Universal random structures in 2D

Introduction to 18.177, Fall 2015

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THE NUMBERS

24 lectures (after this one)

3 problem sets (to be assigned)

1 written project (research or expository) of about

5 pages per student, collaboration allowed

THE GOALS

Introduce some fundamental objects

Explain how they are related to each other

Explore some open problems

THE GOAL TODAY

Colloquium-style overview of

major objects and relationships

Overview

Prologue:

- 1. Universality: physics intuition, examples
- 2. Discrete-continuum interplay: scaling limits, discretizations
- 3. Fractals and complex dynamics: Julia sets, fractal dimensions, Mandelbrot, etc.

Part I: Cast of Characters: What are the most fundamental 2D random objects?

- 1. Universal random trees: Brownian motion, continuum random tree
- 2. Universal random surfaces: quantum gravity, planar maps, string theory, CFT
- 3. Universal random paths: walks, interfaces, Schramm-Loewner evolution, CFT
- 4. Universal random growth: Eden model, DLA, DBM

Part II: Drama: How are the characters related to each other?

- 1. Welding random surfaces: a calculus of random surfaces and SLE seams
- 2. Mating random trees: tree plus tree (conformally mated) equals surface plus path
- 3. Random growth on random surfaces: dendrites, dragons, surprising tractability
- 4. Mating random trees produced by a snake: metric spaces and the Brownian map
- 5. Two "universal random surfaces" are the same: Brownian map equals Liouville quantum gravity with parameter $\gamma = \sqrt{8/3}$ (a.k.a. "pure quantum gravity").

PROLOGUE: UNIVERSALITY

Universality in physics (per Wikipedia)

In statistical mechanics, universality is the observation that there are properties for a large class of systems that are independent of the dynamical details of the system. Systems display universality in a scaling limit, when a large number of interacting parts come together. The modern meaning of the term was introduced by Leo Kadanoff in the 1960s, but a simpler version of the concept was already implicit in the van der Waals equation and in the earlier Landau theory of phase transitions, which did not incorporate scaling correctly. The term is slowly gaining a broader usage in several fields of **mathematics**, including **combinatorics and** probability theory, whenever the quantitative features of a structure (such as asymptotic behaviour) can be deduced from a few global parameters appearing in the definition, without requiring knowledge of the details of the system. The renormalization group explains universality. It classifies operators in a statistical field theory into relevant and irrelevant. Relevant operators are those responsible for perturbations to the free energy, the imaginary time Lagrangian, that will affect the continuum limit, and can be seen at long distances. Irrelevant operators are those that only change the short-distance details. The collection of scale-invariant statistical theories define the universality classes, and the finite-dimensional list of coefficients of relevant operators parametrize the near critical behavior.

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- Example: percolation (Cardy 1992; Smirnov 2001).

Percolation interface



PROLOGUE: DISCRETE-CONTINUUM INTERPLAY

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- Conformal symmetry: plays special role in 2D, following work by Belavin, Polyakov, Zamolodchikov and others in 1980's.

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PROLOGUE: NON-RANDOM FRACTALS FROM COMPLEX DYNAMICS

Google search for Julia sets



Julia sets (Julia, 1918), popularized in 1980's



Published 1989, by Roger T. Stevens

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- Popular lexicon: chaos theory, butterly effect, fractal, self-similar. What about random fractals, only self similar in law?

Part I:

CAST OF CHARACTERS

A Trees

B Simple curves, non-simple curves, space-filling curvesC Surfaces





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- Simple bijection between rooted planar trees and walks of this type.
- ▶ CRT is in some sense the "uniformly random planar tree" of a given size.

RANDOM PATHS

Given a simply connected planar domain D with boundary points a and b and a parameter $\kappa \in [0, \infty)$, the **Schramm-Loewner evolution** SLE_{κ} is a random non-self-crossing path in \overline{D} from a to b.



The parameter κ roughly indicates how "windy" the path is. Would like to argue that SLE is in some sense the "canonical" random non-self-crossing path. What symmetries characterize SLE?

Conformal Markov property of SLE



If ϕ conformally maps D to \tilde{D} and η is an SLE_{κ} from a to b in D, then $\phi \circ \eta$ is an SLE_{κ} from $\phi(a)$ to $\phi(b)$ in \tilde{D} .

Markov Property

Given η up to a stopping time t...



law of remainder is SLE in $D \setminus \eta[0, t]$ from $\eta(t)$ to b.



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- ▶ **Explicit construction:** An SLE path γ from 0 to ∞ in the complex upper half plane **H** can be defined in an interesting way: given path γ one can construct conformal maps $g_t : \mathbf{H} \setminus \gamma([0, t]) \rightarrow \mathbf{H}$ (normalized to look like identity near infinity, i.e., $\lim_{z\to\infty} g_t(z) z = 0$). In SLE_{κ}, one defines g_t via an ODE (which makes sense for each fixed z):

$$\partial_t g_t(z) = rac{2}{g_t(z) - W_t}, \quad g_0(z) = z,$$

where $W_t = \sqrt{\kappa}B_t =_{LAW} B_{\kappa t}$ and B_t is ordinary Brownian motion.

