

# PRIMES Math Problem Set: Solutions

Evan Chen

PRIMES 2019

## Solution to General Math Problems

### Problem G1

We flip a fair coin ten times, recording a 0 for tails and 1 for heads. In this way we obtain a binary string of length 10.

- (a) Find the probability there is exactly one pair of consecutive equal digits.
- (b) Find the probability there are exactly  $n$  pairs of consecutive equal digits, for every  $n = 0, \dots, 9$ .

### Solution

The answer to (b) is  $\frac{\binom{9}{n}}{2^9}$ . To see this, by swapping the roles of heads and tails we may assume that the first flip is tails (without loss of generality). Thus there are  $2^9$  sequences. On the other hand, a sequence of heads and tails which starts with tails is uniquely determined by the choice for each  $i = 1, \dots, 9$  of whether the  $i$ th flip and the  $(i + 1)$ st flip are different or the same. Thus, if we would like  $n$  pairs to be the same, there are exactly  $\binom{9}{n}$  such sequences.

Hence for (a) the answer is  $\frac{9}{2^9}$ .

**Problem G2**

For which positive integers  $p$  is there a nonzero real number  $t$  such that

$$t + \sqrt{p} \quad \text{and} \quad \frac{1}{t} + \sqrt{p}$$

are both rational?

**Solution**

The answer is that  $p$  must either be a square or one more than a perfect square.

If  $p$  is a perfect square, then  $t = 1$  works. If  $p = k^2 + 1$  for some integer  $k$ , then  $t = k - \sqrt{p}$  works, since  $\frac{1}{t} = -(k + \sqrt{p})$ .

Now assume  $p$  is not a square but such  $t$  exists. Let  $t + \sqrt{p} = a$  and  $1/t + \sqrt{p} = b$  for rational  $a$  and  $b$ , so that

$$1 = (a - \sqrt{p})(b - \sqrt{p}) = -(a + b)\sqrt{p} + (ab + p).$$

Since  $\sqrt{p}$  is irrational, this can only happen if  $a + b = 0$ . Then the above equation reads  $1 = p - a^2$ , so  $p = a^2 + 1$  (and clearly  $a$  has to be an integer).

**Problem G3**

Points  $A$  and  $B$  are two opposite vertices of a regular octahedron. An ant starts at point  $A$  and, every minute, walks randomly to a neighboring vertex.

- (a) Find the expected (i.e. average) amount of time for the ant to reach vertex  $B$ .
- (b) Compute the same expected value if the octahedron is replaced by a cube (where  $A$  and  $B$  are still opposite vertices).

**Solution**

For (a): we let  $x$  denote the expected value of the number of steps starting from  $A$ . Moreover, we let  $y$  denote the expected value of the number of steps starting from one of the four vertices other than  $A$  or  $B$  (these are equal by symmetry). Then we have

$$\begin{aligned}x &= y + 1 \\y &= \frac{x + y + y + 0}{4} + 1.\end{aligned}$$

Solving we get  $y = 5$  and  $x = 6$ . Hence the answer is 6 minutes.

For (b): let  $x$  denote the expected value starting from  $A$ ,  $y$  the expected value starting from a neighbor of  $A$ ,  $z$  the expected value starting from a neighbor of  $B$ . Then

$$\begin{aligned}x &= y + 1 \\y &= \frac{x + z + z}{3} + 1 \\z &= \frac{y + y + 0}{3} + 1.\end{aligned}$$

Solving gives  $(x, y, z) = (10, 9, 7)$ , so the answer is 10 minutes.

**Problem G4**

For a positive integer  $n$ , let  $f(n)$  denote the smallest positive integer which neither divides  $n$  nor  $n + 1$ .

- (a) Find the smallest  $n$  for which  $f(n) = 9$ .
- (b) Is there an  $n$  for which  $f(n) = 2018$ ?
- (c) Which values can  $f(n)$  take as  $n$  varies?

