

Finite generation of the canonical ring

James M^cKernan

UCSB

The space of n -forms

- Suppose that we are interested in classifying smooth projective varieties X of dimension n up to birational isomorphism.

The space of n -forms

- Suppose that we are interested in classifying smooth projective varieties X of dimension n up to birational isomorphism.
- It turns out that a fundamental invariant of a smooth projective variety X is the space of global holomorphic n -forms ω .

The space of n -forms

- Suppose that we are interested in classifying smooth projective varieties X of dimension n up to birational isomorphism.
- It turns out that a fundamental invariant of a smooth projective variety X is the space of global holomorphic n -forms ω .
- Locally, in coords z_1, z_2, \dots, z_n , a **global n -form** ω is of the form $f(z)dz_1 \wedge dz_2 \wedge dz_3 \dots dz_n$, where $f(z)$ is a holomorphic function of the coordinates.

The space of n -forms

- Suppose that we are interested in classifying smooth projective varieties X of dimension n up to birational isomorphism.
- It turns out that a fundamental invariant of a smooth projective variety X is the space of global holomorphic n -forms ω .
- Locally, in coords z_1, z_2, \dots, z_n , a **global n -form** ω is of the form $f(z) dz_1 \wedge dz_2 \wedge dz_3 \dots dz_n$, where $f(z)$ is a holomorphic function of the coordinates.
- If we choose another coordinate system, then $f(z)$ changes according to the Jacobian rule. A pluricanonical n -form of **weight m** is something that transforms to the m th power of the Jacobian.

The canonical divisor

- A **divisor** is a formal linear combination of codimension one subvarieties.

The canonical divisor

- A **divisor** is a formal linear combination of codimension one subvarieties.
- The **canonical divisor** K_X is formed by picking any **meromorphic** n -form ω , and then one takes the zeroes minus the poles of $f(z)$ to get a divisor.

The canonical divisor

- A **divisor** is a formal linear combination of codimension one subvarieties.
- The **canonical divisor** K_X is formed by picking any **meromorphic** n -form ω , and then one takes the zeroes minus the poles of $f(z)$ to get a divisor.
- It turns out that the canonical divisor captures a lot of the geometry of X .

The canonical divisor

- A **divisor** is a formal linear combination of codimension one subvarieties.
- The **canonical divisor** K_X is formed by picking any **meromorphic** n -form ω , and then one takes the zeroes minus the poles of $f(z)$ to get a divisor.
- It turns out that the canonical divisor captures a lot of the geometry of X .
- The space of all holomorphic n -forms is denoted $H^0(X, \mathcal{O}_X(K_X))$.

The canonical divisor

- A **divisor** is a formal linear combination of codimension one subvarieties.
- The **canonical divisor** K_X is formed by picking any **meromorphic** n -form ω , and then one takes the zeroes minus the poles of $f(z)$ to get a divisor.
- It turns out that the canonical divisor captures a lot of the geometry of X .
- The space of all holomorphic n -forms is denoted $H^0(X, \mathcal{O}_X(K_X))$.
- The space of all holomorphic pluricanonical n -forms of weight m is denoted $H^0(X, \mathcal{O}_X(mK_X))$.

The canonical ring

One can put all of these spaces together to get a graded ring, called the **canonical ring**:

$$R(X, K_X) = \bigoplus_{m \in \mathbb{N}} H^0(X, \mathcal{O}_X(mK_X)).$$

The canonical ring

One can put all of these spaces together to get a graded ring, called the **canonical ring**:

$$R(X, K_X) = \bigoplus_{m \in \mathbb{N}} H^0(X, \mathcal{O}_X(mK_X)).$$

Theorem. *[Birkar, Cascini, Hacon,-; Siu] Let X be a smooth projective variety.*

Then the canonical ring $R(X, K_X)$ is a finitely generated \mathbb{C} -algebra.

The canonical ring

One can put all of these spaces together to get a graded ring, called the **canonical ring**:

$$R(X, K_X) = \bigoplus_{m \in \mathbb{N}} H^0(X, \mathcal{O}_X(mK_X)).$$

Theorem. *[Birkar, Cascini, Hacon,-; Siu] Let X be a smooth projective variety.*

Then the canonical ring $R(X, K_X)$ is a finitely generated \mathbb{C} -algebra.

I would like to spend the rest of the talk giving some idea of how one might prove this result and perhaps more importantly why one might be interested in the canonical ring.

Curves

- Suppose that X is a curve. There are three cases.

Curves

- Suppose that X is a curve. There are three cases.
- $X = \mathbb{P}^1$, the Riemann sphere.

Curves

- Suppose that X is a curve. There are three cases.
- $X = \mathbb{P}^1$, the Riemann sphere.
- In this case $\frac{dz}{z}$ is a global meromorphic 1-form with a pole at zero p and infinity q . Thus $K_{\mathbb{P}^1} = -p - q$.

Curves

- Suppose that X is a curve. There are three cases.
- $X = \mathbb{P}^1$, the Riemann sphere.
- In this case $\frac{dz}{z}$ is a global meromorphic 1-form with a pole at zero p and infinity q . Thus $K_{\mathbb{P}^1} = -p - q$.
- There are then no global holomorphic 1-forms on \mathbb{P}^1 (any meromorphic 1-form has at least two poles).

Curves

- Suppose that X is a curve. There are three cases.
- $X = \mathbb{P}^1$, the Riemann sphere.
- In this case $\frac{dz}{z}$ is a global meromorphic 1-form with a pole at zero p and infinity q . Thus $K_{\mathbb{P}^1} = -p - q$.
- There are then no global holomorphic 1-forms on \mathbb{P}^1 (any meromorphic 1-form has at least two poles).
- Thus the canonical ring

$$R(\mathbb{P}^1, K_{\mathbb{P}^1}) = \bigoplus R_m = \mathbb{C},$$

is concentrated in degree zero, and it is surely finitely generated.

