

# Geometrizing Characters of Tori

David Roe  
Clifton Cunningham

Department of Mathematics  
University of Calgary/PIMS

Pacific Rim Mathematical Association Congress 2013

# Outline

- 1 Introduction
- 2 Character Sheaves
- 3 Greenberg of Néron

# Objective

$K$  – a finite extension of  $\mathbb{Q}_p$ ,

$\mathbf{T}$  – an algebraic torus over  $K$  (e.g.  $\mathbb{G}_m$ ),

$\ell$  – a prime different from  $p$ ,

$X^*$  – for a group  $X$ , notation for  $\text{Hom}(X, \overline{\mathbb{Q}}_\ell^\times)$ .

## Goal

Construct “geometric avatars” for characters in

$$\mathbf{T}(K)^* :$$

sheaves on some space functorially associated to  $\mathbf{T}$ .

- Try to push characters forward along maps such as  $\mathbf{T} \hookrightarrow \mathbf{G}$ ;
- Deligne-Lusztig representations  $\implies$  character sheaves;
- Give a new perspective on class field theory.

# Approach

- 1 For commutative group schemes  $G$ , locally of finite type over the residue field  $k$  of  $K$  we define a category  $\mathcal{CS}(G)$  of character sheaves on  $G$ .
- 2 We show

## Main Result

$$\mathcal{CS}(G)_{/iso} \cong G(k)^*.$$

- 3 Given a torus  $T$  over  $K$  we construct a commutative group scheme  $\mathfrak{T}$  over  $k$  with  $T(K) \cong \mathfrak{T}(k)$ .

# Character Sheaves ( $G$ connected)

Two definitions of character sheaves for a connected (commutative) algebraic groups  $G$  over  $k$ :

## Definition

- An  $\ell$ -adic local system is a constructible sheaf of  $\overline{\mathbb{Q}}_\ell$ -vector spaces on the étale site of  $G$  that becomes trivial after pulling back along a finite étale map  $H \rightarrow G$ .
- A *geometric character sheaf* on  $G$  is an  $\ell$ -adic local system  $\mathcal{E}^\circ$  on  $G$  equipped with an isomorphism  $m^* \mathcal{E}^\circ \cong \mathcal{E}^\circ \boxtimes \mathcal{E}^\circ$ , where  $m: G \times G \rightarrow G$  is multiplication.

# Character Sheaves 2 ( $G$ connected)

## Definition

Alternatively, a character sheaf on  $G$  is a short exact sequence

$$1 \rightarrow A \rightarrow H \rightarrow G \rightarrow 1$$

together with a character  $A \rightarrow \overline{\mathbb{Q}}_\ell^\times$ , so that

- 1  $H \rightarrow G$  is a finite étale cover,
- 2  $\text{Fr}_q$  acts trivially on  $A$ .

# Rationality of character sheaves

Base change to  $\bar{k}$  yields a pair  $(\bar{\mathcal{E}}^\circ, \text{Fr}_{\mathcal{E}^\circ})$ , where  $\bar{\mathcal{E}}^\circ$  is a character sheaf on  $\bar{G}$  and  $\text{Fr}_{\mathcal{E}^\circ} : \text{Fr}_q^* \bar{\mathcal{E}}^\circ \xrightarrow{\sim} \bar{\mathcal{E}}^\circ$ .

## Proposition

*In general this functor is faithful; when  $G$  is connected, base change defines an equivalence of categories*

$$\left\{ \begin{array}{c} \text{character sheaves} \\ \text{on } G \end{array} \right\} \rightarrow \left\{ \text{pairs } (\bar{\mathcal{E}}^\circ, \text{Fr}_{\mathcal{E}^\circ}) \right\}$$

## Characters in the connected case

- Suppose  $(\overline{\mathcal{E}}^\circ, \text{Fr}_{\mathcal{E}^\circ})$  is a character sheaf on  $G$ . Define a character  $\chi_{\mathcal{E}^\circ}^\circ$  of  $G(k)$  by

$$\chi_{\mathcal{E}^\circ}^\circ(x) = \text{Tr}(\text{Fr}_{\mathcal{E}^\circ}, \overline{\mathcal{E}}_x^\circ)$$

for  $x \in G(k)$ .

- Suppose  $\chi$  is a character of  $G(k)$ . Define a character sheaf on  $G$  using the Lang isogeny  $L(x) = x^{-1} \text{Fr}_q(x)$ ,

$$1 \rightarrow G(k) \rightarrow G \xrightarrow{L} G \rightarrow 1,$$

together with the character  $\chi$  of  $G(k)$ .

