

18.155 LECTURE 13
26 OCTOBER, 2017

RICHARD MELROSE

No lecture on 24th October.

Read: Notes Chapter 2.

- Compact operators as closure of finite rank operators.
- $\overline{\text{Ran } A}^\perp = \text{Nul}(A^*)$.
- Since there is no lecture Tuesday you can (have) use(d) it to check that Baire's Theorem can be used to show:
 - Uniform Boundedness=Banach-Steinhaus (straightforward)
 - Open Mapping Theorem (trickier)
 - Closed Graph Theorem (follows easily from OMT) – look at the commutative diagram

$$(1) \quad \begin{array}{ccc} & \text{Gr}(A) \subset H \times H & \\ \nearrow \pi_1 & & \searrow \pi_2 \\ H & \xrightarrow{\text{(Id, } A)} & H \\ & \xrightarrow{A} & \end{array}$$

If $\text{Gr}(A)$ is closed the continuity of π_1 , and hence A follows from the OMT.

Note that if $A : H \rightarrow H$ is bounded and a bijection, the continuity of A^{-1} follows from either the OMT or the CGT.

- Spectrum and resolvent of a bounded operator.
- Spectrum of a compact operator is discrete outside $\{0\}$.
- Spectrum of a self-adjoint operator is contained in $\mathbb{R} \subset \mathbb{C}$.
- If $A = A^* \in \mathcal{B}(H)$ then $\|A\| = \sup_{\|u\|=1} |\langle Au, u \rangle|$.
- If $A = A^*$ then

$$\{\alpha\} \cup \{\beta\} \subset \text{spec}(A) \subset [\alpha, \beta], \quad \alpha = \inf_{\|u\|=1} \langle Au, u \rangle, \quad \beta = \sup_{\|u\|=1} \langle Au, u \rangle.$$

- If $A = A^*$ and p is a polynomial with real coefficients then

$$(2) \quad \|p(A)\| \leq \sup_{[\alpha, \beta]} |p(z)|.$$

- (Functional Calculus) If $A = A^* \in \mathcal{B}(H)$ there is a continuous linear map of norm one

$$(3) \quad \mathcal{C}([\alpha, \beta]) \ni f \mapsto f(A) \in \mathcal{B}(H) \text{ s.t. } f(A)g(A) = (fg)(A).$$

where $f(A) = A^j$ if $f(x) = x^j$.

- Existence of spectral projections.

DEPARTMENT OF MATHEMATICS, MASSACHUSETTS INSTITUTE OF TECHNOLOGY
E-mail address: rbm@math.mit.edu