

Some aspects of (r, k)-parking functions

Richard P. Stanley

University of Miami and M.I.T.

and



(r, k)-parking function: a sequence (a_1, \ldots, a_n) of positive integers whose decreasing rearrangement $b_1 \leq \cdots \leq b_n$ satisfies

$$b_i \le k + (i-1)r.$$

 $\mathbf{PF}_{n}^{(r,k)}$: set of (r,k)-parking functions of length n

r = k = 1 (so $b_i \leq i$): ordinary parking function

(r, k)-parking function: a sequence (a_1, \ldots, a_n) of positive integers whose decreasing rearrangement $b_1 \leq \cdots \leq b_n$ satisfies

$$b_i \le k + (i-1)r.$$

 $\mathbf{PF}_{n}^{(r,k)}$: set of (r,k)-parking functions of length n

r = k = 1 (so $b_i \leq i$): ordinary parking function

Example. (8, 4, 8, 2) is **not** a (2, 3)-parking function, since $(2, 4, 8, 8) \not\leq (3, 5, 7, 9)$ (termwise).

Cars C_1, \ldots, C_{rn} need to park in spaces $1, 2, \ldots, rn + k - 1$.

preference vector $\boldsymbol{\alpha} = (a_1, \dots, a_n)$, $1 \leq a_i \leq rn + k - 1$, where cars $C_{r(i-1)+1}, \dots, C_{ri}$ all prefer a_i .

Cars go one at a time to their preferred space and then park in first available space.

Easy: all cars can park if and only if α is an (r, k)-parking function.

Number of (r, k)-parking functions

Theorem (Steck 1969, essentially).

$$\#\mathrm{PF}_n^{(r,k)} = k(rn+k)^{n-1}$$

Number of (r, k)-parking functions

Theorem (Steck 1969, essentially).

$$\# PF_n^{(r,k)} = k(rn+k)^{n-1}$$

Proof. Completely analogous to Pollak's proof for r = k = 1.

Parking function symmetric function

 \mathfrak{S}_n acts on $\mathrm{PF}_n^{(r,k)}$ by permuting coordinates. Let $F_n^{(r,k)}$ denote the Frobenius characteristic of this action.

Parking function symmetric function

- \mathfrak{S}_n acts on $\mathrm{PF}_n^{(r,k)}$ by permuting coordinates. Let $F_n^{(r,k)}$ denote the Frobenius characteristic of this action.
- Equivalently,

$$F_n^{(r,k)} = \sum_{\beta} h_{m_1(\beta)} h_{m_2(\beta)} \cdots,$$

where β runs over all weakly increasing (r, k)-parking functions, and $m_i(\beta)$ is the number of *i*'s in β .

Let r = 1, k = 2, n = 3. The weakly increasing (1, 2)-parking functions (a, b, c) of length three, i.e, $(a, b, c) \leq (2, 3, 4)$:

111112113114122123124133134222223224233234

Hence

$$F_3^{(2,1)} = 2h_3 + 8h_2h_1 + 4h_1^3.$$

 $F_n^{(r,k)}$ has "nice" expansions in terms of the six classical bases m, p, h, e, s, f.

E.g.,

$$F_n^{(r,k)} = \frac{k}{rn+k} \sum_{\lambda \vdash n} \left(\frac{rn+k}{d_1(\lambda), \dots, d_n(\lambda), rn+k-\ell(\lambda)} \right) h_\lambda$$
$$= k \sum_{\lambda \vdash n} z_\lambda^{-1} (rn+k)^{\ell(\lambda)-1} p_\lambda,$$

where $d_i(\lambda)$ is the number of parts of λ equal to *i*.

A generating function

 $\mathcal{P}^{(r,k)}(t) := \sum F_n^{(r,k)} t^n$ $n \ge 0$

A generating function

$$\mathcal{P}^{(\boldsymbol{r},\boldsymbol{k})}(\boldsymbol{t}) := \sum_{n\geq 0} F_n^{(r,k)} t^n$$

Theorem. For $n \ge 1$, we have

$$\mathcal{P}^{(r,k)}(t) = \left(\mathcal{P}^{r,1}(t)\right)^k.$$

A generating function

$$\mathcal{P}^{(\boldsymbol{r},\boldsymbol{k})}(\boldsymbol{t}) := \sum_{n\geq 0} F_n^{(r,k)} t^n$$

Theorem. For $n \ge 1$, we have

$$\mathcal{P}^{(r,k)}(t) = \left(\mathcal{P}^{r,1}(t)\right)^k.$$

Proof: simple factorization argument.

Negative exponents

- What about $(\mathcal{P}^{r,1}(t))^k$ for k < 0?
- Simplest case: r = 1 and k = -1.

Motivation

Let

$$\begin{aligned} \boldsymbol{A(t)} &= \sum_{n \ge 0} a_n t^n \\ \boldsymbol{B(t)} &= \sum_{n \ge 0} b_n t^n \\ &= \frac{1}{1 - A(t)} = \sum_{k \ge 0} A(t)^k. \end{aligned}$$

Thus a_n counts "**prime**" objects and b_n all objects.

B(t) = F(t)

Note. $B(t) = \frac{1}{1-A(t)} \Leftrightarrow A(t) = 1 - \frac{1}{B(t)}$.

B(t) = F(t)

Note. $B(t) = \frac{1}{1-A(t)} \Leftrightarrow A(t) = 1 - \frac{1}{B(t)}$.

Suggests: $1 - \frac{1}{\mathcal{P}^{(1,1)}(t)}$ might be connected with "prime" parking functions.