### Theorem (Deligne, SGA 4.5)

*The maps defined above are mutually inverse isomorphisms between character sheaves on  $G$  and  $G(k)^*$ .*



# Character Sheaves ( $G$ non-connected)

## Definition

A character sheaf on  $G$  is a triple  $\mathcal{E} = (\bar{\mathcal{E}}, \mu, F)$ , where

- 1  $\bar{\mathcal{E}}$  is a constructible  $\ell$ -adic sheaf on  $\bar{G}$ , locally constant of rank 1 on each connected component;
- 2  $\mu : m^* \bar{\mathcal{E}} \rightarrow \bar{\mathcal{E}} \boxtimes \bar{\mathcal{E}}$  is an isomorphism of sheaves on  $\bar{G} \times \bar{G}$ ;
- 3  $F : \text{Fr}_G^* \bar{\mathcal{E}} \rightarrow \bar{\mathcal{E}}$  is an isomorphism of sheaves on  $\bar{G}$ .

$\mu$  and  $F$  are required to satisfy various compatibility diagrams.

We write  $\mathcal{CS}(G)$  for the category of character sheaves on  $G$ .

# Trace of Frobenius

For any  $G$ , trace of Frobenius defines a map

$$\mathcal{CS}(G)_{/\text{iso}} \rightarrow G(k)^*.$$

Pullback then gives a diagram

$$\begin{array}{ccccccc}
 1 & \longrightarrow & \mathcal{CS}(G/G^\circ)_{/\text{iso}} & \longrightarrow & \mathcal{CS}(G)_{/\text{iso}} & \longrightarrow & \mathcal{CS}(G^\circ)_{/\text{iso}} \\
 & & \downarrow & & \downarrow & & \downarrow \\
 1 & \longrightarrow & (G/G^\circ)(k)^* & \longrightarrow & G(k)^* & \longrightarrow & G^\circ(k)^* \longrightarrow 1
 \end{array}$$

## Character Sheaves 2 ( $G$ non-connected)

In the non-connected case, not every character sheaf can be realized in the second manner.

### Definition

A *bounded character sheaf* on  $G$  is a short exact sequence

$$1 \rightarrow A \rightarrow H \rightarrow G \rightarrow 1$$

together with a character  $A \rightarrow \overline{\mathbb{Q}}_\ell^\times$ , so that

- 1  $H \rightarrow G$  is a finite étale cover, inducing an isomorphism on component groups
- 2  $\text{Fr}_q$  acts trivially on  $A$ .

# Extending character sheaves

## Theorem

*Every character sheaf on  $G^\circ$  extends to a (bounded) character sheaf on  $G$ .*

## Proof.

Suppose that  $1 \rightarrow A \rightarrow H \rightarrow G \rightarrow 1$  and  $\chi: A \rightarrow \overline{\mathbb{Q}}_\ell^\times$  defines a bounded character sheaf. Suppose that  $\text{Gal}(\bar{k}/k)$  acts on  $H$  and  $G$  through the finite quotient  $\Gamma$ . Restriction to  $H^\circ \rightarrow G^\circ$  then defines a character sheaf on  $G^\circ$ .

# Extending character sheaves

## Proof.

On extension classes, this map is the first in

$$\mathrm{Ext}_{\mathbb{Z}[\Gamma]}^1(G, A) \rightarrow \mathrm{Ext}_{\mathbb{Z}[\Gamma]}^1(G^\circ, A) \rightarrow \mathrm{Ext}_{\mathbb{Z}[\Gamma]}^2(G/G^\circ, A).$$

Since  $\mathbb{Z}[\Gamma]$  is a product of Dedekind domains it has cohomological dimension 1 and thus  $\mathrm{Ext}_{\mathbb{Z}[\Gamma]}^2(G/G^\circ, A)$  vanishes. So  $\mathrm{Ext}_{\mathbb{Z}[\Gamma]}^1(G, A) \rightarrow \mathrm{Ext}_{\mathbb{Z}[\Gamma]}^1(G^\circ, A)$  is surjective. □

# Character Sheaves ( $G$ étale)

- The category of étale group schemes is equivalent to the category of groups with with Galois action.
- A character sheaf on an étale group scheme  $G$  is a collection of 1-dimensional  $\overline{\mathbb{Q}}_\ell$ -vector spaces  $\mathcal{E}_x$  for  $x \in G(\bar{k})$  together with  $F_x : \mathcal{E}_{\text{Fr}(x)} \xrightarrow{\sim} \mathcal{E}_x$  and  $\mu_{x,y} : \mathcal{E}_x \otimes \mathcal{E}_y \xrightarrow{\sim} \mathcal{E}_{x+y}$ .

