

Sato-Tate in dimension 3

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Sato-Tate in dimension 1

Let E/\mathbb{Q} be an elliptic curve, say,

$$y^2 = x^3 + Ax + B,$$

and let p be a prime of good reduction (so $p \nmid \Delta(E)$).

The number of \mathbb{F}_p -points on the reduction E_p of E modulo p is

$$\#E_p(\mathbb{F}_p) = p + 1 - t_p,$$

where the trace of Frobenius t_p is an integer in $[-2\sqrt{p}, 2\sqrt{p}]$.

We are interested in the limiting distribution of $x_p = -t_p/\sqrt{p} \in [-2, 2]$, as p varies over primes of good reduction up to $N \rightarrow \infty$.

click histogram to animate (requires adobe reader)

Sato-Tate distributions in dimension 1

1. Typical case (no CM)

Elliptic curves E/\mathbb{Q} w/o CM have the semi-circular trace distribution. (This is also known for E/k , where k is a totally real number field).

[Barnet-Lamb, Clozel, Geraghty, Harris, Shepherd-Barron, Taylor]

2. Exceptional cases (CM)

Elliptic curves E/k with CM have one of two distinct trace distributions, depending on whether k contains the CM field or not.

[classical (Hecke, Deuring)]

Sato-Tate groups in dimension 1

The *Sato-Tate group* of E is a closed subgroup G of $SU(2) = USp(2)$ derived from the ℓ -adic Galois representation attached to E .

A refinement of the Sato-Tate conjecture implies that the distribution of normalized Frobenius traces of E converges to the distribution of traces in its Sato-Tate group G (under its Haar measure).

G	G/G^0	E	k	$E[a_1^0], E[a_1^2], E[a_1^4] \dots$
$SU(2)$	C_1	$y^2 = x^3 + x + 1$	\mathbb{Q}	$1, 1, 2, 5, 14, 42, \dots$
$N(U(1))$	C_2	$y^2 = x^3 + 1$	\mathbb{Q}	$1, 1, 3, 10, 35, 126, \dots$
$U(1)$	C_1	$y^2 = x^3 + 1$	$\mathbb{Q}(\sqrt{-3})$	$1, 2, 6, 20, 70, 252, \dots$

In dimension 1 there are three possible Sato-Tate groups, two of which arise for elliptic curves defined over \mathbb{Q} .

Zeta functions and L -polynomials

For a smooth projective curve C/\mathbb{Q} of genus g and each prime p of good reduction for C we have the *zeta function*

$$Z(C_p/\mathbb{F}_p; T) := \exp \left(\sum_{k=1}^{\infty} \#C_p(\mathbb{F}_{p^k}) T^k / k \right) = \frac{L_p(T)}{(1-T)(1-pT)},$$

where $L_p \in \mathbb{Z}[T]$ has degree $2g$. The normalized L -polynomial

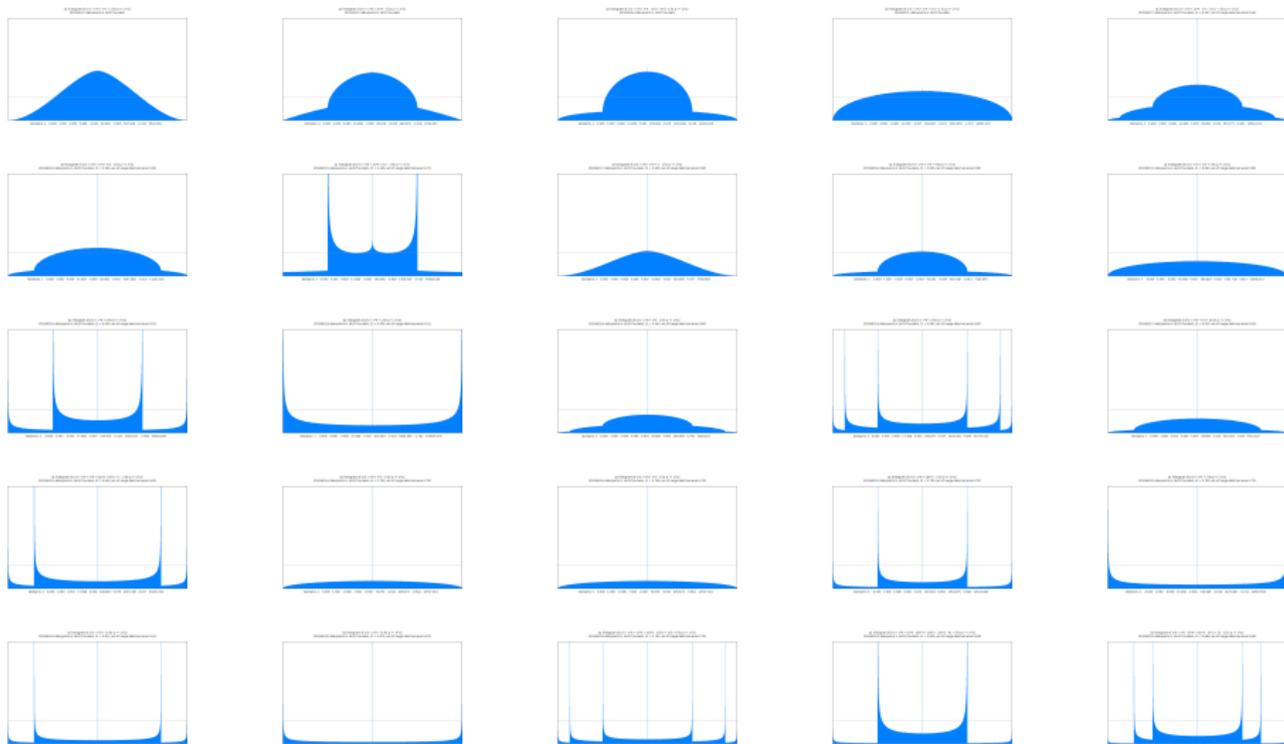
$$\bar{L}_p(T) := L_p(T/\sqrt{p}) = \sum_{i=0}^{2g} a_i T^i \in \mathbb{R}[T]$$

