

43. LECTURE 34: DIXMIER-DOUADY INVARIANT  
FRIDAY, 5 DECEMBER, 2008

Even though it is wandering further into Čech theory than I really wanted to go, I will discuss the Brylinski-Hitchin definition of a gerbe (calling it ‘gerbe data’), the derivation of the Dixmier-Douady class and show how the K-theory gerbe (and more generally any bundle gerbe) defines such gerbe data. If there is a little more time I will go through, at least in outline, the construction of a principal PU-bundle from gerbe data.

Let me start with the notion of a Čech type gerbe of Brylinski and later modified by Hitchin. For orientation, start with the 0-gerbe, the line bundle.

*Definition 12.* *Line bundle data* (to be considered as one word) on a manifold  $X$  – for convenience taken to be compact here – consists of the following:-

- (1) A (finite) covering of  $X$  by open sets,  $U_i, i \in N$ .
- (2) A  $C^\infty$  complex line bundle  $L_i \rightarrow U_i$  over each  $U_i$ .
- (3) An isomorphism of complex line bundles for each  $i, j$  such that  $U_{ij} = U_i \cap U_j \neq \emptyset$ ,

$$T_{ij} : L_i|_{U_{ij}} \rightarrow L_j|_{U_{ij}} \text{ with } T_{ji} = T_{ij}^{-1}.$$

- (4) The compatibility (cocycle) condition for each  $i, j, k$  such that  $U_{ijk} = U_i \cap U_j \cap U_k \neq \emptyset$ ,

$$(43.1) \quad T_{ki}T_{jk}T_{ij} = \text{Id on } L_i|_{U_{ijk}}.$$

There are extreme cases of such vector bundle data. One possibility is that there is only one element in the open cover,  $U_1 = Z$ , and then  $L \rightarrow Z$  is simply a complex line bundle. Alternatively, all the line bundles could be trivial,  $L_i = U_i \times \mathbb{C}$  and then we get what is the usual notion of a trivialization of a line bundle. Namely, the  $T_{ij}$  become maps  $t_{ij} : U_{ij} \rightarrow \mathbb{C}^*$  and the cocycle condition becomes

$$(43.2) \quad t_{ki}t_{jk}t_{ij} = 1.$$

In fact such line bundle data *always* defines a complex line bundle. Simply define the 1-dimensional complex vector space at each point by

$$(43.3) \quad L_p = \{(z_i) \in \bigoplus_{p \in U_i} (L_i)_p; T_{ij}z_i = z_j \forall i, j \text{ s.t. } p \in U_{ij}\}.$$

Then  $L = \cup_p L_p$  is a complex line bundle and moreover there exist bundle isomorphisms

$$(43.4) \quad T_i : L|_{U_i} \rightarrow L_i \text{ s.t. } T_{ij}T_i = T_j \text{ on } U_{ij}.$$

I do not want to follow all this Čech stuff to its logical conclusion, but observe that the converse of (43.4) is also true. If  $\tilde{L}$  is a line bundle over  $X$  and there are bundle isomorphisms  $\tilde{T}_i : \tilde{L}|_{U_i} \rightarrow L_i$  for each  $i$  such that  $T_{ij}\tilde{T}_i = \tilde{T}_j$  on  $U_{ij}$  then  $L$  and  $\tilde{L}$  are globally isomorphic as vector bundles. Moreover, one can *refine* line bundle data given a refinement of the cover. That is, if  $U'_l, l \in N'$ , is another open cover with a map  $I : N' \rightarrow N$  such that  $U'_l \subset U_{I(l)}$  for all  $l \in N'$ , then the  $L'_l = L_{I(l)}|_{U'_l}$  carry ‘obvious’ induced line bundle data and the line bundle generated by this data is globally isomorphic to that generated by the original data.

