

# Estimated transversality in symplectic geometry and projective maps

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# Ample bundles over almost-complex manifolds

**GW invariants:** holomorphic maps from complex manifolds to a symplectic manifold

**Dual point of view:** (approx.) holomorphic maps from a symplectic manifold to complex manifolds (Donaldson)

**Tool:** estimated transversality for approx. holomorphic sections of very ample bundles

( $\Rightarrow$  good linear systems, maps to  $\mathbb{C}\mathbb{P}^m$ )

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$(X^{2n}, J)$  almost-complex, compact

$(L_k, \nabla_k)$  line bundles are **asympt. very ample** if

$$\text{curvature} \begin{cases} iF_k(v, Jv) > c_k|v|^2, & c_k \rightarrow +\infty \\ F_k^{(0,2)} = O(1) \end{cases}$$

$\omega_k = iF_k$  is symplectic,  $J$  is  $\omega_k$ -tame.

**Example:**  $c_1(L_k) = k[\omega]$  and  $J$  is  $\omega$ -compatible.

**Asympt. holomorphic** sections of  $L_k$  :

$$\begin{cases} |s_k|_{C^r, g_k} = O(1) & (\text{rescaling : } g_k = c_k g) \\ |\bar{\partial}s_k|_{C^r, g_k} = O(c_k^{-1/2}) \end{cases}$$

curvature  $\rightarrow +\infty \Rightarrow$  look into  $X$  at small scale

$\Rightarrow$  non-integrability  $\rightarrow 0$ .

# Estimated transversality of jets

Asympt. holomorphic sections  $s_{k,0}, \dots, s_{k,m} \in \Gamma(L_k)$   
 $\Rightarrow$  approx. holomorphic maps  $f_k : X \rightarrow \mathbb{C}\mathbb{P}^m$

Need [estimated transversality](#) for the jets of these maps.

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$E_k = \mathbb{C}^{m+1} \otimes L_k$  asympt. very ample vector bundles,  
holom. jet bundles  $\mathcal{J}^r E_k = \bigoplus_{j=0}^r (T^* X^{(1,0)})_{\text{sym}}^{\otimes j} \otimes E_k$ .

$\mathcal{S}_k =$  asympt. holomorphic stratifications of  $\mathcal{J}^r E_k$  :  
finite Whitney stratifications, transverse to the fibers ;  
all strata are asympt. holomorphic submanifolds, with  
bounded curvature away from lower-dimensional strata.

The jet  $j^r s_k$  is  $\eta$ -transverse to  $\mathcal{S}_k$  if  
 $\text{dist}(j^r s_k(x), \mathcal{S}_{k,a}) < \eta \Rightarrow$  the graph of  $j^r s_k$  is trans-  
verse at  $x$  to  $T\mathcal{S}_{k,a}$ , with minimum angle  $> \eta$ .

## Theorem 1

$\mathcal{S}_k$  asympt. holomorphic stratifications of  $\mathcal{J}^r E_k$  ;  
 $\delta > 0$  ;  $s_k$  asympt. holomorphic sections of  $E_k$   
 $\Rightarrow$  for large enough  $k$ ,  $\exists$  asympt. holomorphic sec-  
tions  $\sigma_k$  of  $E_k$  s.t.

- (1)  $|\sigma_k - s_k|_{C^{r+1}, g_k} < \delta$  ;
- (2)  $j^r \sigma_k$  is  $\eta_{(\delta)}$ -transverse to  $\mathcal{S}_k$ .

# Estimated transversality of jets

Ingredients of proof :

- transversality is an **open** property  
⇒ transv. to all strata by successive perturbations
- start with lowest dim. strata ;  $\mathcal{S}_k$  are Whitney  
⇒ only work away from lower-dim. strata
- very localized asympt. holomorphic sections of  $L_k$   
in coords.:  $s_{k,x,I}(z) = z_1^{i_1} \dots z_n^{i_n} \exp(-\frac{1}{4}c_k|z|^2)$   
⇒ local trivializations of  $\mathcal{J}^r E_k$
- **local transversality result** for functions  $\mathbb{C}^n \rightarrow \mathbb{C}^p$   
(Donaldson)  
⇒ a localized small perturbation of  $s_k$  yields  
estimated transversality to  $S_{k,a}$  over a small ball
- **globalization argument**  
⇒ using openness, combine local perturbations to  
obtain transversality everywhere

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Theorem 1 also holds for **families** indexed by  $t \in [0, 1]$