Elliptic Curve

- $X = E = \mathbb{C}/\Lambda$ is an elliptic curve (a complex torus), the quotient of \mathbb{C} by a two dimensional lattice $\mathbb{Z}^2 \simeq \Lambda \subset \mathbb{C}$. Any such curve can be represented as a smooth plane cubic.

Elliptic Curve

- $X = E = \mathbb{C}/\Lambda$ is an elliptic curve (a complex torus), the quotient of \mathbb{C} by a two dimensional lattice $\mathbb{Z}^2 \simeq \Lambda \subset \mathbb{C}$. Any such curve can be represented as a smooth plane cubic.
- In this case there is a global nowhere vanishing holomorphic 1-form ω . Indeed just descend dz from \mathbb{C} . Thus $K_E = 0$ (ω has no zeroes or poles).

Elliptic Curve

- $X = E = \mathbb{C}/\Lambda$ is an elliptic curve (a complex torus), the quotient of \mathbb{C} by a two dimensional lattice $\mathbb{Z}^2 \simeq \Lambda \subset \mathbb{C}$. Any such curve can be represented as a smooth plane cubic.
- In this case there is a global nowhere vanishing holomorphic 1-form ω . Indeed just descend dz from \mathbb{C} . Thus $K_E = 0$ (ω has no zeroes or poles).
- The canonical ring

$$R(E, K_E) = \bigoplus H^0(E, \mathcal{O}_E(mK_E)) = \bigoplus \mathbb{C},$$

and this is generated in degree one by ω .

Curves of genus at least two

- $X = C$ is a curve of genus $g \geq 2$.

Curves of genus at least two

- $X = C$ is a curve of genus $g \geq 2$.
- In this case there are lots of global holomorphic 1-forms ω , and even more pluricanonical forms.

Curves of genus at least two

- $X = C$ is a curve of genus $g \geq 2$.
- In this case there are lots of global holomorphic 1-forms ω , and even more pluricanonical forms.
- In fact ω has $2g - 2 > 0$ zeroes.

Curves of genus at least two

- $X = C$ is a curve of genus $g \geq 2$.
- In this case there are lots of global holomorphic 1-forms ω , and even more pluricanonical forms.
- In fact ω has $2g - 2 > 0$ zeroes.
- Thus $K_C = \sum_{i=1}^{2g-2} p_i$ and by Riemann-Roch the vector space

$$H^0(C, \mathcal{O}_C(mK_C)),$$

has dimension

$$h^0(C, \mathcal{O}_C(mK_C)) = (2m - 1)g + (1 - 2m).$$

Curves of genus at least two

- $X = C$ is a curve of genus $g \geq 2$.
- In this case there are lots of global holomorphic 1-forms ω , and even more pluricanonical forms.
- In fact ω has $2g - 2 > 0$ zeroes.
- Thus $K_C = \sum_{i=1}^{2g-2} p_i$ and by Riemann-Roch the vector space

$$H^0(C, \mathcal{O}_C(mK_C)),$$

has dimension

$$h^0(C, \mathcal{O}_C(mK_C)) = (2m - 1)g + (1 - 2m).$$

- In this example it is now not so obvious why the canonical ring is finitely generated.

Generalities on graded rings

- Given a graded ring R and a positive integer d the graded ring

$$R_{(d)} = \bigoplus R_{md}.$$

is called a **truncation** of R .

Generalities on graded rings

- Given a graded ring R and a positive integer d the graded ring

$$R_{(d)} = \bigoplus R_{md}.$$

is called a **truncation** of R .

- $R_{(d)}$ is finitely generated iff R is finitely generated.

Generalities on graded rings

- Given a graded ring R and a positive integer d the graded ring

$$R_{(d)} = \bigoplus R_{md}.$$

is called a **truncation** of R .

- $R_{(d)}$ is finitely generated iff R is finitely generated.
- Let $X \subset \mathbb{P}^n$ be a projective variety, and let I be the homogeneous ideal of polynomials vanishing on X .

Generalities on graded rings

- Given a graded ring R and a positive integer d the graded ring

$$R_{(d)} = \bigoplus R_{md}.$$

is called a **truncation** of R .

- $R_{(d)}$ is finitely generated iff R is finitely generated.
- Let $X \subset \mathbb{P}^n$ be a projective variety, and let I be the homogeneous ideal of polynomials vanishing on X .
- The **homogeneous coordinate ring** $\mathbb{C}[X]$ of X is the quotient $\mathbb{C}[X_0, X_1, \dots, X_n]/I$.

Generalities on graded rings

- Given a graded ring R and a positive integer d the graded ring

$$R_{(d)} = \bigoplus R_{md}.$$

is called a **truncation** of R .

- $R_{(d)}$ is finitely generated iff R is finitely generated.
- Let $X \subset \mathbb{P}^n$ be a projective variety, and let I be the homogeneous ideal of polynomials vanishing on X .
- The **homogeneous coordinate ring** $\mathbb{C}[X]$ of X is the quotient $\mathbb{C}[X_0, X_1, \dots, X_n]/I$.
- Clearly $\mathbb{C}[X]$ is a graded ring, which is a finitely generated \mathbb{C} -algebra.

Canonical embedding

- Let C be a curve of genus at least two, with canonical ring $R = R(C, K_C)$.

Canonical embedding

- Let C be a curve of genus at least two, with canonical ring $R = R(C, K_C)$.
- Riemann proved that there is an embedding $f: C \longrightarrow \mathbb{P}^{5g-5}$ such that $R_{(3)} = \mathbb{C}[C]$.

Canonical embedding

- Let C be a curve of genus at least two, with canonical ring $R = R(C, K_C)$.
- Riemann proved that there is an embedding $f: C \longrightarrow \mathbb{P}^{5g-5}$ such that $R_{(3)} = \mathbb{C}[C]$.
- In particular, putting all this together, we have shown that the canonical ring of any curve is finitely generated.