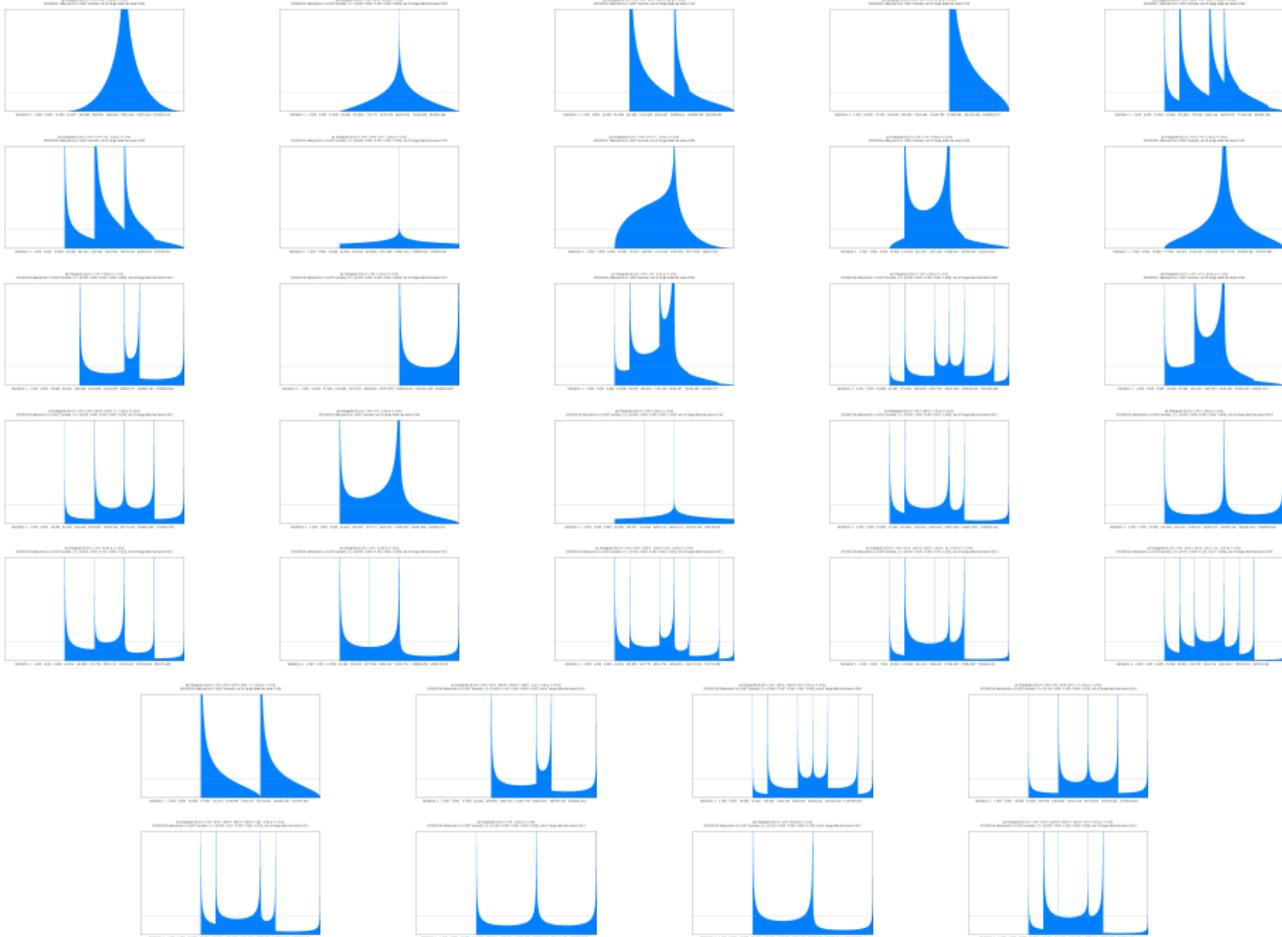
is monic, reciprocal, and unitary, with $|a_i| \leq \binom{2g}{i}$.

