

23. INCLUSION-EXCLUSION

Proposition 23.1. *Let $D = D_1 \cup D_2$ be a bounded region and let $f: D \rightarrow \mathbb{R}$ be a function.*

If f is integrable over D_1 and over D_2 , then f is integrable over D and $D_1 \cap D_2$, and we have

$$\iint_D f(x, y) \, dx \, dy = \iint_{D_1} f(x, y) \, dx \, dy + \iint_{D_2} f(x, y) \, dx \, dy - \iint_{D_1 \cap D_2} f(x, y) \, dx \, dy.$$

Example 23.2. *Let*

$$D = \{(x, y) \in \mathbb{R}^2 \mid 1 \leq x^2 + y^2 \leq 9\}.$$

Then D is not an elementary region. Let

$$D_1 = \{(x, y) \in D \mid y \geq 0\} \quad \text{and} \quad D_2 = \{(x, y) \in D \mid y \leq 0\}.$$

Then D_1 and D_2 are both of type 1.

If f is continuous, then f is integrable over D and $D_1 \cap D_2$. In fact

$$\begin{aligned} D_1 \cap D_2 = L \cup R = \{(x, y) \in \mathbb{R}^2 \mid -3 \leq x \leq -1, 0 \leq y \leq 0\} \\ \cup \{(x, y) \in \mathbb{R}^2 \mid 1 \leq x \leq 3, 0 \leq y \leq 0\}. \end{aligned}$$

Now L and R are elementary regions. We have

$$\iint_R f(x, y) \, dx \, dy = \int_{-1}^3 \left(\int_0^0 f(x, y) \, dy \right) dx = 0.$$

Therefore, by symmetry,

$$\iint_L f(x, y) \, dx \, dy = \iint_R f(x, y) \, dx \, dy = 0$$

and so

$$\iint_D f(x, y) \, dx \, dy = \iint_{D_1} f(x, y) \, dx \, dy + \iint_{D_2} f(x, y) \, dx \, dy.$$

To integrate f over D_1 , break D_1 into three parts.

$$\begin{aligned} \iint_{D_1} f(x, y) \, dx \, dy &= \int_{-3}^3 \left(\int_{\gamma(x)}^{\delta(x)} f(x, y) \, dy \right) dx \\ &= \int_{-3}^{-1} \left(\int_0^{\sqrt{9-x^2}} f(x, y) \, dy \right) dx \\ &\quad + \int_{-1}^1 \left(\int_{\sqrt{1-x^2}}^{\sqrt{9-x^2}} f(x, y) \, dy \right) dx \\ &\quad + \int_1^3 \left(\int_0^{\sqrt{9-x^2}} f(x, y) \, dy \right) dx. \end{aligned}$$

One can do something similar for D_2 .

Example 23.3. Suppose we are given that

$$\iint_D f(x, y) \, dx \, dy = \int_0^1 \left(\int_y^{2y} f(x, y) \, dx \right) dy.$$

What is the region D ?

It is the region bounded by the two lines $y = x$ and $x = 2y$ and between the two lines $y = 0$ and $y = 1$.

Change order of integration:

$$\iint_D f(x, y) \, dx \, dy = \int_0^1 \left(\int_{x/2}^x f(x, y) \, dx \right) dy + \int_1^2 \left(\int_{x/2}^1 f(x, y) \, dx \right) dy.$$

Example 23.4. Calculate the volume of a solid ball of radius a . Let

$$B = \{ (x, y, z) \in \mathbb{R}^3 \mid x^2 + y^2 + z^2 \leq a^2 \}.$$

We want the volume of B . Break into two pieces. Let

$$B^+ = \{ (x, y, z) \in \mathbb{R}^3 \mid x^2 + y^2 + z^2 \leq a^2, z \geq 0 \}.$$

Let

$$D = \{ (x, y) \in \mathbb{R}^2 \mid x^2 + y^2 \leq a^2 \}.$$

Then B^+ is bounded by the xy -plane and the graph of the function

$$f: D \longrightarrow \mathbb{R},$$

given by

$$f(x, y) = \sqrt{a^2 - x^2 - y^2}.$$

It follows that

$$\begin{aligned}
 \text{vol}(B^+) &= \iint_D \sqrt{a^2 - x^2 - y^2} \, dy \, dx \\
 &= \int_{-a}^a \left(\int_{-\sqrt{a^2-x^2}}^{\sqrt{a^2-x^2}} \sqrt{a^2 - x^2 - y^2} \, dy \right) dx \\
 &= \int_{-a}^a \left(\int_{-\sqrt{a^2-x^2}}^{\sqrt{a^2-x^2}} \sqrt{1 - \frac{y^2}{a^2-x^2}} \sqrt{a^2-x^2} \, dy \right) dx.
 \end{aligned}$$

Now let's make the substitution

$$t = \frac{y}{\sqrt{a^2-x^2}} \quad \text{so that} \quad dt = \frac{dy}{\sqrt{a^2-x^2}}.$$

$$\begin{aligned}
 \text{vol}(B^+) &= \int_{-a}^a \left(\int_{-1}^1 \sqrt{1-t^2} (a^2-x^2) \, dt \right) dx \\
 &= \int_{-a}^a (a^2-x^2) \left(\int_{-1}^1 \sqrt{1-t^2} \, dt \right) dx
 \end{aligned}$$

Now let's make the substitution

$$t = \sin u \quad \text{so that} \quad dt = \cos u \, du.$$

$$\begin{aligned}
 \text{vol}(B^+) &= \int_{-a}^a (a^2-x^2) \left(\int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} \cos^2 u \, du \right) dx \\
 &= \int_{-a}^a (a^2-x^2) \frac{\pi}{2} \, dx \\
 &= \frac{\pi}{2} \left[a^2 x - \frac{x^3}{3} \right]_{-a}^a \\
 &= \pi \left(a^3 - \frac{a^3}{3} \right) \\
 &= \frac{2\pi a^3}{3}.
 \end{aligned}$$

Therefore, we get the expected answer

$$\text{vol}(B) = 2 \text{vol}(B^+) = \frac{4\pi a^3}{3}.$$

Example 23.5. Now consider the example of a cone whose base radius is a and whose height is b . Put the central axis along the x -axis and

the base in the yz -plane. In the xy -plane we get an equilateral triangle of height b and base $2a$. If we view this as a region of type 1, we have

$$\gamma(x) = -a \left(1 - \frac{x}{b}\right) \quad \text{and} \quad \delta(x) = a \left(1 - \frac{x}{b}\right).$$

We want to integrate the function

$$f: D \longrightarrow \mathbb{R},$$

given by

$$f(x, y) = \sqrt{a^2 \left(1 - \frac{x}{b}\right)^2 - y^2}.$$

So half of the volume of the cone is

$$\begin{aligned} \int_0^b \left(\int_{-a(1-\frac{x}{b})}^{a(1-\frac{x}{b})} \sqrt{a^2 \left(1 - \frac{x}{b}\right)^2 - y^2} \, dy \right) dx &= \frac{\pi}{2} \int_0^b a^2 \left(1 - \frac{x}{b}\right)^2 dx \\ &= \frac{\pi a^2}{2} \int_0^b \left(1 - \frac{2x}{b} + \frac{x^2}{b^2}\right) dx \\ &= \frac{\pi a^2}{2} \left[x - \frac{x^2}{b} + \frac{x^3}{3b^2} \right]_0^b \\ &= \frac{1}{6}(\pi a^2 b). \end{aligned}$$

Therefore the volume is

$$\frac{1}{3}(\pi a^2 b).$$