8 Point counting

8.1 Hasse’s Theorem

We are now ready to prove Hasse’s theorem.

**Theorem 8.1 (Hasse).** Let $E/\mathbb{F}_q$ be an elliptic curve over a finite field. Then

$$\#E(\mathbb{F}_q) = q + 1 - t,$$

where $t$ is the trace of the Frobenius endomorphism $\pi_E$ and $|t| \leq 2\sqrt{q}$.

**Proof.** Let $\pi(x, y) := (x^q, y^q)$ denote the Frobenius endomorphism $\pi_E$ of $E$. Then $E(\mathbb{F}_q)$ is the subgroup of $E(\mathbb{F}_q)$ fixed by $\pi$, so $E(\mathbb{F}_q) = \ker(\pi - 1)$. The endomorphism $\pi - 1$ is separable, by Lemma 7.20, and we therefore have

$$\#E(\mathbb{F}_q) = \# \ker(\pi - 1) = \deg(\pi - 1) = \tilde{\pi} - 1(\pi - 1) = \hat{\pi} + 1 - (\hat{\pi} + \pi) = q + 1 - \text{tr} \pi.$$

It only remains to show that $|\text{tr} \pi| \leq 2\sqrt{q}$.

Let $r, s,$ and $n$ be integers with $n > 0$ prime to $q$, let $\pi_n = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$, and let $t = \text{tr} \pi$.

$$\deg(r \pi - s) \equiv_n \det \begin{bmatrix} r & a - s \\ c & d - s \end{bmatrix}$$

$$= \equiv_n \det \begin{bmatrix} ra - s & rb \\ rc & rd - s \end{bmatrix}$$

$$= \equiv_n (ra - s)(rd - s) - r^2bc$$

$$= \equiv_n r^2(ad - bc) - rs(a + d) + s^2$$

$$= \equiv_n r^2 \det \pi_n - rst \pi + s^2$$

$$= \equiv_n r^2q - rst + s^2$$

For any choice of $r, s \in \mathbb{Z}$ the degree of $r \pi - s$ is finite and we can pick $n$ large enough so that the congruence above is actually an equality over $\mathbb{Z}$. Thus

$$\deg(r \pi - s) = r^2q - rst + s^2$$

for any integers $r$ and $s$. Dividing by $s^2$ and noting that $\deg(r - \pi s) \geq 0$ yields the inequality

$$q \left( \frac{r}{s} \right)^2 - t \left( \frac{r}{s} \right) + 1 \geq 0.$$

This holds for all nonzero rational numbers $\frac{r}{s}$. The rationals are dense in $\mathbb{R}$, so we must have $qx^2 - tx + 1 \geq 0$ for all real numbers $x$. It follows that the discriminant $t^2 - 4q$ cannot be positive, and this yields the desired bound $|t| \leq 2\sqrt{q}$. \qed
Recall that for an odd prime \( p \) the Legendre symbol \( \left( \frac{a}{p} \right) \) satisfies

\[
\left( \frac{a}{p} \right) = \begin{cases} 
1 & \text{if } x^2 = a \text{ has two solutions mod } p, \\
0 & \text{if } x^2 = a \text{ has one solution mod } p, \\
-1 & \text{if } x^2 = a \text{ has no solutions mod } p.
\end{cases}
\]

We extend the Legendre symbol to finite fields \( \mathbb{F}_q \) of odd characteristic by defining

\[
\left( \frac{a}{\mathbb{F}_q} \right) = \begin{cases} 
1 & \text{if } x^2 = a \text{ has two solutions in } \mathbb{F}_q, \\
0 & \text{if } x^2 = a \text{ has one solution in } \mathbb{F}_q, \\
-1 & \text{if } x^2 = a \text{ has no solutions in } \mathbb{F}_q.
\end{cases}
\]

Note that in every case, \( 1 + \left( \frac{a}{\mathbb{F}_q} \right) \) counts the solutions to \( x^2 = a \) in \( \mathbb{F}_q \). It follows that

\[
\#E(\mathbb{F}_q) = q + 1 + \sum_{x \in \mathbb{F}_q} \left( 1 + \left( \frac{x^3 + Ax + B}{\mathbb{F}_q} \right) \right)
\]

(1)

Hasse’s Theorem is equivalent to the statement that the sum in (1) has absolute value bounded by \( 2\sqrt{q} \). This is remarkable for a sum with \( q \) terms, almost all of which are \( \pm 1 \). From a probabilistic point of view, one might expect that on average an \( O(\sqrt{q}) \) bound should hold, but Hasse’s theorem guarantees that it always holds.