Now, one can always find a *good open cover* which refines a given cover; it suffices to take a covering by sufficiently small balls with respect to some Riemannian structure on the manifold. The condition that an open cover be *good* is that all

the non-trivial intersections of its elements be contractible. So, one can find a refinement to a good open cover still denoted  $U_i$ . In that case there is a trivialization of each  $T_i : L_i \rightarrow \tilde{L}_i = \mathbb{C} \times U_i$  over  $U_i$ . Then  $\tilde{L}_i$  with  $t_{ij} = \tilde{T}_j T_{ij} \tilde{T}_i^{-1} \in \mathcal{C}^\infty(U_i, \mathbb{C}^*)$  gives new line bundle data which also generates an isomorphic line bundle. Since  $U_{ij}$  is also contractible, one can choose logarithms

$$(43.5) \quad \gamma_{ij} \in \mathcal{C}^\infty(U_i, \mathbb{C}) \text{ s.t. } t_{ij} = \exp(2\pi i \gamma_{ij}), \quad \gamma_{ji} = -\gamma_{ij}.$$

Now, on the triple overlaps

$$(43.6) \quad n_{ijk} = \gamma_{ij} + \gamma_{jk} + \gamma_{ki} \in \mathbb{Z} \text{ on } U_{ijk}$$

is constant and integral, since by the cocycle condition (43.2)  $\exp(2\pi i n_{ijk}) = 1$  (and  $U_{ijk}$  is contractible). Moreover this satisfies the closure condition for Čech cocycles, that

$$(43.7) \quad n_{ijk} + n_{jkl} + n_{kli} + n_{lij} = 0 \text{ if } U_{ijkl} \neq \emptyset.$$

Thus the  $n_{ijk}$  fix a Čech 2-cocycle and hence a Čech cohomology class

$$(43.8) \quad \omega(L) \in \check{H}^2(X, \mathbb{Z}).$$

Of course, there is some work here to show that the Čech cohomology class is independent of the choice of good cover, etc.

Then one arrives at the well-known result:-

**Theorem 16.** *Two complex line bundles over a compact manifold are globally isomorphic if and only if they define the same class in  $\check{H}^2(Z, \mathbb{Z})$  and every such class corresponds to an isomorphism class of line bundles.*

*Proof.* The main thing to see is the independence of the class  $\omega(L)$  of the choice of good open cover – this really amounts to showing that the same class arises under refinement to another good open cover, since any two open covers have a common, good, refinement. The converse, that each class arises this way, follows by the fact that any Čech cocycle  $n_{ijk}$  with respect to some open cover, arises from  $\gamma_{ij}$ 's through (43.6). Namely one can just choose a partition of unity  $\chi_i$  subordinate to the open cover and set

$$(43.9) \quad \gamma_{ij} = \sum_k \chi_k n_{ijk} \text{ on } U_{ij}.$$

Exponentiating the  $\gamma_{ij}$ 's gives line bundle data which in turn generates the original class  $n_{ijk}$ .  $\square$

So, why have I gone through all this standard Čechy stuff? Basically, I just wanted to prepare for the Čech version of a gerbe.

*Definition 13.* *Gerbe data* on a compact manifold  $X$  consists of

- (1) A (finite) open cover  $U_i$  of  $Z$ .
- (2) A  $\mathcal{C}^\infty$  line bundle  $L_{ij} \rightarrow U_{ij}$  over each non-trivial  $U_{ij} = U_i \cap U_j$  with  $L_{ji} = L'_{ij}$  (the dual).
- (3) For each non-trivial  $U_{ijk}$  a trivialization

$$(43.10) \quad T_{ijk} : L_{ij} \otimes L_{jk} \otimes L_{ki} \rightarrow \mathbb{C} \text{ on } U_{ijk}.$$

(4) The cocycle condition that over each non-trivial  $U_{ijkl}$

$$(43.11) \quad T_{ijk} T_{jkl}^{-1} T_{kli} T_{lij}^{-1} = 1$$

where this makes sense because the tensor product of the four 3-fold tensor products, as in (43.10), is canonically trivial:

$$(43.12) \quad L_{ij} \otimes L_{jk} \otimes L_{ki} \otimes L'_{jk} \otimes L'_{kl} \otimes L'_{ij} \otimes L_{kl} \otimes L_{li} \otimes L_{ik} \otimes L'_{li} \otimes L'_{ij} \otimes L'_{jl} = \mathbb{C}.$$