## Proposition

*Suppose that  $G$  is an étale commutative group scheme and  $G(\bar{k})$  is finitely generated. Then there is a canonical isomorphism*

$$\mathcal{CS}(G)_{/iso} \cong H^1(W_k, G(\bar{k})^*).$$

# Trace of Frobenius Diagram

$$\begin{array}{ccccccc} & & & & & & 1 \\ & & & & & & \downarrow \\ 1 & \longrightarrow & \mathcal{CS}(G/G^\circ)_{/iso} & \longrightarrow & \mathcal{CS}(G)_{/iso} & \longrightarrow & \mathcal{CS}(G^\circ)_{/iso} \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & (G/G^\circ)(k)^* & \longrightarrow & G(k)^* & \longrightarrow & G^\circ(k)^* \longrightarrow 1 \\ & & & & & & \downarrow \\ & & & & & & 1 \end{array}$$

# A Galois cohomology result

## Theorem

*If  $X$  is a finitely generated abelian group with a continuous  $\text{Gal}(\bar{k}/k)$  action then*

$$\begin{aligned} H^1(k, X^*) &\xrightarrow{\rho} H^0(k, X)^* \\ \rho([z])(x) &= z(\text{Fr})(x) \end{aligned}$$

*is an isomorphism*

## Corollary

*If  $G$  is a commutative group scheme with finitely generated component group then trace of Frobenius gives an isomorphism*

$$\mathcal{CS}(G)_{/\text{iso}} \cong G(k)^*.$$



# Surjectivity of $\rho$

## Proof.

Since  $\overline{\mathbb{Q}}_l^\times$  is divisible it is injective as a  $\mathbb{Z}$ -module and thus  $\text{Ext}_{\mathbb{Z}}^1(X/X^{\text{Fr}}, \overline{\mathbb{Q}}_l^\times) = 0$  so restriction

$$X^* \rightarrow (X^{\text{Fr}})^*$$

is surjective. Given a character on  $X^{\text{Fr}}$  we define a cocycle by setting a value on Frobenius and extending via the cocycle relation. □

# Injectivity of $\rho$

## Proof.

Suppose  $[z]$  is in the kernel of  $\rho$  and set  $\phi = z(\text{Fr})$ . By assumption  $\phi(x) = 1$  for  $x \in X^{\text{Fr}}$ ; it suffices to construct  $\psi \in X^*$  with  $\phi(x) = \frac{\psi(\text{Fr}(x))}{\psi(x)}$  for all  $x \in X$ . Reduce to the case that  $X = \mathbb{Z}[\zeta]/P^s$  as a  $\mathbb{Z}[\zeta]$ -module:

- $\mathbb{Z}[\Gamma]$  is a product of Dedekind domains  $\mathbb{Z}[\zeta]$ ,
- Modules over products of Dedekind domains decompose as direct sums of cyclic modules.

# Injectivity of $\rho$

## Proof.

Now define  $\psi$  on generators  $\zeta^i$  by

$$\psi(\zeta^i) = \alpha \prod_{j=0}^{i-1} \phi(\zeta^j).$$

Now use properties of cyclotomic polynomials to find an  $\alpha$  so that  $\psi$  is well-defined and has the property

$$\phi(\mathbf{x}) = \frac{\psi(\zeta \cdot \mathbf{x})}{\psi(\mathbf{x})}.$$



# The Néron model of a torus

$R$  – ring of integers of  $K$  with uniformizer  $\pi$

$R_d$  –  $R/\pi^{d+1}R$

$\mathbf{T}_R$  – The Néron model of  $\mathbf{T}$ : a separated, smooth commutative group scheme over  $R$ , locally of finite type with the Néron mapping property.

$$\mathbf{T}_R(R) = \mathbf{T}(K)$$

In the  $\mathbb{G}_m$  case the Néron model is a union of copies of  $\mathbb{G}_m/R$ , glued along the generic fiber.

$\mathbf{T}_d$  –  $\mathbf{T}_R \times_R R_d$ .

# The Greenberg functor

The Greenberg functor  $\text{Gr}$  takes a group scheme over an Artinian local ring  $A$  (locally of finite type) and produces a group scheme over the residue field  $k$  whose  $k$  points are canonically identified with the  $A$ -points of the original scheme. We set

$$\mathfrak{T}_d = \text{Gr}(\mathbf{T}_d)$$

and

$$\mathfrak{T} = \varprojlim \mathfrak{T}_d.$$

$\mathfrak{T}$  is a commutative group scheme over  $k$  with

$$\mathfrak{T}(k) = \mathbf{T}(K).$$

# Character sheaves on $\mathcal{T}$

We write  $\mathcal{CS}(\mathcal{T})$  for the projective limit of the categories  $\mathcal{CS}(\mathcal{T}_d)$ .

## Theorem

$$T(K)^* \cong \mathcal{CS}(\mathcal{T})_{/iso}$$

*and this isomorphism preserves depth.*

Thank you.