The bound in Hasse’s theorem is the best possible. Later in the course we will see how to explicitly construct elliptic curves \( E/\mathbb{F}_q \) with cardinalities matching every integer value in the Hasse interval

\[
\mathcal{H}(q) := [q + 1 - 2\sqrt{q}, q + 1 + 2\sqrt{q}] = [(\sqrt{q} - 1)^2, (\sqrt{q} + 1)^2]
\]

when \( q \) is prime, and all but at most two integers when \( q \) is not prime.

### 8.2 Point counting

We now consider the problem of computing the cardinality of \( E(\mathbb{F}_q) \); this is crucial to cryptographic applications (as we shall see, it is quite important to know the cardinality of the group one is working in). The most naïve approach one might take would be to evaluate the curve equation \( y^2 = x^3 + Ax + B \) for \( E \) at every pair \((x, y) \in \mathbb{F}_q^2\), count the number of solutions, and add 1 for the point at infinity. This takes \( O(q^2 M(\log q)) \) time. Note that the input to this problem is the pair of coefficients \( A, B \in \mathbb{F}_q \), which each have \( O(n) \) bits, where \( n = \log q \). Thus in terms of the size of its input, this algorithm takes

\[
O(\exp(2n)M(n))
\]

time, which is exponential in \( n \).

A slightly less naïve approach is to precompute a table of quadratic residues in \( \mathbb{F}_q \) so that we can very quickly compute the extended Legendre symbol \( \left( \frac{x}{\mathbb{F}_q} \right) \). We can construct such a table in \( O(qM(\log q)) \) time, and we can then compute

\[
\#E(\mathbb{F}_q) = q + 1 + \sum_{x \in \mathbb{F}_q} \left( \frac{x^3 + Ax + B}{\mathbb{F}_q} \right)
\]
in $O(qM(\log q))$ time, yielding a total running time of 

$$O(\exp(n)M(n)).$$

But we still have not taken advantage of Hasse’s theorem; this tells us that $#E(\mathbb{F}_q)$ must lie in the Hasse interval $H(q)$, which has width $4\sqrt{q}$.

### 8.3 Computing the order of a point

Before giving an algorithm to compute $#E(\mathbb{F}_q)$ using Hasse’s theorem, let us first consider an easier problem: computing the order $|P|$ of a single point $P \in E(\mathbb{F}_q)$. Since the order of the group $E(\mathbb{F}_q)$ lies in $H(q)$, we know that $H(q)$ contains at least one integer $M$ such that $MP = 0$, and any such $M$ is a multiple of $|P|$. To find such an $M$, we set $M_0 = \lceil (\sqrt{q} - 1)^2 \rceil$, compute $M_0P$, and then generate the sequence of points $M_0P, (M_0 + 1)P, (M_0 + 2)P, \ldots, MP = 0$, using repeated addition by $P$.\footnote{Of course the initial scalar multiplication $M_0P$ should be performed using one of the generic exponentiation algorithms we saw in Lecture 4, not by adding $P$ to itself $M_0$ times!}

We then compute the prime factorization $M = p_1^{e_1} \cdots p_w^{e_w}$ (this is easy compared to the time to find $M$), and compute the exact order of the point $P$ using the following generic algorithm.

**Algorithm 8.2.** Given an element $P$ of an additive group and the prime factorization $M = p_1^{e_1} \cdots p_w^{e_w}$ of an integer $M$ for which $MP = 0$, compute the order of $P$ as follows:

1. Let $m = M = p_1^{e_1} \cdots p_w^{e_w}$.
2. For each prime $p_i$, while $p_i|m$ and $(m/p_i)P = 0$, replace $m$ by $m/p_i$.
3. Output $m$.

When this procedure is complete we know that $mP = 0$ and $(m/p) \neq 0$ for every prime $p$ dividing $m$; this implies that $m = |P|$. You will analyze the efficiency of this algorithm and develop several improvements to it in Problem Set 4, but the number of group operations is clearly polynomial in $\log M$, which is all we need for the moment.