We now consider the limiting distribution of a_1, a_2, \dots, a_g over all primes $p \leq N$ of good reduction, as $N \rightarrow \infty$.

click histogram to animate (requires adobe reader)

Exceptional distributions for abelian surfaces over \mathbb{Q} :





L -polynomials of Abelian varieties

Let A be an abelian variety over a number field k . Fix a prime ℓ . The action of $\text{Gal}(\bar{k}/k)$ on the ℓ -adic Tate module

$$V_\ell(A) := \varprojlim A[\ell^n] \otimes_{\mathbb{Z}} \mathbb{Q}$$

gives rise to a Galois representation

$$\rho_\ell: \text{Gal}(\bar{k}/k) \rightarrow \text{Aut}_{\mathbb{Q}_\ell}(V_\ell(A)) \simeq \text{GSp}_{2g}(\mathbb{Q}_\ell)$$

For each prime \mathfrak{p} of good reduction for A we have the L -polynomial

$$L_{\mathfrak{p}}(T) := \det(1 - \rho_\ell(\text{Frob}_{\mathfrak{p}})T), \quad \bar{L}_{\mathfrak{p}}(T) := L_{\mathfrak{p}}(T/\sqrt{\|\mathfrak{p}\|}),$$

which appears as an Euler factor in the L -series

$$L(A, s) := \prod_{\mathfrak{p}} L_{\mathfrak{p}}(\|\mathfrak{p}\|^{-s})^{-1}.$$

The Sato-Tate group of an abelian variety

The Zariski closure of the image of

$$\rho_\ell: G_k \rightarrow \mathrm{Aut}_{\mathbb{Q}_\ell}(V_\ell(A)) \simeq \mathrm{GSp}_{2g}(\mathbb{Q}_\ell)$$

is a \mathbb{Q}_ℓ -algebraic group $G_\ell^{\mathrm{zar}} \subseteq \mathrm{GSp}_{2g}$ that determines a \mathbb{C} -algebraic group $G_{\ell,\iota}^{1,\mathrm{zar}} \subseteq \mathrm{Sp}_{2g}$ after fixing $\iota: \mathbb{Q}_\ell \hookrightarrow \mathbb{C}$ and intersecting with Sp_{2g} .

Definition [Serre]

$\mathrm{ST}(A) \subseteq \mathrm{USp}(2g)$ is a maximal compact subgroup of $G_{\ell,\iota}^{1,\mathrm{zar}}(\mathbb{C})$.

Conjecture [Mumford-Tate, Algebraic Sato-Tate]

$(G_\ell^{\mathrm{zar}})^0 = \mathrm{MT}(A) \otimes_{\mathbb{Q}} \mathbb{Q}_\ell$, equivalently, $(G_\ell^{1,\mathrm{zar}})^0 = \mathrm{Hg}(A) \otimes_{\mathbb{Q}} \mathbb{Q}_\ell$.

More generally, $G_\ell^{1,\mathrm{zar}} = \mathrm{AST}(A) \otimes_{\mathbb{Q}} \mathbb{Q}_\ell$.

This conjecture is known for $g \leq 3$ (see Banaszak-Kedlaya 2015).

A refined Sato-Tate conjecture

Let $s(\mathfrak{p})$ denote the conjugacy class of $\|\mathfrak{p}\|^{-1/2}M_{\mathfrak{p}}$ in $ST(A)$, where $M_{\mathfrak{p}}$ is the image of $\text{Frob}_{\mathfrak{p}}$ in $G_{\ell,\ell}^{\text{zar}}(\mathbb{C})$ (semisimple, by a theorem of Tate), and let $\mu_{ST(A)}$ denote the pushforward of the Haar measure to $\text{Conj}(ST(A))$.