SLE phases [Rohde, Schramm]



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► Radial SLE:
$$\partial_t g_t(z) = g_t(z) \frac{\xi_t + g_t(z)}{\xi_t - g_t(z)}$$
 where $\xi_t = e^{i\sqrt{\kappa}B_t}$.

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- ► Radial SLE: $\partial_t g_t(z) = g_t(z) \frac{\xi_t + g_t(z)}{\xi_t g_t(z)}$ where $\xi_t = e^{i\sqrt{\kappa}B_t}$.
- ▶ Radial measure-driven Loewner evolution: $\partial_t g_t(z) = \int g_t(z) \frac{x+g_t(z)}{x-g_t(z)} dm_t(x)$ where, for each g, m_t is a measure on the complex unit circle.

Continuum space-filling path



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Universal structure

Uniform spanning tree





Start out with a sheet of paper



Get out pen and ruler



Measure and mark squares squares of equal size

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Get out scissors



Cut into squares



Get out bottle of glue



Attach squares along boundaries with glue to form a surface "without holes."





What is the structure of a typical quadrangulation when the number of faces is large?



(Simulation due to J.F. Marckert)

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- 5. Important tool: Bijections encoding surface via pair of trees.

Random quadrangulation



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Red tree



Red and blue trees



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Universal structure

Red and blue trees alone do not determine the map structure



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Universal structure

Random quadrangulation with red and blue trees



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Path snaking between the trees. Encodes the trees and how they are glued together.



How was the graph embedded into ${\bf R}^2?$



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Universal structure

Can subivide each quadrilateral to obtain a triangulation without multiple edges.



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Universal structure

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Circle pack the resulting triangulation.



Packed with Stephenson's CirclePack.

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What is the "limit" of this embedding? Circle packings are related to conformal maps.



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Universal structure

Conformal maps (from David Gu's web gallery)



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- \Rightarrow Can parameterize the space of surfaces with smooth functions.
 - If $\rho = 0$, get the same surface
 - If $\Delta \rho = 0$, i.e. if ρ is harmonic, the surface described is flat

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Question: Which measure on ρ ? If we want our surface to be a perturbation of a flat metric, natural to choose ρ as the canonical perturbation of a harmonic function.

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- Measure on functions h: D → R for D ⊆ Z² and h|_{∂D} = ψ with density respect to Lebesgue measure on R^{|D|}:

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 Continuum GFF not a function — only a generalized function



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 FPP/Eden model growth, introduced by Eden (1961) and Hammersley and Welsh (1965)



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- Consider case that graph is **Z**².



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- Vahidi-Asl and Weirmann (1990) showed that the rescaled ball converges to a disk if Z² is replaced by the Voronoi tesselation associated with a Poisson process



Markovian formulation

Eden exploration



Sample the cluster C_{n+1} from C_n by selecting an edge uniformly at random on ∂C_n , and then adding the vertex which is attached to it. **VARIANT**: Choose locations from harmonic measure (DLA) or harmonic measure to η power (η -DBM).

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Eden exploration



Eden exploration



Eden exploration





Euclidean Diffusion Limited Aggregation (DLA) introduced by Witten-Sander 1981.

Scott Sheffield (MIT)



DLA in nature: "A DLA cluster grown from a copper sulfate solution in an electrodeposition cell" (from Wikipedia)

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Universal structure



 DLA in nature: Magnese oxide
 patterns on the surface of a rock.
 (Halsey, Physics Today 2000)

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 Universal structure
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DLA in art: "High-voltage dielectric breakdown within a block of plexiglas" (from Wikipedia)

DLA in physics

Introduced by Witten and Sander in 1981 as a model for crystal growth. (Mineral deposits, Hele-Shaw flow, electrodeposition, lichen growth, lightning paths, coral, etc.)

An active area of research in physics for the last 33 years:



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- \blacktriangleright What is its asymptotic dimension? Simulation prediction: pprox 1.71 on \mathbf{Z}^2
- Is there a *universal* isotropic continuum analog of DLA?

What about DLA on random planar maps and Liouville quantum gravity surfaces?

Part II: DRAMA

STORY A: SURFACE PLUS SURFACE = SURFACE PLUS CURVE independence on both sides

WELDING RANDOM SURFACES

Can "weld" and "slice" special quantum surfaces called quantum wedges (with "weight" parameters indicating thickness) to obtain wedges (with other weights).



• Weight parameter $W = \gamma(\gamma + \frac{2}{\gamma} - \alpha)$ is additive under the welding operation.

- Interface between welding of independent wedges W₁, W₂ of weight W₁ and W₂ is an SLE_κ(W₁ 2; W₂ 2) on combined surface.
- ► Glue canonical random surfaces, seam becomes canonical random path.

STORY B:

TREE PLUS TREE = SURFACE PLUS SPACE-FILLING CURVE LHS independent or correlated, RHS independent

X, Y independent Brownian excursions on [0,1]. Pick C > 0 large so that the graphs of X and C - Y are disjoint.