Definition (I. Gessel). A parking function is prime if it remains a parking function when we delete a 1 from it.

Note. A sequence $b_1 \leq b_2 \leq \cdots \leq b_n$ is an increasing parking function if and only if $1 \leq b_1 \leq \cdots \leq b_n$ is an increasing prime parking function.

The prime parking function sym. fn.

E.g., n = 4: increasing prime parking functions are 1111, 1112, 1113, 1122, 1123.

The prime parking function sym. fn.

E.g., n = 4: increasing prime parking functions are 1111, 1112, 1113, 1122, 1123.

$$\Rightarrow \mathcal{PF}_4^{(1,1)} = h_4 + 2h_3h_1 + h_2^2 + h_2h_1^2$$

1 2 3 4 5 6 7 8 9 10 11 1 1 3 3 4 4 7 8 8 9 10

 1
 2
 3
 4
 5
 6
 7
 8
 9
 10
 11

 1
 1
 3
 3
 4
 4
 7
 8
 8
 9
 10

$$\begin{vmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 \\ \hline 1 & 1 & 3 & 3 & 4 & 4 & 7 & 8 & 8 & 9 & 10 \\ \rightarrow (1,1), (1,1,2,2), (1), (1,1,2,3) \\ \hline \text{Theorem.} \left(\mathcal{P}^{(1,1)}(t) \right)^{-1} = 1 - \sum_{n \ge 1} \text{PPF}_n t^n$$

A more complicated example

Coefficient of t^5 in $-\mathcal{P}^{(1,1)}(t)^{-2}$ is

$$2h_3h_1^2 + 2h_2^2h_1 + 4h_3h_2 + 4h_4h_1 + 2h_5.$$

Frobenius characteristic of the action of \mathfrak{S}_5 on all sequences $(a_1, \ldots, a_5) \in \mathbb{P}^{(1,1)}$ whose increasing rearrangement $b_1 \leq \cdots \leq b_5$ satisfies either of the conditions

1.
$$b_1 = b_2 = 1, b_3 \le 2, b_4 \le 3, b_5 \le 3$$
, or

2. $b_1 = b_2 = b_3 = 2, b_4 \le 3, b_5 \le 4.$

Parking function basis

Write $F_n = F_n^{(1,1)}$ (simplest case), with $F_0 = 1$. For $\lambda = (\lambda_1, \lambda_2, ...)$ write

$$F_{\lambda} = F_{\lambda_1} F_{\lambda_2} \cdots$$

Easy. $\{F_{\lambda} : \lambda \vdash n\}$ is a \mathbb{Z} -basis for Λ_n (homogeneous symmetric functions of degree n with integer coefficients).

Some problems

- Expand F_{λ} in the classical bases m, h, e, p, s, f, and vice versa.
- Formula or combinatorial interpretation of $\langle F_{\lambda}, F_{\mu} \rangle$.

Some problems

- Expand F_{λ} in the classical bases m, h, e, p, s, f, and vice versa.
- Formula or combinatorial interpretation of $\langle F_{\lambda}, F_{\mu} \rangle$.
- Very little is known.

Theorem.

$$\langle F_n, F_\lambda \rangle = \frac{1}{n+1} \prod_{i>1} \frac{1}{\lambda_i + 1} \binom{(n+1)(\lambda_i + 1) + \lambda_i - 1}{\lambda_i}$$

Theorem.

$$\langle F_n, F_\lambda \rangle = \frac{1}{n+1} \prod_{i \ge 1} \frac{1}{\lambda_i + 1} \binom{(n+1)(\lambda_i + 1) + \lambda_i - 1}{\lambda_i}$$

Corollary.
$$\langle F_n, F_n \rangle = \frac{1}{n+1} \binom{n(n+3)}{n}$$

Theorem.

$$\langle F_n, F_\lambda \rangle = \frac{1}{n+1} \prod_{i \ge 1} \frac{1}{\lambda_i + 1} \binom{(n+1)(\lambda_i + 1) + \lambda_i - 1}{\lambda_i}$$

Corollary.
$$\langle F_n, F_n \rangle = \frac{1}{n+1} \binom{n(n+3)}{n}$$

In general $\langle F_{\lambda}, F_{\mu} \rangle$ has large prime factors. Is there a combinatorial interpretation?

Theorem.

$$\langle F_n, F_\lambda \rangle = \frac{1}{n+1} \prod_{i \ge 1} \frac{1}{\lambda_i + 1} \binom{(n+1)(\lambda_i + 1) + \lambda_i - 1}{\lambda_i}$$

Corollary.
$$\langle F_n, F_n \rangle = \frac{1}{n+1} \binom{n(n+3)}{n}$$

In general $\langle F_{\lambda}, F_{\mu} \rangle$ has large prime factors. Is there a combinatorial interpretation, even for $\frac{1}{n+1} \binom{n(n+3)}{n}$?

 d_i : number of parts of λ equal to i

$$e_n = \frac{1}{n+1} \sum_{\lambda \vdash n} (-1)^{n-\ell(\lambda)} {n+\ell(\lambda) \choose d_1, d_2, \dots, rn} F_{\lambda}$$

$$p_n = \sum_{\lambda \vdash n} (-1)^{\ell(\lambda)+1} {n+\ell(\lambda)-1 \choose d_1, d_2, \dots, rn-1} F_{\lambda}$$

$$h_n = \frac{1}{n-1} \sum_{\lambda \vdash n} (-1)^{\ell(\lambda)+1} {n+\ell(\lambda)-2 \choose d_1, d_2, \dots, rn-2} F_{\lambda}$$

The last slide

The last slide