⇒ objects are **canonical** up to isotopy

(and even independent of the chosen  $J$  as long as  $L_k$  remain asympt. very ample)

# Boardman stratifications of holomorphic jet spaces

Boardman stratification of jets of holomorphic maps  $\mathbb{C}^n \rightarrow \mathbb{C}^m$ :

$f : \mathbb{C}^n \rightarrow \mathbb{C}^m$  holomorphic  $\Rightarrow$  singular loci

$$\Sigma_i(f) = \{x, \dim \text{Ker } df(x) = i\}$$

$$\Sigma_{i_1, \dots, i_r}(f) = \Sigma_{i_r}(f|_{\Sigma_{i_1, \dots, i_{r-1}}(f)})$$

$\Rightarrow$  stratification of  $\mathcal{J}^r(\mathbb{C}^n, \mathbb{C}^m)$  by  $\Sigma_I$ .

Sections  $s_k$  of  $E_k = \mathbb{C}^{m+1} \otimes L_k$

$$\Rightarrow f_k = \mathbb{P}s_k : X - s_k^{-1}(0) \rightarrow \mathbb{C}\mathbb{P}^m$$

$j^r s_k = (s_k, \partial s_k, \partial^2 s_k, \dots)$ ; use local approx. holom. coordinates to identify  $\mathcal{J}^r(X, \mathbb{C}\mathbb{P}^m)$  with  $\mathcal{J}^r(\mathbb{C}^n, \mathbb{C}^m)$

$\Rightarrow$  Boardman stratification of  $\mathcal{J}^r E_k$ :

- $S_0 = \{j^r s(x), s(x) = 0\}$
- $S_I = \{j^r s(x), s(x) \neq 0, j^r \mathbb{P}s(x) \in \Sigma_I\}$

These stratifications are **asympt. holomorphic**

$\Rightarrow$  by Theorem 1, for large  $k$  we get  $s_k \in \Gamma(E_k)$  s.t.  $j^r s_k$  uniformly transverse to Boardman stratifications.

## Generic projective maps

$s_k$  asympt. holomorphic sections of  $\mathbb{C}^{m+1} \otimes L_k$ ,

$j^r s_k$  uniformly transverse to Boardman stratifications :

- the base loci  $Z_k = s_k^{-1}(0)$  are smooth symplectic codim.  $2m + 2$  submanifolds.

Local model:  $f_k(z_1, \dots, z_n) = (z_1 : z_2 : \dots : z_{m+1})$

- the holomorphic  $r$ -jets of  $f_k = \mathbb{P}s_k$  behave similarly to those of generic holomorphic maps between complex manifolds
- singular loci  $\Sigma_I(f_k) =$  stratified symplectic submanifolds of  $X - Z_k$ , of the expected codimension

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Away from singular loci, estimated transversality + asympt. holomorphicity  $\Rightarrow \bar{\partial}f_k \ll \partial f_k \Rightarrow$  **holomorphic local models for  $f_k$**

Near  $\Sigma_I(f_k)$ , need to ensure  $\bar{\partial}f_k \ll \partial f_k \Rightarrow$  obtain some control over  $\bar{\partial}f_k$ .

**Idea:** the antiholomorphic part of the jet of  $f_k$  should vanish in the normal directions to  $\Sigma_I(f_k)$ .

## Generic projective maps

Suitable perturbation to kill the antiholomorphic jet of  $f_k$  along normal directions to singular loci

$\Rightarrow$  obtain approx. holomorphic projective maps, topologically conjugate near every point of  $X$  to generic holomorphic maps between complex manifolds (in local approx. holomorphic coordinates).

- $m = 1$  : symplectic Lefschetz pencils (Donaldson)
- $m = 2$  : maps to  $\mathbb{C}\mathbb{P}^2$  (D. A.)
- $m \geq 2n$  : projective immersions/embeddings (Muñoz-Presas-Sols)
- general case : in progress

# Symplectic Lefschetz pencils

$(s_0, s_1) \in \Gamma(\mathbb{C}^2 \otimes L_k)$  suitably chosen

$\Rightarrow$  symplectic Lefschetz pencil :

$$\Sigma_\alpha = \{x \in X, s_0 + \alpha s_1 = 0\} \quad (\alpha \in \mathbb{C}\mathbb{P}^1)$$

