

# JUVITOP OCTOBER 22, 2016: THE HOPKINS-MILLER THEOREM

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## Outline:

- (1) Introduction: Statement of Theorem
- (2) Obstruction: The Bousfield Kan Spectral Sequence
- (3) Computations

**Reference:** Everything I'm about to say can be found in the notes by Charles Rezk. The notes are really good. It was designed to cover the theorem in one semester. And I have an hour. So...whatever you don't find from this talk, you can find there. Also, Bert Guillou has notes on the Bousfield-Kan spectral sequence. It's a very nice read. Very detailed description of the BKSS.

## 1. INTRODUCTION: STATEMENT OF THEOREM

$\mathcal{FGL}$ : the category of formal groups laws.

- Objects:  $(k, \Gamma)$ .
- $k$  is a perfect field of characteristic  $p$
- $\Gamma$  is a formal group law of height  $n$  over  $k$ .
- Morphism:  $\alpha : (k_1, \Gamma_1) \rightarrow (k_2, \Gamma_2)$ . A pair of maps  $i : k_1 \rightarrow k_2, f : \Gamma_1 \xrightarrow{\cong} i^*\Gamma_2$ .

Given a formal group law  $(k, \Gamma)$ , you can consider its universal deformation, it is a formal group law  $F$  over the ring

$$E(k, \Gamma) = \mathbb{W}(k)[[u_1, \dots, u_{n-1}]]$$

- Can make  $F$  2-periodic by adding a invertible generator  $u$  in degree 2.  $\implies MU_* \rightarrow E(k, \Gamma)[u^\pm]$ , classifying  $F$ .
- This map is Landweber exact, and so we get a homology theory, which we call  $E_{(k, \Gamma)}$ .
- This gives a functor

$$\begin{aligned} E : \mathcal{FGL}^{\text{op}} &\rightarrow \{\text{homology theories}\} \\ (k, \Gamma) &\rightarrow E_{(k, \Gamma)} \end{aligned}$$

- The  $E_{(k, \Gamma)}$ 's are the Lubin-Tate theories.
- It's great, because the Landweber exact functor theorem, when applied to  $E(k, \Gamma)$ , actually tells you a little bit more. Since the input are rings, it tells you that the homology theories are multiplicative, or the spectra representing these homology theories are homotopy commutative.

**Question:** Can we do better? Can we put even more structure on these spectra?

**Answer:** Yes. We can make them  $A_\infty$  (strictly associative), or even  $E_\infty$  (strictly commutative).

**Theorem 1.1.** (Hopkins-Miller) *There is a lift*

$$\begin{array}{ccc}
 & & A_\infty\text{-ring} \\
 & \nearrow \text{dashed arrow} & \downarrow \pi \\
 \mathcal{FGL}^{op} & \longrightarrow & \text{Homology theories}
 \end{array}$$

such that it sends

$$\begin{array}{ccc}
 & & E_{(k,\Gamma)} \\
 & \nearrow \text{dashed arrow} & \downarrow \pi \\
 (k, \Gamma) & \longmapsto & E_{(k,\Gamma)}
 \end{array}$$

The  $A_\infty$ -ring spectra  $E_{(k,\Gamma)}$  is also homotopy commutative and complex oriented. And if we have two formal group laws  $(k_1, \Gamma_1), (k_2, \Gamma_2)$ , then

$$\text{map}_{A_\infty}(E_{(k_1,\Gamma_1)}, E_{(k_2,\Gamma_2)}) \rightarrow \mathcal{FGL}((k_2, \Gamma_2), (k_1, \Gamma_1))$$

is a weak equivalence, where the LHS is a space, and the RHS is a set with discrete topology.

**Consequence 1.2.** We can construct higher real  $K$ -theories. Let  $G \subset \mathbb{S}_n$  be a finite subgroup, we can take homotopy fixed points to construct  $E_n^{hG}$ , and they are  $A_\infty$  (or  $E_\infty$ )-ring spectra as well. They are extremely important in chromatic homotopy theory, and they exist because of the Goerss-Hopkins-Miller theorem.

**Consequence 1.3.** Another application which is more relevant to us is, well, we can do power operations on these Lubin-Tate theories.

**Method of proof:**

- (1) Rather than worrying about the existence of  $A_\infty$ -ring structures, we should be optimistic, and assume they are  $A_\infty$  first, and then compute the space of  $A_\infty$  maps between them.
- (2) To do this, use obstruction theory, by setting up the BouKanSS, spectral sequence associated to a cosimplicial object.
- (3) Compute obstructions and show they vanish.
- (4) Set up the obstruction for constructing  $A_\infty$ -ring structures on  $E_{k,\Gamma}$ . Again do it by BKSS. Now, they vanish because they can be reduced to the previous obstructions, which are already computed.

