

MODEL ANSWERS TO HWK #1

4.1. Suppose that $f: X \longrightarrow Y$ is a finite morphism of schemes. Since properness is local on the base, we may assume that $Y = \operatorname{Spec} B$ is affine. By (3.4) it follows that $X = \operatorname{Spec} A$ is affine and A is a finitely generated B -module. It follows that A is integral over B . There are two ways to proceed.

Here is the first. f is separated as X and Y are affine. As A is a finitely generated B -module it is certainly a finitely generated B -algebra and so f is of finite type. Since the property of being finite is stable under base extension, to show that f is universally closed it suffices to prove that f is closed.

Let $I \trianglelefteq A$ be an ideal and let $J \trianglelefteq B$ be the inverse image of I . I claim that $f(V(I)) = V(J)$. One direction is clear, the LHS is contained in the RHS. Otherwise suppose $\mathfrak{q} \in V(J)$, that is, $J \subset \mathfrak{q}$. We want to produce $I \subset \mathfrak{p}$ whose image is \mathfrak{q} . Equivalently we want to lift prime ideals of B/J to prime ideals of A/I . But A/I is integral over B/J and what we want is the content of the Going up Theorem in commutative algebra.

Here is the second. Pick $a_1, a_2, \dots, a_n \in A$ which generate A as a B -module. Let $C = B[a_1]$ and let $Z = \operatorname{Spec} C$. Then there are finite morphisms $X \longrightarrow Z$ and $Z \longrightarrow Y$. Since the composition of proper morphisms is proper, we are reduced to the case $n = 1$, by induction. Since A is integral over B , we may find a monic polynomial

$$m(x) = x^d + b_{d-1}x^{d-1} + \dots + b_0 \in B[x],$$

such that $m(a) = 0$. Thus we have a closed immersion $X \subset \mathbb{A}_Y^1$. Let

$$M(X, Y) = X^d + b_{d-1}X^{d-1}Y + \dots + b_0Y^d \in B[X, Y],$$

be the homogenisation of $m(x)$. Note that the corresponding closed subset of \mathbb{P}_B^1 is the same as the closed subset \mathbb{A}_B^1 , since the coefficient in front of X^d does not vanish. Thus there is a closed immersion $X \longrightarrow \mathbb{P}_Y^1$ and so $X \longrightarrow Y$ is projective, whence proper.

4.2. Let $h: X \longrightarrow Y \times_S Y$ be the morphism obtained by applying the universal property of the fibre product to both f and g . Then the image of h (set-theoretically) must land in the image of the diagonal morphism, as this is true on a dense open subset, and the image of the diagonal is closed. As X is reduced then in fact h factors through the diagonal morphism and so $f = g$.

(a) Let X be the subscheme of \mathbb{A}_k^2 defined by the ideal $\langle x^2, xy \rangle$, so that X is the union of the x -axis and the length two scheme $\langle y, x^2 \rangle$ (in fact X contains any length 2 scheme with support at the origin). Then there are many morphisms of X into $Y = \mathbb{A}_k^3$ which are the identity on the x -axis. Indeed pick any plane π containing the x -axis. Any isomorphism of \mathbb{A}_k^2 which is the identity on the x -axis to the plane π determines a morphism from X , by restriction. Moreover π is the smallest linear space through which this morphism factors. Thus any two such maps are different if we choose a different plane but all such morphisms are the same if we throw away the origin from X .

(b) Let Y be the non-separated scheme obtained by identifying all of the points of two copies of \mathbb{A}_k^1 , apart from the origins. If p_1 and p_2 are the images of the origins in Y then $Y - \{p_1, p_2\}$ is a copy of $\mathbb{A}_k^1 - \{0\}$. This gives us an isomorphism $\mathbb{A}_k^1 - \{0\} \rightarrow Y - \{p_1, p_2\}$ and by composition a morphism $\mathbb{A}_k^1 - \{0\} \rightarrow Y$. Clearly there are two ways to extend the morphism $\mathbb{A}_k^1 - \{0\} \rightarrow Y$ to the whole of $X = \mathbb{A}_k^1$.

4.3. Consider the commutative diagram

$$\begin{array}{ccc} U \cap V & \longrightarrow & X \\ \downarrow & & \downarrow \Delta \\ U \times_S V & \longrightarrow & X \times_S X, \end{array}$$

where the bottom arrow is the natural morphism induced by the natural inclusions $i: U \rightarrow X$ and $j: V \rightarrow X$. Suppose that W maps to both X and $U \times_S V$ over $X \times_S X$. Then there are two morphisms to U and V , which become equal when we compose with i and j . Hence the image of this morphism must lie in $U \cap V$ and so this commutative diagram is in fact a fibre square.

As $X \rightarrow S$ is separated, the diagonal morphism $\Delta: X \rightarrow X \times_S X$ is a closed immersion. As closed immersions are stable under base extension, $U \cap V \rightarrow U \times_S V$ is a closed immersion. But $U \times_S V$ is affine, since U , V and S are all affine. (II.3.11) implies that every closed subset of an affine scheme is affine and so $U \cap V$ is affine.

Let $S = \text{Spec } k$, where k is a field and let Y be the non-separated scheme obtained by taking two copies of \mathbb{A}_k^2 and identifying all of their points, except the origins. Then Y contains two copies U and V of \mathbb{A}_k^2 , both of which are open and affine. However, the intersection $U \cap V$ is a copy of $\mathbb{A}_k^2 - \{0\}$, which is not affine.