Canonical embedding

- Let C be a curve of genus at least two, with canonical ring $R = R(C, K_C)$.
- Riemann proved that there is an embedding $f: C \longrightarrow \mathbb{P}^{5g-5}$ such that $R_{(3)} = \mathbb{C}[C]$.
- In particular, putting all this together, we have shown that the canonical ring of any curve is finitely generated.
- In fact $3K_C = f^*H$ for any hyperplane H in \mathbb{P}^{5g-5} .

Canonical embedding

- Let C be a curve of genus at least two, with canonical ring $R = R(C, K_C)$.
- Riemann proved that there is an embedding $f: C \longrightarrow \mathbb{P}^{5g-5}$ such that $R_{(3)} = \mathbb{C}[C]$.
- In particular, putting all this together, we have shown that the canonical ring of any curve is finitely generated.
- In fact $3K_C = f^*H$ for any hyperplane H in \mathbb{P}^{5g-5} .
- That is to get the canonical divisor of C , just intersect C with a hyperplane and divide by three.

Canonical embedding

- Let C be a curve of genus at least two, with canonical ring $R = R(C, K_C)$.
- Riemann proved that there is an embedding $f: C \longrightarrow \mathbb{P}^{5g-5}$ such that $R_{(3)} = \mathbb{C}[C]$.
- In particular, putting all this together, we have shown that the canonical ring of any curve is finitely generated.
- In fact $3K_C = f^*H$ for any hyperplane H in \mathbb{P}^{5g-5} .
- That is to get the canonical divisor of C , just intersect C with a hyperplane and divide by three.
- In fact, more often than not, there is an embedding of C into \mathbb{P}^g , such that $R = \mathbb{C}[C]$.

Summary

- To prove that the canonical ring of a curve is finitely generated, there are three cases. \mathbb{P}^1 (easy), an elliptic curve (a little harder) and curves of genus at least two (hardest case).

Summary

- To prove that the canonical ring of a curve is finitely generated, there are three cases. \mathbb{P}^1 (easy), an elliptic curve (a little harder) and curves of genus at least two (hardest case).
- In the hardest case, we have to exhibit an embedding of C into projective space, and realise the canonical ring as a coordinate ring.

Summary

- To prove that the canonical ring of a curve is finitely generated, there are three cases. \mathbb{P}^1 (easy), an elliptic curve (a little harder) and curves of genus at least two (hardest case).
- In the hardest case, we have to exhibit an embedding of C into projective space, and realise the canonical ring as a coordinate ring.
- Hopefully it is becoming clearer that to prove finite generation, one needs to understand the geometry of X .

Summary

- To prove that the canonical ring of a curve is finitely generated, there are three cases. \mathbb{P}^1 (easy), an elliptic curve (a little harder) and curves of genus at least two (hardest case).
- In the hardest case, we have to exhibit an embedding of C into projective space, and realise the canonical ring as a coordinate ring.
- Hopefully it is becoming clearer that to prove finite generation, one needs to understand the geometry of X .
- Further the geometry of X is closely related to the behaviour of the canonical divisor and the canonical ring.

Surfaces

- Now consider the case of surfaces.

Surfaces

- Now consider the case of surfaces.
- Zariski proved that $h^0(X, \mathcal{O}_X(mK_X))$ is a polynomial $f(m)$ in m of degree at most two, up to a bounded function (which is in fact periodic).

Surfaces

- Now consider the case of surfaces.
- Zariski proved that $h^0(X, \mathcal{O}_X(mK_X))$ is a polynomial $f(m)$ in m of degree at most two, up to a bounded function (which is in fact periodic).
- The degree of f is called the **Kodaira dimension**.

Surfaces

- Now consider the case of surfaces.
- Zariski proved that $h^0(X, \mathcal{O}_X(mK_X))$ is a polynomial $f(m)$ in m of degree at most two, up to a bounded function (which is in fact periodic).
- The degree of f is called the **Kodaira dimension**.
- As with the case of curves, if the Kodaira dimension is zero (or $-\infty$), there is not much to prove.

Surfaces

- Now consider the case of surfaces.
- Zariski proved that $h^0(X, \mathcal{O}_X(mK_X))$ is a polynomial $f(m)$ in m of degree at most two, up to a bounded function (which is in fact periodic).
- The degree of f is called the **Kodaira dimension**.
- As with the case of curves, if the Kodaira dimension is zero (or $-\infty$), there is not much to prove.
- There are thus two interesting cases.

Surfaces

- Now consider the case of surfaces.
- Zariski proved that $h^0(X, \mathcal{O}_X(mK_X))$ is a polynomial $f(m)$ in m of degree at most two, up to a bounded function (which is in fact periodic).
- The degree of f is called the **Kodaira dimension**.
- As with the case of curves, if the Kodaira dimension is zero (or $-\infty$), there is not much to prove.
- There are thus two interesting cases.
- The Kodaira dimension is one, or

Surfaces

- Now consider the case of surfaces.
- Zariski proved that $h^0(X, \mathcal{O}_X(mK_X))$ is a polynomial $f(m)$ in m of degree at most two, up to a bounded function (which is in fact periodic).
- The degree of f is called the **Kodaira dimension**.
- As with the case of curves, if the Kodaira dimension is zero (or $-\infty$), there is not much to prove.
- There are thus two interesting cases.
 - The Kodaira dimension is one, or
 - The Kodaira dimension is two.

Kodaira dimension one

- Suppose that $X = S = C \times E$, where E is an elliptic curve. As K_E is the trivial divisor,

$$R(S, K_S) = R(C, K_C),$$

which as we have seen is finitely generated.

Kodaira dimension one

- Suppose that $X = S = C \times E$, where E is an elliptic curve. As K_E is the trivial divisor,

$$R(S, K_S) = R(C, K_C),$$

which as we have seen is finitely generated.

- It is too much to expect that S is always a product.

