Improved Bounds on Helly Numbers of Exponential Lattices

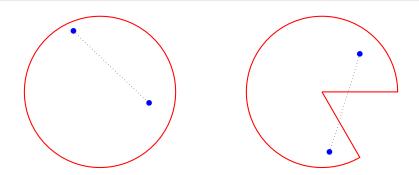
Srinivas Arun Under the Guidance of Travis Dillon MIT PRIMES Conference

October 14th, 2023

Convexity

Definition

A set $S \subseteq \mathbb{R}^d$ is *convex* if for any u and v in S, every point on the segment between u and v is in S.



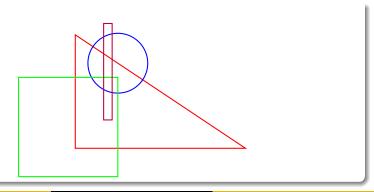
Theorem (Helly, 1923)

Let \mathcal{F} be a finite family of convex sets in \mathbb{R}^d . If every d + 1 or fewer sets in \mathcal{F} have nonempty intersection, then all sets in \mathcal{F} have nonempty intersection.

Theorem (Helly, 1923)

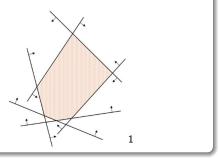
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Example



Example (Feasibility of a Linear Program)

To check whether or not n linear contraints can be simultaneously satisfied, it suffices to check whether every d + 1 constraints can be simultaneously satisfied.



¹Computational Geometry, WS 2007/08, Dr. Thomas Ottmann

Srinivas Arun

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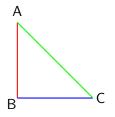
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In particular, can we replace d + 1 with d?

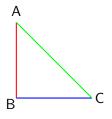
The constant in Helly's Theorem cannot be improved.

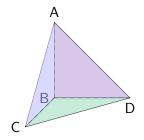
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Every 2 edges intersect at a vertex, but not all edges intersect.

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Every 2 edges intersect at a vertex, but not all edges intersect.

Every 3 faces intersect at a vertex, but not all faces intersect.

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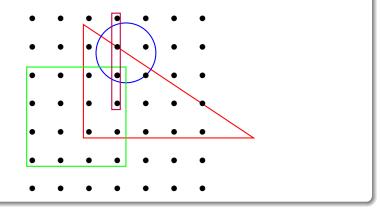
Let \mathcal{F} be a finite family of convex sets in \mathbb{R}^d . If every d + 1 or fewer sets in \mathcal{F} have nonempty intersection, then all sets in \mathcal{F} have nonempty intersection.

Theorem (Doignon, 1973)

Let \mathcal{F} be a finite family of convex sets in \mathbb{R}^d . If every 2^d or fewer sets in \mathcal{F} intersect at a lattice point, then all sets in \mathcal{F} intersect at a lattice point.

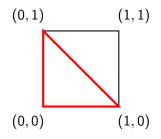
Doignon's Theorem

Example

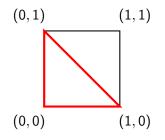


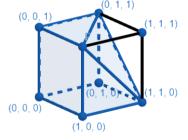
The constant in Doignon's Theorem also cannot be lowered.

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Every 3 triangles of the above form intersect at a lattice point, but all 4 do not. The constant in Doignon's Theorem also cannot be lowered.





Every 3 triangles of the above form intersect at a lattice point, but all 4 do not.

Every 7 polytopes of the above form intersect at a lattice point, but all 8 do not.

Helly Numbers

Definition

Given a set $S \subseteq \mathbb{R}^d$, the *Helly number* of *S*, denoted h(S), is the smallest *h* such that the following *Helly-type theorem* holds:

Let \mathcal{F} be a finite family of convex sets in \mathbb{R}^d . If every h or fewer sets in \mathcal{F} intersect at a point in S, then the intersection of all sets in \mathcal{F} contains a point in S.

If no such *h* exists, we say $h(S) = \infty$.

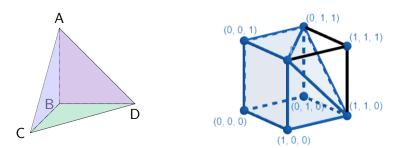
Theorem (Helly, 1923) $h(\mathbb{R}^d) = d + 1.$

Theorem (Doignon, 1973)

 $h(\mathbb{Z}^d) = 2^d.$

Fundamental Results

Recall the examples we used to show that the Helly and Doignon bounds are sharp.



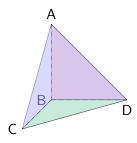
Both constructions involve taking the convex hull of *all but one* vertex of a polytope.

Definition

We say $\{x_1, \ldots, x_m\} \in S$ is *intersect-empty* in S if the sets

$$\operatorname{conv}({x_1,\ldots,x_m} \setminus {x_i}), \quad i = 1,\ldots,m$$

do not all intersect at a point in S.



Theorem

If $S \subseteq \mathbb{R}^d$, then h(S) is equal to the maximum number of vertices of an intersect-empty subset of S.

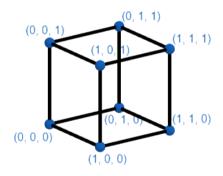
Example

A tetrahedron is a maximal intersect-empty set in $S = \mathbb{R}^3$.

Fundamental Results

Definition

We say a convex set in S is *empty* if the only elements of S in it are its vertices.



Theorem

If $S \in \mathbb{R}^d$ and S is discrete², then h(S) is equal to the maximum number of vertices of an empty subset of S.

Example

A cube is a maximal empty set in $S = \mathbb{Z}^3$.

²Here, we say S is discrete if any bounded region contains finitely many points in S

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Question (Dillon,2021) What is $h(\{2^n : n \in \mathbb{N}\}^2)$?

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Question (Generalization)

Given $\alpha > 1$, what is $h(\{\alpha^n : n \in \mathbb{N}\}^2\}$?

Theorem (Ambrus, Balko, Frankl, Jung, and Naszódi, 2023) Define $L_2(\alpha) = \{\alpha^n : n \in \mathbb{N}\}^2$. • If $\alpha \ge 2$, then $h(L_2(\alpha)) = 5$. • If $\alpha \in [\frac{1+\sqrt{5}}{2}, 2)$, then $h(L_2(\alpha)) = 7$. • If $\alpha \in (1, \frac{1+\sqrt{5}}{2})$, then $h(L_2(\alpha)) \le 3\lceil \log_{\alpha}(\frac{\alpha}{\alpha-1})\rceil + 3$. Theorem (Ambrus, Balko, Frankl, Jung, and Naszódi, 2023) Define $L_2(\alpha) = \{\alpha^n : n \in \mathbb{N}\}^2$. • If $\alpha \ge 2$, then $h(L_2(\alpha)) = 5$. • If $\alpha \in [\frac{1+\sqrt{5}}{2}, 2)$, then $h(L_2(\alpha)) = 7$. • If $\alpha \in (1, \frac{1+\sqrt{5}}{2})$, then $h(L_2(\alpha)) \le 3\lceil \log_{\alpha}(\frac{\alpha}{\alpha-1})\rceil + 3$.

Theorem (S.A., 2023)

We have

$$h(L_2(\alpha)) \leq 2\left\lceil \log_{\alpha}\left(\frac{\alpha}{\alpha-1}\right) \right\rceil + 3.$$

- Travis Dillon, for introducing me to this topic and guiding my research
- Tanya Khovanova, for providing advice on presentation
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🖹 A. J. Hoffman.

BINDING CONSTRAINTS AND HELLY NUMBERS.

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