

# Maximum Matchings via the Tutte Matrix

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# Introduction

- *Bipartite graph*: Two sets of vertices (we take both to have size  $n$ ) such that there is no edge within the same set.
- *Matching*: A set of edges chosen in a graph such that no vertex is the endpoint of two or more edges.
- *Perfect Matching*: A matching which contains every vertex as an endpoint of some edge in the matching.

# Problem

- We want to answer the question:

*Does an unweighted, undirected graph (bipartite or general)  $G$  admit a perfect matching? (If so, find one.)*

- We turn to matrices and randomized algorithms to help answer this question.

# Outline

- Refreshing Old Topics (Lin. Alg, Permutations)
- A First Attempt (Naïve Algorithm)
- Schwartz-Zippel Lemma for Polynomial Equality
- Good Method for Bipartite Graphs
- Refinement for General Graphs
- Discussion and Comparison

# Recall...

- $\det(A) = \sum_{\pi \in S_n} \left( \text{sgn}(\pi) \cdot \prod_{i=1}^n a_{i\pi(i)} \right)$  where  $S_n$  is the set of all permutations of  $\{1, 2, \dots, n\}$  and

$$\text{sgn}(\pi) = \begin{cases} 1 & \text{if even number of transpositions} \\ -1 & \text{if odd number of transpositions} \end{cases}$$

- All permutations can be written in terms of cycles
- A bipartite matching is essentially a permutation

# Preliminary Idea

- Define  $A$  as follows:

$$a_{ij} = \begin{cases} 1 & \text{if } i \sim j, i \in U, j \in V \\ 0 & \text{otherwise} \end{cases}$$

- Claim: If  $\det(A) \neq 0$ , then  $G$  has a perfect matching.

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- Recall that:

$$\det(A) = \sum_{\pi \in S_n} \left( \operatorname{sgn}(\pi) \cdot \prod_{i=1}^n a_{i\pi(i)} \right)$$

- If determinant is non-zero, then there must be some non-zero  $\prod_{i=1}^n a_{i\pi(i)}$  term, so a perfect matching exists. (Why?)
- A quick example might help you believe me!

# How Good Is This?

- Computing the determinant of this matrix will take

$$O\left(n^{2.376}\right)$$

via the Coppersmith-Winograd algorithm.  
(Pretty impractical, so actual runtime is probably worse.)

- However, what's the big problem here?



# A Better Matrix!

- Define  $B$  as follows:

$$b_{ij} = \begin{cases} x_{ij} & \text{if } i \sim j, i \in U, j \in V \\ 0 & \text{otherwise} \end{cases}$$

- Claim:  $\det(B) \neq 0$  if and only if  $G$  has a perfect matching. (We'll come back to this.)

# Testing Polynomial Equality

- Question: does  $f(x) = g(x)$ ? How could we tell without actually finding the polynomials?
- Idea: substitute random values from

$$\{1, 2, \dots, mn\}$$

and see if the result is 0, where

$$\deg(f(x)), \deg(g(x)) \leq n.$$

# Probability of Failure

- The most roots of  $f(x) - g(x)$  in  $\{1, 2, \dots, mn\}$  is  $n$ . Hence, the probability of failure if we do this procedure  $k$  times is:

$$\frac{1}{m^k}$$

so the probability of success is at least

$$1 - \frac{1}{m^k}.$$

# Schwartz-Zippel Lemma

Claim. If  $F \neq 0$  is a polynomial in  $(x_1, x_2, \dots, x_n)$  with  $d_i$  the degree of  $F(\cdot)$  in  $x_i$  and  $(I_1, I_2, \dots, I_n)$  are finite subsets of elements in the domain of each variable, then the number of roots of  $F$  in  $I_1 \times I_2 \times \dots \times I_n$  is at most:

$$\left( \sum_{i=1}^n \frac{d_i}{|I_i|} \right) \cdot \prod_{i=1}^n |I_i|$$

# Claim. Schwartz-Zippel Lemma

- Proceed via induction. Base case is clear.
- Let  $F'$  be the polynomial in  $(x_2, \dots, x_n)$  and suppose that  $(y_2, \dots, y_n)$  is not a zero of  $F'$ . Then  $F(x_1, y_2, \dots, y_n)$  has at most  $d_1$  zeros.
- It follows that the number of roots cannot exceed

$$d_1 \cdot \prod_{i=2}^n |I_i| + \left( \left( \sum_{i=2}^n \frac{d_i}{|I_i|} \right) \cdot \prod_{i=2}^n |I_i| \right) \cdot |I_1|$$

# Refresher...

- Define  $B$  as follows:

$$b_{ij} = \begin{cases} x_{ij} & \text{if } i \sim j, i \in U, j \in V \\ 0 & \text{otherwise} \end{cases}$$

- Claim:  $\det(B) \neq 0$  if and only if  $G$  has a perfect matching.

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- If the determinant is non-zero, there must be some  $\prod_{i=1}^n b_{i\pi(i)}$  term that is non-zero.
- If there is a perfect matching, set those variables to 1 and the others to 0. Then, the determinant is not identically zero.