So we are actually going out-of-order: we are first assuming things are  $A_\infty$ -ring spectrum first, and computing the space of  $A_\infty$  maps between them. And then we go back and worry about how to put  $A_\infty$  structures on Lubin-Tate spectra. The reason is because the obstruction for computing  $A_\infty(E, E)$  is essentially the same as the obstruction in computing the moduli space of  $A_\infty$  structures on  $E$ . So once we have computed  $A_\infty(E, F)$ , we get the uniqueness of  $A_\infty$ -structures for free.

## 2. OBSTRUCTION: THE BOUSFIELD KAN SPECTRAL SEQUENCE

Goal: Let  $C$  be the (cofibrant)  $A_\infty$ -operad, then we want  $C\text{-alg}(F, E)$ , where  $F$  and  $E$  are  $A_\infty$  Lubin-Tate spectra. ( $F$  also needs to be cofibrant as a  $C$ -algebra spectrum)

To do so, we resolve  $F$  by the free resolution of  $C$ -algebras:

$$F \longleftarrow CF \rightrightarrows C^2F \rightrightarrows \dots$$

Where  $CF$  is the free  $C$ -algebra on  $F$ , and it's weakly equivalent to

$$CF \simeq S^0 \vee F \vee (F \wedge F) \vee \dots$$

We are interested in dual object, so consider the cosimplicial object  $Y^\bullet$ , defined by

$$Y^n = C\text{-alg}(C^{n+1}F, E)$$

Well, what do they do? Associated to  $F_\bullet$  is a skeletal filtration of the geometric realization

$$|F_\bullet|_0 \rightarrow |F_\bullet|_1 \rightarrow \dots \rightarrow |F_\bullet|$$

And dually, associated to  $Y^\bullet$  is the Tot-tower – a tower of fibrations

$$\text{Tot}^0(Y^\bullet) \leftarrow \text{Tot}^1(Y^\bullet) \leftarrow \text{Tot}^2(Y^\bullet) \leftarrow \dots \leftarrow \text{Tot}(Y^\bullet)$$

An important fact is that in our case, this Tot-tower is dual to the filtration for the geometric realization:

$$C\text{-alg}(|F_\bullet|_0, E) \leftarrow C\text{-alg}(|F_\bullet|_1, E) \leftarrow C\text{-alg}(|F_\bullet|_2, E) \leftarrow \dots \leftarrow C\text{-alg}(|F_\bullet|, E)$$

Why is this fact useful? Well, in our case, the natural map  $|F_\bullet| \rightarrow F$  is an equivalence of  $C$ -algebras. So  $\text{Tot}(Y^\bullet)$  is  $C\text{-alg}(F, E)$ . Computing the Tot-tower is what we want.

This is exactly what the Bousfield-Kan spectral sequence does. It's the spectral sequence associated to this Tot-tower (which, in our case, is a tower of fibrations). The  $E_2$ -page ( $f$  is the base point) can be identified by

$$E_2^{s,t} = \pi^s \pi_t Y^\bullet \implies \pi_{t-s}(\text{Tot } Y^\bullet, f)$$

Where  $E_2^{*,t}$  is the cohomotopy of the cosimplicial object

$$\pi_t Y^0 \begin{array}{c} \rightrightarrows \\ \leftarrow \\ \rightleftarrows \\ \rightarrow \\ \rightleftarrows \\ \leftarrow \\ \rightrightarrows \end{array} \pi_t Y^1 \begin{array}{c} \rightrightarrows \\ \leftarrow \\ \rightleftarrows \\ \rightarrow \\ \rightleftarrows \\ \leftarrow \\ \rightrightarrows \end{array} \pi_t Y^2 \begin{array}{c} \rightrightarrows \\ \leftarrow \\ \rightleftarrows \\ \rightarrow \\ \rightleftarrows \\ \leftarrow \\ \rightrightarrows \end{array} \dots$$

(with respect to the base point determined by  $f$ ). The differentials are

$$d_r : E_r^{s,t} \rightarrow E_r^{s+r, t+r-1}$$

I have to say, this is the most confusing spectral sequence that I have ever seen, it probably doesn't look very bad, but as you look at it more, there are a lot of places where it's confusing. So I would like to take some time to explain them.

(1) The spectral sequence is “fringed”. When

- $t \geq 2$ , we can compute the cohomotopy by computing the cohomology of the corresponding co-chain complex. They are abelian groups.
- When  $t = 1$ , we are computing with  $\pi_1$ , and unless we have a loop space, we would only get groups, not necessarily abelian.

- When  $t = 0$ , there is a corner,

$$E_2^{0,0} = \text{Ker}(\pi_0 Y^0 \rightrightarrows \pi_0 Y^1)$$

this equalizer is only a pointed set.