The time to compute $|P|$ is thus dominated by the time to find a multiple of $|P|$ in $H(q)$. This involves $O(\sqrt{q})$ operations in $E(\mathbb{F}_q)$, yielding a bit complexity of $O(\sqrt{q} M(\log q))$ or $O(\exp(n/2)M(n))$.

We will shortly see how this can be further improved, but first let’s consider how to use our algorithm for computing $|P|$ to compute $#E(\mathbb{F}_q)$. If we are lucky (and when $q$ is large we usually will be), the first multiple $M$ of $|P|$ we find in $H(q)$ will actually be the only multiple of $|P|$ in $H(q)$. If this happens, then we must have $M = #E(\mathbb{F}_q)$. Otherwise, we might try our luck with a different point $P$. If we can find a combination of points for which the least common multiple of their orders has a unique multiple in $H(q)$, then we can determine the group order.

Now this won’t always be possible. But before addressing that issue, let us consider the question of how long it might take to compute the least common multiple of the orders of all the points in $E(\mathbb{F}_q)$ — this a lot less than one might expect.
8.4 The group exponent

**Definition 8.3.** For a finite group $G$, the *exponent* of $G$, denoted $\lambda(G)$, is defined by

$$\lambda(G) = \text{lcm}\{\vert \alpha \vert : \alpha \in G\}. $$

Note that $\lambda(G)$ is a divisor of $|G|$ and is divisible by the order of every element of $G$. Thus $\lambda(G)$ is the maximal possible order of an element of $G$, and when $G$ is abelian this maximum is achieved: there exists an element with order $\lambda(G)$. To see this, note that the structure theorem for finite abelian groups allows us to decompose $G$ as

$$G \cong \mathbb{Z}/n_1\mathbb{Z} \oplus \mathbb{Z}/n_2\mathbb{Z} \oplus \cdots \oplus \mathbb{Z}/n_r\mathbb{Z},$$

with $n_i | n_{i+1}$ for $1 \leq i < r$. Thus $\lambda(G) = n_r$, and any generator for $\mathbb{Z}/n_r\mathbb{Z}$ has order $\lambda(G)$.

If we compute the least common multiple of a sufficiently large subset of a finite abelian group $G$ we will eventually obtain $\lambda(G)$. If we pick points at random, how many points do we expect to need in order to obtain $\lambda(G)$? The answer is surprisingly few: two points are usually enough.

**Theorem 8.4.** Let $G$ be a finite abelian group with exponent $\lambda(G)$. Let $\alpha$ and $\beta$ be uniformly distributed random elements of $G$. Then

$$\Pr[\text{lcm}(\vert \alpha \vert, \vert \beta \vert) = \lambda(G)] > \frac{6}{\pi^2}. $$

**Proof.** We first reduce to the case that $G$ is cyclic. As noted above, $G \cong \mathbb{Z}/n_1\mathbb{Z} \oplus \mathbb{Z}/n_2\mathbb{Z}$ with $n_i | n_{i+1}$ and $\lambda(G) = n_r$. Let $\alpha_r$ and $\beta_r$ be the projection of $\alpha$ and $\beta$ to $\mathbb{Z}/n_r\mathbb{Z}$. Then $\text{lcm}(\vert \alpha_r \vert, \vert \beta_r \vert) = \lambda(G)$ implies $\text{lcm}(\vert \alpha \vert, \vert \beta \vert) = \lambda(G)$, and therefore

$$\Pr[\text{lcm}(\vert \alpha \vert, \vert \beta \vert) = \lambda(G)]\geq \Pr[\text{lcm}(\vert \alpha_r \vert, \vert \beta_r \vert) = \lambda(G)]. $$