Kodaira dimension one

- Suppose that $X = S = C \times E$, where E is an elliptic curve. As K_E is the trivial divisor,

$$R(S, K_S) = R(C, K_C),$$

which as we have seen is finitely generated.

- It is too much to expect that S is always a product.
- In general, there is a morphism $f: S \longrightarrow C$ to a curve C , where the general fibre is an elliptic curve.

Kodaira dimension one

- Suppose that $X = S = C \times E$, where E is an elliptic curve. As K_E is the trivial divisor,

$$R(S, K_S) = R(C, K_C),$$

which as we have seen is finitely generated.

- It is too much to expect that S is always a product.
- In general, there is a morphism $f: S \rightarrow C$ to a curve C , where the general fibre is an elliptic curve.
- Kodaira proved that, after suitably modifying S ,

$$K_S = f^*(K_C + \Delta),$$

where Δ is a divisor with positive rational coeffs.

Kodaira's formula

- The divisor Δ measures how far S is from being a product (components of Δ arise both from the singular fibres and from the fact that the elliptic curves need not be isomorphic, according to a formulation due to Fujita)

Kodaira's formula

- The divisor Δ measures how far S is from being a product (components of Δ arise both from the singular fibres and from the fact that the elliptic curves need not be isomorphic, according to a formulation due to Fujita)
- Thus

$$\begin{aligned} R(S, K_S) &= R(C, K_C + \Delta) \\ &= \bigoplus_{m \in \mathbb{N}} H^0(C, \mathcal{O}_C(\lfloor m(K_C + \Delta) \rfloor)). \end{aligned}$$

where the rounddown is taken componentwise.

Kodaira's formula

- The divisor Δ measures how far S is from being a product (components of Δ arise both from the singular fibres and from the fact that the elliptic curves need not be isomorphic, according to a formulation due to Fujita)
- Thus

$$\begin{aligned} R(S, K_S) &= R(C, K_C + \Delta) \\ &= \bigoplus_{m \in \mathbb{N}} H^0(C, \mathcal{O}_C(\lfloor m(K_C + \Delta) \rfloor)). \end{aligned}$$

where the rounddown is taken componentwise.

- Finite generation then follows, as before.

Kodaira dimension two

- Zariski exhibited divisors D of Kodaira dimension two on a smooth surface S (in fact simply \mathbb{P}^2 blown up in twelve general points), such that the ring $R(S, D)$ is not finitely generated.

Kodaira dimension two

- Zariski exhibited divisors D of Kodaira dimension two on a smooth surface S (in fact simply \mathbb{P}^2 blown up in twelve general points), such that the ring $R(S, D)$ is not finitely generated.
- This case is therefore much more subtle.

Kodaira dimension two

- Zariski exhibited divisors D of Kodaira dimension two on a smooth surface S (in fact simply \mathbb{P}^2 blown up in twelve general points), such that the ring $R(S, D)$ is not finitely generated.
- This case is therefore much more subtle.
- In fact, based on Zariski's example, Fujita gave examples of smooth complex manifolds of dimension four whose canonical ring is not finitely generated.

Kodaira dimension two

- Zariski exhibited divisors D of Kodaira dimension two on a smooth surface S (in fact simply \mathbb{P}^2 blown up in twelve general points), such that the ring $R(S, D)$ is not finitely generated.
- This case is therefore much more subtle.
- In fact, based on Zariski's example, Fujita gave examples of smooth complex manifolds of dimension four whose canonical ring is not finitely generated.
- The key point is to use the fact that X is projective and to exhibit the canonical ring as a coordinate ring, under some embedding.

Nef divisors

- If we can find a map $f: X \longrightarrow \mathbb{P}^k$ such that $D = f^*H$, where H is a hyperplane, then we say that D is **semiample**. In this case $R(X, D) = \mathbb{C}[X]$, up to truncation, and so $R(X, D)$ is finitely generated.

Nef divisors

- If we can find a map $f: X \longrightarrow \mathbb{P}^k$ such that $D = f^*H$, where H is a hyperplane, then we say that D is **semiample**. In this case $R(X, D) = \mathbb{C}[X]$, up to truncation, and so $R(X, D)$ is finitely generated.
- Given a curve $C \subset \mathbb{P}^n$ then $H \cdot C > 0$.

Nef divisors

- If we can find a map $f: X \longrightarrow \mathbb{P}^k$ such that $D = f^*H$, where H is a hyperplane, then we say that D is **semiample**. In this case $R(X, D) = \mathbb{C}[X]$, up to truncation, and so $R(X, D)$ is finitely generated.
- Given a curve $C \subset \mathbb{P}^n$ then $H \cdot C > 0$.
- Thus if $D = f^*H$ is semiample then D is nef, that is $D \cdot C \geq 0$ (we get equality iff C is contracted by f).

Nef divisors

- If we can find a map $f: X \longrightarrow \mathbb{P}^k$ such that $D = f^*H$, where H is a hyperplane, then we say that D is **semiample**. In this case $R(X, D) = \mathbb{C}[X]$, up to truncation, and so $R(X, D)$ is finitely generated.
- Given a curve $C \subset \mathbb{P}^n$ then $H \cdot C > 0$.
- Thus if $D = f^*H$ is semiample then D is nef, that is $D \cdot C \geq 0$ (we get equality iff C is contracted by f).
- The converse is far from being true in general. E.g. divisors of degree zero on elliptic curves which are not torsion.

Base point free Theorem

Let X be a projective variety. Say that K_X is **big** and X is of **general type**, if the Kodaira dimension of K_X is equal to the dimension of X .

Base point free Theorem

Let X be a projective variety. Say that K_X is **big** and X is of **general type**, if the Kodaira dimension of K_X is equal to the dimension of X .

Theorem (Kawamata, Shokurov). *Let X be a smooth projective variety.*

If K_X is nef and big then K_X is semiample.

Base point free Theorem

Let X be a projective variety. Say that K_X is **big** and X is of **general type**, if the Kodaira dimension of K_X is equal to the dimension of X .

