

1. CLASSIFICATION OF 1-MANIFOLDS

Theorem 1.1. *Let M be a connected 1-manifold. Then M is diffeomorphic to S^1 , $(0, 1)$, $[0, 1)$, or $[0, 1]$.*

We start with a lemma:

Lemma 1.2. *Let M be a 1-manifold, and let $f, g : (0, 1) \rightarrow M$ be two parametrizations. Then $f(0, 1) \cap g(0, 1)$ has at most two components. If it has one component, then there is a parametrization $h : (0, 1) \rightarrow M$ so that $h(0, 1) = f(0, 1) \cup g(0, 1)$. If it has two components then $f(0, 1) \cup g(0, 1)$ is diffeomorphic to S^1 .*

Proof: (Since this proof uses ordered pair and open intervals abundantly, we will use the notation $a \times b \in A \times B$ for the former to avoid confusion.) Let $\Gamma = \{s \times t; f(s) = g(t)\} \subseteq (0, 1) \times (0, 1)$. Γ is a closed set, being the preimage of the diagonal $\Delta \subseteq M \times M$ by the map $f \times g : (0, 1) \times (0, 1) \rightarrow M \times M$. It can be thought of as the graph of the function $g^{-1} \circ f : f^{-1}(g(0, 1)) \rightarrow (0, 1)$, and also as the graph of $f^{-1} \circ g : g^{-1}(f(0, 1)) \rightarrow (0, 1)$.

Let $s_0 \in [0, 1]$ be a point contained in the point-set boundary of $f^{-1}(g(0, 1)) \subseteq (0, 1) \subseteq [0, 1]$. Then $s_0 \notin f^{-1}(g(0, 1))$ since this set is open. If s_k is a sequence in $f^{-1}(g(0, 1))$ converging to s_0 , consider the sequence $s_k \times (g^{-1} \circ f)(s_k) \in \Gamma$. Since Γ is closed this sequence cannot converge in $(0, 1) \times (0, 1)$, but it must converge in $[0, 1] \times [0, 1]$ since it is a Cauchy sequence in a complete metric space. Therefore there are four possibilities: either $s_0 = 0$ or $s_0 = 1$, or $t_0 = \lim(g^{-1} \circ f)(s_k)$ is equal to either 0 or 1.

Since Γ is graphical in both directions, it follows that distinct points s_0 in $\partial(f^{-1}(g(0, 1))) \subseteq [0, 1]$ must correspond to distinct cases above. Therefore the set $\partial(f^{-1}(g(0, 1)))$ has at most four points, and since $f^{-1}(g(0, 1))$ is an open subset of $(0, 1)$ it follows that it has at most two connected components. This implies the first claim.

First, suppose that $f^{-1}(g(0, 1))$ is connected. Then $\bar{\Gamma} \setminus \Gamma \subseteq [0, 1] \times [0, 1]$ consists of two points, both lying in $\partial([0, 1] \times [0, 1]) = \partial[0, 1] \times [0, 1] \cup [0, 1] \times \partial[0, 1]$. If both points lie in $\partial[0, 1] \times [0, 1]$ (or $[0, 1] \times \partial[0, 1]$) then $f^{-1}(g(0, 1)) = (0, 1)$ (respectively $g^{-1}(f(0, 1)) = (0, 1)$). Therefore $f(0, 1) \subseteq g(0, 1)$ ($\dots g(0, 1) \subseteq f(0, 1)$) so we can take $h = g$ ($h = f$). So the only interesting case is when one point is in $\partial[0, 1] \times [0, 1]$ and the other is in $[0, 1] \times \partial[0, 1]$.

By pre-composing either f or g with the diffeomorphism $(x \mapsto 1 - x) : (0, 1) \rightarrow (0, 1)$, we can assume that $\bar{\Gamma} \setminus \Gamma = \{s_0 \times 0, 1 \times t_0\}$. So $g^{-1} \circ f : (s_0, 1) \rightarrow (0, t_0)$ is a diffeomorphism, $(g^{-1} \circ f)' > 0$. Restrict to smaller subintervals $(a, b) \subseteq (s_0, 1)$ ($c, d) \subseteq (0, t_0)$ so that $g^{-1} \circ f : (a, b) \rightarrow (c, d)$ is a diffeomorphism and $0 < \inf_{(a,b)}(g^{-1} \circ f)' < \sup_{(a,b)}(g^{-1} \circ f)' < \infty$.

Let $I_0 \subseteq I_1$, $J_0 \subseteq J_1$ be open intervals. We claim that, given any diffeomorphism $\varphi : I_0 \rightarrow J_0$ satisfying $0 < \inf \varphi' < \sup \varphi' < \infty$, there is a diffeomorphism $\Phi : I_1 \rightarrow J_1$ so that $\Phi|_{I_0} = \varphi$. Apply this to $g^{-1} \circ f$ to get a diffeomorphism $\alpha : (a, \frac{3}{2}) \rightarrow (c, 1)$. Then define $h : (0, \frac{3}{2}) \rightarrow M$ by

$$h(x) = \begin{cases} f(x) & x \in (0, b) \\ (g \circ \alpha)(x) & x \in (a, \frac{3}{2}) \end{cases}$$

which is well defined since $\alpha|_{(a,b)} = g^{-1} \circ f$. After rescaling the domain of h the lemma is complete. (h is obviously a local diffeomorphism. Check that the image of h is the union of the images of f and g ! Also, why is h injective?)

Now consider the case where $f^{-1}(g(0,1))$ has two components, so $\bar{\Gamma} \setminus \Gamma = \{s_0 \times 0, s_1 \times 1, 0 \times t_0, 1 \times t_1\}$. Γ clearly cannot connect $s_0 \times 0$ to $s_1 \times 1$, otherwise Γ would be necessarily connected since it is graphical. Therefore Γ connects $s_0 \times 0$ to $1 \times t_1$ and $s_1 \times 1$ to $0 \times t_0$ (after possibly replacing $f(s)$ by $f(1-s)$). Since $g^{-1} \circ f$ is a diffeomorphism it is monotonic, and by the location of the endpoints we see that the only possibility is that $(g^{-1} \circ f)' > 0$ everywhere. This diffeomorphism restricts to two pieces: $\varphi_1 : (s_0, 1) \rightarrow (0, t_1)$ and $\varphi_2 : (0, s_1) \rightarrow (t_0, 1)$. Under the assumption that φ_1 and φ_2 have bounded derivatives, we claim there is a diffeomorphism $\alpha : (s_0, s_1 + 2\pi) \rightarrow (0, 1)$ so that $\alpha|_{(s_0,1)} = \varphi_1$ and $\alpha|_{(2\pi, s_1+2\pi)}(s) = \varphi_2(s - 2\pi)$. If they do not have bounded derivatives, we can choose smaller subintervals, as in the previous case.

Now, define $h : S^1 \rightarrow M$ by

$$h(\theta) = \begin{cases} f(\theta) & \theta \in (0, 1) \\ (g \circ \alpha)(\theta) & \theta \in (s_0, s_1 + 2\pi) \end{cases}.$$

Notice that h is well defined on $(s_0, 1)$ since $\alpha = g^{-1} \circ f$, also for $s > 0$ $\alpha(s) = (g^{-1} \circ f)(s - 2\pi)$ so h is well defined on S^1 . As before it's easy to check that h is injective and therefore a diffeomorphism onto its image. \square