

# Diagrams of Affine Permutations and Their Labellings

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# Contents

Based on the joint work with  
Hwanchul Yoo (KIAS).

# Section 1

## Affine Balanced Labellings

# Permutations

A **permutation** is a bijection  $w : \{1, 2, \dots, n\} \rightarrow \{1, 2, \dots, n\}$ .

$\Sigma_n$  := the **symmetric group**, group of (finite) permutations of size  $n$ .

$\Sigma_n$  is generated by

- ① the **simple reflections**  $s_1, \dots, s_{n-1}$   
( $s_i$  is the permutation which interchanges the pair  $(i, i + 1)$ )
- ② and the following relations

$$\begin{aligned}
 s_i^2 &= 1 && \text{for all } i \\
 s_i s_{i+1} s_i &= s_{i+1} s_i s_{i+1} && \text{for all } i \\
 s_i s_j &= s_j s_i && \text{for } |i - j| \geq 2.
 \end{aligned}$$

# Affine Permutations

An **affine permutation** of period  $n$  is a bijection  $w : \mathbb{Z} \rightarrow \mathbb{Z}$  such that  $w(i+n) = w(i) + n \forall i \in \mathbb{Z}$  and  $w(1) + w(2) + \dots + w(n) = n(n+1)/2$ .

$\tilde{\Sigma}_n$  := the **affine symmetric group**, group of **affine** permutations of period  $n$ .

$\tilde{\Sigma}_n$  is generated by

- 1 the **simple reflections**  $s_0, s_1, \dots, s_{n-1}$   
( $s_i$  interchanges the pairs  $(i+rn, i+1+rn) \forall r \in \mathbb{Z}$ .)
- 2 and the following relations

$$\begin{aligned}
 s_i^2 &= 1 && \text{for all } i \\
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 s_i s_j &= s_j s_i && \text{for } i, j \text{ not adjacent, } i \neq j.
 \end{aligned}$$

where the indices are taken **modulo**  $n$ .

# Affine Permutations

## Example

$\tilde{\Sigma}_3$  is generated by  $\{s_0, s_1, s_2\}$ .

	...	-1	0	1	2	3	4	5	...
id	...	-1	0	1	2	3	4	5	...
$s_2$	...	0	-1	1	3	2	4	6	...
$s_2 s_0$	...	0	1	-1	3	4	2	6	...
$s_2 s_0 s_1$	...	-4	1	3	-1	4	6	2	...
$s_2 s_0 s_1 s_0$	...	-4	3	1	-1	6	4	2	...

$$w = s_2 s_0 s_1 s_0 \in \tilde{\Sigma}_3$$

$w = [1, -1, 6]$  : **window notation**

# Affine Permutations

## Remark

A permutation  $w = [w_1, \dots, w_n]$  can be viewed as an affine permutation  $[w_1, \dots, w_n]$  with period  $n$ , written in window notation.

This corresponds to the natural embedding  $\Sigma_n \hookrightarrow \tilde{\Sigma}_n, s_j \mapsto s_j$ .

## Reduced Words

A **reduced decomposition** of  $w$  is a decomposition  $w = s_{i_1} \cdots s_{i_\ell}$  where  $\ell$  is the minimal number for which such a decomposition exists.

That minimal  $\ell = \ell(w)$  is the **length** of  $w$ .

The word  $i_1 i_2 \cdots i_\ell$  is called a **reduced word** of  $w$ .



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### Fact

*The length of an affine permutation  $w$  is the number of **inversions**  $(i, j)$  with  $1 \leq i \leq n$ .*

$$\ell(w) = |\{(i, j) \mid i < j, w(i) > w(j), 1 \leq i \leq n\}|.$$

# Diagrams

The **affine permutation diagram** of  $w \in \tilde{\Sigma}_n$  is the set

$$D(w) = \{(i, w(j)) \mid i < j, w(i) > w(j)\} \subseteq \mathbb{Z} \times \mathbb{Z}.$$

When  $w$  is a finite permutation,  $D(w)$  consists of infinite number of identical copies of the Rothe diagram of  $w$  diagonally.