So we now assume that $G$ is cyclic with generator $\gamma$. Let $p_1^{e_1} \cdots p_k^{e_k}$ be the prime factorization of $\lambda(G)$. Let $\alpha = a \gamma$, with $0 \leq a < \lambda(G)$. Unless $\alpha$ is a multiple of $p_i$, which occurs with probability $1/p_i,$ the order of $\alpha$ will be divisible by $p_i^{e_i}$, and similarly for $\beta$. These two probabilities are independent, thus with probability $1 - 1/p_i^{e_i}$ at least one of $\alpha$ and $\beta$ has order divisible by $p_i^{e_i}$. Call this event $E_i$. The events $E_1, \ldots, E_k$ are independent, since we may write $G$ as a direct sum of cyclic groups of prime-power orders $p_1^{e_1}, \ldots, p_k^{e_k}$, and the projections of $\alpha$ and $\beta$ to each of these cyclic groups are uniformly and independently distributed. Thus

$$\Pr[\text{lcm}(\vert \alpha \vert, \vert \beta \vert) = \lambda(G)] = \Pr[E_1 \cap \cdots \cap E_k]$$

$$= \prod_{p | \lambda(G)} (1 - p^{-2}) \geq \prod_{p}(1 - p^{-2}) = \left(\sum_{n=1}^{\infty} \frac{1}{n^2}\right)^{-1} = \frac{1}{\zeta(2)} = \frac{6}{\pi^2},$$

where $\zeta(s) = \sum n^{-s}$ is the Riemann zeta function. $\square$

Theorem 8.4 implies that if we generate random points $P \in E(\mathbb{F}_q)$ and accumulate the least common multiple $N$ of their orders, we should expect to obtain $\lambda(E(\mathbb{F}_q))$ within $O(1)$ iterations. Regardless of when we obtain $\lambda(E(\mathbb{F}_q))$, at every stage we know that $N$ divides $\#E(\mathbb{F}_q)$, and if we ever find that $N$ has a unique multiple $M$ in the Hasse interval $\mathcal{H}(q)$, then we know that $\#E(\mathbb{F}_q) = M$. Unfortunately this might not ever happen; it could be that $\lambda(E(\mathbb{F}_q))$ is smaller than $4\sqrt{q}$, in which case it may well have more than one multiple in $\mathcal{H}(q)$. To deal with this problem we need to consider the quadratic twist of $E$, which you saw in Problem Set 1.
8.5 The quadratic twist of an elliptic curve

Suppose \(d\) is an element of \(\mathbb{F}_q\) that is not a quadratic residue, so that \(\left( \frac{d}{q} \right) = -1\). If we consider the elliptic curve \(\tilde{E}\) defined by \(dy^2 = x^3 + Ax + B\), then there will be a point of the form \((x, y)\) on the curve if and only if \(x^3 + Ax + B\) is not a quadratic residue. Thus

\[
\#\tilde{E}(\mathbb{F}_q) = q + 1 - \sum_{x \in \mathbb{F}_q} \left( \frac{x^3 + Ax + B}{\mathbb{F}_q} \right),
\]

and it follows that if \(\#E(\mathbb{F}_q) = q + 1 - t\), then \(\#\tilde{E}(\mathbb{F}_q) = q + 1 + t\). The curve \(\tilde{E}\) is called the quadratic twist of \(E\) (by \(d\)). We can put the curve equation for \(\tilde{E}\) in standard Weierstrass form by substituting \(x/d\) for \(x\) and \(y/d^2\) for \(y\) and then clearing denominators, yielding

\[
y^2 = x^3 + d^2Ax + d^3B.
\]

Notice that it does not matter which non-residue \(d\) we choose. As you showed in Problem Set 1, if \(d\) and \(d'\) are any two non-residues in \(\mathbb{F}_q\), then the corresponding curves \(\tilde{E}\) and \(\tilde{E}'\) are isomorphic over \(\mathbb{F}_q\), thus we refer to \(\tilde{E}\) as “the” quadratic twist of \(E\).

Our interest in the quadratic twist of \(E\) lies in the fact that

\[
\#E(\mathbb{F}_q) + \#\tilde{E}(\mathbb{F}_q) = 2q + 2.
\]

Thus if we can compute either \(\#E(\mathbb{F}_q)\) or \(\#\tilde{E}(\mathbb{F}_q)\), we can easily determine both values.

8.6 Mestre’s Theorem

As noted above, it is not necessarily the case that the exponent of \(E(\mathbb{F}_p)\) has a unique multiple in the Hasse interval. But if we also consider the quadratic twist \(\tilde{E}(\mathbb{F}_p)\), then a theorem of Mestre (published by Schoof in [4]) ensures that for all primes \(p > 229\), either \(\lambda(E(\mathbb{F}_p))\) or \(\lambda(\tilde{E}(\mathbb{F}_p))\) has a unique multiple in the Hasse interval \(\mathcal{H}(p)\). There is a generalization of this theorem that works for arbitrary prime powers \(q\), see [2], but we will restrict ourselves to the case where \(q = p\) is prime.