**Solution**

For part (a), note that such an  $n$  should satisfy

$$\begin{aligned} n &\equiv -1 \text{ or } 0 \pmod{7} \\ n &\equiv -1 \text{ or } 0 \pmod{8}. \end{aligned}$$

By the Chinese remainder theorem, we conclude

$$n \in \{-1, 0, 7, 7^2 - 1\} \equiv \{0, 7, 48, 55\} \pmod{56}.$$

Thus the first few candidates for  $n$  are  $n \in \{0, 7, 48, 55, 56, 63, 104, 111, 112, 119, \dots\}$ . We need an  $n$  such that  $15 \mid n(n + 1)$  and  $9 \nmid n(n + 1)$ . A calculation then shows the value  $n = 119$  works and is the smallest possible.

The answer to (b) is yes as  $2018 = 2 \cdot 1009$  is twice a prime. This will be a corollary of part (c) to follow, but we comment that it suffices to pick  $n$  such that  $n + 1 \equiv 0 \pmod{1009}$  and  $n \equiv 0 \pmod{r}$  for any  $1 < r < 2018$  with  $r \neq 1009$ .

As for (c), we claim  $f(n)$  should be twice a prime or a prime power other than 2. These will be repeated applications of Chinese remainder theorem. To prove that these work:

- To get  $n$  such that  $f(n) = 2p$  for  $p$  an odd prime, pick  $n$  such that  $n \equiv 0 \pmod{r}$  for any number  $1 < r < 2p$  and  $r \neq p$ , but  $n + 1 \equiv 0 \pmod{p}$ .
- To get  $n$  such that  $f(n) = p^e$  for  $p$  a prime and  $p^e \neq 2$ , pick  $n$  such that  $n \equiv 0 \pmod{r}$  for any  $1 < r < p^e$  not divisible by  $p$ , but  $n + 1 \equiv p^{e-1} \pmod{p^e}$ .

Next, we claim that we never have  $f(n) = ab$  if  $\gcd(a, b) = 1$  and  $\min(a, b) > 2$ . The proof is by contradiction. Indeed, note that  $2a$  and  $2b$  are strictly less than  $f(n)$ , so  $2a$  divides either  $n$  or  $n + 1$ , similarly  $2b$  divides either  $n$  or  $n + 1$ . If  $n$  is even, then we find  $2a$  and  $2b$  both divide  $n$ , and since  $\gcd(a, b) = 1$  we have  $\text{lcm}(2a, 2b) = 2ab$  divides  $n$ , contradiction. The case where  $n + 1$  is even is exactly the same.

We now show (again by contradiction) we cannot have  $f(n) = 2p^e$  for any odd prime  $p$  and  $e \geq 2$ . The numbers  $2p$  and  $p^e$  are strictly less than  $f(n)$ , and so if  $p$  divides  $n$  (and hence not  $n + 1$ ) we have  $\text{lcm}(2p, p^e) = 2p^e$  dividing  $n$ , contradiction. Again the case where  $p$  divides  $n + 1$  instead is similar. This completes the proof.

Finally, it's easy to see  $f(n) \neq 2$  for any  $n$ .

**Problem G5**

A pile with  $n \geq 3$  stones is given. Two players Alice and Bob alternate taking stones, with Alice moving first. On a turn, if there are  $m$  stones left, a player loses if  $m$  is prime; otherwise he/she may pick a divisor  $d \mid m$  such that  $1 < d < m$  and remove  $d$  stones from the pile.

- (a) Which player wins for  $n = 6$ ,  $n = 8$ ,  $n = 10$ ?
- (b) Determine the winning player for all  $n$ .

**Solution**

We claim that Alice wins if and only if  $n$  is even and  $n \neq 2^{2k+1}$  for any  $k \geq 0$ . The proof is by (strong) induction on  $n$ .

