Two Analogues of Pascal's Triangle

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Let $i, b \ge 2$. Define the diagram (or poset) P_{ib} by

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- There is a unique maximal element 1
- Each element covers exactly *i* elements.

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- The diagram is planar.

Let $i, b \ge 2$. Define the diagram (or poset) P_{ib} by

- There is a unique maximal element 1^ˆ
- Each element covers exactly *i* elements.
- The diagram is planar.
- Every \land extends to a 2*b*-gon (*b* edges on each side)

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Initial conditions: $p_{ib}(n) = i^n$, $0 \le n \le b-1$

$$\Rightarrow \sum_{n\geq 0} p_{ib}(n) x^n = \frac{1}{1-ix+(i-1)x^b}.$$

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The **rank** of an element $t \in P_{ib}$ is the length of a chain from $\hat{1}$ to t, so rank $(\hat{1}) = 0$.

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$$\Rightarrow \sum_{n\geq 0} p_{ib}(n) x^n = \frac{1}{1-ix+(i-1)x^b}.$$

Note. Thus $p_{ib}(n)$ grows exponentially except for (i, b) = (2, 2).

The numbers e(t)

For $t \in P_{ib}$, let e(t) be the number of paths (saturated chains) from $\hat{1}$ to t.

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Pascal's triangle

A generating function for the e(t)'s

Fix i and b.

 t_{nk} : kth element from left in the *n*th row of P_{ib} , beginning with k = 0.

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$$\left< \begin{matrix} \mathbf{n} \\ \mathbf{k} \end{matrix} \right> = e(t_{nk})$$

 q_n : number of elements of P_{ib} of rank n

$$r_n = \frac{q_n - q_{n-1}}{i-1} \in \mathbb{P} = \{1, 2, \dots\}$$

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$$\mathbf{r_n} = \frac{q_n - q_{n-1}}{i-1} \in \mathbb{P} = \{1, 2, \dots\}$$

Theorem.
$$\sum_{k} {\binom{n}{k}} x^{k} = \prod_{j=1}^{n} \left(1 + x^{r_{j}} + x^{2r_{j}} + \dots + x^{(i-1)r_{j}} \right)$$
(analogue of binomial theorem, the case $i = b = 2$)

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Stability

Theorem (repeated). $\sum_{k} \left\langle {n \atop k} \right\rangle x^{k} = \prod_{j=1}^{n} \left(1 + x^{r_{j}} + x^{2r_{j}} + \dots + x^{(i-1)r_{j}} \right)$

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For all $(i, b) \neq (2, 2)$, we have $r_n \to \infty$ as $n \to \infty$. \Rightarrow For fixed k, $e(t_{0k}), e(t_{1k}), e(t_{2k}), \ldots$ eventually becomes constant, say \overline{e}_k . Then

$$\sum_{k\geq 0}\overline{e}_k x^k = \prod_{j=1}^{\infty} \left(1 + x^{r_j} + x^{2r_j} + \cdots + x^{(i-1)r_j}\right).$$

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 $\sum_{k} \binom{n}{k}^2 = \binom{2n}{n}$



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$$\sum_{n \ge 0} {\binom{2n}{n}} x^{n} = \frac{1}{\sqrt{1 - 4x}},$$

not a rational function (quotient of two polynomials)

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$$\sum_{k} \binom{n}{k}^3 = ??$$

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Even worse! Generating function is not algebraic.

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Even worse! Generating function is not algebraic.

Much of this behavior is **atypical**. Different for $(i, b) \neq (2, 2)$.

The poset P_{32} (Stern poset)



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Very different behavior from P_{22} .

