

Quantization, the orbit method, and unitary representations

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Representation Theory, Geometry, and Quantization:
May 28–June 1 2018

Physics

Representations

Orbit method

Hyperbolic orbits

Elliptic orbits

Outline

Quantization, the
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Physics: a view from a neighboring galaxy

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Classical representation theory

Representations

History of the orbit method in two slides

Orbit method

Hyperbolic coadjoint orbits for reductive groups

Hyperbolic orbits

Elliptic coadjoint orbits for reductive groups

Elliptic orbits

Quantum mechanics

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Physical system \longleftrightarrow complex Hilbert space \mathcal{H}

States \longleftrightarrow lines in \mathcal{H}

Observables \longleftrightarrow linear operators $\{A_j\}$ on \mathcal{H}

Expected value of obs $A \longleftrightarrow \langle Av, v \rangle$

Energy \longleftrightarrow special skew-adjoint operator A_0

Time evolution \longleftrightarrow unitary group $t \mapsto \exp(tA_0)$

Observable A conserved $\longleftrightarrow [A_0, A] = 0$

Moral of the story: quantum mechanics is about
Hilbert spaces and Lie algebras.

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Unitary representations of a Lie group G

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Unitary repn is Hilbert space \mathcal{H}_π with action

$$G \times \mathcal{H}_\pi \rightarrow \mathcal{H}_\pi, \quad (g, v) \mapsto \pi(g)v$$

respecting inner product: $\langle v, w \rangle = \langle \pi(g)v, \pi(g)w \rangle$.

π is **irreducible** if has exactly two invt subspaces.

Unitary dual problem: find $\widehat{G}_U =$ unitary irreps of G .

$X \in \text{Lie}(G) \rightsquigarrow$ skew-adjoint operator $d\pi(X)$:

$$\pi(tX) = \exp(td\pi(X)).$$

Moral of the story: **unitary representations** are about
Hilbert spaces and **Lie algebras**.

Here's the big idea

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One of Kostant's greatest contributions was understanding the power of the analogy

unitary reps \leftrightarrow quantum mech systems
Hilb space, Lie alg of ops \leftrightarrow Hilb space, Lie alg of ops

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Unitary reps are hard, but quantum mech is hard too. **How does an analogy help?**

Physicists have a cheat sheet!

There is an **easier version** of quantum mechanics called **classical mechanics**. Theories related by

classical mech $\xrightarrow{\text{quantization}}$ quantum mech
 $\xleftarrow{\text{classical limit}}$

A little bit of background

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Symplectic manifold is manifold M with Lie algebra structure $\{, \}$ on $C^\infty(M)$ satisfying

$$\{a, bc\} = \{a, b\}c + b\{a, c\}$$

and a nondegeneracy condition.

Any smooth function f on M defines

Hamiltonian vector field $\xi_f = \{f, \cdot\}$.

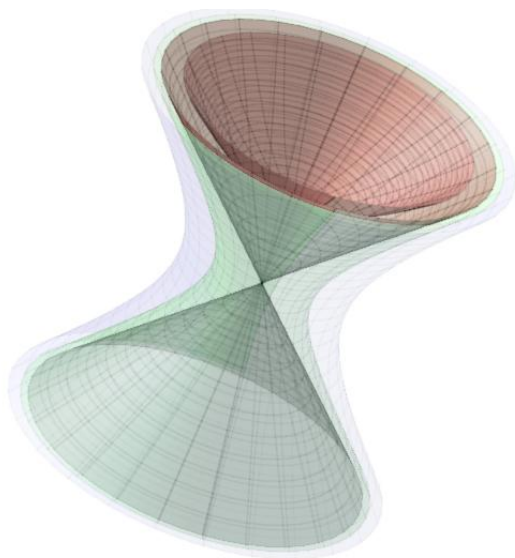
Example: $M =$ **cotangent bundle**.

Example: $M =$ **Kähler manifold**.

Example: $M =$ **conjugacy class** of $n \times n$ matrices.