# Diagrams

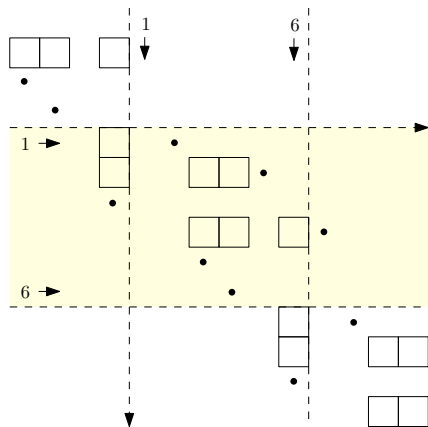


Figure: diagram of  $w = [2, 5, 0, 7, 3, 4] \in \tilde{\Sigma}_6$

# Diagrams

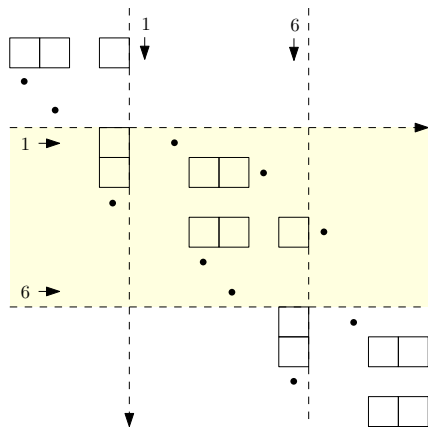


Figure: diagram of  $w = [2, 5, 0, 7, 3, 4] \in \tilde{\Sigma}_6$

**fundamental window**

$$[D(w)] := ([1, n] \times \mathbb{Z}) \cap D(w).$$

**cell**

$$= \{(i + rn, j + rn) \mid r \in \mathbb{Z}\}$$

$$=: \overline{(i, j)}.$$

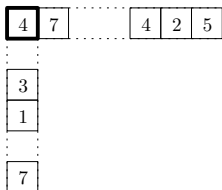
$$\ell(w) = \#(\text{cells}) = |[D(w)]|$$

# Balanced Hook

**Labelling** of a diagram = labelling of the *cells* with positive integers.

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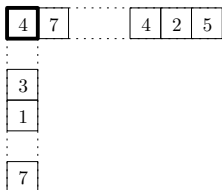
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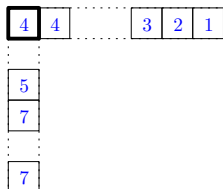
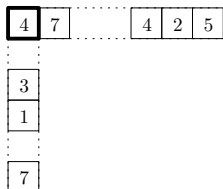


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**Balanced hook:** If one rearranges the labels in the hook so that they weakly increase from right to left and from top to bottom, then the corner label remains unchanged.

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# Balanced Labellings

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(Fomin-Greene-Reiner-Shimozono  
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A labelling of a diagram  $D$  is called:

**balanced** if every *hook* is balanced

**column-strict** if no column contains two boxes with equal labels

**injective** if each of the labels  $\{1, 2, \dots, \ell\}$  appears exactly once in  $[D]$ .

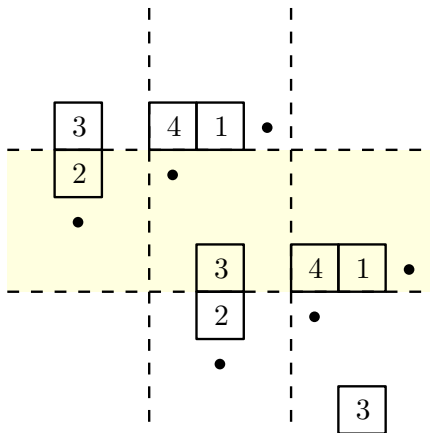


Figure: injective balanced labelling

# Canonical Labellings

Let  $a = a_1 a_2 \cdots a_\ell$  be a reduced word of  $w \in \tilde{\Sigma}_n$ ,

i.e.  $w = s_{a_1} s_{a_2} \cdots s_{a_\ell}$ .

We define the **canonical labelling**  $T_a$  of  $a$ .

e.g.  $w = [1, -1, 6] = s_2 s_0 s_1 s_0$ .