 $C-Y_t$

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Scott Sheffield (MIT)

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Q: What is the resulting structure? A: Sphere with a space-filling path. A peanosphere.
Surface is topologically a sphere by Moore's theorem

Theorem (Moore 1925)

Let \cong be any topologically closed equivalence relation on the sphere S^2 . Assume that each equivalence class is connected and not equal to all of S^2 . Then the quotient space S^2 / \cong is homeomorphic to S^2 if and only if no equivalence class separates the sphere into two or more connected components.

- An equivalence relation is topologically closed iff for any two sequences (x_n) and (y_n) with
 - $x_n \cong y_n$ for all n
 - $x_n \rightarrow x \text{ and } y_n \rightarrow y$
- we have that $x \cong y$.

STORY C:

SURFACE TREE PLUS SURFACE TREE =SURFACE PLUS SELF-HITTING CURVE independence on both sides

Can view $SLE_{\kappa'}$ process, $\kappa' \in (4, 8)$ as a gluing of two $\frac{\kappa'}{4}$ -stable Lévy trees.

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- The two trees of quantum disks almost surely determine both the SLE_{κ'} and the LQG surface on which it is drawn
- Can convert questions about $SLE_{\kappa'}$ into questions about $\frac{\kappa'}{4}$ -stable processes.
- ► Scaling limit of "exploration path" on random planar map should be SLE₆ on a √8/3-LQG. Using welding machinery, we can understand well the "bubbles" cut out by such an exploration process. We can understand conditional law of unexplored region given what we have seen.

STORY D: GROWTH ON SURFACE = "RESHUFFLED" CURVE ON SURFACE

Can we make sense of η-DBM on a γ-LQG? We have shown how to tile an LQG surface with diadic squares of "about the same size" so we could run a DLA on this set of squares and try to take a fine mesh limit.

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- Question: Are there coral reefs, snowflakes, lichen, crystals, plants, lightning bolts, etc. whose growth rates are affected by a random medium (something like LQG)? The simulations look similar but have a bit more personality when γ is larger (as we will see). They look like Chinese dragons.

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- We will ultimately want to construct a candidate for the scaling limit, which we will call (for reasons explained later) quantum Loewner evolution: QLE(γ², η).
- But first let's look at some computer generated images (and some animations), starting with an Eden exploration.



Eden model on $\sqrt{8/3}$ -LQG



DLA on a $\sqrt{2}$ -LQG























▶ Random planar map, random vertex *x*. Perform FPP from *x*.



Important observations:

Conditional law of map given ball at time n only depends on the boundary lengths of the outside components.

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Belief: Isotropic enough so that at large scales this is close to a ball in the graph metric

Variant:

 Pick two edges on outer boundary of cluster



- Pick two edges on outer boundary of cluster
- Color vertices between edges blue and yellow



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- Color vertices between edges blue and yellow
- Color vertices on rest of map blue or yellow with prob. ¹/₂



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- This exploration also respects the Markovian structure of the map.
- If we work on an "infinite" planar map, the conditional law of the map in the unbounded component only depends on the boundary length.
- Expect that at large scales this growth process looks the same as FPP, hence the same as the graph metric ball



Sample a random planar map



Sample a random planar map and two edges uniformly at random



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- Color vertices blue/yellow with probability 1/2



- Sample a random planar map and two edges uniformly at random
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Ansatz Image of random map converges to a $\sqrt{8/3}$ -LQG surface and the image of the interface converges to an independent ${\rm SLE}_6$.

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- Know the conditional law of the LQG surface at each stage, using exploration results



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QLE(8/3,0) is SLE_6 with tip re-randomization. It can be understood as a "reshuffling" of the exploration procedure associated to the peanosphere.

QLE(8/3,0) is a member of a two-parameter family of processes called $QLE(\gamma^2,\eta)$

- $\blacktriangleright~\gamma$ is the type of LQG surface on which the process grows
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- η -dieletric breakdown model: general values of η



Discrete approximation of ${\rm QLE}(8/3,0).$ Metric ball on a $\sqrt{8/3}\text{-}\mathsf{LQG}$



Discrete approximation of ${\rm QLE}(2,1).$ DLA on a $\sqrt{2}\text{-}\mathsf{LQG}$

Scott Sheffield (MIT)



Each of the $QLE(\gamma^2, \eta)$ processes with (γ^2, η) on the orange curves is built from an SLE_{κ} process using tip re-randomization.

Scott Sheffield (MIT)
STORY E: BROWNIAN MAP = $\sqrt{8/3}$ -LIOUVILLE QUANTUM GRAVITY



Dancing snake: a natural random walk on the space of discrete "snakes."



- 1. The dancing snake has a scaling limit called the **Brownian snake**.
- 2. The x and y coordinates of the Brownian snake's head are two functions.
- 3. Each of these describes a tree (via the same construction we used to make CRT from Brownian motion).
- 4. Gluing these two trees together gives a random surface called the **Brownian** map.

 Existence of QLE(γ², η) on the orange curves as a Markovian exploration of a γ-LQG surface.

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- ► A proof that, under the metric defined by QLE, Liouville quantum gravity is equivalent (as a random metric measure space) to the Brownian map.
- An understanding of a continuum analog of DLA on a random surface corresponding to γ² = 2.



Thanks!