Conjecture

The conjugacy classes $s(\mathfrak{p})$ are equidistributed with respect to $\mu_{ST(A)}$.

In particular, the distribution of normalized Euler factors $\bar{L}_{\mathfrak{p}}(T)$ matches the distribution of characteristic polynomials in $ST(A)$.

We can test this numerically by comparing statistics of the coefficients a_1, \dots, a_g of $\bar{L}_{\mathfrak{p}}(T)$ over $\|\mathfrak{p}\| \leq N$ to the predictions given by $\mu_{ST(A)}$.

Galois endomorphism modules

Let A be an abelian variety defined over a number field k .

Let K be the minimal extension of k for which $\text{End}(A_K) = \text{End}(A_{\bar{k}})$.

$\text{Gal}(K/k)$ acts on the \mathbb{R} -algebra $\text{End}(A_K)_{\mathbb{R}} = \text{End}(A_K) \otimes_{\mathbb{Z}} \mathbb{R}$.

Definition

The *Galois endomorphism type* of A is the isomorphism class of $[\text{Gal}(K/k), \text{End}(A_K)_{\mathbb{R}}]$, where $[G, E] \simeq [G', E']$ iff there are isomorphisms $G \simeq G'$ and $E \simeq E'$ that are compatible with the Galois action.

Theorem [Fité, Kedlaya, Rotger, S 2012]

For abelian varieties A/k of dimension $g \leq 3$ there is a one-to-one correspondence between Sato-Tate groups and Galois types.

More precisely, the identity component G^0 is uniquely determined by $\text{End}(A_K)_{\mathbb{R}}$ and $G/G^0 \simeq \text{Gal}(K/k)$ (with corresponding actions).

Real endomorphism algebras of abelian surfaces

abelian surface	$\mathbf{End}(A_K)_{\mathbb{R}}$	$\mathbf{ST}(A)^0$
square of CM elliptic curve	$M_2(\mathbb{C})$	$U(1)_2$
<ul style="list-style-type: none">• QM abelian surface• square of non-CM elliptic curve	$M_2(\mathbb{R})$	$SU(2)_2$
<ul style="list-style-type: none">• CM abelian surface• product of CM elliptic curves	$\mathbb{C} \times \mathbb{C}$	$U(1) \times U(1)$
product of CM and non-CM elliptic curves	$\mathbb{C} \times \mathbb{R}$	$U(1) \times SU(2)$
<ul style="list-style-type: none">• RM abelian surface• product of non-CM elliptic curves	$\mathbb{R} \times \mathbb{R}$	$SU(2) \times SU(2)$
generic abelian surface	\mathbb{R}	$USp(4)$

(factors in products are assumed to be non-isogenous)

Sato-Tate groups in dimension 2

Theorem [Fité-Kedlaya-Rotger-S 2012]

Up to conjugacy in $\mathrm{USp}(4)$, there are 52 Sato-Tate groups $\mathrm{ST}(A)$ that arise for abelian surfaces A/k over number fields; 34 occur for $k = \mathbb{Q}$.

$\mathrm{U}(1)_2$: $C_1, C_2, C_3, C_4, C_6, D_2, D_3, D_4, D_6, T, O,$
 $J(C_1), J(C_2), J(C_3), J(C_4), J(C_6),$
 $J(D_2), J(D_3), J(D_4), J(D_6), J(T), J(O),$

$C_{2,1}, C_{4,1}, C_{6,1}, D_{2,1}, D_{3,2}, D_{4,1}, D_{4,2}, D_{6,1}, D_{6,2}, O_1$
 $\mathrm{SU}(2)_2$: $E_1, E_2, E_3, E_4, E_6, J(E_1), J(E_2), J(E_3), J(E_4), J(E_6)$

$\mathrm{U}(1) \times \mathrm{U}(1)$: $F, F_a, F_{a,b}, F_{ab}, F_{ac}$

$\mathrm{U}(1) \times \mathrm{SU}(2)$: $\mathrm{U}(1) \times \mathrm{SU}(2), N(\mathrm{U}(1) \times \mathrm{SU}(2))$

$\mathrm{SU}(2) \times \mathrm{SU}(2)$: $\mathrm{SU}(2) \times \mathrm{SU}(2), N(\mathrm{SU}(2) \times \mathrm{SU}(2))$

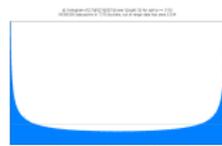
$\mathrm{USp}(4)$: $\mathrm{USp}(4)$

This theorem says nothing about equidistribution, however this is now known in many special cases [Fité-S 2012, Johansson 2013].