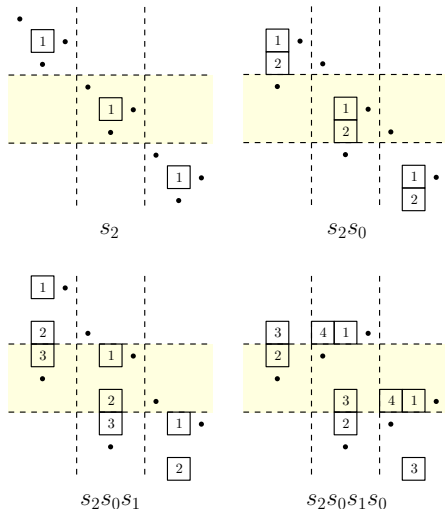
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# Canonical Labellings

Theorem (Yoo-Y. 2012, FGRS 1997 for finite case)

For all  $w \in \tilde{\Sigma}_n$ , the map

$$a \mapsto T_a \text{ (the canonical labelling of } a\text{)}$$

is a bijection

$$\{\text{reduced words of } w\} \longrightarrow \{\text{injective balanced labellings of } D(w)\}.$$

Proof.

Find the inverse map. □

## Section 2

# Symmetric Functions

# Stanley Symmetric Functions

$v \in \Sigma_n$  is called **decreasing** if it has a decreasing reduced word.

e.g.  $v = s_4 s_2 s_1 \in \Sigma_5$ .

$w = v_1 v_2 \cdots v_r$  is a **decreasing factorization** of  $w$  if each  $v_i \in \Sigma_n$  is decreasing and  $\ell(w) = \sum_{i=1}^r \ell(v_i)$ .

$(\ell(v_1), \ell(v_2), \dots, \ell(v_r))$  is the **type** of the factorization.

## Definition (Stanley 1984)

Let  $w \in \Sigma_n$  be a permutation. The **Stanley symmetric function**  $F_w(x)$  of  $w$  is defined by

$$F_w(x) := F_w(x_1, x_2, \dots) = \sum_{w=v_1 v_2 \cdots v_r} x_1^{\ell(v_1)} x_2^{\ell(v_2)} \cdots x_r^{\ell(v_r)},$$

where the sum is over all decreasing factorization of  $w$ .



# Affine Stanley Symmetric Functions

A word  $a_1 a_2 \cdots a_\ell$  with letters in  $\mathbb{Z}/n\mathbb{Z}$  is **cyclically decreasing** if

- (1) each letter appears at most once, and
- (2) whenever  $i$  and  $i + 1$  both appears in the word,  $i + 1$  precedes  $i$ .

$v \in \tilde{\Sigma}_n$  is cyclically decreasing if it has a cyclically decreasing reduced word. e.g.  $v = s_2 s_0 s_4 \in \tilde{\Sigma}_5$ .

$w = v_1 v_2 \cdots v_r$  is a **cyclically decreasing factorization** of  $w$  if each  $v_i \in \tilde{\Sigma}_n$  is cyclically decreasing and  $\ell(w) = \sum_{i=1}^r \ell(v_i)$ .

**Definition (Lam 2006)**

$w \in \tilde{\Sigma}_n$ . The **affine Stanley symmetric function**  $\tilde{F}_w(x)$  of  $w$  is

$$\tilde{F}_w(x) := \tilde{F}_w(x_1, x_2, \dots) = \sum_{w=v_1 v_2 \cdots v_r} x_1^{\ell(v_1)} x_2^{\ell(v_2)} \cdots x_r^{\ell(v_r)},$$

where the sum is over all cyclically decreasing factorization of  $w$ .

