

Product Structure Theorem: Isolating Singularities (Lecture 20)

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In this lecture, we will continue our efforts to prove the product structure theorem. As in the last lecture, we will be content to treat the special case where the set K is empty, and the product is with \mathbb{R} rather than with $[0, 1]$. In the last lecture, we reduced this to proving the following assertion:

Proposition 1. *Let M be a PL manifold, and suppose we are given a compatible smooth structure on $X = M \times \mathbb{R}$. Let $\pi : X \rightarrow \mathbb{R}$ denote the projection onto the second factor (so that π is a PD map). Then, after altering the smooth structure on X by a PD isotopy, we can arrange that the map π is regular.*

To prove this, it is useful to have a criterion for testing whether or not a map is regular. Fix a smooth triangulation of X for which π is PL (and therefore smooth) on each simplex. Let $x \in X$, and let σ denote the simplex containing x in its interior. The tangent space $T_{X,x}$ to X at x contains the tangent space $T_{\sigma,x}$ as a linear subspace. Let $v \in T_{\sigma,x}$. Note that every simplex τ containing x contains σ , so the derivatives $D_v(\pi|_{\tau})$ all agree with $D_v(\pi|_{\sigma})$. It follows that $D_v(\pi) = D_v(\pi|_{\sigma})$. It follows that π is regular at x unless the derivative of $\pi|_{\sigma}$ is identically zero. We have proven:

Lemma 2. *If $x \in X$ is a point where π is not regular and σ is as above, then σ lies in a fiber of π .*

Corollary 3. *Fix a triangulation of the polyhedron $X \simeq M \times \mathbb{R}$, and suppose that the restriction of π to the set of vertices of this triangulation is injective. Then π is regular away from the set of vertices of the triangulation. In particular, π is regular away from an isolated set of points.*

We can always arrange to be in the situation of Corollary 3. To see this, choose any triangulation of $M \times \mathbb{R}$ which is sufficiently fine that the star of each vertex has a neighborhood with a PL product chart $\mathbb{R}^m \times \mathbb{R}$. For each vertex v , let $L(v)$ denote the link of v and $\text{St}(v)$ its star. We define a PL isotopy h_t of $M \times \mathbb{R}$, supported in the star $\text{St}(v)$, which we view as a closed subset of $\mathbb{R}^m \times \mathbb{R} \simeq \mathbb{R}^{m+1}$. Fix $v' \in \mathbb{R}^{m+1}$. For each $t \in [0, 1]$, there is a unique map $h_t : \text{St}(v) \rightarrow \mathbb{R}^m \times \mathbb{R} \subseteq M \times \mathbb{R}$ which is linear on each simplex, the identity on $L(v)$, and carries v to $(1-t)v + tv'$. If v' is chosen sufficiently close to v , then this defines a PL isotopy of M , where h_1 moves v to v' . We can assume that $\pi(v')$ is distinct from $\pi(w)$, for any other vertex w of the triangulation. Applying this construction repeatedly and concatenating the resulting isotopies (note that only finitely many isotopies have support near any fixed point of $M \times \mathbb{R}$, so the concatenation is well-defined), we can arrange that π is injective when restricted to vertices, as desired.

We may now assume that π is regular away from the set of vertices with respect to some smooth triangulation of X . We would like to adjust the smooth structure on X by a PD isotopy to arrange that π is everywhere regular. Since the set of vertices of X is isolated, it will suffice to construct these isotopies one vertex at a time. More precisely, we will prove the following:

Proposition 4. *Let v be a vertex with respect to some smooth triangulation of X , and let K denote the star of v . Assume that π is injective on vertices of X , so that π is regular on the interior of K except perhaps at v . Then it is possible to alter the smooth structure on X by means of a PD isotopy supported on the interior of K , so that π is regular on the whole interior of K .*

Applying this proposition repeatedly and concatenating the resulting isotopies, we will obtain a proof of Proposition 1. We are therefore reduced to proving Proposition 4. Moreover, we may assume without loss

of generality that our triangulation of X is sufficiently fine that the star of each vertex is contained in a PL product chart $\mathbb{R}^m \times \mathbb{R}$ and also a smooth chart. We will identify K with its image in \mathbb{R}^{m+1} . Without loss of generality, we may assume that $v \mapsto 0$, so that K can be identified with the cone on the link $L(v) = \partial K$, which is an m -sphere equipped with a PL embedding into $\mathbb{R}^{m+1} - \{0\}$. The map $\pi|_K : K \rightarrow \mathbb{R}$ is given by projection onto the $(m+1)$ st coordinate. As above, we may assume that π is injective on vertices. In particular, $\pi(w) \neq 0$ whenever w is a vertex of $L(v)$.

