

## 7. SHEAVES

**Definition 7.1.** Let  $X$  be a topological space. A **presheaf of groups**  $\mathcal{F}$  on  $X$  is a function which assigns to every open set  $U \subset X$  a group  $\mathcal{F}(U)$  and to every inclusion  $V \subset U$  a restriction map,

$$\rho_{UV}: \mathcal{F}(U) \longrightarrow \mathcal{F}(V),$$

which is a group homomorphism, such that if  $W \subset V \subset U$ , then

$$\rho_{VW} \circ \rho_{UV} = \rho_{UW}.$$

Succinctly put, a pre-sheaf is a contravariant functor from  $\mathfrak{Top}(X)$  to the category (Groups) of groups. Put this way, it is clear what we mean by a presheaf of rings, etc. The elements of  $\mathcal{F}(U)$  are called *sections*. We almost always denote  $\rho_{UV}(s) = s|_V$ .  $U_{ij}$  denotes  $U_i \cap U_j$ .

**Example 7.2.** Let  $X$  be a topological space and let  $G$  be a group. Define a presheaf  $\mathcal{G}$  as follows. Let  $U$  be any open subset of  $X$ .  $\mathcal{G}(U)$  is defined to be the set of constant functions from  $X$  to  $G$ . The restriction maps are the obvious ones.

**Definition 7.3.** A **sheaf**  $\mathcal{F}$  on a topological space is a presheaf which satisfies the following two axioms:

- (1) Given an open cover  $U_i$  of  $U$  an open subset of  $X$ , and a collection of sections  $s_i$  on  $U_i$ , such that  $s_i|_{U_{ij}} = s_j|_{U_{ij}}$  then there is a section  $s$  on  $U$  such that  $s|_{U_i} = s_i$ .
- (2) Given an open cover  $U_i$  of  $U$  an open subset of  $X$ , if  $s$  is a section on  $U$  such that  $s|_{U_i} = 0$ , then  $s$  is zero.

Note that we could easily combine (1) and (2) and require that there is a unique  $s$ , which is patched together from the  $s_i$ . It is very easy to give lots of examples of sheaves and presheaves. Basically, any collection of functions is a sheaf.

**Example 7.4.** Let  $M$  be a complex manifold. Then there are a collection of sheaves on  $M$ . The sheaf of holomorphic functions, the sheaf of  $C^\infty$ -functions and the sheaf of continuous functions. In all cases, the restrictions maps are the obvious ones, and there are obvious inclusions of sheaves.

Let  $X$  be a quasi-projective variety. A regular function is a morphism  $X \longrightarrow \mathbb{A}^1$ . Identifying  $\mathbb{A}^1$  with the groundfield, note that the sheaf of regular functions, is a sheaf of rings.

Note however that in general the presheaf defined in (7.2) is not a sheaf. For example, take  $X = \{a, b\}$  to be the topological space with the discrete topology and take  $G = \mathbb{Z}$ . Let  $U_1 = \{a\}$  and  $U_2 = \{b\}$  and

suppose  $s_1 = 0$  and  $s_2 = 1$ . Then there is no global constant function which restricts to both 0 and 1.

However this is easily fixed. Take  $\mathcal{F}$  to be the sheaf of locally constant functions.

**Definition 7.5.** A ring  $R$  is called a **local ring** if there is a unique maximal ideal.

**Definition 7.6.** Let  $X$  be a topological space and let  $\mathcal{F}$  be a presheaf on  $X$ . If  $p \in X$  let  $\mathbb{I} \subset \mathfrak{Top}(X)$  be the full subcategory of  $\mathfrak{Top}(X)$  whose objects are those open sets which contain  $p$ . The stalk of  $\mathcal{F}$  at  $p$ , denoted  $\mathcal{F}_p$ , is the colimit  $L = \lim_{\mathbb{I}} G$ , where  $G$  is the contravariant functor obtained from  $\mathcal{F}$  by restriction.

It is useful to untwist this definition. Note that the stalk is equal to the usual direct limit  $\lim_{p \in U} \mathcal{F}(U)$ . An element of the stalk is therefore a pair  $(s, U)$ , such that  $s \in \mathcal{F}(U)$ , modulo the equivalence relation,

$$(s, U) \sim (t, V)$$

if there is an open subset  $W \subset U \cap V$  such that

$$s|_W = t|_W.$$

In other words, we only care about what  $s$  looks like in an arbitrarily small neighbourhood of  $p$ . Note that when we have a sheaf of rings, the stalk is often a local ring.

**Example 7.7.** Let  $M$  be a complex manifold of dimension  $n$  and let  $p$  be a point of  $M$ . Then

$$\mathcal{O}_{M,p}^h \simeq \mathbb{C}\{z_1, z_2, \dots, z_n\},$$

the ring of convergent power series, since locally about  $p$ ,  $M$  looks like  $\mathbb{C}^n$  about zero, and any holomorphic function is determined by its Taylor series. On the other hand if  $M$  is a real manifold of dimension  $n$  there is a ring homomorphism

$$\mathcal{C}_{M,p}^\infty \longrightarrow \mathbb{R}[[x_1, x_2, \dots, x_n]],$$

but the kernel is simply huge. In other words, there are lots of infinitely differentiable functions with a trivial Taylor series. Notice also that the any formal power series is the Taylor series of some function.