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Stern's triangle

Some properties

• Number of entries in row *n* (beginning with row 0): $2^{n+1} - 1$

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- Sum of entries in row *n*: 3^{*n*}
- Largest entry in row n: F_{n+1} (Fibonacci number)
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• Number of entries in row n (beginning with row 0): $2^{n+1} - 1$

- Sum of entries in row *n*: 3^{*n*}
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•
$$\sum_{k} \langle {n \atop k} \rangle x^{k} = \prod_{i=0}^{n-1} \left(1 + x^{2^{i}} + x^{2 \cdot 2^{i}} \right)$$

Stabilization

$$\sum_{k\geq 0}\overline{e}_k x^k = \prod_{i=0}^{\infty} \left(1 + x^{2^i} + x^{2\cdot 2^i}\right)$$

The sequence $(\bar{e}_0, \bar{e}_1, ...)$ is **Stern's diatomic sequence (Moritz Abraham Stern**, 1807–1894):

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so \bar{e}_k is the number of ways to write k as a sum of powers of 2, where each power of 2 can occur at most **twice**.

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so \bar{e}_k is the number of ways to write k as a sum of powers of 2, where each power of 2 can occur at most **twice**.

Most amazing property: Every positive rational number occurs exactly once among the numbers \bar{e}_i/\bar{e}_{i-1} , $i \ge 1$.

Sums of squares

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$$u_2(n+1) = 5u_2(n) - 2u_2(n-1), n \ge 1$$

Sums of squares

$$u_2(n) := \sum_k \left< {n \atop k} \right>^2 = 1, 3, 13, 59, 269, 1227, \ldots$$

$$u_2(n+1) = 5u_2(n) - 2u_2(n-1), n \ge 1$$

$$\sum_{n\geq 0} u_2(n)x^n = \frac{1-2x}{1-5x+2x^2}$$

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Proof

$$u_{2}(n+1) = \cdots + \left\langle {n \atop k} \right\rangle^{2} + \left(\left\langle {n \atop k} \right\rangle + \left\langle {n \atop k+1} \right\rangle \right)^{2} + \left\langle {n \atop k+1} \right\rangle^{2} + \cdots$$
$$= 3u_{2}(n) + 2\sum_{k} \left\langle {n \atop k} \right\rangle \left\langle {n \atop k+1} \right\rangle.$$

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$$= 3u_{2}(n) + 2\sum_{k} \left\langle {n \atop k} \right\rangle \left\langle {n \atop k+1} \right\rangle.$$

Thus define $u_{1,1}(n) := \sum_k \langle {n \atop k} \rangle \langle {n \atop k+1} \rangle$, so

 $u_2(n+1) = 3u_2(n) + 2u_{1,1}(n).$

What about $u_{1,1}(n)$?

$$u_{1,1}(n+1) = \dots + \left(\left\langle {n \atop k-1} \right\rangle + \left\langle {n \atop k} \right\rangle \right) \left\langle {n \atop k} \right\rangle$$
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Recall also $u_2(n+1) = 3u_2(n) + 2u_{1,1}(n)$.

Let
$$\mathbf{A} := \begin{bmatrix} 3 & 2 \\ 2 & 2 \end{bmatrix}$$
. Then
$$\mathbf{A} \begin{bmatrix} u_2(n) \\ u_{1,1}(n) \end{bmatrix} = \begin{bmatrix} u_2(n+1) \\ u_{1,1}(n+1) \end{bmatrix}.$$

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Characteristic (or minimum) polynomial of A: $x^2 - 5x + 2$

$$(A^2 - 5A + 2I)A^{n-1} = 0_{2 \times 2} \Rightarrow u_2(n+1) = 5u_2(n) - 2u_2(n-1)$$

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Characteristic (or minimum) polynomial of A: $x^2 - 5x + 2$

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 $\Rightarrow u_2(n+1) = 5u_2(n) - 2u_2(n-1)$

Also $u_{1,1}(n+1) = 5u_{1,1}(n) - 2u_{1,1}(n-1)$.

Sums of cubes

$$u_3(n) := \sum_k \left< {n \atop k} \right>^3 = 1, 3, 21, 147, 1029, 7203, \ldots$$

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$$u_3(n)=3\cdot7^{n-1},\ n\ge 1$$

Equivalently, if $\prod_{i=0}^{n-1}\left(1+x^{2^i}+x^{2\cdot2^i}
ight)=\sum a_jx^j$, then

$$\sum a_j^3 = 3 \cdot 7^{n-1}.$$

Same method gives the matrix $\begin{bmatrix} 3 & 6 \\ 2 & 4 \end{bmatrix}$.