Theorem (Kawamata, Shokurov). *Let X be a smooth projective variety.*

If K_X is nef and big then K_X is semiample.

In particular if K_X is big and nef then $R(X, K_X)$ is finitely generated.

Base point theorem II

Let X be a variety and let Δ be a divisor. We say that the pair (X, Δ) is **kawamata log terminal**, if $K_X + \Delta$ is \mathbb{Q} -Cartier, the coefficients of Δ lie between zero and one, and this continues to hold on any resolution.

Base point theorem II

Let X be a variety and let Δ be a divisor. We say that the pair (X, Δ) is **kawamata log terminal**, if $K_X + \Delta$ is \mathbb{Q} -Cartier, the coefficients of Δ lie between zero and one, and this continues to hold on any resolution.

Then the base point free theorem applies to $K_X + \Delta$ if it is big and nef. In fact it applies even if Δ is big.

Base point theorem II

Let X be a variety and let Δ be a divisor. We say that the pair (X, Δ) is **kawamata log terminal**, if $K_X + \Delta$ is \mathbb{Q} -Cartier, the coefficients of Δ lie between zero and one, and this continues to hold on any resolution.

Then the base point free theorem applies to $K_X + \Delta$ if it is big and nef. In fact it applies even if Δ is big.

Without going into technical details, it is very important that the coefficients of Δ are all less than one.

Kodaira's formula revisited

Applying the ideas of Iitaka's program, we have:

Theorem (Fujino, Mori). *Let X be a smooth projective variety.*

Then there is a kawamata log terminal pair (Y, Γ) such that

$$R(X, K_X) = R(Y, K_Y + \Gamma),$$

where $K_Y + \Gamma$ is big.

Kodaira's formula revisited

Applying the ideas of Iitaka's program, we have:

Theorem (Fujino, Mori). *Let X be a smooth projective variety.*

Then there is a kawamata log terminal pair (Y, Γ) such that

$$R(X, K_X) = R(Y, K_Y + \Gamma),$$

where $K_Y + \Gamma$ is big.

If we knew that $K_Y + \Gamma$ were nef, then we could apply the base point free theorem and we would be done.

Kodaira's formula revisited

Applying the ideas of Iitaka's program, we have:

Theorem (Fujino, Mori). *Let X be a smooth projective variety.*

Then there is a kawamata log terminal pair (Y, Γ) such that

$$R(X, K_X) = R(Y, K_Y + \Gamma),$$

where $K_Y + \Gamma$ is big.

If we knew that $K_Y + \Gamma$ were nef, then we could apply the base point free theorem and we would be done.

Summary: we may assume that K_X (or more generally $K_X + \Delta$) is big and the whole problem turns on making K_X (or $K_X + \Delta$) nef.

An interesting rational map

- Let $\mathbb{C}^2 \longrightarrow \mathbb{C}$ be the map $(x, y) \longrightarrow y/x$.
Geometrically we take the line connecting the origin to (x, y) and assign the slope.

An interesting rational map

- Let $\mathbb{C}^2 \longrightarrow \mathbb{C}$ be the map $(x, y) \longrightarrow y/x$.
Geometrically we take the line connecting the origin to (x, y) and assign the slope.
- This map is not defined along the y -axis.

An interesting rational map

- Let $\mathbb{C}^2 \longrightarrow \mathbb{C}$ be the map $(x, y) \longrightarrow y/x$.
Geometrically we take the line connecting the origin to (x, y) and assign the slope.
- This map is not defined along the y -axis.
- Easy fix, replace \mathbb{C} by $\mathbb{P}^1 = \mathbb{C} \cup \{\infty\}$.

An interesting rational map

- Let $\mathbb{C}^2 \longrightarrow \mathbb{C}$ be the map $(x, y) \longrightarrow y/x$. Geometrically we take the line connecting the origin to (x, y) and assign the slope.
- This map is not defined along the y -axis.
- Easy fix, replace \mathbb{C} by $\mathbb{P}^1 = \mathbb{C} \cup \{\infty\}$.
- This map is still not defined at the origin. In fact there is no easy way to fix this

An interesting rational map

- Let $\mathbb{C}^2 \longrightarrow \mathbb{C}$ be the map $(x, y) \longrightarrow y/x$. Geometrically we take the line connecting the origin to (x, y) and assign the slope.
- This map is not defined along the y -axis.
- Easy fix, replace \mathbb{C} by $\mathbb{P}^1 = \mathbb{C} \cup \{\infty\}$.
- This map is still not defined at the origin. In fact there is no easy way to fix this
- **Zen type question:** what is the slope of the line connecting the origin to the origin?

The blow up

- Let $\Gamma \subset \mathbb{C}^2 \times \mathbb{P}^1$ be the closure of the graph of this rational map.

The blow up

- Let $\Gamma \subset \mathbb{C}^2 \times \mathbb{P}^1$ be the closure of the graph of this rational map.
- If coordinates on \mathbb{C}^2 are (x, y) and on \mathbb{P}^1 are $[S : T]$, then the equation for Γ is $xT = yS$.

The blow up

- Let $\Gamma \subset \mathbb{C}^2 \times \mathbb{P}^1$ be the closure of the graph of this rational map.
- If coordinates on \mathbb{C}^2 are (x, y) and on \mathbb{P}^1 are $[S : T]$, then the equation for Γ is $xT = yS$.
- Let $p: \Gamma \longrightarrow \mathbb{C}^2$ be the first projection.

The blow up

- Let $\Gamma \subset \mathbb{C}^2 \times \mathbb{P}^1$ be the closure of the graph of this rational map.
- If coordinates on \mathbb{C}^2 are (x, y) and on \mathbb{P}^1 are $[S : T]$, then the equation for Γ is $xT = yS$.
- Let $p: \Gamma \longrightarrow \mathbb{C}^2$ be the first projection.
- Then $p^{-1}(x, y)$ is a unique point, unless $(x, y) = (0, 0)$, when we get a copy of \mathbb{P}^1 .