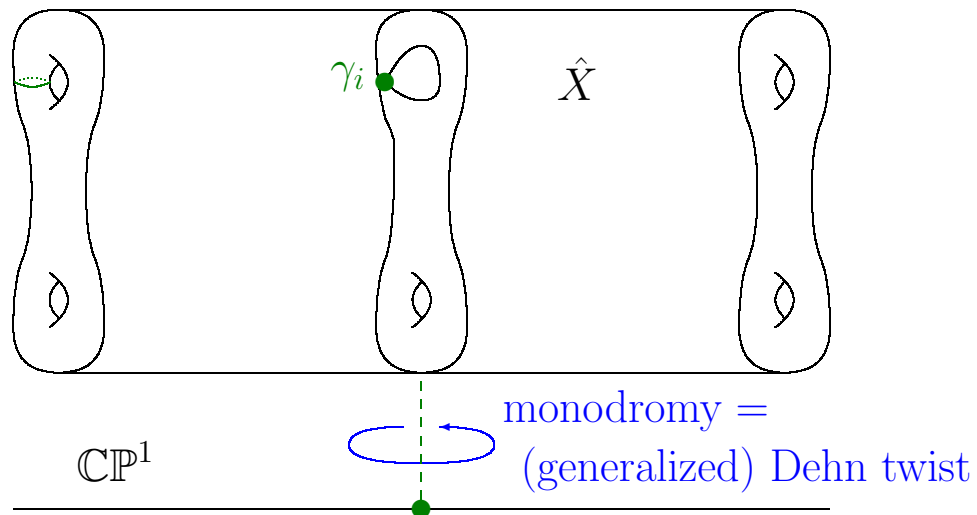
symplectic hypersurfaces, smooth except for finitely many singular points.

Base locus  $Z = \{s_0 = s_1 = 0\}$  (codim. 4).

Projective map  $f = (s_0 : s_1) : X - Z \rightarrow \mathbb{C}\mathbb{P}^1$  :

local model  $f(z) = z_1^2 + \cdots + z_n^2$  near critical points.

Blow up  $Z \Rightarrow$  Lefschetz fibration  $\hat{X} \rightarrow \mathbb{C}\mathbb{P}^1$



Monodromy =  $\theta : \pi_1(\mathbb{C} - \{\text{pts}\}) \rightarrow \text{Map}^\omega(\Sigma^{2n-2}, Z)$

$\text{Map}^\omega(\Sigma, Z) := \pi_0(\{\phi \in \text{Symp}(\Sigma, \omega), \phi|_{U(Z)} = \text{Id}\})$

$\Rightarrow$  symplectic invariants.



## Symplectic maps to $\mathbb{C}\mathbb{P}^2$

$(s_0, s_1, s_2) \in \Gamma(\mathbb{C}^3 \otimes L_k)$  suitably chosen

$\Rightarrow f = (s_0 : s_1 : s_2) : X - Z \rightarrow \mathbb{C}\mathbb{P}^2$ .

Fibers = codimension 4 symplectic submanifolds,  
 intersecting at the base locus  $Z$  (codim. 6),  
 singular along a smooth symplectic curve  $R \subset X$ .

Local singular models near  $R$  :

1.  $(z_1, \dots, z_n) \mapsto (z_1^2 + \dots + z_{n-1}^2, z_n)$   
 points where  $R$  is transverse to the fibers of  $f$
2.  $(z_1, \dots, z_n) \mapsto (z_1^3 - z_1 z_n + z_2^2 + \dots + z_{n-1}^2, z_n)$   
 cusp points of  $D = f(R)$

The critical curve  $D = f(R)$  is symplectic, with nodes (both orientations) and cusp singularities.

Fiber above a smooth point of  $D =$  obtained by collapsing a **vanishing cycle** (Lagrangian  $S^{n-2}$ ) in the generic fiber  $\Sigma^{2n-4}$ .

Monodromy around  $D$  :