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Thus $u_3(n+1) = 7u_3(n), n \ge 1 \pmod{n \ge 0}$.

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Thus $u_3(n+1) = 7u_3(n), n \ge 1 \pmod{n \ge 0}$.

Much nicer than $\sum_{k} {n \choose k}^{3}$

What about $u_r(n)$ for general $r \ge 1$?

By the same technique, can show that

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Much more can be said!

The Fibonacci poset $\mathfrak{F} = P_{23}$.



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Basic properties

 q_n (number of elements of rank n): $F_{n+2} - 1$, where $F_1 = F_2 = 1$, $F_{n+1} = F_n + F_{n-1}$

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$$\sum_{k} \langle {}^{n}_{k} \rangle x^{k} = \prod_{i=1}^{n} \left(1 + x^{F_{i+1}} \right) := \boldsymbol{I}_{\boldsymbol{n}}(\boldsymbol{x})$$

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 q_n (number of elements of rank n): $F_{n+2} - 1$, where $F_1 = F_2 = 1$, $F_{n+1} = F_n + F_{n-1}$

$$\sum_{k} \left\langle {}_{k}^{n} \right\rangle x^{k} = \prod_{i=1}^{n} \left(1 + x^{F_{i+1}} \right) := I_{n}(x)$$

$$l_4(x) = (1+x)(1+x^2)(1+x^3)(1+x^5)$$

= 1+x+x^2+2x^3+x^4+2x^5+2x^6+x^7+2x^8+x^9+x^{10}+x^{11}

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$$\sum_{\mathbf{k}} \langle \mathbf{n}_{\mathbf{k}} \rangle^2$$

Can obtain a system of recurrences analogous to

$$u_2(n+1) = 3u_2(n) + 2u_{1,1}(n)$$

$$u_{1,1}(n+1) = 2u_2(n) + 2u_{1,1}(n)$$

for Stern's triangle.

$$\sum_{\mathbf{k}} \langle \mathbf{n}_{\mathbf{k}} \rangle^2$$

Can obtain a system of recurrences analogous to

$$u_2(n+1) = 3u_2(n) + 2u_{1,1}(n)$$

$$u_{1,1}(n+1) = 2u_2(n) + 2u_{1,1}(n)$$

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Quite a bit more complicated (automated by D. Zeilberger).

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for Stern's triangle.

Quite a bit more complicated (automated by D. Zeilberger).

Theorem.
$$\sum_{n\geq 0} v_2(n)x^n = \frac{1-2x^2}{1-2x-2x^2+2x^3}$$

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Higher powers

$v_r(n)$: sum of *r*th powers of coefficients of $I_n(x)$

$$\boldsymbol{V_r(\boldsymbol{x})} := \sum_{n \ge 0} v_r(n) x^n$$

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 $V_r(x)$ is a rational function.

$V_r(x)$ for $r \leq 6$

Theorem.
$$V_1(x) = \frac{1}{1-2x}$$
 (clear)
 $V_2(x) = \frac{1-2x^2}{1-2x-2x^2+2x^3}$
 $V_3(x) = \frac{1-4x^2}{1-2x-4x^2+2x^3}$
 $V_4(x) = \frac{1-7x^2-2x^4}{1-2x-7x^2-2x^4+2x^5}$
 $V_5(x) = \frac{1-11x^2-20x^4}{1-2x-11x^2-8x^3-20x^4+10x^5}$
 $V_6(x) = \frac{1-17x^2-88x^4-4x^6}{1-2x-17x^2-28x^3-88x^4+26x^5-4x^6+4x^7}$

-

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Note. Numerator is "even part" of denominator. Why?

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Strings of size two and three



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Strings of size two and three



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Strings of size two and three



What is the sequence of string sizes on each level? E.g., on level 5, the sequence 2, 3, 2, 3, 3, 2, 3, 2.

The limiting sequence

As $n \to \infty$, we get a "limiting sequence"

 $2, 3, 2, 3, 3, 2, 3, 2, 3, 3, 2, 3, 3, 2, 3, 2, 3, 3, 2, 3, 3, 2, 3, \ldots$

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As $n \to \infty$, we get a "limiting sequence"

 $2, 3, 2, 3, 3, 2, 3, 2, 3, 3, 2, 3, 3, 2, 3, 2, 3, 3, 2, 3, 3, 2, 3, \dots$

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Let $\phi = (1 + \sqrt{5})/2$, the golden mean.