The blow up

- Let $\Gamma \subset \mathbb{C}^2 \times \mathbb{P}^1$ be the closure of the graph of this rational map.
- If coordinates on \mathbb{C}^2 are (x, y) and on \mathbb{P}^1 are $[S : T]$, then the equation for Γ is $xT = yS$.
- Let $p: \Gamma \longrightarrow \mathbb{C}^2$ be the first projection.
- Then $p^{-1}(x, y)$ is a unique point, unless $(x, y) = (0, 0)$, when we get a copy of \mathbb{P}^1 .
- Thus the map p is an isomorphism outside the origin, but it replaces the origin by a whole copy E of \mathbb{P}^1 . E is called the **exceptional divisor**.

General case

- Given any smooth surface S , we can always pick local coordinates (x, y) about any point, and we can then always blow up the point p , $f : T \longrightarrow S$, using these local coordinates.

General case

- Given any smooth surface S , we can always pick local coordinates (x, y) about any point, and we can then always blow up the point p , $f : T \longrightarrow S$, using these local coordinates.
- f is an isomorphism outside p but the inverse image E of p is a copy of \mathbb{P}^1 .

General case

- Given any smooth surface S , we can always pick local coordinates (x, y) about any point, and we can then always blow up the point p , $f : T \longrightarrow S$, using these local coordinates.
- f is an isomorphism outside p but the inverse image E of p is a copy of \mathbb{P}^1 .
- For example, let $S = \mathbb{C}^2 / \Lambda$, where $\mathbb{Z}^4 \simeq \Lambda \subset \mathbb{C}^2$ is a lattice. Then S is a compact algebraic group, an abelian variety.

General case

- Given any smooth surface S , we can always pick local coordinates (x, y) about any point, and we can then always blow up the point p , $f: T \longrightarrow S$, using these local coordinates.
- f is an isomorphism outside p but the inverse image E of p is a copy of \mathbb{P}^1 .
- For example, let $S = \mathbb{C}^2 / \Lambda$, where $\mathbb{Z}^4 \simeq \Lambda \subset \mathbb{C}^2$ is a lattice. Then S is a compact algebraic group, an abelian variety.
- But if $f: T \longrightarrow S$ is the blow up of S at the identity, then T is no longer an algebraic group. Indeed S contains no copies of \mathbb{P}^1 , so that T is not even homogeneous.

Mori's program

- We want to undo the action of a blow up. That is we want to replace T by S . How do we spot exceptional divisors E ?

Mori's program

- We want to undo the action of a blow up. That is we want to replace T by S . How do we spot exceptional divisors E ?
- If we compute in local coordinates then

$$K_T = f^* K_S + E.$$

Mori's program

- We want to undo the action of a blow up. That is we want to replace T by S . How do we spot exceptional divisors E ?
- If we compute in local coordinates then

$$K_T = f^* K_S + E.$$

- As $E \cdot E = -1$, $K_T \cdot E = -1$. E is called a -1 -curve.

Mori's program

- We want to undo the action of a blow up. That is we want to replace T by S . How do we spot exceptional divisors E ?
- If we compute in local coordinates then

$$K_T = f^* K_S + E.$$

- As $E \cdot E = -1$, $K_T \cdot E = -1$. E is called a -1 -curve.
- Note that K_T is not nef and we are looking for curves E such that $K_T \cdot E < 0$.

Minimal model program: surfaces

- Start with a smooth projective surface S .

Minimal model program: surfaces

- Start with a smooth projective surface S .
- Is K_S nef? If yes, then **STOP**.

Minimal model program: surfaces

- Start with a smooth projective surface S .
- Is K_S nef? If yes, then **STOP**.
- If not then there is a map $f: S \longrightarrow Z$ contracting curves C such that $-K_S \cdot C < 0$, and there are three cases:

Minimal model program: surfaces

- Start with a smooth projective surface S .
- Is K_S nef? If yes, then **STOP**.
- If not then there is a map $f: S \longrightarrow Z$ contracting curves C such that $-K_S \cdot C < 0$, and there are three cases:
 - Fano variety Z is a point and $S = \mathbb{P}^2$. **STOP**.

Minimal model program: surfaces

- Start with a smooth projective surface S .
- Is K_S nef? If yes, then **STOP**.
- If not then there is a map $f: S \longrightarrow Z$ contracting curves C such that $-K_S \cdot C < 0$, and there are three cases:
 - Fano variety Z is a point and $S = \mathbb{P}^2$. **STOP**.
 - Mori fibre space Z is a curve and the fibres of f are copies of \mathbb{P}^1 . **STOP**.

Minimal model program: surfaces

- Start with a smooth projective surface S .
- Is K_S nef? If yes, then **STOP**.
- If not then there is a map $f: S \rightarrow Z$ contracting curves C such that $-K_S \cdot C < 0$, and there are three cases:
 - Fano variety Z is a point and $S = \mathbb{P}^2$. **STOP**.
 - Mori fibre space Z is a curve and the fibres of f are copies of \mathbb{P}^1 . **STOP**.
 - Z is a surface. f is a blow up, so that f contracts a copy E of \mathbb{P}^1 to a point p . Replace S by Z and go back to (2).

Notes on the MMP

- As with any algorithm, it is important to know that the MMP terminates. In the case of surfaces this is clear, since every time we contract a curve the second betti number drops by one (we replace a copy of S^2 by a point).

Notes on the MMP

- As with any algorithm, it is important to know that the MMP terminates. In the case of surfaces this is clear, since every time we contract a curve the second betti number drops by one (we replace a copy of S^2 by a point).
- Note that if $\pi: T \longrightarrow S$ is a blow up, then the pluricanonical forms of S and T may be naturally identified. Thus the canonical rings of S and T are naturally isomorphic.

The minimal model program

- Start with a smooth projective variety X .