We take the base case as those situations where  $n$  is prime, which clearly work (as  $2 = 2^{2 \cdot 0 + 1}$  and the rest of the primes are odd). The inductive step requires several cases:

- Suppose a player is faced with an odd number  $n$ . Then they must subtract an odd divisor  $d$ , so  $n - d$  is even. Moreover,  $n - d$  is divisible by  $d$ , so it is not a power of 2. Thus by induction hypothesis  $n - d$  is winning for their opponent.
- Suppose a player is faced with  $n = 2^{2k+1}$ . Then they must subtract an even divisor  $d$  to get the even number  $n - d$ , which is not an odd power of 2 (it is a power of 2 only if  $d = 2^{2k}$ , but then  $n - d = 2^{2k}$ ). Thus by induction hypothesis  $n - d$  is winning for their opponent.
- Suppose on the other hand a player is faced with  $n = 2^{2k}$ . They may choose  $d = 2^{2k-1}$  so  $n - d = 2^{2k-1}$  is losing for their opponent by induction hypothesis.
- Finally, suppose a player is faced with an even  $n$  which is not a power of 2. Then they may subtract some odd divisor  $d$ , to get an odd number  $n - d$  which is losing for their opponent.

In particular, as for (a), Alice wins for  $n = 6$  and  $n = 10$  but loses when  $n = 8$ .

**Problem G6**

A perfect power is an integer of the form  $b^n$ , where  $b, n \geq 2$  are integers. Consider matrices  $2 \times 2$  whose entries are perfect powers; we call such matrices *good*.

- (a) Find an example of a good matrix with determinant 2019.
- (b) Do there exist any such matrices with determinant 1? If so, comment on how many there could be. (Possible hint: use the theory of Pell equations.)

**Solution**

For (a), since  $2019 = 3 \cdot 673 = 338^2 - 335^2$ , we find that  $\begin{bmatrix} 2^2 & 67^2 \\ 5^2 & 169^2 \end{bmatrix}$  is one such example.

For (b), the matrix  $\begin{bmatrix} 4 & 27 \\ 25 & 169 \end{bmatrix}$  is one such example, found by using  $25 \cdot 27 = 26^2 - 1$ .

Another example is  $\begin{bmatrix} 33^2 & 8 \\ 35^2 & 9 \end{bmatrix}$ . More generally, if  $m \geq 1$  is an integer and

$$(3 + 2\sqrt{2})^{2m+1} = 3x_m + 2y_m\sqrt{2}$$

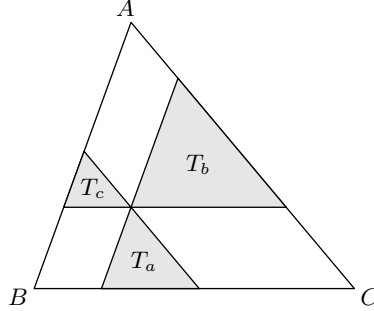
for integers  $x_m$  and  $y_m$ , then  $9x_m^2 - 8y_m^2 = 1$  by multiplying by the conjugate (or by Pell equations). Thus

$$\det \begin{bmatrix} x_m^2 & 8 \\ y_m^2 & 9 \end{bmatrix} = 1$$

and so there are infinitely many examples.

**Problem G7**

We consider a fixed triangle  $ABC$  with side lengths  $a = BC$ ,  $b = CA$ ,  $c = AB$ , and a variable point  $X$  in the interior. The lines through  $X$  parallel to  $\overline{AB}$  and  $\overline{AC}$ , together with line  $\overline{BC}$ , determine a triangle  $T_a$ . The triangles  $T_b$  and  $T_c$  are defined in a similarly way, as shown in the figure.



Let  $S$  and  $p$  denote the average area and perimeter, respectively, of the three triangles  $T_a, T_b, T_c$ .

- (a) Determine all possible values of  $S$  as  $X$  varies, in terms of  $a, b, c$ .
- (b) Determine all possible values of  $p$  as  $X$  varies, in terms of  $a, b, c$ .