The limiting sequence

As $n \to \infty$, we get a "limiting sequence"

 $2, 3, 2, 3, 3, 2, 3, 2, 3, 3, 2, 3, 3, 2, 3, 2, 3, 3, 2, 3, 3, 2, 3, \dots$

Let $\phi = (1 + \sqrt{5})/2$, the golden mean.

Theorem. The limiting sequence $(c_1, c_2, ...)$ is given by

$$c_n = 1 + \lfloor n\phi \rfloor - \lfloor (n-1)\phi \rfloor.$$

Properties of $c_n = 1 + \lfloor n\phi \rfloor - \lfloor (n-1)\phi \rfloor$

 $2, 3, 2, 3, 3, 2, 3, 2, 3, 3, 2, 3, 3, 2, 3, 2, 3, 2, 3, 3, 2, 3, \ldots$

 γ = (c₂, c₃,...) characterized by invariance under 2 → 3, 3 → 32 (Fibonacci word in the letters 2,3).

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- γ = (c₂, c₃,...) characterized by invariance under 2 → 3, 3 → 32 (Fibonacci word in the letters 2,3).
- $\gamma = z_1 z_2 \dots$ (concatenation), where $z_1 = 3$, $z_2 = 23$, $z_k = z_{k-2} z_{k-1}$

 $3 \cdot 23 \cdot 323 \cdot 23323 \cdot 32323323 \cdots$

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 $3 \cdot 23 \cdot 323 \cdot 23323 \cdot 32323323 \cdots$

• Sequence of number of 3's between consecutive 2's is the original sequence with 1 subtracted from each term.

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Coefficients of $I_n(x)$

$$I_n(\mathbf{x}) = \prod_{i=1}^n \left(1 + x^{F_{i+1}}\right)$$

Coefficient of x^m : number of ways to write m as a sum of distinct Fibonacci numbers from $\{F_2, F_3, \ldots, F_{n+1}\}$.

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Example. Coefficient of x^8 in $(1+x)(1+x^2)(1+x^3)(1+x^5)(1+x^8)$ is 3:

$$8 = 5 + 3 = 5 + 2 + 1.$$

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Example. Coefficient of x^8 in $(1+x)(1+x^2)(1+x^3)(1+x^5)(1+x^8)$ is 3:

$$8 = 5 + 3 = 5 + 2 + 1.$$

Can we see these sums from \mathfrak{F} ? Each path from the top to a point $t \in \mathfrak{F}$ should correspond to a sum.

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An edge labeling of \mathfrak{F}

The edges between ranks 2k and 2k + 1 are labelled alternately $0, F_{2k+2}, 0, F_{2k+2}, \ldots$ from left to right.

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The edges between ranks 2k - 1 and 2k are labelled alternately $F_{2k+1}, 0, F_{2k+1}, 0, \ldots$ from left to right.

Diagram of the edge labeling



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Connection with sums of Fibonacci numbers

Let $t \in \mathfrak{F}$. All paths (saturated chains) from the top to t have the same sum of their elements $\sigma(t)$.

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Connection with sums of Fibonacci numbers

Let $t \in \mathfrak{F}$. All paths (saturated chains) from the top to t have the same sum of their elements $\sigma(t)$.

If rank(t) = n, this gives all ways to write $\sigma(t)$ as a sum of distinct Fibonacci numbers from $\{F_2, F_3, \ldots, F_{n+1}\}$.

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An example



 $2 + 3 = F_3 + F_4$

An example



 $5 = F_5$

An ordering of $\ensuremath{\mathbb{N}}$



In the limit as rank $\to\infty,$ get an interesting dense linear ordering \prec of $\mathbb N.$

Special case of \prec

Every nonnegative integer has a unique representation as a sum of nonconsecutive Fibonacci numbers, where a summand equal to 1 is always taken to be F_2 (Zeckendorf's theorem).

$$n = F_{j_1} + \dots + F_{j_s}, \quad j_1 < \dots < j_s$$

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$$n = F_{j_1} + \dots + F_{j_s}, \quad j_1 < \dots < j_s$$

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Then $n \prec 0$ if and only if j_1 is odd.