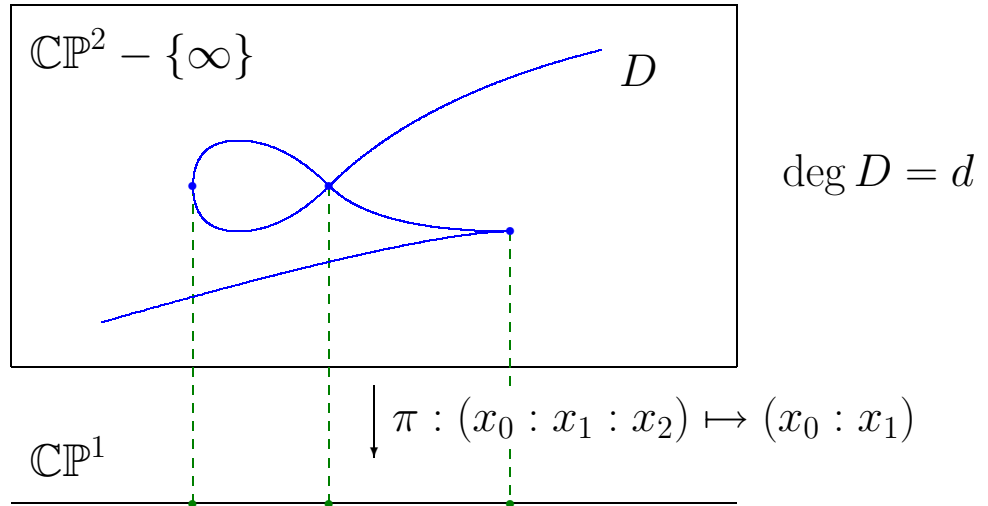
$$\bar{\theta} : \pi_1(\mathbb{C}^2 - D) \rightarrow \text{Map}^\omega(\Sigma^{2n-4}, Z)$$

$\bar{\theta}$ (geometric generator) = generalized Dehn twist.

Up to cancellation of nodes in  $D$ , for  $k \gg 0$  the topology of  $f_k$  is a **symplectic invariant**.

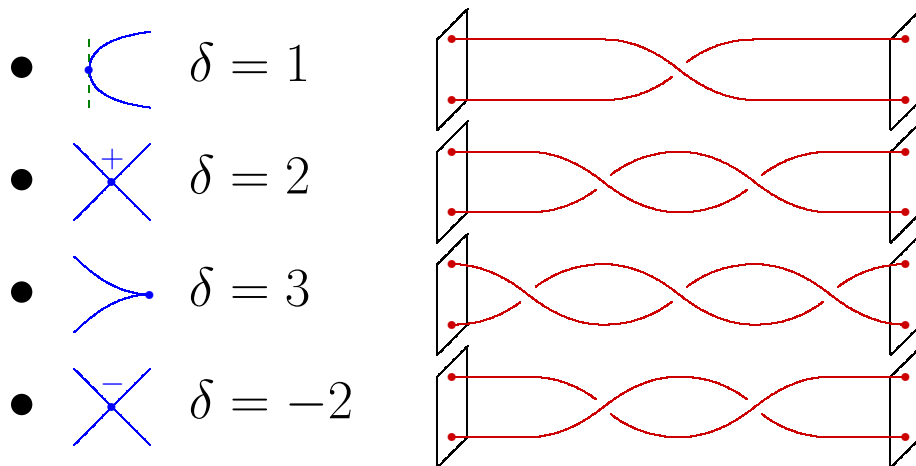
# Monodromy and braid groups

Perturbation  $\Rightarrow D =$  singular branched cover of  $\mathbb{CP}^1$ .



Monodromy =  $\rho : \pi_1(\mathbb{C} - \{\text{pts}\}) \rightarrow B_d$  (braid group)

Monodromy around each crit. point = (half-twist) $^\delta$ ,  
 $\delta \in \{-2, 1, 2, 3\}$  :



(Moishezon-Teicher, Auroux-Katzarkov)