- (Draw a diagram)
- (2) To define the spectral sequence and say what its  $E_2$  terms are, I need to choose a base point for  $\text{Tot}(Y^\bullet)$ . Suppose we already know a  $C$ -algebra map  $f : F \rightarrow E$ , then this makes our cosimplicial space pointed, so it will give us a base point for  $\text{Tot} Y^\bullet$ . So the spectral sequence exists and there is no problem.
- (3) Except there is a problem. We need a  $C$ -algebra map from  $F \rightarrow E$  to start with. This is not trivial. And in fact, when we move on to trying to put an  $A_\infty$  structure on  $E$ , that is the whole problem. An  $A_\infty$  structure corresponds to a map of operads from  $A_\infty \rightarrow \mathcal{E}_X$ , and all we want is just one point. So we need a starting point. And getting a base point for the totalization  $\text{Tot}(Y^\bullet)$  is highly nontrivial.
- (4) To solve this existential crisis, we would like to find a base point for  $\text{Tot}(Y^\bullet)$ . It turns out we can do this in stages.
- Choose a base point in  $\text{Tot}^0 Y^\bullet = Y^0$  (that's something in  $E_1^{0,0}$ ).
  - Want to start lifting up the Tot-tower  $\Leftrightarrow$  does it survive to the  $E_\infty$ -page?
  - Obstruction: possible differentials targeting things in the  $(-1)$ -stem.
  - "Obstruction lives in the spectral sequence". (Which could be a little brain-fuck, because at first, it seems like the spectral sequence won't even exist if we don't have a base point, but yet the spectral sequence is going to tell us about the existence of the base point. It's like the chicken and the egg).
  - The point is, the obstructions are not ALL there at the same time. The obstruction at each stage only occur after we have solved the obstruction for the previous stage, and it actually depends on the choice of lifting we made on the previous stage.
  - So to summarize:
    - (a) To lift to  $\text{Tot}^1 Y^\bullet$ , we need our point to survive to  $E_2^{0,0}$ .
    - (b) To lift to  $\text{Tot}^2 Y^\bullet$ , we need the point to survive to  $E_3^{0,0}$ , meaning that the obstruction in  $E_2^{2,1}$  vanishes.
    - (c) To lift to  $\text{Tot}^3$ , need the obstruction in  $E_3^{3,2}$  vanish.
    - (d) ...
  - In our case, we are very lucky, because as we are doing the computation, it turns out that  $E_2^{s,s-1} = 0$  for all  $s \geq 2$  (in fact, you see 0 everywhere except at one place). So we are in the dream world where everything degenerates.

### 3. COMPUTATIONS

Now that we have the spectral sequence, we gotta compute the  $E_2$ -page. It turns out that the spectral sequence collapses, and it's 0 everywhere except for one place,  $E_2^{0,0}$ .

Recall we are trying to compute  $C\text{-alg}(F, E)$ , where  $E$  is  $E_{(k_1, \Gamma_1)}$ , and  $F$  is  $E_{(k_2, \Gamma_2)}$ .

**Theorem 3.1.** *(the most important computational result in the paper) The  $E_2$  terms are identified as follows:*

$$\begin{aligned} E_2^{0,0} &\cong \text{Hom}_{E_*\text{-alg}}(E_*F, E_*) \\ E_2^{s,t} &\cong \text{Der}_{E_*\text{-alg}}^s(E_*F, E_{*+t}), \text{ for } t - s \geq -1, \text{ and } s, t \geq 0 \end{aligned}$$

After computation, we get

$$\begin{aligned} \text{Hom}_{E_*\text{-alg}}(E_*F, E_*) &\cong \mathcal{FGL}((k_1, \Gamma_1), (k_2, \Gamma_2)) \\ \text{Der}_{E_*\text{-alg}}^s(E_*F, E_{*+t}) &\cong 0, \text{ for all } s, t \geq 0 \end{aligned}$$

We will be content on doing the first computation. Something general to keep in mind is that these computations requires a lot of knowledge and tricks of working with Landweber exact spectra and Lubin-Tate theories. Here is a slogan:

Slogan : “Landweber exact theories are “flat”  $\implies$  have a lot of good properties. Lubin Tate theories are even better. ”

In particular, here are some highlight facts: if  $E$  and  $F$  are Landweber exact, then

- (1)  $E_*F$  is a flat  $E_*$ -module.
- (2) Kunneth SS & universal coefficient SS:

$$\text{Tor}_s^{E_*}(E_*X, E_{*+t}Y) \implies E_{s+t}(X \wedge Y)$$

$$\text{Ext}_{E_*}^s(E_*X, E_{*+t}) \implies E^{t-s}X$$

- (3) Consequence: if  $E$  and  $F$  are Lubin-Tate spectra, then

$$E^*F \rightarrow \text{Hom}_{E_*}(E_*F, E_*)$$

is an isomorphism (can show that higher Ext terms vanish).