The minimal model program

- Start with a smooth projective variety X .
- Is K_X nef? If yes, then **STOP** (**minimal model**).

The minimal model program

- Start with a smooth projective variety X .
- Is K_X nef? If yes, then **STOP** (**minimal model**).
- If not then by the base point free theorem, there is a map $f: X \longrightarrow Z$ contracting curves C such that $-K_X \cdot C < 0$, and there are two cases:

The minimal model program

- Start with a smooth projective variety X .
- Is K_X nef? If yes, then **STOP** (**minimal model**).
- If not then by the base point free theorem, there is a map $f: X \longrightarrow Z$ contracting curves C such that $-K_X \cdot C < 0$, and there are two cases:
 - **Mori fibre space** $\dim Z < \dim X$, the fibres F of f are Fano varieties, $-K_F$ is ample. **STOP**.

The minimal model program

- Start with a smooth projective variety X .
- Is K_X nef? If yes, then **STOP** (**minimal model**).
- If not then by the base point free theorem, there is a map $f: X \longrightarrow Z$ contracting curves C such that $-K_X \cdot C < 0$, and there are two cases:
 - **Mori fibre space** $\dim Z < \dim X$, the fibres F of f are Fano varieties, $-K_F$ is ample. **STOP**.
 - $\dim Z = \dim X$. There are two cases.

The minimal model program

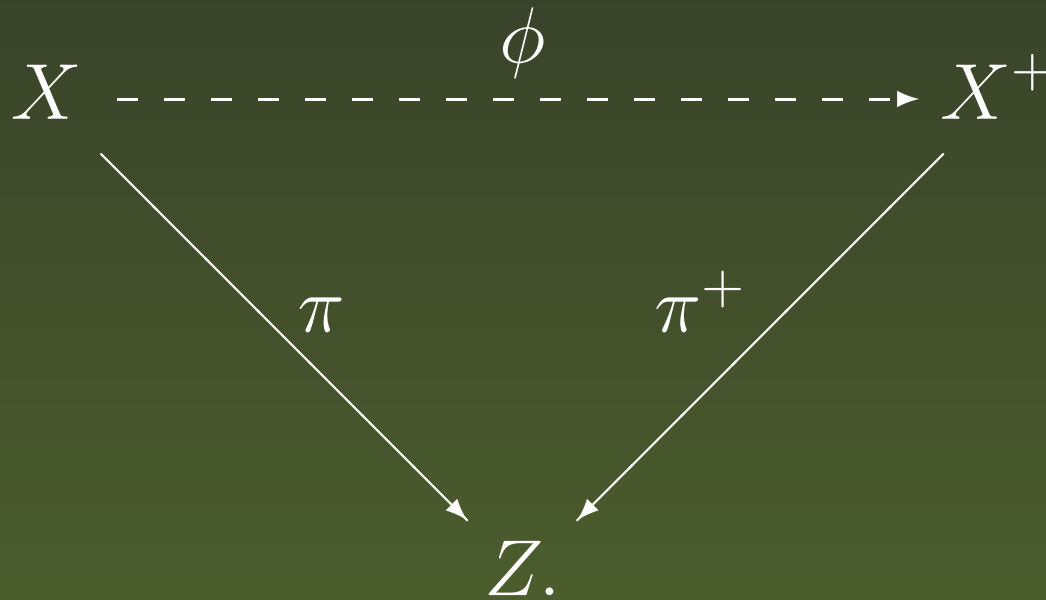
- Start with a smooth projective variety X .
- Is K_X nef? If yes, then **STOP** (minimal model).
- If not then by the base point free theorem, there is a map $f: X \rightarrow Z$ contracting curves C such that $-K_X \cdot C < 0$, and there are two cases:
 - **Mori fibre space** $\dim Z < \dim X$, the fibres F of f are Fano varieties, $-K_F$ is ample. **STOP**.
 - $\dim Z = \dim X$. There are two cases.
- The exceptional locus is a divisor. Replace X by Z and go back to (2).

The minimal model program

- Start with a smooth projective variety X .
- Is K_X nef? If yes, then **STOP** (minimal model).
- If not then by the base point free theorem, there is a map $f: X \rightarrow Z$ contracting curves C such that $-K_X \cdot C < 0$, and there are two cases:
 - **Mori fibre space** $\dim Z < \dim X$, the fibres F of f are Fano varieties, $-K_F$ is ample. **STOP**.
 - $\dim Z = \dim X$. There are two cases.
 - The exceptional locus is a divisor. Replace X by Z and go back to (2).
 - The exceptional locus has codimension at least two. We cannot replace X by Z as Z is too singular.

New operation

Instead of contracting C , we try to replace X by another birational model X^+ , $X \dashrightarrow X^+$, such that $\pi^+ : X^+ \rightarrow Y$ is K_{X^+} -ample.



Flips

- This operation is called a **flip**.

Flips

- This operation is called a **flip**.
- Even supposing we can perform a flip, how do we know that this process terminates?

Flips

- This operation is called a **flip**.
- Even supposing we can perform a flip, how do we know that this process terminates?
- It is clear that we cannot keep contracting divisors, but why could there not be an infinite sequence of flips?

Brief History of the MMP

- The steps of the MMP were developed in the eighties, by Kawamata, Kollár, Mori, Reid, Shokurov and many others.

Brief History of the MMP

- The steps of the MMP were developed in the eighties, by Kawamata, Kollár, Mori, Reid, Shokurov and many others.
- Mori proved the existence of flips in dimension three, and recently Shokurov proved existence of flips in dimension four.

Brief History of the MMP

- The steps of the MMP were developed in the eighties, by Kawamata, Kollár, Mori, Reid, Shokurov and many others.
- Mori proved the existence of flips in dimension three, and recently Shokurov proved existence of flips in dimension four.
- Alexeev, Hacon, Kawamata and Shokurov proved termination of flips in dimensions three and four.