# Column-Strict Balanced Labellings

Theorem (Yoo-Y. 2012, FGRS 1997 for finite case)

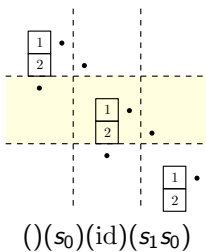
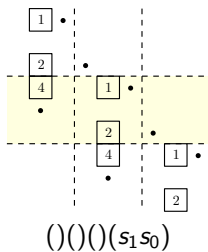
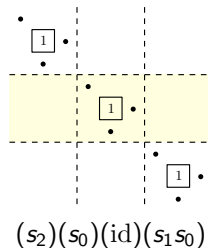
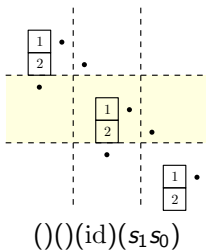
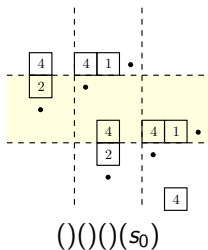
$CB(D) = \{\text{column-strict balanced labellings of a diagram } D\}$ .  
 (“column strict” := no column contains two boxes with equal labels.)

For all  $w \in \tilde{\Sigma}_n$ ,

$$\tilde{F}_w(x) = \sum_{T \in CB(D(w))} x^T$$

where  $x^T$  denotes the monomial  $\prod_{(i,j) \in [D(w)]} x_{T(i,j)}$ .

# Column-Strict Balanced Labellings



$$\Rightarrow W = v_1 v_2 v_3 v_4$$

$$v_1 = s_2, v_2 = s_0, \\ v_3 = \text{id}, v_4 = s_1 s_0.$$

## Section 3

# Properties of Permutation Diagrams

# Affine Diagram

A collection  $D$  of unit square boxes on  $\mathbb{Z} \times \mathbb{Z}$  is called an **affine diagram** (of period  $n$ ) if there are finite number of boxes on each row and column, and

$$(i, j) \in D \Leftrightarrow (i + n, j + n) \in D.$$

Clearly, any affine permutation diagram of  $w \in \tilde{\Sigma}_n$  is an affine diagram of period  $n$ .

# Question

**When is an (affine) diagram an (affine) permutation diagram?**

Theorem (Yoo-Y. 2012, FGRS 1997 for finite case)

$D$  affine permutation diagram of  $w$ ,

*Reduced word of  $w$*   $\iff$  *Injective balanced labelling of  $D$ .*

Proof.

Find the inverse map. □

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$\implies$  Check if  $w = s_{a_1} s_{a_2} \cdots s_{a_\ell}$  gives you the diagram  $D$ .

# Classification Theorem

Theorem (Yoo-Y. 2012)

*D* an affine diagram. *D* is an affine permutation diagram *if and only if* it is **North-West** and admits a **content map**.

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Theorem (Yoo-Y. 2012)

$D$  an affine diagram.  $D$  is an affine permutation diagram *if and only if* it is **North-West** and admits a **content map**.

An affine diagram is **North-West** if whenever there is a box at  $(i, j)$  and at  $(k, \ell)$  with  $i < k$  and  $j > \ell$ , there must be a box at  $(i, \ell)$ .

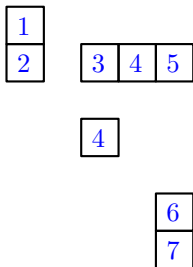
# Content Map

## Definition (Yoo-Y. 2012)

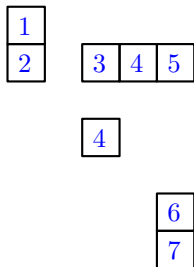
$D$  an affine diagram with period  $n$ .  $\mathcal{C} : D \rightarrow \mathbb{Z}$  is a **content map** if it satisfies the following four conditions.

- (C1) If boxes  $b_1$  and  $b_2$  are in the same row (respectively, column),  $b_2$  being to the east (resp., south) to  $b_1$ , and there are no boxes between  $b_1$  and  $b_2$ , then  $\mathcal{C}(b_2) - \mathcal{C}(b_1) = 1$ .
- (C2) If  $b_2$  is strictly to the southeast of  $b_1$ , then  $\mathcal{C}(b_2) - \mathcal{C}(b_1) \geq 2$ .
- (C3) For each row (resp., column), the content of the leftmost (resp., topmost) box is equal to the row (resp., column) index.
- (C4) If  $b_1 = (i, j)$  and  $b_2 = (i + n, j + n)$  coordinate-wise, then  $\mathcal{C}(b_2) - \mathcal{C}(b_1) = n$ .