Pictures

Some conjugacy classes of 2×2 real matrices



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Classical mechanics

Physical system \longleftrightarrow symplectic manifold M

States \longleftrightarrow points in M

Observables \longleftrightarrow smooth functions $\{a_j\}$ on M

Value of obs a on state $m \longleftrightarrow a(m)$

Energy \longleftrightarrow special real-valued function a_0

Time evolution \longleftrightarrow flow of vector field ξ_{a_0}

Observable a conserved $\longleftrightarrow \{a_0, a\} = 0$

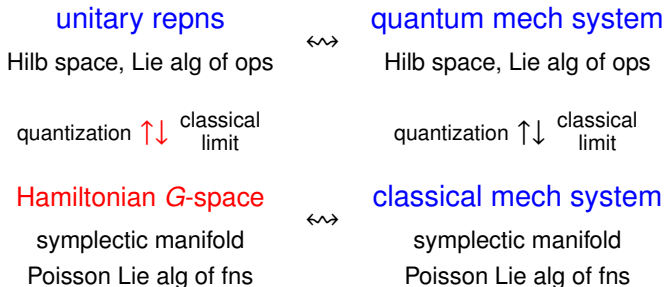
Moral of the story: classical mechanics is about symplectic manifolds and Poisson Lie algebras.

Representation theory and physics

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Here's how Kostant's analogy looks now.



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That is, the analogy suggests that there is a **classical analogue of unitary representations**.

Should make **irreducible unitary** correspond to **homogeneous Hamiltonian**.

Must **make sense of $\uparrow\downarrow$** . Physics $\uparrow\downarrow$ **not our problem**.

What's a Hamiltonian G -space?

M manifold with Poisson bracket $\{, \}$ on smooth functions

$$\{f, *\} \rightsquigarrow \xi_f \in \text{Vect}(M) \quad \text{Hamiltonian vector field}$$

G action on $X \rightsquigarrow$ Lie alg hom $\mathfrak{g} \rightarrow \text{Vect}(M)$, $Y \mapsto \xi_Y$.

M is a **Hamiltonian G -space** if this Lie algebra map **lifts**

$$\begin{array}{ccc} & C^\infty(M) & \\ \nearrow & \downarrow & \nearrow \\ \mathfrak{g} & \rightarrow \text{Vect}(M) & Y \rightarrow \xi_Y \end{array}$$

Map $\mathfrak{g} \rightarrow C^\infty(M)$ same as **moment map** $\mu: M \rightarrow \mathfrak{g}^*$.

Theorem (Kostant)

*Homogeneous Hamiltonian G -space is the same thing (by moment map) as **covering of an orbit** of G on \mathfrak{g}^* .*

Method of coadjoint orbits

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Recall: Hamiltonian G -space X comes with
(G -equivariant) moment map $\mu: X \rightarrow \mathfrak{g}^*$.

Kostant's theorem: **homogeneous Hamiltonian
 G -space = covering of G -orbit on \mathfrak{g}^* .**

Kostant's **rep theory** \leftrightarrow **physics** analogy now leads to
Kirillov-Kostant **philosophy of coadjt orbits**:

$$\{\text{irr unitary reps of } G\} =_{\text{def}} \widehat{G} \overset{?}{\leftrightarrow} \mathfrak{g}^* / G. \quad (\star)$$

MORE PRECISELY... restrict right side to “admissible”
orbits (integrality cond). Expect to find “almost all” of \widehat{G} :
enough for interesting harmonic analysis.

Evidence for orbit method

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With the caveat about restricting to admissible orbits. . .

$$\widehat{G} \overset{?}{\leftrightarrow} \mathfrak{g}^*/G. \quad (\star)$$

(\star) true for G simply connected nilpotent (Kirillov)

General idea (\star), without physics motivation, due to Kirillov.

(\star) true for G type I solvable (Auslander-Kostant).

(\star) for algebraic G reduces to reductive G (Duflo).

Case of reductive G is still open.

Actually (\star) is false for connected nonabelian reductive G .

But there are still theorems close to (\star).

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So concentrate on reductive groups. . .

Two ways to study representations for reductive G :

1. start with coadjt orbit, seek representation. Hard.
2. start with representation, seek coadjt orbit. Easy.