4.5. (a) We apply the valuative criteria for separatedness. Let $T = \text{Spec } R$ and $U = \text{Spec } K$. Then there is a morphism $U \rightarrow X$, obtained

by sending t_1 to the generic point of X . Suppose that the valuation has centres x and $y \in X$. Then R dominates both $\mathcal{O}_{X,x}$ and $\mathcal{O}_{X,y}$ and by (II.4.4) there are two morphisms $T \rightarrow X$ obtained by sending t_0 to x or y . As X is separated these two morphisms are the same by the valuative criteria. In particular $x = y$ and the centre of every valuation of K/k is unique.

(b) Since proper implies separated, uniqueness follows from (a). Once again there is a morphism $U \rightarrow X$. By the valuative criteria for properness this gives a morphism $T \rightarrow X$. By (II.4.4) if x is the image of x_0 then R dominates $\mathcal{O}_{X,x}$. But then x is the centre of the corresponding valuation.

(c) First some generalities about valuations. Let R be a valuation ring in the field L . Suppose we are given a diagram

$$\begin{array}{ccc} U & \longrightarrow & X \\ \downarrow & & \downarrow f \\ T & \longrightarrow & \text{Spec } k, \end{array}$$

where $U = \text{Spec } L$ and $T = \text{Spec } R$. By (II.4.4) $k \subset R$ so that R is a valuation ring of L/k . Let x_1 be the image of t_1 and let Z be the closure of x_1 , with the reduced induced structure. Let M be the function field of Z . By (II.4.4) we are given an inclusion $M \subset L$. Let $R' = R \cap M \subset M$. It is easy to see that R' is a local ring. As M is a quotient of \mathcal{O}_{X,x_1} , we can lift R' to a ring $S' \subset \mathcal{O}_{X,x_1} \subset K$. Finally, by Zorn's Lemma, we may find a local ring $S \subset K$ containing S' , maximal with this property, so that S is a valuation ring of K/k .

Now suppose that every valuation of K/k has at most one centre on X . Suppose we are given two morphisms $T \rightarrow X$. Let x and y be the images of t_0 . By (II.4.4) we are given inclusions $\mathcal{O}_{Z,x} \subset R$ and $\mathcal{O}_{Z,y} \subset R$. So $\mathcal{O}_{Z,x} \subset R'$ and $\mathcal{O}_{Z,y} \subset R'$. $\mathcal{O}_{Z,x}$ and $\mathcal{O}_{Z,y}$ lift to $\mathcal{O}_{X,x}$ and $\mathcal{O}_{X,y}$ in \mathcal{O}_{X,x_1} , so that $\mathcal{O}_{X,x} \subset S'$ and $\mathcal{O}_{X,y} \subset S'$. Thus $\mathcal{O}_{X,x} \subset S$ and $\mathcal{O}_{X,y} \subset S$ so that x and y are two centres of S . But then $x = y$ by hypothesis and so the valuative criteria implies X is separated.

Now suppose that every valuation of K/k has a unique centre on X . By hypothesis S has a centre x on X . In this case $\mathcal{O}_{X,x} \subset S$. $x \in Z$ so that in fact $\mathcal{O}_{X,x} \subset S'$. It follows that $\mathcal{O}_{Z,x} \subset R' \subset R$. By (II.4.4) this gives us a lift $T \rightarrow X$ and by the valuative criteria X is proper over k .

(d) Suppose not. Then we may find $a \in \Gamma(X, \mathcal{O}_X)$ such that $a \notin k$. Then $1/a \in K$ is not in k . As k is algebraically closed, $k[1/a]$ is isomorphic to a polynomial ring and $k[1/a]_{1/a}$ is a local ring. By Zorn's Lemma there is a ring R such that $1/a \in \mathfrak{m}_R$ and R is maximal with

respect to domination, that is, R is a valuation ring. As X is proper, R has a unique centre x on X . Thus $a \in \mathcal{O}_{X,x} \subset R$ so that $a \in R$. This contradicts the fact that $1/a \in \mathfrak{m}_R$.

4.6. Suppose that $X = \operatorname{Spec} A$ and $Y = \operatorname{Spec} B$. First assume that X and Y are reduced, that is A and B have no nilpotents. Note that X is Noetherian as X is of finite type over k . Let K be the field of fractions of A . Let $R \subset K$ be a valuation ring which contains B .

By (II.4.4) we get a diagram

$$\begin{array}{ccc} U & \longrightarrow & X \\ \downarrow & & \downarrow f \\ T & \longrightarrow & Y. \end{array}$$

As f is proper, it follows that we may find a morphism $T \rightarrow X$ making the diagram commute. Let $x \in X$ be the image of $x_0 \in T$. By (II.4.4) $A \subset \mathcal{O}_{X,x} \subset R$. Since this is true for every R , (II.4.11A) implies that A is contained in the integral closure of B inside L . But then A is a finitely generated B -module, as it is a finitely generated B -algebra.

We now prove the general case. Note that the following commutative diagram

$$\begin{array}{ccc} X_{\text{red}} & \longrightarrow & X \\ f_{\text{red}} \downarrow & & \downarrow f \\ Y_{\text{red}} & \longrightarrow & Y, \end{array}$$

is a fibre square. So f_{red} is a proper morphism. Now $X_{\text{red}} = \operatorname{Spec} A/I$ and $Y_{\text{red}} = \operatorname{Spec} B/J$, where I and J are the ideals of nilpotent elements and by what we have already proved A/I is a finite B/J -module. It follows that A/I is an integral extension of B/J . This implies that A/I is integral over B . As A is integral over A/I (the polynomial $x^n \in A[x]$ is monic) it follows that A is integral over B . As A is finitely generated B -algebra it follows that A is a finitely generated B -module.