**Solution**

For (a), we let  $X$  have barycentric coordinates  $(x, y, z)$  with respect to  $\triangle ABC$ , subject to  $x + y + z = 1$ . Letting brackets denote area, note that

$$[T_a] + [T_b] + [T_c] + [ABC] = ((1 - x)^2 + (1 - y)^2 + (1 - z)^2) [ABC]$$

since  $(1 - x)^2[ABC]$  corresponds to the area of the triangle formed by lines  $AB, AC$ , and the line through  $X$  parallel to  $\overline{BC}$ . Thus, we have

$$S = \frac{(1 - x)^2 + (1 - y)^2 + (1 - z)^2 - 1}{3} \cdot [ABC].$$

We claim that  $S$  achieves its minimum when  $x = y = 1/3$ . To see this, write  $(1 - x)^2 + (1 - y)^2 + (x + y)^2 = x^2 - x + (x - 1)y + y^2$ ; for any given  $x$  this is minimal when  $y = \frac{1-x}{2}$ , and so substituting and minimizing  $x$  we find  $x = y = 1/3$ . Alternatively, one can simply apply Jensen's inequality on the function  $t \mapsto (1 - t)^2$ ,

Either way, we achieve a minimum value of

$$\frac{3 \cdot (2/3)^2 - 1}{3} \cdot [ABC] = \frac{1}{9}[ABC]$$

when  $X$  is the centroid of triangle  $ABC$ . Also, as  $x \rightarrow 1^-$  and  $y, z \rightarrow 0^+$  the value of  $S$  approaches  $\frac{1}{3}[ABC]$  (and this is clearly best possible, since  $[T_a] + [T_b] + [T_c] < [ABC]$  at all times). Thus for continuity reasons the answer to (a) is

$$S \in \left[ \frac{[ABC]}{9}, \frac{[ABC]}{3} \right).$$

Here  $[ABC] = \sqrt{\frac{1}{16}(a + b + c)(-a + b + c)(a - b + c)(a + b - c)}$  by Heron's formula.

For (b), the value of  $p$  is always equal to one-third of the perimeter of  $\triangle ABC$ , i.e.  $p = \frac{1}{3}(a + b + c)$ . Note that the sides of  $T_a, T_b, T_c$  which are parallel to  $\overline{BC}$  have length summing to the length of  $BC$ . Consequently, the total perimeter coincides with that of  $\triangle ABC$ .



## Solution to Advanced Math Problems

### Problem M1

Let  $\alpha = \sqrt{2} + \sqrt{3}$  and let  $V = \mathbb{Q}(\alpha)$  be the field generated by  $\alpha$  over  $\mathbb{Q}$ , regarded as a  $\mathbb{Q}$ -vector space. Let  $T: V \rightarrow V$  be given by multiplication by  $\alpha$ .

- Find  $\dim V$ .
- Let  $W = \sqrt{2}\mathbb{Q} \oplus \sqrt{3}\mathbb{Q}$ . Show that  $V = W \oplus T(W)$ . Give a basis of  $T(W)$ .
- Compute the determinant of  $T$ .

### Solution

For (a), we have  $\dim V = 4$ . Here are two ways to see this:

- Since  $\alpha$  has minimal polynomial  $P(X) = (X^2 - 5)^2 - 24$  (irreducible over  $\mathbb{Z}$ ), we have a basis  $\{1, \alpha, \alpha^2, \alpha^3\}$ .
- Alternatively, we note that  $V \ni \frac{1}{2}(\alpha^2 - 5) = \sqrt{6}$ . Then  $\sqrt{6}\alpha = 2\sqrt{3} + 3\sqrt{2}$ , and accordingly  $(\sqrt{6} - 2)\alpha = \sqrt{2}$  and  $(3 - \sqrt{6})\alpha = \sqrt{3}$  are also in  $V$ . As the numbers  $\{1, \sqrt{2}, \sqrt{3}, \sqrt{6}\}$  are linearly independent over  $\mathbb{Q}$  (and clearly span  $V$ ), they form another basis of  $V$ .