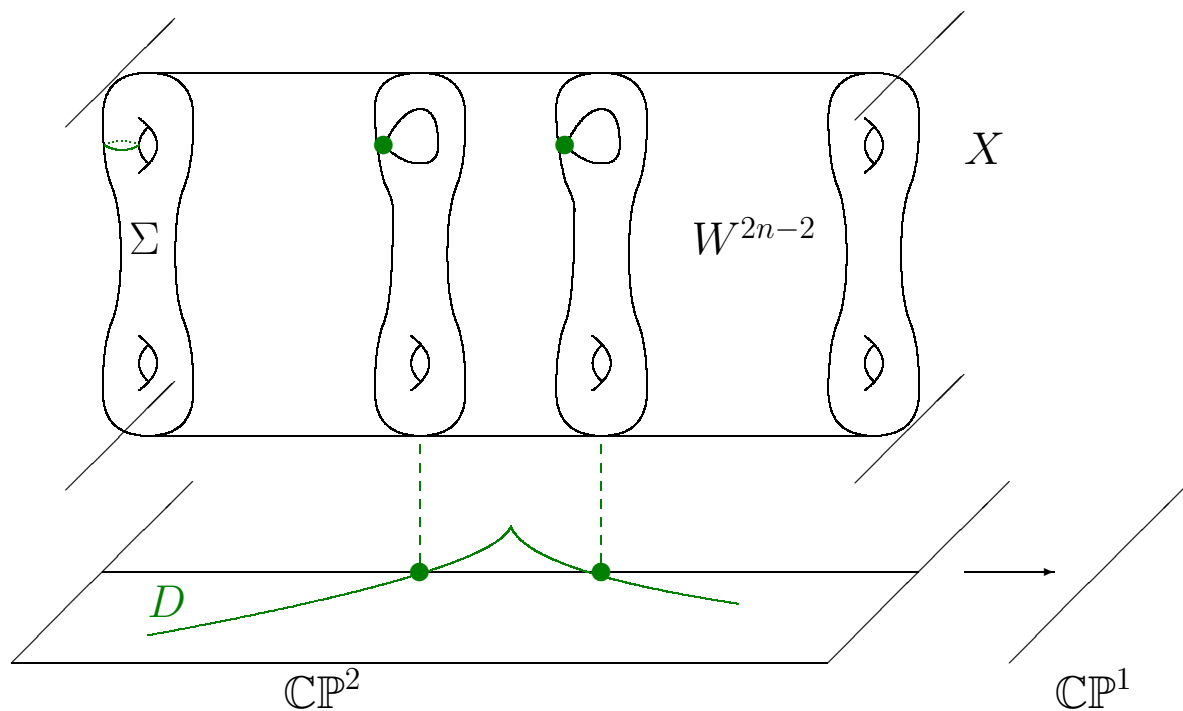
## The higher dimensional case

Monodromies of  $f : X - Z \rightarrow \mathbb{CP}^2$  :

- $\rho : \pi_1(\mathbb{C} - \{\text{pts}\}) \rightarrow B_d$  (describes  $D$ )
- $\bar{\theta} : \pi_1(\mathbb{C}^2 - D) \rightarrow \text{Map}^\omega(\Sigma^{2n-4}, Z)$  (describes  $f$ )

Restricting to the hypersurface  $W^{2n-2} = s_2^{-1}(0)$ ,  
 $f|_W = (s_0 : s_1) : W - Z \rightarrow \mathbb{CP}^1$  is a symplectic  
 Lefschetz pencil, monodromy

$$\theta = \bar{\theta} \circ i_* : \pi_1(\mathbb{C} - \{q_1, \dots, q_d\}) \rightarrow \text{Map}^\omega(\Sigma^{2n-4}, Z)$$



The monodromy invariants  $(\rho, \theta)$  determine the manifold  $X$  up to symplectomorphism.

## Dimensional induction

$(X^{2n}, \omega)$  symplectic,  $s_0, \dots, s_n \in \Gamma(L_k)$  well-chosen.

- $\Sigma_r = \{s_{r+1} = \dots = s_n = 0\}$  smooth symplectic submanifold,  $\dim \Sigma_r = 2r$ ,  $\Sigma_n = X$ .
- $s_{r-1}$  and  $s_r$  define a **SLP on  $\Sigma_r$** , generic fiber  $\Sigma_{r-1}$ , base locus  $\Sigma_{r-2}$ . Monodromy :

$$\theta_r : \pi_1(\mathbb{C} - \{pts\}) \rightarrow \text{Map}^\omega(\Sigma_{r-1}, \Sigma_{r-2})$$

- $(s_{r-2} : s_{r-1} : s_r) : \Sigma_r - \Sigma_{r-3} \rightarrow \mathbb{CP}^2$ , singular locus  $D_r \subset \mathbb{CP}^2$ ,  $\deg D_r = d_{r-1}$ . Monodromy :

$$\rho_r : \pi_1(\mathbb{C} - \{pts\}) \rightarrow B_{d_{r-1}} \quad \text{and} \quad \theta_{r-1}$$

- $\rho_r$  and  $\theta_{r-1}$  (description of  $\Sigma_r$  by a map to  $\mathbb{CP}^2$ ) determine  $\theta_r$  (description of  $\Sigma_r$  by a SLP) explicitly.

### Symplectic invariants characterizing $X$ :

$$(\theta_r, \rho_{r+1}, \rho_{r+2}, \dots, \rho_n), \quad \forall 1 \leq r \leq n.$$

- $r = n$  : SLP
- $r = 2$  :  $n - 2$  braid factorizations + word in  $\text{Map}_{g,N}$
- $r = 1$  :  $n - 1$  braid factorizations + word in  $S_N$ .