# Now what?

- Computing the determinant is annoying. How would we combine terms, etc.?
- Instead, turn to randomized algorithm:
  - choose the  $x_{ij}$  randomly from  $\{1, \dots, n^2 m\}$
  - compute  $\det(B)$
  - if determinant is 0, continue this process until some confidence threshold is hit



# How good is that?

- Via Schwartz-Zippel, there are at most

$$\left( \sum_{i=1}^{n^2} \frac{1}{n^2 m} \right) \cdot \prod_{i=1}^{n^2} (n^2 m) = \frac{1}{m} \cdot \prod_{i=1}^{n^2} (n^2 m)$$

roots of  $F(x_{11}, \dots, x_{nn})$  on  $\{1, \dots, mn^2\}^{n^2}$ . Hence, the probability of making an error is

$$\frac{1}{m}.$$

# Probability of Success and Runtime

- It follows that with  $k$  repetitions, we can say that we make an error in classification with probability at most

$$\frac{1}{m^k}$$

- The runtime of the determinant is  $O(n^{2.376})$  and more practical methods could be worse.
- What about general graphs?

# The Tutte Matrix

- Define  $T$  as follows (why?):

$$t_{ij} = \begin{cases} x_{ij} & \text{if } i \sim j \text{ and } i > j \\ -x_{ji} & \text{if } i \sim j \text{ and } i < j \\ 0 & \text{otherwise} \end{cases}$$

- Claim:  $\det(T) \neq 0$  if and only if  $G$  has a perfect matching.

Claim (i): If  $G$  has a perfect matching, then  $\det(T) \neq 0$

- Take a perfect matching  $M$ ; for each pair  $(i, j)$  we set  $x_{ij} = 1$  if  $(i, j) \in M$  and  $i > j$  and otherwise set  $x_{ij} = 0$ .
- Take  $\pi$  to be a permutation such that  $i = \pi(j)$  and  $j = \pi(i)$  for all  $(i, j) \in M$  which clearly exists (just swap pairs).

$$\Rightarrow \prod_{i=1}^n t_{i\pi(i)} \neq 0, \prod_{i=1}^n t_{i\pi'(i)} = 0 \Rightarrow \det(T) \neq 0.$$

Claim (ii): If  $\det(T) \neq 0$  then  $G$  has a perfect matching.

- Suppose some  $\pi$  contains an odd cycle  $\sigma = (i_1, i_2, \dots, i_r)$  with  $r$  odd. Then, consider some  $\pi'$  with  $\sigma' = (i_r, i_{r-1}, \dots, i_1)$ . It follows that

$$\operatorname{sgn}(\pi) \cdot \prod_{i=1}^n t_{i\pi(i)} = -\operatorname{sgn}(\pi') \cdot \prod_{i=1}^n t_{i\pi'(i)}$$

- Since the determinant is non-zero, there must be some permutation of only even cycles, so there must be a perfect matching.

# Back to old tricks...

- Turn to randomized algorithm:
  - choose the  $x_{ij}$  randomly from  $\{1, \dots, n^2 m\}$
  - compute  $\det(T)$
  - if determinant is 0, continue this process until some confidence threshold is hit
- With  $k$  repetitions, error w/ probability at most  $\frac{1}{m^k}$
- Runtime is again  $O(n^{2.376})$

# That's Pretty Good

- This algorithm is easy to program (if we don't want optimized runtime).
- For bipartite graphs, Hopcroft-Karp gives a runtime of  $O(E\sqrt{V}) = O(n^{2.5})$ .
- For general graphs, the Blossom method of Edmond's was originally  $O(V^4) = O(n^4)$  but has been improved to  $O(E\sqrt{V}) = O(n^{2.5})$ .
- However, we haven't *found* perfect matchings yet... ☹️

# Finding Perfect Matchings

- First approach: sequential algorithm.
  - Pick random  $(i, j) \in G$
  - Check if  $G \setminus \{i, j\}$  has a perfect matching
    - If so, put  $(i, j) \in M$  and continue on  $G \setminus \{i, j\}$
    - Else, continue on  $G - (i, j)$



# Finding Perfect Matchings

- Better approach: parallel algorithm. Won't go into details (email me for them – it's pretty cool).
  - Pick random weights for edges
  - Setting the  $x$ 's to  $2^{w_i}$ , the min weight matching will be the largest power of 2 dividing the determinant
  - Compute matchings by computing the determinant in parallel and for each edge, run through a process and output/don't output the edge. Resulting output edges make that min weight maximum matching if one exists.

# How Good Are Those?

- The first one is slow. (How slow?)
- The second one is good. It's the same as finding if a perfect matching exists, assuming you can run in parallel.
- The second one succeeds with probability at least  $\frac{1}{2}$ , so you might need to run it a few (finite) times...
- Still, the second one with  $O(n^{2.376})$  is a great bound

# Final Remarks

- This method is easy to implement in code.
- It runs reasonably quickly, especially for finding if perfect matchings exist. Very quickly in the asymptotic/theoretical sense.
- We used randomized algorithms to get very good results.
- There isn't much difference between bipartite and general graphs here.

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