# Content Map



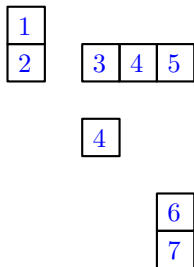
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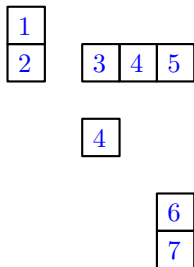


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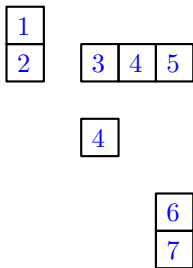
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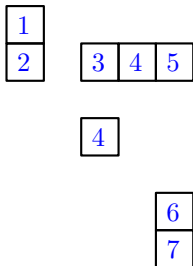
### Theorem (Yoo-Y. 2012)

*$D$  an (affine) diagram.  $D$  is an (affine) permutation diagram if and only if it is North-West and admits a content map.*

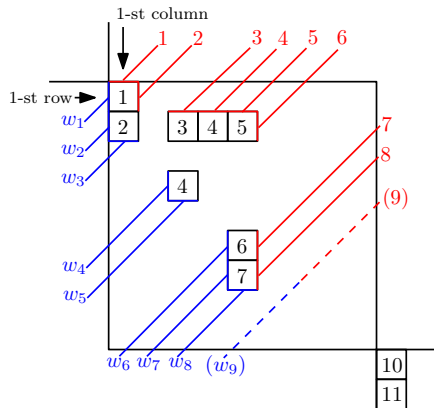
### Proof.

We re-construct the affine permutation from a North-West diagram with a content map. □

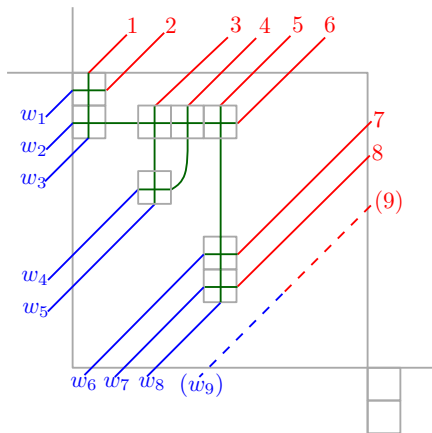
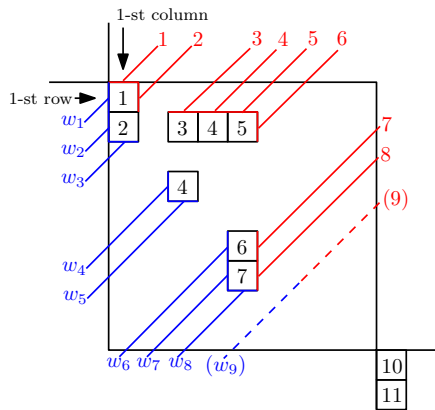
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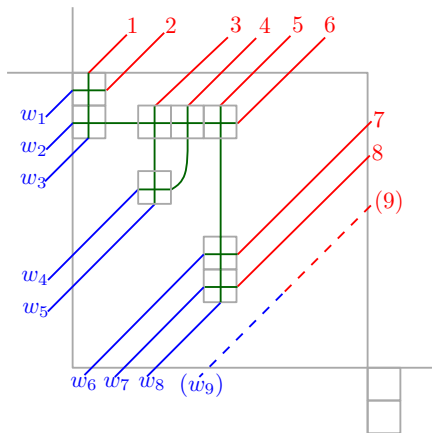
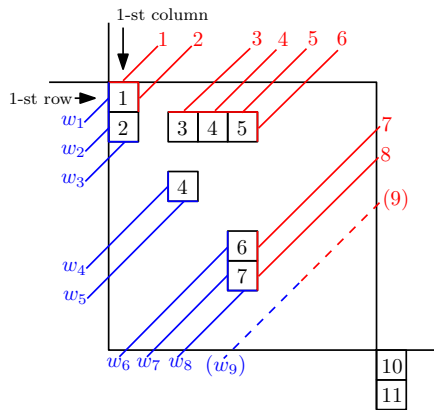