Using the latter basis, it's easy to see that  $V = W \oplus T(W)$ , since  $W = \sqrt{2}\mathbb{Q} \oplus \sqrt{3}\mathbb{Q}$ , then

$$T(W) = (\sqrt{2}\alpha)\mathbb{Q} \oplus (\sqrt{3}\alpha)\mathbb{Q} = (2 + \sqrt{6})\mathbb{Q} \oplus (3 + \sqrt{6})\mathbb{Q} = \mathbb{Q} \oplus \sqrt{6}\mathbb{Q}$$

and in particular a basis of  $T(W)$  is simply  $\{1, \sqrt{6}\}$ .

Those familiar with algebraic number theory may recognize  $\det T = 1$  immediately as the product of the roots of  $P(X)$ . One can also do this computation in the basis  $\{1, \sqrt{2}, \sqrt{3}, \sqrt{6}\}$  in which  $T$  takes the matrix form

$$T = \begin{bmatrix} 0 & 2 & 3 & 0 \\ 1 & 0 & 0 & 3 \\ 1 & 0 & 0 & 2 \\ 0 & 1 & 1 & 0 \end{bmatrix}$$

and  $\det T = 1$ .

**Problem M2**

Let  $n$  be a positive integer. We denote by  $I_n$  the  $n \times n$  identity matrix. Let  $G$  be a group of  $n \times n$  matrices with real entries and determinant 1 (under matrix multiplication).

Suppose that any sequence of matrices in  $G$  which converges to  $I_n$  is eventually constant. Show that for any  $A > 0$ , the subset of  $G$  with entries in  $[-A, A]$  is finite.

**Solution**

The condition states that  $I_n$  is an isolated point of  $G$ .

Assume for contradiction that for some  $A > 0$ , there are infinitely many matrices in  $G$  with all entries bounded by  $A$ . Then, by Bolzano-Weierstrass theorem (applied on the  $n^2$  entries), there should exist an infinite sequence  $\gamma_1, \gamma_2, \dots$  of distinct matrices in  $G$  which converges to some matrix  $\rho$ . Since  $\det(\gamma_i) = 1$  for each  $i$ , it follows  $\det \rho = 1$  as well.

Then the sequence  $\gamma_n \gamma_{n+1}^{-1}$  (in  $G$ ) converges to the identity matrix  $I_n$ . However, since  $I_n$  is an isolated point, it follows that  $\gamma_n = \gamma_{n+1}$  for all large enough  $n$ , contradicting the assumption the  $\gamma_i$  were distinct.

**Remark M2.1.** The converse is also obviously true, and both conditions are equivalent to  $G$  being a discrete subgroup of  $\mathrm{SL}_n(\mathbb{R})$ . For  $n = 2$ , such a group is called a *Fuchsian group*, which arises in the study of modular forms.

**Problem M3**(a) If  $d \geq 0$  is an integer, evaluate

$$\lim_{n \rightarrow \infty} \int_{[0,1]^n} \left[ \frac{x_1^2 + \cdots + x_n^2}{n} \right]^d dx_1 \dots dx_n.$$

(b) Evaluate

$$\lim_{n \rightarrow \infty} \int_{[0,1]^n} \cos \left[ \frac{x_1^2 + \cdots + x_n^2}{n} \cdot \pi \right] dx_1 \dots dx_n.$$

**Solution**

We first show the answer to (a) is  $(1/3)^d$ , and state this explicitly as the following lemma.

