# Real-rootedness results for triangulation operations inspired by the Tchebyshev polynomials

### Gábor Hetyei

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June 27, 2014, Stanley@70.

The recent part of the research presented here is joint work with Eran Nevo.

#### Outline

The Tchebyshev transform of a poset The Tchebyshev triangulation of a simplicial complex Generalized Tchebyshev triangulations (with Eran Nevo)

### 1 The Tchebyshev transform of a poset

2 The Tchebyshev triangulation of a simplicial complex

3 Generalized Tchebyshev triangulations (with Eran Nevo)

### Stanley combination plane



Gábor Hetyei (and Eran Nevo)

Tchebyshev triangulations

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Outline The Tchebyshev transform of a poset

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The resulting poset is the *Tchebyshev transform* of the original.

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### An example: "The butterfly poset" of rank 3

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- It preserves the Eulerian property.
- The order complex is a triangulation of the original order complex.
- Takes the Cartesian product of posets into the diamond product of their Tchebyshev transforms (Ehrenborg-Readdy)
- Induces a Hopf algebra endomorphism on the ring of quasisymmetric functions (Ehrenborg-Readdy)

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### Why the name Tchebyshev?

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#### Definition

The *F*-polynomial of a (d-1)-dimensional simplicial complex  $\triangle$  is given by

$$F(\triangle, x) = \sum_{j=0}^{d} f_{j-1} \left(\frac{x-1}{2}\right)^{j}$$

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**Note to Richard:** For an Eulerian poset *P*, substituting c = x and e = 1 yields into the *ce*-index  $F(\triangle(P \setminus \{\widehat{0}), \widehat{1}\}, x)$ .

### Visual definition

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In words: pull the midpoint of every edge "in appropriate order".

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### Visual definition



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$$F(T(\triangle), x) = T(F(\triangle, x)),$$

where  $T(x^n) = T_n(x)$ .

### "The essence and mystery of Tchebyshev polynomials"

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### "The essence and mystery of Tchebyshev polynomials"

#### Essence:

Gábor Hetyei (and Eran Nevo) Tchebyshev triangulations

"The essence and mystery of Tchebyshev polynomials"

#### Essence:

 $(\cos(\alpha) + \mathbf{i}\sin(\alpha))^n = T_n(\cos\alpha) + U_{n-1}(\cos\alpha)\sin\alpha \cdot \mathbf{i}.$ 

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**Example:**  $x^6 = T_6(x) + 6T_4(x) + 15T_2(x) + 10T_0(x)$ .

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**Example:**  $x^6 = T_6(x) + 6T_4(x) + 15T_2(x) + 10T_0(x)$ . Combinatorial interpretation?

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### Stability

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(For each root of multiplicity *m* also use  $t^k e^{\lambda t}$  for  $k = 0, 1, \dots, m$ .)  $\lim_{t \to \infty} t^k e^{\lambda t} = 0$  iff  $\lambda$  has negative real part.

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The *Möbius transformation*  $z \mapsto \frac{z+1}{z-1}$  takes the left *t*-halfplane into the unit disk.

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$$(1-t)^d \cdot F_{\bigtriangleup}\left(rac{1+t}{1-t}
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#### Corollary

If  $F(\triangle, x)$  is Schur stable (=its zeros are inside the disk |x| < 1) then  $h(\triangle, t)$  is Hurwitz stable (=its zeros are inside the left t-halfplane). The converse also holds for homology spheres (or whenever deg  $h(\triangle, t) = \deg F(\triangle, x)$ ).

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### Facts and conjectures about stability

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### Proposition

The join  $\triangle_1 * \triangle_2$  is S-stable (H-stable) if and only if both  $\triangle_1$  and  $\triangle_2$  are S-stable (H-stable).

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#### Conjecture

The direct product of S-stable graded posets is S-stable.

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#### Theorem

If P is an S-stable graded poset then the same holds for the direct product  $P \times I$ .

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The proof uses *Lucas' theorem* stating that the roots of the derivative are in the convex hull of the roots of the original polynomial.

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#### Corollary

All Boolean algebras  $B_n = I \times I \times \cdots \times I$  are S-stable.

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### Connection to the Brenti-Welker result

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### Theorem (Brenti-Welker)

Consider a Boolean cell complex whose h-vector is nonnegative. Then the h-polynomial of its barycentric subdivision has only real and simple roots.

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### Theorem (Brenti-Welker)

Consider a Boolean cell complex whose h-vector is nonnegative. Then the h-polynomial of its barycentric subdivision has only real and simple roots.

The order complex of a Boolean algebra is the barycentric subdivision of a simplex. The *h*-vector entries being all positives, all roots must be real and negative.

# An application to the derivative polynomials for tangent and secant

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They are defined by

$$rac{d^n}{dx^n} an(x) = P_n( an x)$$
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#### Proposition

The zeros of  $P_n(x)$  and  $Q_n(x)$  are pure imaginary, have multiplicity 1, belong to the line segment  $[-\mathbf{i}, \mathbf{i}]$  and are interlaced with  $-\mathbf{i}$  and  $\mathbf{i}$  being zeros of  $P_n(x)$ .

### Elements of the proof

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Let  $T_n^B(x)$  be the *F*-polynomial of the Tchebyshev transform of the boolean algebra  $B_n$ . Then we have

$$T_n^B(x) = (-1)^n \widetilde{Q}_n(x),$$

where  $\widetilde{Q}_n(x)$  is the derivative polynomial for hyperbolic secant.

Define  $U, T : \mathbb{R}[x] \to \mathbb{R}[x]$  as the linear maps, sending  $x^n$  into  $T_n(x)$  and  $U_{n-1}(x)$ , respectively. (Tchebyshev polynomials of the first, resp. second kind.)