Existence and termination

Theorem. *[Hacon,-] Flips exist.*

Existence and termination

Theorem. *[Hacon,-] Flips exist.*

Theorem. *[Birkar,Cascini,Hacon,-] Let X be a smooth projective variety, such that K_X is big. Then the MMP with scaling terminates. In particular X has a minimal model.*

Existence and termination

Theorem. *[Hacon,-] Flips exist.*

Theorem. *[Birkar,Cascini,Hacon,-] Let X be a smooth projective variety, such that K_X is big. Then the MMP with scaling terminates. In particular X has a minimal model.*

Theorem. *[Birkar,Cascini,Hacon,-] Let X be a smooth projective variety. If $K_X \cdot C < 0$ for some covering family of curves, then X is birational to a Mori fibre space $\pi : Y \longrightarrow Z$.*

Existence of flips

- Suppose that we are given $\pi: X \longrightarrow Z$ a small contraction, $-K_X$ is ample over Z . Our aim is to construct the flip $\pi^+: X^+ \longrightarrow Z$ of π .

Existence of flips

- Suppose that we are given $\pi: X \longrightarrow Z$ a small contraction, $-K_X$ is ample over Z . Our aim is to construct the flip $\pi^+: X^+ \longrightarrow Z$ of π .
- The existence of the flip is local, so that we may assume that $Z = \text{Spec } A$ is affine.

Existence of flips

- Suppose that we are given $\pi: X \longrightarrow Z$ a small contraction, $-K_X$ is ample over Z . Our aim is to construct the flip $\pi^+: X^+ \longrightarrow Z$ of π .
- The existence of the flip is local, so that we may assume that $Z = \text{Spec } A$ is affine.
- In fact it suffices to prove that the canonical ring

$$R(X, K_X) = \bigoplus_{m \in \mathbb{N}} H^0(X, \mathcal{O}_X(mK_X)),$$

is a finitely generated A -algebra.

Reduction to pl-flips

- Shokurov proved that to prove the existence of K_X -flips, it suffices to prove the existence of flips for $K_X + S + B$, where S has coefficient one.

Reduction to pl-flips

- Shokurov proved that to prove the existence of K_X -flips, it suffices to prove the existence of flips for $K_X + S + B$, where S has coefficient one.
- The key point is that

$$(K_X + S + B)|_S = K_S + C,$$

so that we have the start of an induction.

Reduction to pl-flips

- Shokurov proved that to prove the existence of K_X -flips, it suffices to prove the existence of flips for $K_X + S + B$, where S has coefficient one.
- The key point is that

$$(K_X + S + B)|_S = K_S + C,$$

so that we have the start of an induction.

- In fact there is a natural restriction map,

$$H^0(X, \mathcal{O}_X(m(K_X + S + B))) \longrightarrow H^0(S, \mathcal{O}_S(m(K_S + C)))$$

Reduction to pl-flips

- Shokurov proved that to prove the existence of K_X -flips, it suffices to prove the existence of flips for $K_X + S + B$, where S has coefficient one.
- The key point is that

$$(K_X + S + B)|_S = K_S + C,$$

so that we have the start of an induction.

- In fact there is a natural restriction map,

$$H^0(X, \mathcal{O}_X(m(K_X + S + B))) \longrightarrow H^0(S, \mathcal{O}_S(m(K_S + C)))$$

- Let R_S be the **restricted algebra** in $R(S, K_S + C)$, the direct sum of all the images.

Lifting sections

- It is easy to see that $R(X, K_X + S + B)$ is finitely generated iff R_S is finitely generated.

Lifting sections

- It is easy to see that $R(X, K_X + S + B)$ is finitely generated iff R_S is finitely generated.
- If the natural restriction maps are surjective, then $R_S = R(S, K_S + C)$ and we would be done by induction.

Lifting sections

- It is easy to see that $R(X, K_X + S + B)$ is finitely generated iff R_S is finitely generated.
- If the natural restriction maps are surjective, then $R_S = R(S, K_S + C)$ and we would be done by induction.
- In fact it is a very natural question to ask which sections can one lift. Fortunately Siu, using ideas from PDE's (multiplier ideal sheaves) and then Kawamata gave some important partial answers to this question.

Limiting algebras

- In fact we show that in every degree, one can find a divisor Θ_m on some fixed higher model of S , such that

$$R_S = \bigoplus_{m \in \mathbb{N}} H^0(S, \mathcal{O}_S(mk(K_S + \Theta_m))).$$

Limiting algebras

- In fact we show that in every degree, one can find a divisor Θ_m on some fixed higher model of S , such that

$$R_S = \bigoplus_{m \in \mathbb{N}} H^0(S, \mathcal{O}_S(mk(K_S + \Theta_m))).$$

- Θ_\bullet forms a convex sequence. Let $\Theta = \lim \Theta_m$.

Limiting algebras

- In fact we show that in every degree, one can find a divisor Θ_m on some fixed higher model of S , such that

$$R_S = \bigoplus_{m \in \mathbb{N}} H^0(S, \mathcal{O}_S(mk(K_S + \Theta_m))).$$

- Θ_m forms a convex sequence. Let $\Theta = \lim \Theta_m$.
- Then we can prove, using ideas of Shokurov (saturation and Diophantine approximation) that $\Theta = \Theta_m$ is constant.

Limiting algebras

- In fact we show that in every degree, one can find a divisor Θ_m on some fixed higher model of S , such that

$$R_S = \bigoplus_{m \in \mathbb{N}} H^0(S, \mathcal{O}_S(mk(K_S + \Theta_m))).$$

- Θ_m forms a convex sequence. Let $\Theta = \lim \Theta_m$.
- Then we can prove, using ideas of Shokurov (saturation and Diophantine approximation) that $\Theta = \Theta_m$ is constant.
- But then $R_S = \bigoplus_{m \in \mathbb{N}} H^0(S, \mathcal{O}_S(mk(K_S + \Theta)))$ and we are done.