**Lemma M3.1.** *For any integer  $d \geq 0$ ,*

$$\lim_{n \rightarrow \infty} \int_{[0,1]^n} \left[ \frac{x_1^2 + \cdots + x_n^2}{n} \right]^d dx_1 \dots dx_n = \left( \frac{1}{3} \right)^d.$$

*Proof.* To see this, fix  $d$  and consider expanding the multinomial coefficient. There will be some terms of the form

$$d! \int_{[0,1]^n} x_{i_1}^2 x_{i_2}^2 \cdots x_{i_d}^2 = \left( \frac{1}{3} \right)^d$$

where  $i_1 < i_2 < \cdots < i_d$ . The number of such terms is  $\binom{n}{d} = \frac{n^d}{d!} + O(n^{d-1})$ . There are other terms where  $x_i$ 's are repeated, but the contribution of each such term is clearly bounded by 1 and there are  $O(n^{d-1})$  such terms as well. This proves the claim.  $\square$

The answer to (b) is  $1/2$ . We contend that:

**Lemma M3.2.** *For any continuous function  $f: [0, 1] \rightarrow \mathbb{R}$ ,*

$$\lim_n \int_{[0,1]^n} f \left( \frac{x_1^2 + \cdots + x_n^2}{n} \right) = f(1/3).$$

*Proof.* The Stone-Weierstrass theorem implies we can approximate the function  $f$  by a series  $f(x) = \sum_d a_d x^d$ , and the above lemma implies that

$$\int_{[0,1]} \sum_d a_d \left( \frac{x_1^2 + \cdots + x_n^2}{n} \right)^d = \sum_d a_d (1/3)^d = f(1/3). \quad \square$$

Picking  $f(t) = \cos(t\pi)$ , we get the answer  $f(1/3) = \cos(\pi/3) = \frac{1}{2}$ .

**Remark M3.3.** This is related to the law of large numbers: consider the random variable  $X$  distributed as  $t^2 dt$  for  $t \in [0, 1]$ . Then  $\int_{[0,1]^n} \frac{x_1^2 + \cdots + x_n^2}{n}$  corresponds to the mean when  $X$  is sampled  $n$  times, and thus “converges rapidly” to  $1/3$  as  $n \rightarrow \infty$ .

### Problem M4

Let  $n$  be a fixed positive integer. We choose positive integers  $t_1, \dots, t_n$  (not necessarily distinct) and for each integer  $r$ , we let  $a_r$  denote the number of subsets  $I \subseteq \{1, \dots, n\}$  for which  $\sum_{i \in I} t_i = r$  (this includes  $I = \emptyset$  when  $r = 0$ ). Consider the sum

$$\sum_{r \in \mathbb{Z}} a_r^2.$$

- (a) Find the minimum possible value of this sum over all choices of  $(t_1, \dots, t_n)$ , as a function of  $n$ .
- (b) Find the maximum possible value of this sum over all choices of  $(t_1, \dots, t_n)$ , as a function of  $n$ . (Possible hint: Sperner's theorem.)

### Solution

We claim that the best bounds are

$$2^n \leq \sum_r a_r^2 \leq \binom{2n}{n}.$$

The quantity  $\sum_r a_r^2$  counts the number of pairs of subsets  $(I, J)$  such that  $\sum_{i \in I} t_i = \sum_{j \in J} t_j$ . We call such pairs *good*.

The lower bound is clear, since pairs with  $I = J$  are always good. Equality can be achieved by letting  $t_k = 2^k$  for every  $k$  so that these are the only such good pairs.

The upper bound is achieved by letting  $t_k = 1$  for all  $k$ , so we now prove that this is the largest possible. There is a correspondence between pairs  $(I, J)$  and

$$K(I, J) = I \cup (\bar{J} + n) \subseteq \{1, \dots, 2n\}$$

where  $\bar{J}$  is the complement of  $J$  in  $\{1, \dots, n\}$ . Under this correspondence,  $(I, J)$  if and only if

$$\sum_{k \in K(I, J)} t_k = t_1 + \dots + t_n.$$

where we define  $t_{n+1} = t_1, t_{n+2} = t_2, \dots, t_{2n} = t_n$ .

Because the  $t_i$  were given to be positive, no  $K(I, J)$  from good  $(I, J)$  can be a subset of another. By Sperner's theorem, there are at most  $\binom{2n}{n}$  of them.