The smooth structure on X is given by a PD embedding $f : K \rightarrow \mathbb{R}^{m+1}$. We wish to modify f by a PD isotopy which is the identity near ∂K , so that the map $\pi \circ f^{-1} : f(K) \rightarrow \mathbb{R}$ is regular on the interior of K .

We can therefore rephrase our problem as follows:

Problem 5. *Let $K \subseteq \mathbb{R}^{m+1}$ be a polyhedron which is the cone (with cone point 0) on its boundary ∂K , let $\pi : K \rightarrow \mathbb{R}$ be projection onto the last factor, and assume that π is injective on the vertices of K . Let $f : K \rightarrow \mathbb{R}^{m+1}$ be a PD embedding, and assume $f(0) = 0$. Then, after adjusting f by a PD isotopy which is fixed near ∂K , we can arrange that $\pi \circ f^{-1}$ is regular on the interior of $f(K)$.*

Remark 6. In the course of solving Problem 5, we are free to replace K by its image rK for $r \in (0, 1)$: any PD isotopy of $f|rK$ can then be extended to a PD isotopy of f by declaring it to be the identity on $K - rK$.

Our first step is to “linearize” the map f . Since f is differentiable on each simplex of K , we can define a map $f' : K \rightarrow \mathbb{R}^{m+1}$ which is linear on each simplex by taking the derivatives of f at the origin. There is a PD homotopy from f' to f , given by the formula

$$f_t(x) = \begin{cases} t^{-1}f(tx) & \text{if } t \neq 0 \\ f'(x) & \text{if } t = 0. \end{cases}$$

This homotopy is generally not trivial on the boundary ∂K . To fix this, choose a smooth map $\chi : K \rightarrow [0, 1]$ which is supported in a small neighborhood U of the origin, such that χ is identically equal to 1 in an open set $V \subseteq U$ containing 1, and define

$$g_t(x) = \chi\left(\frac{x}{N}\right)f_t(x) + (1 - \chi\left(\frac{x}{N}\right))f(x).$$

By choosing N sufficiently large, we can arrange that each g_t is arbitrarily close to f in the C^1 -sense, and therefore a PD embedding. Then g_t is a PD isotopy from f to a map g_1 , where $g_1|_V$ is linear on each simplex. Using Remark 6, we obtain the following:

Claim 7. *It suffices to solve Problem 5 in the special case where f is linear on each simplex.*

For $x \in K$. Choose a function $\chi : K \rightarrow \mathbb{R}_{>0}$ which is smooth on each simplex, nondecreasing on each ray from the origin, and satisfies the following conditions:

- (1) The map χ is constant in a neighborhood of 0.
- (2) The map χ is equal to 1 near ∂K .
- (3) The map χ is given by $\chi(x) = \frac{s\epsilon}{|f(x)|}$ for $x \in s\partial K$ if $s \in [\frac{1}{4}, \frac{1}{2}]$, for some $\epsilon > 0$.

We define a PD isotopy f_t by the formula

$$f_t(x) = (1 - t)f(x) + t\chi(x)f(x).$$

Then f_1 carries $s\partial K$ to the sphere of radius $s\epsilon$ for $s \in [\frac{1}{4}, \frac{1}{2}]$. Replacing f by f_1 , applying an appropriate dilation to the target space \mathbb{R}^{m+1} , and invoking Remark 6, we are reduced to the following situation:

Claim 8. *It suffices to solve Problem 5 in the special case where $f(K)$ is the unit ball $B(1)$, and $f(tx) = tf(x)$ for $t \in [\frac{1}{2}, 1]$, $x \in \partial K$.*

The advantage of our present situation is that the image of ∂K now inherits a smooth structure from the map f . We will exploit this in the next lecture.