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#### Proposition

Assume  $p(x) \in \mathbb{R}[x]$  of degree d is Schur stable. Then all roots of T(p) and U(p) are real, have multiplicity 1, and lie in the open interval (-1, 1). Moreover, the roots  $t_1 < \cdots < t_d$  of T(p) and the roots  $u_1 < \cdots < u_{d-1}$  of U(p) are interlaced, i.e.,  $t_1 < u_1 < t_2 < u_2 < \cdots < u_{d-1} < t_d$  holds.

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The proof uses Schelin's theorem "backwards".

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### A visual example

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# A visual example



Different triangulations, same face numbers.

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## The definition of a generalized Tchebyshev triangulation

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Fix a triangulation L of a k simplex that introduces no new vertex on the boundary. List all k-faces of a complex K in an arbitrary order:  $\sigma_1, \ldots, \sigma_m$ . Let  $K_0, K_1, \ldots, K_m$  be the list of simplicial complexes such that  $K_0 = K$ ,  $K_m = K'$  and, for each  $i \ge 1$ , the complex  $K_i$  is obtained from  $K_{i-1}$  by replacing the face  $\sigma_i$  with an isomorphic copy  $L_i$  of L and the family of faces  $\{\sigma_i \cup \tau : \tau \in \text{link}(\sigma_i)\}$  containing  $\sigma_i$  with the subdivided complex  $\{\sigma' \cup \tau : \sigma' \in L_i, \tau \in \text{link}(\sigma_i)\}$ .

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#### Theorem (H.-Nevo)

The face numbers of K' do not depend on the order of the k-faces and they depend on the face numbers of K in a linear fashion.

## Easy facts on generalized Tchebyshev polynomials

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- $(-1)^n T_n^L(-x) = T_n^L(x)$  (Dehn-Sommerville equations).

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- $(-1)^n T_n^L(-x) = T_n^L(x)$  (Dehn-Sommerville equations).
- All real roots of T<sup>L</sup><sub>n</sub>(x) belong to the interval (-1, 1).
   (Nonnegativity of the *h*-numbers.)

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# Easy results on (lack of) real-rootedness.

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• Let *L* be the subdivision of the 1-simplex by *s* interior vertices. Then

$$T_n^L(x) = \left(\sqrt{x^2 + s(1-x^2)}\right)^n \cos(n\alpha(x)),$$

for some bijection  $\alpha : [-1,1] \to [0,\pi]$ . Thus  $T_n^L(x)$  has n distinct real roots in (-1,1).

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2 Let *L* be the simplex obtained from a tetrahedron just by adding one new interior vertex and connecting it to all four original vertices. Then  $T_6^L(x) = 6 - 9x^2 - 60x^4 + 64x^6$  has only 4 real roots.

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We have  $T_0(x) = 1$ ,  $T_1(x) = 1$ ,  $T_2(x) = x^2$  and

$$T_n^L(x) = 3xT_{n-1}^L(x) + ((e-3)x^2 - e)T_{n-2}^L(x) + ((2m+1-e) \cdot x^3 + (e-2m)x) \cdot T_{n-3}^L(x) for n \ge 3.$$

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(It is true in general that  $T_n^L(x)$  satisfies  $T_n^L(x) = x^n$  for  $n \le \dim L$ , and a "Fibonacci type recurrence".)

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$$T_n^L(x) = 3xT_{n-1}^L(x) - 3T_{n-2}^L(x) - 3x \cdot T_{n-3}^L(x) \text{ for } n \ge 3.$$

The characteristic equation associated to the above recurrence is

$$q^3 - 3xq^2 + 3q - x = 0.$$

## The special case when m = 1

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The characteristic equation associated to the above recurrence is

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Cardano's formula gives

$$\begin{array}{ll} q_j(x) & = & x + \omega^j \sqrt[3]{(x-1)(x+1)^2} + \omega^{2j} \sqrt[3]{(x-1)^2(x+1)} \\ & \text{where } j \in \{0,1,2\} \text{ and } \omega = e^{i2\pi/3}. \end{array}$$

## The special case when m = 1

We get  $T_n(x) = \frac{x}{3} \left( q_0(x)^{n-1} + q_1(x)^{n-1} + q_2(x)^{n-1} \right)$  for  $n \ge 1$ .

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$$T_n^L(x)/x = \frac{||q_1(x)||^{n-1}}{3} \left( \left( \frac{q_0(x)}{||q_1(x)||} \right)^{n-1} + \frac{q_1(x)^{n-1} + \overline{q_1(x)^{n-1}}}{||q_1(x)||^{n-1}} \right)$$

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Equivalently,

$$T_n^L(x)/x = \frac{||q_1(x)||^{n-1}}{3} \left( \left( \frac{q_0(x)}{||q_1(x)||} \right)^{n-1} + 2\cos((n-1)\alpha(x)) \right),$$

where  $\alpha(x)$  is the argument of  $q_1(x)$ .

### The special case when m = 1

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The function  $2\cos((n-1)\alpha(x))$  has at least (n-1) zeros inside the interval (-1, 1). Before the least zero, between two consecutive zeros, and after the largest zero this attains 2 or -2, thus leaving (and, with the exception of the segment after the largest zero, reentering) the region between the lines y = -1 and y = 1.

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The continuous function

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never leaves this horizontal region, thus its graph must intersect the graph of  $2\cos((n-1)\alpha(x))$  at least n-1 times. The proof of the general case is similar, but more complicated.

# The end (?)

Gábor Hetyei (and Eran Nevo) Tchebyshev triangulations

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Outline

The Tchebyshev transform of a poset The Tchebyshev triangulation of a simplicial complex Generalized Tchebyshev triangulations (with Eran Nevo)

# The end (?)



### HAPPY BIRTHDAY, RICHARD!

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