**Proposition 59.** *Any gerbe data defines a class (the Dixmier-Douady class)  $DD \in H^3(X, \mathbb{Z})$  which is constant under refinement and any two collections of gerbe data with the same Dixmier-Douady class are isomorphic after refinement (to a common good open cover).*

*Proof.* The definition of the Dixmier-Douady class follows the same idea as for line bundle data above. Namely, first refine to a good open cover (of course one has to define this process and check that it does indeed give new gerbe data). Then all the  $L_{ij}$  are trivial, with trivializations  $\tilde{T}_{ij}$ . The  $T_{ijk}$  now become maps  $t_{ijk} : U_{ijk} \rightarrow \mathbb{C}^*$  and so have logarithms,  $\gamma_{ijk}$ . These generate integers

$$(43.13) \quad n_{ijkl} = \gamma_{ijk} - \gamma_{jkl} + \gamma_{kli} - \gamma_{lij} \text{ on } U_{ijkl}$$

and these form a Čech 3-cocycle and hence class  $[n] \in \check{H}^3(X; \mathbb{Z})$ .

So, now the checking begins! I leave it to you (after consulting Brylinski's book, [2], if you prefer) to show that this class is well-defined, i.e. does not change under refinement and determines the gerbe data up to the natural notion of isomorphism after sufficient refinement. Moreover, every integral Čech 3-class arises this way.  $\square$

**Theorem 17.** *Čech gerbe data in the sense of Definition 13 defines a principal PU bundle over  $X$ , where  $PU = U/U(1)$  is the quotient of the group of unitary operators on a separable, infinite-dimensional, Hilbert space by the multiples of the identity, all PU bundles (up to isomorphism) arise this way and two principal PU bundles are isomorphic if and only if the Dixmier-Douady invariants of their gerbe data are equal.*

*Proof.* Not very likely.  $\square$

Now, let me check that we can extract 'gerbe data' from the K-theory gerbe as just described. To do this, consider the pull-back of the gerbe under some map from a finite dimensional manifold  $X \rightarrow G_{\det=1}^{-\infty} \rightarrow G^{-\infty}$  which therefore represents an odd K-class on  $X$ . Let  $\mathcal{E}$  be the pull-back of the bundle  $\tilde{G}_{\text{sus}, \tilde{\eta}=0}^{-\infty}$ . The first thing to note is that we can find local sections of  $\mathcal{E}$ , meaning it is locally trivial. Indeed, without the restriction to  $\tilde{\eta} = 0$  this was discussed earlier. Since  $\tilde{\eta}$  exponentiates to  $\det \circ R_\infty$ , it is enough to recall that  $R_\infty$  is surjective, since on a local section of  $\tilde{G}_{\text{sus}}^{-\infty}$  on which  $\det \circ R_\infty = 0$  the function  $\tilde{\eta}$  is necessarily constant. Thus, there is an open cover  $U_i$  of  $X$  on the elements of which  $\mathcal{E}$  has a section (and as a principal bundle is then trivial). On the overlaps  $U_{ij}$  there are two sections, and hence a section of  $\mathcal{E}^{[2]}$ . Using this the determinant line bundle may be pulled back to define a line bundle  $L_{ij}$  over  $U_{ij}$ . It only remains to check the properties required of gerbe data in Definition 13. That  $L_{ji}$  is the dual of  $L_{ij}$  follows from the primitivity of the determinant line bundle and the fact that it is canonically trivial over the diagonal. Similarly the existence of a trivialization of the triple tensor product in (43.10) over any  $U_{ijk}$  follows from the primitivity of  $\mathcal{L}$ , as does the naturality (43.11).

Thus the K-theory gerbe does define Čech gerbe data.

*Exercise 42* (I will do this eventually). Show that the Dixmier Douady invariant of the pull-back of the K-theory gerbe to  $X$  is (a multiple of) the second odd Chern class of the element  $K^1(X)$  which the map defines.