E.
  - (a) Show that  $E^{\circ}$  is open.

 $E^\circ$  is a union of open sets, and is therefore open, as an arbitrary union of open sets is open.

(b) Show that  $E^{\circ}$  is equal to the set of all interior points of E (in other words the set of points  $p \in E$  so that there exists some r > 0 so that the open ball  $B_r(p)$  of radius r centered on p is contained in E.)

If  $p \in E^{\circ}$ , then there exists an open ball  $B_r(p)$  centered on p contained inside  $E^{\circ}$ . As  $E^{\circ}$  is a union of sets contained inside  $E, E^{\circ} \subset E$ . Therefore,  $B_r(p) \subset E$ , and p is an interior point of E. We have now shown that  $E^{\circ}$  is contained in the set of interior points of E, and must show that  $E^{\circ}$  contains all interior points.

Suppose that p is an interior point of E. Therefore there is some open ball  $B_r(p)$  containing p which is contained in E. This open ball is an open subset of E, so  $E^{\circ}$  is the union of  $B_r(p)$  with all the other open subsets of E. This means that  $E^{\circ} \supset B_r(p)$ , and therefore  $p \in E$ .

(c) Show that the complement of  $E^{\circ}$ ,  $X - E^{\circ}$  is the closure of the complement of E.

 $E^{\circ}$  is union of all open sets contained inside E. The complement of  $E^{\circ}$  is therefore the intersection of the compliment of all open sets contained inside E. U is an open set contained inside E if and only if X - U is a closed set containing X - E. The complement of  $E^{\circ}$  is therefore the intersection of all closed sets containing the complement of E, which is the closure of the complement of E.

(d) Do  $E^{\circ}$  and E have the same closures?

No. If  $E := \{0\} \subset \mathbb{R}$ , then  $E^{\circ} = \emptyset$ . The closure of this is  $\emptyset$ . On the other hand, the closure of E is  $\{0\}$ .

(2) (10 points) Show that the subset of  $\mathbb{R}$  given by

$$E := \left\{ 0, 1, \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \frac{1}{5}, \dots \right\}$$

is compact.

Let  $\{U_{\alpha} \text{ for } \alpha \in A\}$  be some open cover of  $E \subset \mathbb{R}$ . We must show that there exists a finite subcover.

First, at least one of these open sets  $U_{\alpha_0}$  must contain 0. As  $U_{\alpha_0}$  is open and contains 0, there exists some r > 0 so that  $(-r, r) \subset U_{\alpha}$ .

We know that there exists some number  $n \in \mathbb{N}$  so that  $n > \frac{1}{r}$ . This means that  $\frac{1}{m} \subset U_{\alpha_0}$  for all  $m \ge n$ .

For any  $k \in \mathbb{N}$ , there must be some  $U_{\alpha_k} \in \{U_\alpha\}$  so that  $\frac{1}{k} \subset U_{\alpha_k}$ . The finite cover of E which we want is given by

$$\{U_{\alpha_0}, U_{\alpha_1}, \ldots, U_{\alpha_{n-1}}\}$$

Another way to prove that E is compact is by proving that it is a closed, bounded subset of  $\mathbb{R}$ . For a full score, the proof that E is closed must be included.

(3) (10 points) Show that if  $C_{\alpha} \subset X$  is a compact subset of X for all  $\alpha \in A$ , then

$$C := \bigcap_{\alpha \in A} C_{\alpha}$$

is compact.

Recall that any compact subset is closed. C is an intersection of closed sets, and is therefore closed. For any  $C_{\alpha}$ , C is a closed subset of  $C_{\alpha}$ . As closed subsets of a compact space are compact, C is compact.

- (4) (20 points) Suppose that the metric space X has a countable dense subset Q (In other words, a countable subset Q so that the closure  $\bar{Q} = X$ .)
  - (a) Show that any open subset of X is a union of open balls  $B_r(q)$  where  $r \in \mathbb{Q}$  and  $q \in Q$ .

The fact that  $Q \subset X$  is dense implies that any nonempty open set  $U \subset X$  must contain some member of Q. (This follows from the fact that the complement of U is a closed set which is a proper subset of the closure of Q, and thus must not contain all of Q.)

Suppose that  $p \in U$  where U is an open set. We must prove that  $p \in B_r(q)$  for some  $r \in \mathbb{Q}$  and  $q \in Q$  so that  $B_r(q) \subset U$ . Then U is equal to the union of all these open balls. First note that there exists some  $\epsilon > 0$  so that  $B_{\epsilon}(p) \subset U$ . As  $B_{\frac{\epsilon}{2}}$  is an open set, it must contain some  $q \in Q$ . Choose r to be a rational number so that  $d(p,q) < r < \frac{\epsilon}{2}$ . Then  $p \in B_r(q)$  and  $B_r(q) \subset B_{\epsilon}(p) \subset U$ . This follows from the fact that for all  $x \in B_r(q)$ , the triangle inequality tells us that

$$d(p,x) \le d(p,q) + d(q,x) < \epsilon$$

(b) Show that any open cover of X has a sub cover which is either countable or finite.

