

18.155 LECTURE 14
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- Spectral theorem for compact self-adjoint operators:- If $A = A^* \in \mathcal{K}(H)$ then $\text{null}(A)^\perp$ has an orthonormal basis of eigenvectors for A with eigenvalues λ_i and $|\lambda_i| \rightarrow 0$.
- Polar decomposition – every bounded operator can be written uniquely as a product

(1) $A = PV, P = P^* \geq 0, \text{null}(P) = \text{Ran}(A)^\perp,$

$\text{null}(V) = \text{null}(A), V : \text{null}(A)^\perp \mapsto \overline{\text{Ran}(A)}$ an isometry.

- Spectral projections:- If $A = A^* \in \mathcal{B}(H)$ and $a \in \mathbb{R}$, there is a projection $Q_a = Q_a^* = Q_a^2$ such that $f(A)Q_a = Q_a f(A), \text{spec}(Q_a A) \subset (-\infty, a], \text{spec}((\text{Id} - Q_a)A) \subset [a, \infty)$ and

(2) $\langle Q_a u, u \rangle = \inf\{\langle g(A)u, u \rangle; 0 \leq g \in \mathcal{C}(\mathbb{R}), g(s) = 1 \text{ in } s \leq a, g(s) \geq 0\}, \forall u \in H.$

- Hilbert-Schmidt operators. If H is separable and infinite dimensional, $A \in \mathcal{B}(H)$ is ‘Hilbert-Schmidt’ if

(3)
$$\sum_i \|Ae_i\|^2 < \infty$$

for some orthonormal bases e_i . This is a 2-sided *-ideal of compact operators which is a Hilbert space with respect to the norm

(4)
$$\|A\|_{\text{HS}}^2 = \sum_i \|Ae_i\|^2$$

which is independent of the orthonormal basis.

- Trace class $\mathcal{T}(H)$. The trace class ideal consists of the finite sums of products of two Hilbert-Schmidt operators. Each element is actually the product of two Hilbert-Schmidt operators and it is a 2-sided *-ideal which is a Banach subspace of $\mathcal{K}(H)$ with respect to the norm

(5)
$$\|A\|_{\text{Tr}} = \sup \sum_i |\langle Ae_i, f_i \rangle|$$

with the supremum over all pairs of orthonormal bases.

- A bounded operator A is Hilbert-Schmidt/trace class if and only if $(AA^*)^{\frac{1}{2}}$ is Hilbert-Schmidt/trace class.
- The trace functional,

(6)
$$\text{Tr} : \mathcal{T}(H) \longrightarrow \mathbb{C}, \text{Tr}(AB) = \langle B, A^* \rangle_{\text{HS}} = \sum_i \langle Te_i, e_i \rangle, T = AB, A, B \in \text{HS}(H)$$

is a continuous linear functional vanishing on commutators.

- An operators $L \in \mathcal{B}(H)$ is Fredholm if and only if it has finite dimensional null space and closed range with finite-dimensional orthocomplement.
- If L is Fredholm then there exists a uniquely defined $Q \in \mathcal{B}(H)$ such that

$$(7) \quad QL = \text{Id} - P_{\text{null}(L)}, \quad LQ = \text{Id} - P_{\text{null}(L^*)}.$$

- The index

$$(8) \quad \text{ind}(L) = \dim \text{null}(L) - \dim \text{null}(L^*)$$

is constant on, and labels, the components of the Fredholm operators.

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