Real endomorphism algebras of abelian threefolds

abelian threefold	$\text{End}(A_K)_{\mathbb{R}}$	$\text{ST}(A)^0$
cube of a CM elliptic curve	$M_3(\mathbb{C})$	$U(1)_3$
cube of a non-CM elliptic curve	$M_3(\mathbb{R})$	$SU(2)_3$
product of CM elliptic curve and square of CM elliptic curve	$\mathbb{C} \times M_2(\mathbb{C})$	$U(1) \times U(1)_2$
<ul style="list-style-type: none"> product of CM elliptic curve and QM abelian surface product of CM elliptic curve and square of non-CM elliptic curve 	$\mathbb{C} \times M_2(\mathbb{R})$	$U(1) \times SU(2)_2$
product of non-CM elliptic curve and square of CM elliptic curve	$\mathbb{R} \times M_2(\mathbb{C})$	$SU(2) \times U(1)_2$
<ul style="list-style-type: none"> product of non-CM elliptic curve and QM abelian surface product of non-CM elliptic curve and square of non-CM elliptic curve 	$\mathbb{R} \times M_2(\mathbb{R})$	$SU(2) \times SU(2)_2$
<ul style="list-style-type: none"> CM abelian threefold product of CM elliptic curve and CM abelian surface product of three CM elliptic curves 	$\mathbb{C} \times \mathbb{C} \times \mathbb{C}$	$U(1) \times U(1) \times U(1)$
<ul style="list-style-type: none"> product of non-CM elliptic curve and CM abelian surface product of non-CM elliptic curve and two CM elliptic curves 	$\mathbb{C} \times \mathbb{C} \times \mathbb{R}$	$U(1) \times U(1) \times SU(2)$
<ul style="list-style-type: none"> product of CM elliptic curve and RM abelian surface product of CM elliptic curve and two non-CM elliptic curves 	$\mathbb{C} \times \mathbb{R} \times \mathbb{R}$	$U(1) \times SU(2) \times SU(2)$
<ul style="list-style-type: none"> RM abelian threefold product of non-CM elliptic curve and RM abelian surface product of 3 non-CM elliptic curves 	$\mathbb{R} \times \mathbb{R} \times \mathbb{R}$	$SU(2) \times SU(2) \times SU(2)$
product of CM elliptic curve and abelian surface	$\mathbb{C} \times \mathbb{R}$	$U(1) \times USp(4)$
product of non-CM elliptic curve and abelian surface	$\mathbb{R} \times \mathbb{R}$	$SU(2) \times USp(4)$
quadratic CM abelian threefold	\mathbb{C}	$U(3)$
generic abelian threefold	\mathbb{R}	$USp(6)$

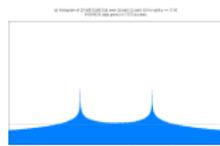
Connected Sato-Tate groups of abelian threefolds:



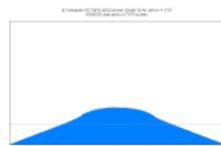
$U(1)_3$



$SU(2)_3$



$U(1) \times U(1)_2$



$U(1) \times SU(2)_2$



$SU(2) \times U(1)_2$



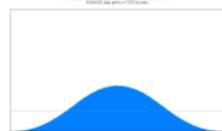
$SU(2) \times SU(2)_2$



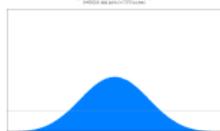
$U(1) \times U(1) \times U(1)$



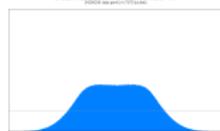
$U(1) \times U(1) \times SU(2)$



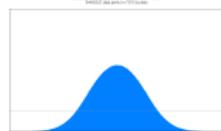
$U(1) \times SU(2) \times U(1)$



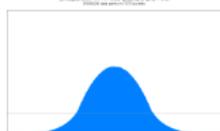
$SU(2) \times SU(2) \times SU(2)$



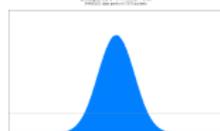
$U(1) \times USp(4)$



$SU(2) \times USp(4)$



$U(3)$



$USp(6)$

Partial classification of component groups

G_0	$G/G_0 \hookrightarrow$	$ G/G_0 $ divides
$\mathrm{USp}(6)$	C_1	1
$\mathrm{U}(3)$	C_2	2
$\mathrm{SU}(2) \times \mathrm{USp}(4)$	C_1	1
$\mathrm{U}(1) \times \mathrm{USp}(4)$	C_2	2
$\mathrm{SU}(2) \times \mathrm{SU}(2) \times \mathrm{SU}(2)$	S_3	6
$\mathrm{U}(1) \times \mathrm{SU}(2) \times \mathrm{SU}(2)$	D_2	4
$\mathrm{U}(1) \times \mathrm{U}(1) \times \mathrm{SU}(2)$	D_4	8
$\mathrm{U}(1) \times \mathrm{U}(1) \times \mathrm{U}(1)$	$C_2 \wr S_3$	48
$\mathrm{SU}(2) \times \mathrm{SU}(2)_2$	D_4, D_6	8, 12
$\mathrm{SU}(2) \times \mathrm{U}(1)_2$	$D_6 \times C_2, S_4 \times C_2$	48
$\mathrm{U}(1) \times \mathrm{SU}(2)_2$	$D_4 \times C_2, D_6 \times C_2$	16, 24
$\mathrm{U}(1) \times \mathrm{U}(1)_2$	$D_6 \times C_2 \times C_2, S_4 \times C_2 \times C_2$	96
$\mathrm{SU}(2)_3$	D_6, S_4	24
$\mathrm{U}(1)_3$	(to be determined)	336, 1728

(disclaimer: work in progress, subject to verification)

Algorithms to compute zeta functions

Given a curve C/\mathbb{Q} of genus g , we want to compute the normalized L -polynomials $\bar{L}_p(T)$ at all good primes $p \leq N$.

algorithm	complexity per prime (ignoring factors of $O(\log \log p)$)		
	$g = 1$	$g = 2$	$g = 3$
point enumeration	$p \log p$	$p^2 \log p$	$p^3 (\log p)^2$
group computation	$p^{1/4} \log p$	$p^{3/4} \log p$	$p \log p$
p -adic cohomology	$p^{1/2} (\log p)^2$	$p^{1/2} (\log p)^2$	$p^{1/2} (\log p)^2$
CRT (Schoof-Pila)	$(\log p)^5$	$(\log p)^8$	$(\log p)^{12?}$
average poly-time	$(\log p)^4$	$(\log p)^4$	$(\log p)^4$

Genus 3 curves

The canonical embedding of a genus 3 curve into \mathbb{P}^2 is either

- 1 a degree-2 cover of a smooth conic (hyperelliptic case);
- 2 a smooth plane quartic (generic case).

Average polynomial-time implementations available for the first case:

- rational hyperelliptic model [Harvey-S 2014];
- no rational hyperelliptic model [Harvey-Massierer-S 2016].

Here we address the second case.

Prior work has all been based on p -adic cohomology:

[Lauder 2004], [Castryck-Denef-Vercauteren 2006],
[Abott-Kedlaya-Roe 2006], [Harvey 2010], [Tuitman-Pancretz 2013],
[Tuitman 2015], [Costa 2015], [Tuitman-Castryck 2016], [Shieh 2016]

New algorithm

Let C_p/\mathbb{F}_p be a smooth plane quartic defined by $f(x, y, z) = 0$.
For $n \geq 0$ let $f_{i,j,k}^n$ denote the coefficient of $x^i y^j z^k$ in f^n .

The *Hasse–Witt matrix* of C_p is the 3×3 matrix

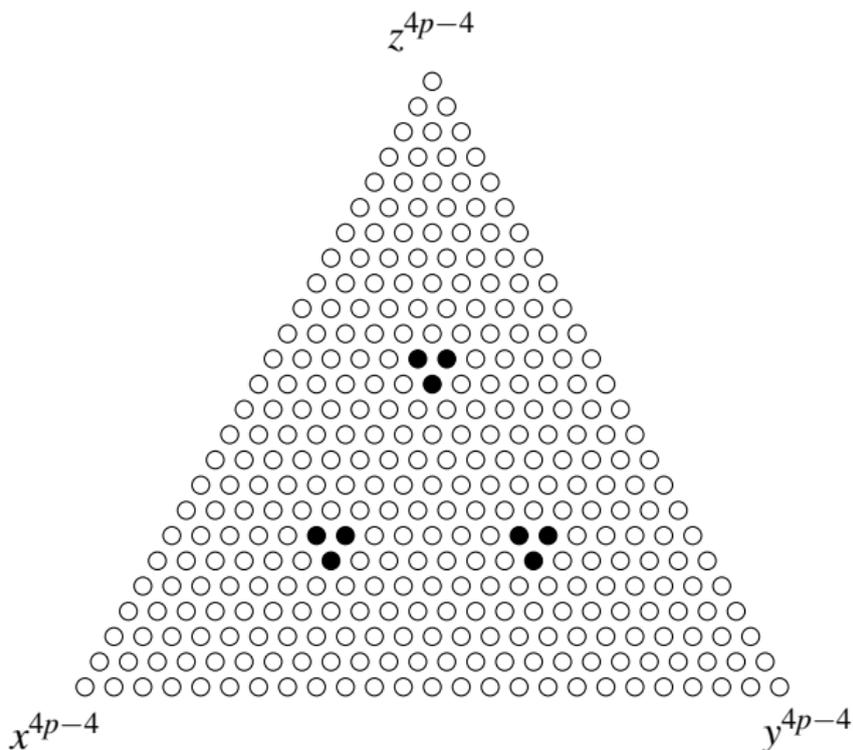
$$W_p := \begin{bmatrix} f_{p-1,p-1,2p-2}^{p-1} & f_{2p-1,p-1,p-2}^{p-1} & f_{p-1,2p-1,p-2}^{p-1} \\ f_{p-2,p-1,2p-1}^{p-1} & f_{2p-2,p-1,p-1}^{p-1} & f_{p-2,2p-1,p-1}^{p-1} \\ f_{p-1,p-2,2p-1}^{p-1} & f_{2p-1,p-2,p-1}^{p-1} & f_{p-1,2p-2,p-1}^{p-1} \end{bmatrix}.$$