**Remark M4.1.** This question was suggested by Ankan Bhattacharya.

**Problem M5**

Exhibit a function  $s: \mathbb{Z}_{>0} \rightarrow \mathbb{Z}$  with the following property: if  $a$  and  $b$  are positive integers such that  $p = a^2 + b^2$  is an odd prime, then

$$s(a) \equiv a^{\frac{p-1}{2}} \pmod{p}.$$

The right-hand side is known as the *Jacobi symbol*  $\left(\frac{a}{p}\right)$ .

**Solution**

Note  $\gcd(a, p) = 1$ . We recognize  $a^{\frac{p-1}{2}} \equiv \left(\frac{a}{p}\right) \pmod{p}$  as the Legendre symbol, and in fact we claim that

$$\left(\frac{a}{p}\right) = \begin{cases} +1 & a \equiv 1 \pmod{2} \\ +1 & a \equiv 0 \pmod{4} \\ -1 & a \equiv 2 \pmod{4}. \end{cases}$$

Thus we may take  $s: \mathbb{Z}_{>0} \rightarrow \{-1, 1\}$  as above.

To prove this identity, we henceforth assume  $p \equiv 1 \pmod{4}$ . Our proof will use extensively the Jacobi symbol and quadratic reciprocity.

First, assume  $a$  is odd. Then

$$\left(\frac{a}{p}\right) = \left(\frac{p}{a}\right) = \left(\frac{a^2 + b^2}{a}\right) = \left(\frac{b^2}{a}\right) = +1.$$

Next, assume  $a = 2x$  for  $x$  odd. Then  $p \equiv 5 \pmod{8}$ , so  $\left(\frac{2}{p}\right) = -1$ . Then

$$\left(\frac{a}{p}\right) = \left(\frac{2}{p}\right) \left(\frac{x}{p}\right) = -1 \cdot \left(\frac{p}{x}\right) = -1.$$

Finally, assume  $a = 2^e y$  for  $e \geq 2$ , and  $y$  odd. Then  $p \equiv 1 \pmod{8}$ , so  $\left(\frac{2}{p}\right) = 1$ . Then

$$\left(\frac{a}{p}\right) = \left(\frac{2}{p}\right)^e \left(\frac{y}{p}\right) = \left(\frac{p}{y}\right) = +1.$$

**Remark M5.1.** Assuming there are infinitely many primes of the form  $a^2 + b^2$  for any fixed  $a > 0$  (which seems almost certainly true, although it is open), then the function  $s$  we gave above is the only one.

### Problem M6

Let  $G$  be a nontrivial finite group. We consider automorphisms of  $G$  which do not preserve any nontrivial subgroup of  $G$ . (An automorphism *preserves* a subgroup of  $G$  if the image of that subgroup is itself.)

- (a) Determine for which abelian groups  $G$  such an automorphism exists.
- (b) Find the number of such automorphisms for each such  $G$ .
- (c) Show that no such automorphisms exist if  $G$  is solvable but not abelian.
- (d) Generalizing (c), prove that no such automorphisms exist if  $G$  is not abelian.

### Solution

We begin by addressing (a), (c), (d) simultaneously.

**Lemma M6.1** (Miklós Schweitzer 1985). *Let  $G$  be any finite group (not necessarily abelian). No such automorphisms exist at all unless (and only unless)  $G$  is an elementary abelian group, that is,  $G = (\mathbb{Z}/p)^{\oplus n}$ .*

*Proof.* Let  $f$  be such an automorphism. Note that if  $f$  has a nontrivial fixed point, then  $f$  fixes the cyclic group generated by that fixed point, consequently  $G$  must be a cyclic group, at which point it is easy to see that  $G$  should have prime order.

Thus, we may assume henceforth that  $f$  has no nontrivial fixed points. In that case, the map

$$G \rightarrow G \quad \text{by} \quad x \mapsto x^{-1}f(x)$$

is a bijection, since if  $x^{-1}f(x) = y^{-1}f(y)$  then  $f(yx^{-1}) = yx^{-1}$ .