**Theorem 8.5** (Mestre). Let \(p > 229\) be prime, and let \(E/\mathbb{F}_p\) be an elliptic curve with quadratic twist \(\tilde{E}/\mathbb{F}_p\). Then either \(\lambda(E(\mathbb{F}_p))\) or \(\lambda(\tilde{E}(\mathbb{F}_p))\) has a unique multiple in \(\mathcal{H}(p)\).

**Proof.** Let \(E(\mathbb{F}_p) \cong \mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}\) and \(\tilde{E}(\mathbb{F}_p) \cong \mathbb{Z}/m\mathbb{Z} \times \mathbb{Z}/M\mathbb{Z}\), where \(n\mid N\) and \(m\mid M\).

Let \(t\) be the trace of the Frobenius endomorphism \(\pi\) of \(E\). We have \(E[n] = E(\mathbb{F}_p)[n]\), so \(\pi\) fixes \(E[n]\) and the matrix \(\pi_n\) is the identity. The matrix \(\pi_{n^2}\) then has the form

\[
\pi_{n^2} = \begin{bmatrix} 1 + an & bn \\ cn & 1 + dn \end{bmatrix},
\]

for some \(a, b, c, d \in \mathbb{Z}/n^2\mathbb{Z}\). We then have

\[
p \equiv \det \pi_{n^2} \equiv 1 + (a + d)n \mod n^2,
\]

\[
t \equiv \tr \pi_{n^2} \equiv 2 + (a + d)n \mod n^2.
\]

\(^2\)This situation is specific to finite fields. Over \(\mathbb{Q}\) for example, every elliptic curve has infinitely many quadratic twists that are not isomorphic over \(\mathbb{Q}\) (of course they are all isomorphic over \(\mathbb{Q}\)).
Thus \(4p - \ell^2 \equiv 0 \mod n^2\). The trace of Frobenius for \(\tilde{E}\) is \(-t\), and we similarly obtain \(4p - t^2 \equiv 0 \mod m^2\). Thus \(\text{lcm}(m^2, n^2)\) divides \(4p - t^2\). We also have \(t = un + 2\) and \(t = vm - 2\), for some integers \(u\) and \(v\), and subtracting these equations yields \(un - vm = 4\). This implies \(\gcd(m, n) \leq 4\), and therefore \(\gcd(m^2, n^2) \leq 16\). Thus

\[
\frac{m^2n^2}{16} \leq \text{lcm}(m^2, n^2) \leq 4p - t^2 \leq 4p. \tag{2}
\]

Suppose for the sake of contradiction that \(N = \lambda(E(\mathbb{F}_p))\) and \(M = \lambda(\tilde{E}(\mathbb{F}_p))\) both have more than one multiple in \(H(p)\). Then \(M\) and \(N\) are both at most \(4\sqrt{p}\), so \(MN \leq 16p\). Since \(mM\) and \(nN\) lie in \(H(p)\), they are both greater than \((\sqrt{p} - 1)^2\), hence \(mnMN \geq (\sqrt{p} - 1)^4\). It follows that \(mn \geq (\sqrt{p} - 1)^4/(16p)\). Dividing by 4 and squaring both sides yields

\[
\frac{m^2n^2}{16} \geq \frac{(\sqrt{p} - 1)^8}{4096p^2}. \tag{3}
\]

Combining (2) and (3), we have

\[
16384p^3 \geq (\sqrt{p} - 1)^8. \tag{4}
\]

This implies that if neither \(M\) nor \(N\) has a unique multiple in \(H(p)\), then \(p < 17413\). An exhaustive computer search for \(p < 17413\) finds that in fact we must have \(p \leq 229\).

8.7 Computing the group order with Mestre’s Theorem

We now give a complete algorithm to compute \(\#E(\mathbb{F}_p)\) using Mestre’s theorem, assuming that \(p\) is a prime greater than 229 (if \(p\) is smaller than this we can easily count points using one of our naïve algorithms); see [2] for an analogous algorithm that works for all prime powers \(q > 49\). As usual, \(H(p) = [(\sqrt{p} - 1)^2, (\sqrt{p} + 1)^2]\) denotes the Hasse interval.