Congruence properties

 $h_{m,a}(n)$: number of coefficients of $I_n(x)$ that are $\equiv a \pmod{m}$.

$$\boldsymbol{H}_{\boldsymbol{m},\boldsymbol{a}}(\boldsymbol{x}) := \sum_{n \geq 0} h_{\boldsymbol{m},\boldsymbol{a}}(n) x^n.$$

Congruence properties

 $h_{m,a}(n)$: number of coefficients of $I_n(x)$ that are $\equiv a \pmod{m}$.

$$\boldsymbol{H}_{\boldsymbol{m},\boldsymbol{a}}(\boldsymbol{x}) := \sum_{n \geq 0} h_{\boldsymbol{m},\boldsymbol{a}}(n) \boldsymbol{x}^n.$$

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Can show that $H_{m,a}(x)$ is a rational function.

n = 2, 3

$$\begin{aligned} H_{2,0}(x) &= \frac{x^3(1-2x^2)}{(1-x)(1-x-x^2)(1-2x+2x^2-2x^3)} \\ H_{2,1}(x) &= \frac{1+2x^2}{1-2x+2x^2-2x^3} \\ H_{3,0}(x) &= \frac{2x^5(1-2x^2)}{(1-x)(1-x-x^2)(1-2x+2x^2-3x^3+4x^4-4x^5)} \\ H_{3,1}(x) &= \frac{1-2x+4x^2-6x^3+8x^4-10x^5+8x^6-6x^7}{(1-x)(1-x+x^2)(1-2x+2x^2-3x^3+4x^4-4x^5)} \\ H_{3,2}(x) &= \frac{x^3(1+2x^4)}{(1-x)(1-x+x^2)(1-2x+2x^2-3x^3+4x^4-4x^5)} \end{aligned}$$

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$$\begin{aligned} H_{4,0}(x) &= \frac{x^6(1-2x^2)(1-3x^2+4x^3-4x^4)}{(1-x)(1-x-x^2)(1-x^2+2x^4)(1-2x+2x^2-2x^3)^2} \\ H_{4,1}(x) &= \frac{1-2x+5x^2-8x^3+10x^4-12x^5+8x^6-6x^7}{(1-x)(1-2x+2x^2-2x^3)(1-x+2x^2-2x^3+2x^4)} \\ H_{4,2}(x) &= \frac{x^3(1+x^2)(1-2x^2)}{(1-x^2+2x^4)(1-2x+2x^2-2x^3)^2} \\ H_{4,3}(x) &= \frac{2x^5(1+x^2)}{(1-x)(1-2x+2x^2-2x^3)(1-x+2x^2-2x^3+2x^4)} \end{aligned}$$

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$$\begin{aligned} H_{4,0}(x) &= \frac{x^6(1-2x^2)(1-3x^2+4x^3-4x^4)}{(1-x)(1-x-x^2)(1-x^2+2x^4)(1-2x+2x^2-2x^3)^2} \\ H_{4,1}(x) &= \frac{1-2x+5x^2-8x^3+10x^4-12x^5+8x^6-6x^7}{(1-x)(1-2x+2x^2-2x^3)(1-x+2x^2-2x^3+2x^4)} \\ H_{4,2}(x) &= \frac{x^3(1+x^2)(1-2x^2)}{(1-x^2+2x^4)(1-2x+2x^2-2x^3)^2} \\ H_{4,3}(x) &= \frac{2x^5(1+x^2)}{(1-x)(1-2x+2x^2-2x^3)(1-x+2x^2-2x^3+2x^4)} \end{aligned}$$

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- Why the factorization of the denominators?
- Why so many numerators with two terms?

References

The Stern triangle: *Amer. Math. Monthly* **127** (2020), 99–111; arXiv:1901.04647

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D. Speyer, arXiv:1901:06301

The Fibonacci triangle (and more): arXiv:2101.02131

The final slide

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The final slide



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