First note that the set  $\mathcal{B}$  of open balls  $B_r(q)$  where  $r \in \mathbb{Q}$  and  $q \in Q$ is a countable union of countable sets, and is hence countable. Suppose that  $\{U_\alpha\}$  is an open cover of X. We have shown that each of these open sets is some union of open balls  $B_r(q) \in \mathcal{B}$ . Define  $E \subset \mathcal{B}$ to be the set of such open balls that is contained in one of these  $\{U_\alpha\}$ . As it is a subset of a countable set, it is either contable or finite. The union of all  $B_r(q) \in E$  is X. For each  $B_r(q) \in E$ , choose some  $U_\alpha$ from our cover contining  $B_r(q)$ . The set of all such  $U_\alpha$  is equal to the union of all  $B_r(q) \in E$ , which is X. The set of these chosen  $U_\alpha$  is a subcover with cardinality less than or equal to E, and is therefore either countable or finite.

Extra problem: This is for your amusement, and not to be handed in.

(1) Call a metric d on  $\mathbb{R}^n$  compatible with the vector space structure if

$$d(x+z, y+z) = d(x, y)$$
 for all  $x, y, z \in \mathbb{R}^n$ 

and

$$d(\lambda x, \lambda y) = \lambda d(x, y)$$
 for  $\lambda \in [0, \infty)$ 

Prove that any such metric puts the same topology on  $\mathbb{R}^n$  as the Euclidean metric, in the sense that a subset of  $\mathbb{R}^n$  is open with this metric if and only if it is open with the Euclidean metric.

We shall show that there exist constants  $c_1 > 0$  and  $c_2 > 0$  so that

$$c_1 d(x, y) \le ||x - y|| \le c_2 d(x, y)$$

This will imply that inside every open Euclidean ball there is an open d ball, which means that any Euclidean open set is an open set with the metric d. The other inequality implies that inside every open d ball there is an open Euclidean ball, which implies that any set open with the metric d is open with the Euclidean metric.

First let  $\lambda = \min_i d(0, e_i) > 0$  where  $e_i$  is the *i*th standard basis vector of  $\mathbb{R}^n$  (with the *i*th coordinate 1 and the other coordinates zero.) Then by the triangle inequality, we have that

$$d(0,x) \le \sum_{i=1}^{n} d(0,x_i e_i)$$

where  $x_i$  is the *i*th coordinate of x. If  $x_i > 0$ , then  $d(0, x_i e_i) = x_i d(0, e_i)$ . If  $x_i < 0$ , then  $d(0, x_i e_i) = d(-x_i e_i, 0) = -x_i d(0, e_i)$ . Therefore,

$$d(0,x) \le \sum_{i=1}^n |x_i| \lambda \le n\lambda ||x||$$

So if we set  $c_1 = \frac{1}{n\lambda}$ , the we have our first inequality:

$$c_1 d(x, y) = c_1 d(0, x - y) \le ||x - y||$$

What we now want is some  $c_2$  so that  $c_2d(x, y) \ge ||x-y|$ , or equivalently,  $d(x, 0) \ge \frac{1}{c_2}$  whenever ||x|| = 1. Consider the unit sphere in  $\mathbb{R}^n$ ,

$$S := \{ x \in \mathbb{R}^n \text{ so that } \|x\| = 1 \}$$

This is a closed, and bounded subset of  $\mathbb{R}^n$ , so we know that it is compact. Lets try to use this compactness to construct  $c_2$ . For each  $x \in S$ , consider the Euclidean open set

$$U_x := \left\{ y \in \mathbb{R}^n \text{ so that } \|x - y\| < \frac{c_1 d(x, 0)}{2} \right\}$$

Note that for  $y \in U_x$ , we have that

$$d(y,0) \ge d(x,0) - d(x,y) > \frac{d(x,0)}{2}$$

Note also that  $\{U_x, x \in S\}$  is an Euclidean open cover for S. Therefore, there exists a finite subcover  $\{U_{x_1}, \ldots, U_{x_k}\}$ . Now we can define  $c_2$  by

$$\frac{1}{c_2} := \frac{1}{2} \min\{d(x_1, 0), \dots, d(x_k, 0)\}$$

We now have the inequality

$$c_2 d(x,0) > 1$$
 for all  $x \in S$ 

and our second inequality follows from the fact that our metric is compatible with the vector space structure.

$$c_2 d(x, y) \ge \|x - y\|$$

Note that we used that n is finite. This is not true in an infinite dimensional vector space.