Algorithm 8.6. Given \(E/\mathbb{F}_p\) with \(p > 229\) prime, compute \(\#E(\mathbb{F}_p)\) as follows:

1. Compute a quadratic twist \(\tilde{E}\) of \(E\) using a randomly chosen non-residue \(d \in \mathbb{F}_p\).
2. Let \(E_0 = E\) and \(E_1 = \tilde{E}\), let \(N_0 = N_1 = 1\), and let \(i = 0\).
3. While neither \(N_0\) nor \(N_1\) has a unique multiple in \(H(p)\):
   a. Generate a random point \(P \in E_i(\mathbb{F}_p)\).
   b. Find an integer \(M \in H(p)\) such that \(MP = 0\).
   c. Factor \(M\) and compute \(|P|\) via Algorithm 8.2.
   d. Replace \(N_i\) by \(\text{lcm}(N_i, |P|)\) and replace \(i\) by \(1 - i\).
4. If \(N_0\) has a unique multiple \(M\) in \(H(p)\) then return \(M\), otherwise return \(2p + 2 - M\), where \(M\) is the unique multiple of \(N_1\) in \(H(p)\).

It is clear that the output of the algorithm is correct, and it follows from Theorems 8.4 and 8.5 that the expected number of iterations of step 3 is \(O(1)\). Thus we have a Las Vegas algorithm to compute \(\#E(\mathbb{F}_p)\). Its running time is dominated by the time to find \(M\) in step 3b, and we obtain a total expected running time of \(O(\sqrt{p}M(\log p))\), or

\[
O(\exp(n/2)M(n)).
\]

We now show how this complexity can be further improved using the baby-steps giant-steps method to compute \(M\) in step 3b.
Algorithm 8.7. Given $P \in E(\mathbb{F}_q)$ compute $M \in H(q)$ such that $MP = 0$:

1. Pick integers $r$ and $s$ such that $rs \geq \sqrt{3q}$.
2. Compute the set $S_{\text{baby}} = \{0, P, 2P, \ldots, (r - 1)P\}$ of baby steps.
3. Compute the set $S_{\text{giant}} = \{aP, (a + r)P, (a + 2r)P, \ldots, (a + (s - 1)r)P\}$ of giant steps.
4. For each giant step $P_{\text{giant}} = (a + jr)P \in S_{\text{giant}}$, check whether $P_{\text{giant}} + P_{\text{baby}} = 0$ for some baby step $P_{\text{baby}} = jP \in S_{\text{baby}}$. If so, output $M = a + ri + j$.

When the algorithm terminates, we necessarily have $MP = 0$, so $M$ is a multiple of $|P|$. Moreover, since every integer in $H(q)$ can be written in the form $a + i + jr$ with $0 \leq i < r$ and $0 \leq j < s$, the algorithm is guaranteed to find such an $M$.

To implement this algorithm efficiently, we typically store the baby steps $S_{\text{baby}}$ in a lookup table (such as a hash table or binary tree) and as each giant step $P_{\text{giant}}$ is computed, we lookup $-P_{\text{giant}}$ in this table. Alternatively, one may compute the sets $S_{\text{baby}}$ and $S_{\text{giant}}$ in their entirety, sort both sets, and then efficiently search for a match. In both cases, we assume that the points in $S_{\text{baby}}$ and $S_{\text{giant}}$ are uniquely represented. If we are using projective coordinates (which makes the group operations more efficient), we must then convert each point to affine form; the point $(x : y : z)$ is put in the form $(x/z : y/z : 1)$ by computing the inverse of $z$ in $\mathbb{F}_q$. Done naively, this requires $r + s$ field inversions, which costs $O((r + s)M(n \log n))$, but by using the method described in the next section, it is possible to perform $r + s$ field inversions in $O((r + s)M(n))$ time. Assuming this is done, if we choose $r \approx s \approx 2^q^{1/4}$, then the running time of the algorithm above is $O(q^{1/4}M(\log q))$. If we use the baby-steps giant-steps method to implement step 3b of Algorithm 8.6, we can compute $\#E(\mathbb{F}_q)$ in

$$O(\exp(n/4)M(n))$$

expected time.