Now let  $p$  be any prime dividing  $G$  and let  $K$  be a Sylow  $p$ -group for  $G$ . As  $f(K)$  must be a Sylow  $p$ -group as well, it is conjugate to  $K$  and consequently we have

$$f(K) = xKx^{-1}$$

for some  $x \in G$ . Now, pick  $y$  such that  $f(y)x = y$  (possible by the previous claim); then

$$f(yKy^{-1}) = (f(y)x)K(f(y)x)^{-1} = yKy^{-1}.$$

So  $yKy^{-1}$  is a preserved subgroup of  $G$ . Consequently,  $yKy^{-1} = G$ , so  $G$  is a  $p$ -group (i.e. a group whose order is a prime power).

We remark that the  $p$ -group  $G$  has to be abelian, since the center of a  $p$ -group is characteristic and nontrivial. Finally, since the elements of order  $p$  form a nontrivial characteristic subgroup of  $G$  as well, so we conclude that  $G$  is an elementary abelian group.  $\square$

As for  $G = (\mathbb{Z}/p)^{\oplus n}$ , viewing it as a  $n$ -dimensional vector space over  $\mathbb{Z}/p$ , an automorphism of  $G$  is equivalent to an invertible linear transformation  $T$  of  $G$  which has no proper nontrivial  $T$ -invariant subspaces. We relate this to the characteristic polynomial in the following way.

**Lemma M6.2.** *Let  $T: V \rightarrow V$  be a map of finite-dimensional vector spaces. Then  $T$  has no proper nontrivial  $T$ -invariant subspaces if and only if the characteristic polynomial  $\chi_T$  is irreducible.*

*Proof.* If  $\chi_T$  is irreducible, there can be no  $T$ -invariant subspace since otherwise the restriction of  $T$  to that subspace gives a factor of the characteristic polynomial.

We now proceed conversely. Assume there are no  $T$ -invariant subspaces. Then the minimal polynomial  $\mu_T$  of  $T$  should coincide with  $\chi_T$ , since if not there exists a vector  $v$  such that the cyclic subspace spanned by  $\{v, T(v), T(T(v)), \dots\}$  has dimension  $\dim \mu_T$ , and hence is a nontrivial proper  $T$ -invariant subspace.

In that case, we can pick a basis of  $V$  so that it coincides with the companion matrix for  $\chi_T$ . Then  $V \cong \mathbb{F}_p[X]/(\chi_T(X))$  as  $\mathbb{F}_p[T]$ -modules, and so the invariant subspaces of  $V$  are in bijection with the nontrivial factors of  $\chi_T$ .  $\square$

For the count, we quote two results.

**Lemma M6.3** (Gauss formula). *There are  $\frac{1}{n} \sum_{d|n} \mu(n/d)p^d$  monic irreducible polynomials of degree  $n$  over  $\mathbb{F}_p$ .*

**Lemma M6.4** (Reiner, Gerstenhaber, 1960). *For a given irreducible polynomial  $f$ , the number of  $n \times n$  matrices over  $\mathbb{F}_p$  with characteristic polynomial  $f$  is  $\prod_{i=1}^{n-1} (p^n - p^i)$ .*

For references on these two results, see:

- <https://arxiv.org/pdf/1001.0409.pdf>,
- <http://math.sun.ac.za/wp-content/uploads/2012/09/tovo.pdf>,

respectively.

Return to the situation  $G = (\mathbb{Z}/p)^{\oplus n}$ . When  $n = 1$  the answer is just the number of automorphisms, which is  $p - 1$  (the matrix  $[0]$  has no proper invariant subspace but is not invertible). For  $n \geq 2$ , any  $T$  with no invariant subspace is necessarily invertible as well, giving the final answer

$$\frac{1}{n} \left( \sum_{d|n} \mu(n/d)p^d \right) \left( \prod_{i=1}^{n-1} (p^n - p^i) \right).$$