This is the matrix of the p -power Frobenius acting on $H^1(C_p, \mathcal{O}_{C_p})$ (and the Cartier-Manin operator acting on the space of regular differentials).
As proved by Manin, we have

$$L_p(T) \equiv \det(I - TW_p) \pmod{p},$$

Our strategy is to compute W_p then lift $L_p(T)$ from $(\mathbb{Z}/p\mathbb{Z})[T]$ to $\mathbb{Z}[T]$.

Target coefficients of f^{p-1} for $p = 7$:



Coefficient relations

Let $\partial_x = x \frac{\partial}{\partial x}$ (degree-preserving). The relations

$$f^{p-1} = f \cdot f^{p-2} \quad \text{and} \quad \partial_x f^{p-1} = -(\partial_x f) f^{p-2}$$

yield the relation

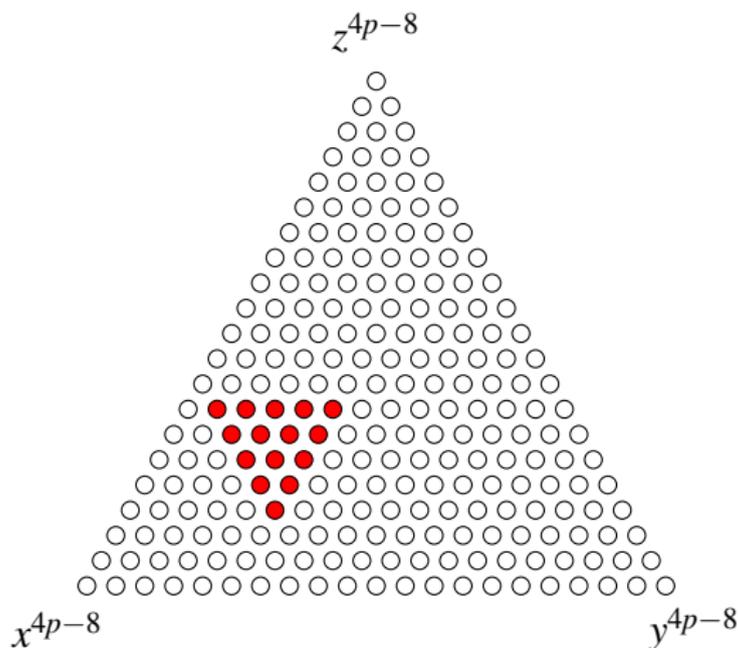
$$\sum_{i'+j'+k'=4} (i+i') f_{i',j',k'} f_{i-i',j-j',k-k'}^{p-2} = 0.$$

among nearby coefficients of f^{p-2} (a triangle of side length 5).

Replacing ∂_x by ∂_y yields a similar relation (replace $i+i'$ with $j+j'$).