**3.1. Identification of  $E_2^{0,0}$ .** we will show that

$$E_2^{0,0} \simeq \text{Hom}_{E_*\text{-alg}}(E_*F, E_*)$$

From our discussion of the Bousfield-Kan spectral sequence,  $E_2^{0,0}$  is the equalizer of

$$\pi_0 C\text{-alg}(CF, E) \rightrightarrows \pi_0 C\text{-alg}(C^2F, E)$$

To compute this equalizer, we gotta replace it with something more reasonable (aka more algebraic) to work with.

Let  $Y$  be any  $C$ -algebra. There is a map

$$\pi_0 C\text{-alg}(Y, E) \rightarrow \text{Hom}_{E_*\text{-alg}}(E_*Y, E_*)$$

Defined by taking  $E_*(-) : E_*Y \rightarrow E_*E \rightarrow E_*$ . The  $A_\infty$  structure of  $Y$  gives  $E_*Y$  the structure of an associative  $E_*$ -algebra.

For our case,  $Y = CF$ . We would like to identify

$$\mathrm{Hom}_{E_*\text{-alg}}(E_*(CF), E_*)$$

At the level of the homotopy category of spectra,

$$CF \simeq S^0 \vee F \vee (F \wedge F) \vee \dots$$

Algebraically, there is a construction that's related to this. Let  $M$  be a  $E_*$ -module. Then let  $TM$  be the  $E_*$ -tensor algebra on  $M$ :

$$TM := E_* \oplus M \oplus (M \otimes_{E_*} M) \oplus \dots$$

- Start: inclusion map  $X \rightarrow CX$ .
- $\xrightarrow{\text{induces}} E_*X \rightarrow E_*(CX)$  of  $E_*$ -modules.
- $\xrightarrow{\text{induces}} T(E_*X) \rightarrow E_*(CX)$  of  $E_*$ -algebras.
- By the flatness hypothesis, easy to see if  $E_*X$  is flat over  $E_*$ , then  $E_*(CX) \simeq T(E_*X)$ .
- This holds when  $X = CF, C^2F, \dots$  because  $F$  is Landweber exact.

Consider the following diagram:

$$\begin{array}{ccc} \pi_0 C\text{-alg}(CX, E) & \longrightarrow & \mathrm{Hom}_{E_*\text{-alg}}(E_*(CX), E_*) \\ \downarrow \simeq & & \downarrow \simeq \\ [X, E] & \xrightarrow{\simeq} & \mathrm{Hom}_{E_*}(E_*X, E_*) \end{array}$$

The maps are defined in the obvious way.

- Left vertical iso:  $CX$  is the free  $C$ -algebra on  $X$ .
- Bottom horizontal iso:  $E_*X$  is flat over  $E_*$ . And we have Lubin-Tate spectra all over the place. So higher Ext terms vanish in universal coefficient theorem.
- Right vertical iso:  $E_*CX = T(E_*X)$ , and  $\mathrm{Hom}_{E_*\text{-alg}}(T(E_*X), E_*) \simeq \mathrm{Hom}_{E_*}(E_*X, E)$ .
- $\implies$  Top horizontal arrow an iso.

**Before:**

$$\pi_0 C\text{-alg}(CF, E) \rightrightarrows \pi_0 C\text{-alg}(C^2F, E)$$

**After:**

$$\mathrm{Hom}_{E_*\text{-alg}}(T(E_*F), E_*) \rightrightarrows \mathrm{Hom}_{E_*\text{-alg}}(T^2(E_*F), E_*)$$

This reduces to computing the equalizer of

$$\mathrm{Hom}_{E_*}(E_*F, E_*) \rightrightarrows \mathrm{Hom}_{E_*}(T(E_*F), E_*)$$

(Notice that  $E_*F$  and  $E_*$  actually have the structure of an  $E_*$ -algebra, but we are not requiring the Hom-set to be over  $E_*$ -algebras.)

Given a map  $f : E_*F \rightarrow E_*$  in the source, the top map sends it to the composite

$$T(E_*F) \rightarrow E_*F \xrightarrow{f} E_*$$

and the bottom map sends it to the composite

$$T(E_*F) \xrightarrow{T(f)} T(E_*) \rightarrow E_*$$

The equalizer of these two maps is exactly the set of  $E_*$ -algebra maps from  $E_*F \rightarrow E_*$ .  
Therefore

$$E_2^{0,0} = \text{Hom}_{E_*\text{-alg}}(E_*F, E_*).$$