# Proof of Classification Theorem (Wiring Diagrams)



# Proof of Classification Theorem (Wiring Diagrams)

$$\implies w = [2, 6, 1, 4, 3, 7, 8, 5, 9].$$

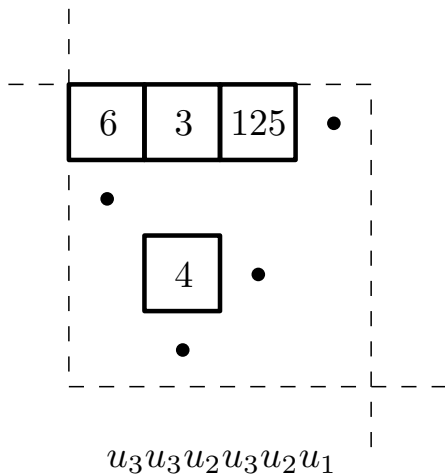




## Section 4

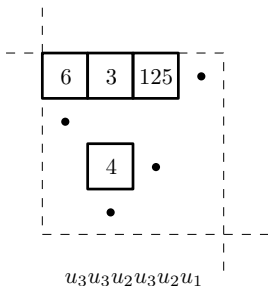
# Set-Valued Balanced Labellings

## Set-Valued Labellings



# Related Objects

labelling balanced labelling	set-valued (s-v) labelling s-v balanced labelling
reduced words Stanley symm. func. Schubert poly. $\mathfrak{S}_w$	<i>nilHecke words</i> <i>stable Grothendieck poly.</i> (Lascoux-Schützenberger) <i>Grothendieck poly.</i> $\mathfrak{S}_w$ (Lascoux-Schützenberger)
affine symm. group affine Stanley symm. func. $\tilde{F}_w$	<i>affine nilHecke algebra</i> $\tilde{U}_n$ (Lam) <i>affine stable Grothendieck poly.</i> $\tilde{G}_w$ (Lam)



Theorem (Yoo-Y. 2013)

$w \in \tilde{\Sigma}_n, \tilde{\mathcal{U}}_n$  **affine nilHecke algebra**. There is a bijection from

$\{\text{nilHecke words } a \text{ in } \tilde{\mathcal{U}}_n \text{ with } S(a) = w\}$

to

$\{\text{s-v injective balanced labellings of } D(w)\}$ .

## Theorem (Yoo-Y. 2013)

$w$  an affine permutation,  $\tilde{G}_w$  affine stable Grothendieck polynomial.

$$\tilde{G}_w(x) = \sum_T (-1)^{|T| - \ell(w)} x^T,$$

over all *s-v column-strict balanced labellings*  $T$  of  $D(w)$ ,

$$x^T := \prod_{b \in [D(w)]} \prod_{k \in T(b)} x_k.$$

## Theorem (Buch 2002)

$\lambda/\mu$  a skew Young diagram (equiv., a diagram of 321-avoiding finite permutation).

$$G_{\lambda/\mu}(x) = \sum_T (-1)^{|T| - |\lambda/\mu|} x^T,$$

over all set-valued tableaux  $T$  of shape  $\lambda/\mu$ ,  $x^T := \prod_{b \in \lambda/\mu} \prod_{k \in T(b)} x_k$ .

## Theorem (Yoo-Y. 2013)

$w$  a finite permutation.  $\mathfrak{G}_w$  Grothendieck polynomial.

$$\mathfrak{G}_w(x) = \sum_T (-1)^{|T| - \ell(w)} x^T,$$

over all column-strict  $s$ - $v$  balanced labellings  $T$  of  $D(w)$  with **flag conditions**:  $\forall t \in T(i, j), t \leq i$ .

Yoo-Yun, *Diagrams of affine permutations, balanced labellings, and symmetric functions*, arXiv:1305.0129.

(math.tedyun.com, arXiv:1305.0129)



# Happy Birthday, Richard!