8.9 Batching field inversions

Suppose we are given a list of elements $\alpha_1, \ldots, \alpha_m \in \mathbb{F}_q$ whose inverses we wish to compute. The following algorithm accomplishes this using just one field inversion.

Algorithm 8.2 Given $\alpha_1, \ldots, \alpha_m \in \mathbb{F}_q$ compute $\alpha_1^{-1}, \ldots, \alpha_m^{-1}$ as follows:

1. Set $\beta_0 = 1$ and $\beta_i = \beta_{i-1}\alpha_i$ for $i$ from 1 to $m$. $[\beta_i = (\alpha_1 \cdots \alpha_i)]$
2. Compute $\gamma_m = \beta_m^{-1}$. $[\gamma_m = (\alpha_1 \cdots \alpha_m)^{-1}]$
3. For $i$ from $m$ down to 1:
   a. Compute $\alpha_i^{-1} = \beta_{i-1}\gamma_i$. $[\alpha_i^{-1} = (\alpha_1 \cdots \alpha_{i-1})(\alpha_1 \cdots \alpha_i)^{-1}]$
   b. Compute $\gamma_{i-1} = \gamma_i\alpha_i$. $[\gamma_{i-1} = (\alpha_1 \cdots \alpha_{i-1})^{-1}]$
The algorithm performs less than $3m$ multiplications in $\mathbb{F}_q$ and just one inversion in $\mathbb{F}_q$. Provided that $m = \Omega(\log n)$, its running time is $O(mM(n))$.

In the context of Algorithm 8.1, if we are using a table of baby steps, we can compute all of the baby steps using projective coordinates, convert them to affine form using just one field inversion, and then construct the lookup table. For the giant steps we work in batches of size $m > \log n$, converting an entire batch to affine form using one field inversion and then performing table lookups.

8.10 Optimizations

There are a wide range of optimizations to the baby-steps giant-steps method that have been developed over the years. Here we mention just a few.

1. **Optimize expected time:** If we suppose that $M$ is uniformly distributed over an interval of width $N$, then we should use $r \approx \sqrt{N/2}$ baby steps so that the average number of giant steps is $s/2 \approx \sqrt{2N/2} = \sqrt{N/2}$.

2. **Optimize for known distribution:** In the case of elliptic curves we know that $M$ is *not* uniformly distributed, it has a semi-circular distribution.\(^3\) This means we should search from the middle outwards by taking our first giant step in the middle of the interval (at $q+1$), and then alternating steps on either side. We should also choose the number of baby steps to optimize the expected time, using the fact that the expected distance between $M$ and the middle of the interval is $8/3\pi \sqrt{q}$.

3. **Fast inverses:** In groups such as $E(\mathbb{F}_q)$ where we can compute inverses very quickly (the inverse of the point $(x,y)$ is just $(x,-y)$), it makes sense to compute $-P_{\text{giant}}$ at the same time we compute $P_{\text{giant}}$ and see whether either matches a baby step, equivalently, whether $P_{\text{giant}} \pm P_{\text{baby}} = 0$ holds. The number of baby steps should then be decreased by a factor of $\sqrt{2}$ to keep the time for baby steps and giant steps roughly equal.

4. **Parity:** We can easily determine the parity of $\#E(\mathbb{F}_q)$ by checking whether it has a point of order 2. If the curve equation is $y^2 = f(x) = x^3 + Ax + B$, then $\#E(\mathbb{F}_q)$ has even parity if and only if $f(x)$ has a root in $\mathbb{F}_q$ (recall that points of order 2 have $y$-coordinate 0), which we can determine using a root-finding algorithm.\(^4\) Once we know the parity of $M$ we can modify Algorithm 8.1 to only use baby steps that correspond to multiples of $P$ with the same parity (so if $M$ is odd we compute baby steps $P, 3P, 5P, \ldots$, adding $2P$ to each previous step), and use giant steps with even parity. We should then reduce the number of baby steps by a factor of $\sqrt{2}$.

References


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\(^3\)This follows from results showing that the Sato-Tate conjecture holds “on average”; see [1].

\(^4\)In fact we only need to check whether $\gcd(x^q - x, f(x))$ has positive degree.