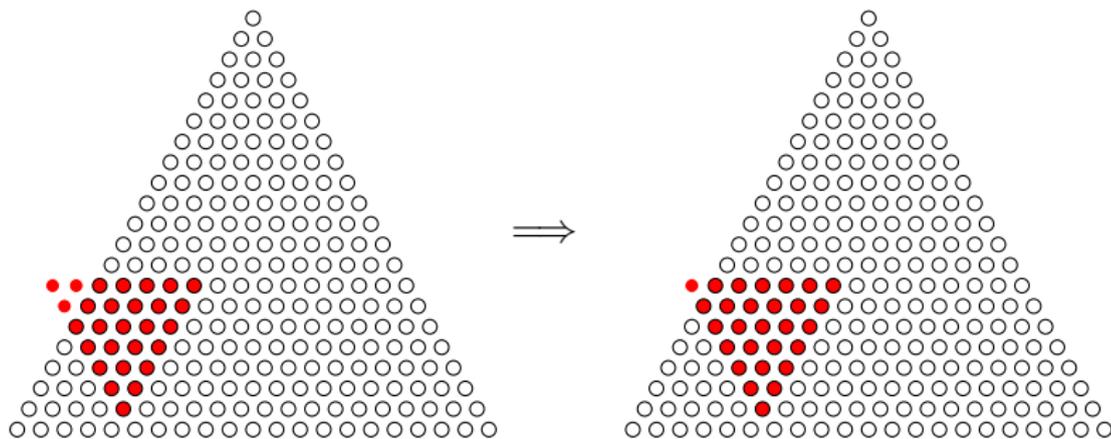
Coefficient triangle

For $p = 7$ with $i = 12, j = 5, k = 7$ the related coefficients of f^{p-2} are:



Moving the triangle

Now consider a bigger triangle with side length 7.
Our relations allow us to move the triangle around:



An initial “triangle” at the edge can be efficiently computed using coefficients of $f(x, 0, z)^{p-2}$.

Computing one Hasse-Witt matrix

Nondegeneracy: we need $f(1, 0, 0), f(0, 1, 0), f(0, 0, 1)$ nonzero and $f(0, y, z), f(x, 0, z), f(x, y, 0)$ squarefree (easily achieved for large p).

The basic strategy to compute W_p is as follows:

- There is a 28×28 matrix M_j that shifts our 7-triangle from y -coordinate j to $j + 1$; its coefficients depend on j and f .
In fact a 16×16 matrix M_i suffices (use smoothness of C).
- Applying the product $M_0 \cdots M_{p-2}$ to an initial triangle on the edge and applying a final adjustment to shift from f^{p-2} to f^{p-1} gets us one column of the Hasse-Witt matrix W_p .
- By applying the same product (or its inverse) to different initial triangles we can compute all three columns of W_p .

We have thus reduced the problem to computing $M_1 \cdots M_{p-2} \bmod p$.

An average polynomial-time algorithm

Now let C/\mathbb{Q} be smooth plane quartic $f(x, y, z) = 0$ with $f \in \mathbb{Z}[x, y, z]$. We want to compute W_p for all good $p \leq N$.

Key idea

The matrices M_j do not depend on p ; view them as integer matrices. It suffices to compute $M_0 \cdots M_{p-2} \pmod p$ for all good $p \leq N$.

Using an *accumulating remainder tree* we can compute all of these partial products in time $O(N(\log N)^{3+o(1)})$.

This yields an average time of $O((\log p)^{4+o(1)})$ per prime to compute the W_p for all good $p \leq N$.*

*We may need to skip $O(1)$ primes p where C_p is degenerate; these can be handled separately using an $\tilde{O}(p^{1/2})$ algorithm based on the same ideas.

Accumulating remainder tree

Given matrices M_0, \dots, M_{n-1} and moduli m_1, \dots, m_n , to compute

$$\begin{aligned} &M_0 \bmod m_1 \\ &M_0M_1 \bmod m_2 \\ &M_0M_1M_2 \bmod m_3 \\ &M_0M_1M_2M_3 \bmod m_4 \\ &\dots \\ &M_0M_1 \cdots M_{n-2}M_{n-1} \bmod m_n \end{aligned}$$

multiply adjacent pairs and recursively compute

$$\begin{aligned} &(M_0M_1) \bmod m_2m_3 \\ &(M_0M_1)(M_2M_3) \bmod m_4m_5 \\ &\dots \\ &(M_0M_1) \cdots (M_{n-2}M_{n-1}) \bmod m_{n-1}m_n \end{aligned}$$

and adjust the results as required.

Timings for genus 3 curves

N	costa-AKR	non-hyp-avgpoly	hyp-avgpoly
2^{12}	18.2	1.1	0.1
2^{13}	49.1	2.6	0.2
2^{14}	142	5.8	0.5
2^{15}	475	13.6	1.5
2^{16}	1,670	30.6	4.6
2^{17}	5,880	70.9	12.6
2^{18}	22,300	158	25.9
2^{19}	78,100	344	62.1
2^{20}	297,000	760	147
2^{21}	1,130,000	1,710	347
2^{22}	4,280,000	3,980	878
2^{23}	16,800,000	8,580	1,950
2^{24}	66,800,000	18,600	4,500
2^{25}	244,000,000	40,800	10,700
2^{26}	972,000,000	91,000	24,300

(Intel Xeon E7-8867v3 3.3 GHz CPU seconds).