**Definition 7.8.** A map between presheaves is a natural transformation of the corresponding functors.

Untwisting the definition, a map between presheaves

$$f: \mathcal{F} \longrightarrow \mathcal{G}$$

assigns to every open set  $U$  a group homomorphism

$$f(U): \mathcal{F}(U) \longrightarrow \mathcal{G}(U),$$

such that the following diagram always commutes

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightarrow{f(U)} & \mathcal{G}(U) \\ \rho_{UV} \downarrow & & \sigma_{UV} \downarrow \\ \mathcal{F}(V) & \xrightarrow{f(V)} & \mathcal{G}(V). \end{array}$$

Note that this gives us a category of presheaves, together with a full subcategory of sheaves.

**Definition-Lemma 7.9.** *Let  $\mathcal{F}$  be a presheaf.*

*Then the **sheaf associated to the presheaf**, is a sheaf  $\mathcal{F}^+$ , together with a morphism of sheaves  $u: \mathcal{F} \longrightarrow \mathcal{F}^+$  which is universal amongst all such morphisms of sheaves: that is given any morphism of presheaves*

$$f: \mathcal{F} \longrightarrow \mathcal{G},$$

*where  $\mathcal{G}$  is a sheaf, there is a unique induced morphism of sheaves which makes the following diagram commute*

$$\begin{array}{ccc} \mathcal{F} & \xrightarrow{f} & \mathcal{G} \\ u \downarrow & \nearrow & \\ \mathcal{F}^+ & & \end{array}$$

*Proof.* We just give the construction of  $\mathcal{F}^+$  and leave the details to the reader. Let  $H$  be the direct sum of all the stalks of  $\mathcal{F}$ . Let  $U \subset X$  be an open set. A section  $s$  of  $\mathcal{F}^+$  is by definition a function  $U \longrightarrow H$  which sends a point  $p$  to an element of  $H$ , that is a germ  $s(p) = s_p \in \mathcal{F}_p$ , which is locally given by sections of  $\mathcal{F}$ . That is for every  $q \in U$ , we require that there is an open subset  $V \subset U$  containing  $q$ , and a section  $t \in \mathcal{F}(V)$  such that  $(t, V)$  represents  $s_p$  in  $\mathcal{F}_p$  for all  $p \in V$ .  $\square$

For example the sheaf associated to the presheaf of constant functions to  $G$ , is the sheaf of locally constant functions to  $G$ .

**Proposition 7.10.** *Let  $\phi: \mathcal{F} \longrightarrow \mathcal{G}$  be a morphism of sheaves.*

*Then  $\phi$  is an isomorphism iff the induced map on stalks is always an isomorphism.*

*Proof.* One direction is clear. So suppose that the map on stalks is an isomorphism. It suffices to prove that  $\phi(U): \mathcal{F}(U) \longrightarrow \mathcal{G}(U)$  is an isomorphism, for every open subset  $U \subset X$ , since then the inverse morphism  $\phi$  is given by setting  $\psi(U) = \phi(U)^{-1}$ .

We first show that  $\phi(U)$  is injective. Let  $s \in \mathcal{F}(U)$  and suppose that  $\phi(U)(s) = 0$ . Then surely  $\phi_p(s_p) = 0$ , where  $s_p = (s, U) \in \mathcal{F}_p$  and  $p \in U$  is arbitrary. Since  $\phi_p$  is injective by assumption, it follows that there is an open set  $V_p \subset U$  containing  $p$  such that  $s|_{V_p} = 0$ .  $\{V_p\}_{p \in U}$  is an open cover of  $U$  and as  $\mathcal{F}$  is a sheaf, it follows that  $s = 0$ . Hence  $\phi(U)$  is injective, for every  $U$ .

Now we show that  $\phi(U)$  is surjective. Suppose that  $t \in \mathcal{F}(U)$ . Since  $\phi_p$  is surjective, for every  $p$ , we may find an open set  $p \in U_p \subset U$  and a section  $s_p \in \mathcal{F}(U_p)$  such that  $\phi(U_p)(s_p) = t|_{U_p}$ . Pick  $p$  and  $q \in U$  and set  $V = U_p \cap U_q$ . Then  $\phi(V)(s_p|_V) = \phi(V)(s_q|_V)$ . Since  $\phi(V)$  is injective, it follows that  $s_p|_V = s_q|_V$ . As  $\mathcal{F}$  is a sheaf, it follows that there is a section  $s \in \mathcal{F}(U)$  such that  $s|_{U_p} = s_p$ . But then  $\phi(U)(s) = t$  and so  $\phi(U)$  is surjective.  $\square$

**Example 7.11.** Let  $X = \mathbb{C} - \{0\}$ , let  $\mathcal{F} = \mathcal{O}_X$ , the sheaf of holomorphic functions and let  $\mathcal{G} = \mathcal{O}_X^*$ , the sheaf of non-zero holomorphic functions.

There is a natural map

$$\phi: \mathcal{F} \longrightarrow \mathcal{G},$$

which just sends a function  $f$  to its exponential. Then  $\phi$  is surjective on stalks; this just says that given a non-zero holomorphic function  $g$ , then  $\log(g)$  makes sense in a small neighbourhood of any point.

On the other hand  $\phi(X)$  is not surjective. Indeed  $z \in \mathcal{F}(X)$  is a function which is not in the image, since  $\log(z)$  is not globally single valued.

**Definition 7.12.** Let  $f: X \longrightarrow Y$  be a continuous map of topological spaces. Let  $\mathcal{F}$  be a sheaf on  $X$ . The **pushforward of  $\mathcal{F}$** , denoted  $f_*\mathcal{F}$ , is defined as follows

$$f_*\mathcal{F}(U) = \mathcal{F}(f^{-1}(U)),$$

where  $U \subset Y$  is an open set.

Let  $\mathcal{G}$  be a sheaf on  $Y$ . The **inverse image of  $\mathcal{G}$** , denoted  $f^{-1}\mathcal{G}$ , is the sheaf assigned to the presheaf

$$U \longrightarrow \lim_{f(U) \subset V} \mathcal{G}(V),$$

where  $U$  is an open subset of  $X$  and  $V$  ranges over all open subsets of  $Y$  which contain  $f(U)$ .

**Definition 7.13.** A pair  $(X, \mathcal{O}_X)$  is called a **ringed space**, if  $X$  is a topological space, and  $\mathcal{O}_X$  is a sheaf of rings. A morphism  $\phi: X \longrightarrow Y$  of ringed spaces is a pair  $(f, f^\#)$ , consisting of a continuous function  $f: X \longrightarrow Y$  and a sheaf morphism  $f^\#: \mathcal{O}_Y \longrightarrow f_*\mathcal{O}_X$ .

A **locally ringed space**, is a ringed space  $(X, \mathcal{O}_X)$  such that in addition every stalk  $\mathcal{O}_{X,x}$  of the structure sheaf is a local ring. A morphism of locally ringed spaces is a morphism of ringed spaces, such that for every point  $x \in X$ , the induced map

$$f_x^\#: \mathcal{O}_{Y,y} \longrightarrow \mathcal{O}_{X,x},$$

where  $y = f(x)$  is a morphism of local rings (that is the image of the maximal ideal of  $\mathcal{O}_{Y,y}$  lands in the the maximal ideal of  $\mathcal{O}_{X,x}$ ).

Note that we get a category of ringed spaces, whose objects are ringed spaces and whose morphisms are morphisms of ringed spaces. Further the category of locally ringed spaces is a subcategory, not necessarily a full subcategory.

**Definition 7.14.** Let  $(X, \mathcal{O}_X)$  be a ringed space. An  $\mathcal{O}_X$ -**module** is a sheaf  $\mathcal{F}$  such that for every open set  $U \subset X$ ,  $\mathcal{F}(U)$  has the structure of an  $\mathcal{O}_X(U)$ -module, compatible with the restriction map, in an obvious way.

Using (7.9) we may define various natural operations on sheaves. For example, let  $\mathcal{F}$  and  $\mathcal{G}$  be two  $\mathcal{O}_X$ -modules. The tensor product of  $\mathcal{F}$  and  $\mathcal{G}$ , denoted  $\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G}$ , is the sheaf associated to the presheaf

$$U \longrightarrow \mathcal{F}(U) \otimes_{\mathcal{O}_X(U)} \mathcal{G}(U),$$

and curly hom, denoted  $\mathbf{Hom}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{G})$ , is the sheaf

$$U \longrightarrow \mathbf{Hom}_{\mathcal{O}_U = \mathcal{O}_X|_U}(\mathcal{F}|_U, \mathcal{G}|_U).$$

Let  $f: \mathcal{F} \longrightarrow \mathcal{G}$  be a morphism of sheaves. The kernel of  $f$  is the sheaf which assigns to every open set  $U$  the kernel of the homomorphism  $f(U): \mathcal{F}(U) \longrightarrow \mathcal{G}(U)$ . Similarly the image is the sheaf associated to the presheaf which assigns to every open set  $U$  the image of the homomorphism  $f(U): \mathcal{F}(U) \longrightarrow \mathcal{G}(U)$ . We say that  $\phi$  is injective iff  $\text{Ker}(\phi) = 0$  and we say that  $\phi$  is surjective iff  $\text{Im}(\phi) = \mathcal{G}$ .

Given a morphism of ringed spaces, and a sheaf  $\mathcal{G}$  of  $\mathcal{O}_X$ -modules, the pullback of  $\mathcal{G}$ , denoted  $\phi^*\mathcal{G}$ , is the sheaf of  $\mathcal{O}_Y$ -modules,

$$\phi^{-1}\mathcal{G} \otimes_{\phi^{-1}\mathcal{O}_X} \mathcal{O}_Y.$$