Really need to do both things at once. Having started to do mathematics in the Ford administration, I find this challenging. (Gave up chewing gum at that time.)

Reductive Lie group G = closed subgp of $GL(n, \mathbb{R})$
which is **closed under transpose**, and $\#G/G_0 < \infty$.

From now on G is reductive.

$\text{Lie}(G) = \mathfrak{g} \subset n \times n$ **matrices**. Bilinear form

$$T(X, Y) = \text{tr}(XY) \Rightarrow \mathfrak{g} \stackrel{G\text{-eqvt}}{\simeq} \mathfrak{g}^*$$

Orbits of G on $\mathfrak{g}^* \subset$ **conjugacy classes of matrices**.

Orbits of $GL(n, \mathbb{R})$ on $\mathfrak{g}^* =$ **conj classes of matrices**.

First example: hyperbolic orbits

$G = GL(n, \mathbb{R})$, $n = p + q$, $x > y$ real numbers

$O_{p,q}(x, y) =_{\text{def}}$ diagonalizable matrices with
eigvalues x (mult p) and y (mult q).

Define $\text{Gr}(p, n) =$ Grassmann variety of
 p -dimensional subspaces of \mathbb{R}^n .

$O_{p,q}$ is Hamiltonian G -space of dimension $2pq$.

$O_{p,q}(x, y) \rightarrow \text{Gr}(p, n)$, $\lambda \mapsto x$ eigenspace

exhibits $O_{p,q}(x, y)$ as affine bundle over $\text{Gr}(p, n)$

General reductive G : $O \subset \mathfrak{g}^*$ **hyperbolic** if elements are diagonalizable with real eigenvalues.

Always **affine bundle over a compact real flag variety**.

We pause for a word from our sponsor. . .

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Classical physics example:

configuration space X = manifold of positions.

State space $T^*(X)$ = symplectic manifold of
positions and momenta.

Quantization

$$\mathcal{H} = L^2(X)$$

= square-integrable half-densities on X

= wave functions for quantum system.

Size of wave function \leftrightarrow probability of configuration.

oscillation of wave function \leftrightarrow velocity.

Kostant-Kirillov idea:

Hamiltonian G -space $M \approx T^*(X) \implies$

unitary representation $\approx L^2(X)$ = square-integrable
half-densities on X .

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Two $GL(n, \mathbb{R})$ -equivariant real line bdles on $\text{Gr}(p, n)$:

1. \mathcal{L}_1 : fiber at p -diml $S \subset \mathbb{R}^n$ is $\wedge^p S$;
2. \mathcal{L}_2 : fiber at S is $\wedge^{n-p}(\mathbb{R}^n/S)$.

Real numbers x and y \rightsquigarrow Hermitian line bundle

$$\mathcal{L}(x, y) = \mathcal{L}_1^{ix} \otimes \mathcal{L}_2^{iy}.$$

Unitary representations of $GL(n, \mathbb{R})$ associated to
coadjoint orbits $O_{p,q}(x, y)$ are

$$\pi_{p,q}(x, y) = L^2(\text{Gr}(p, n), \mathcal{L}(x, y)).$$

Same techniques (still for reductive G) deal with all
hyperbolic coadjoint orbits.

Second example: elliptic orbits

$G = GL(2n, \mathbb{R})$, $x > 0$ real number

$O_e(x) =_{\text{def}}$ real matrices λ with $\lambda^2 = -x^2 I$
= diagonalizable λ with eigenvalues $\pm xi$.

$O_e(x)$ is Hamiltonian G -space of dimension $2n^2$.

Define a complex manifold

$X =$ complex structures on \mathbb{R}^{2n}
 \simeq n -dimensional complex subspaces
 $S \subset \mathbb{C}^{2n}$ such that $S + \overline{S} = \mathbb{C}^{2n}$

Last condition is **open**, so X **open** in $\text{Gr}_{\mathbb{C}}(n, 2n)$.

$O_e(x) \rightarrow X$, $\lambda \mapsto ix$ eigenspace

is **isomorphism** $O_e(x) \simeq X$

General reductive G : $O \subset \mathfrak{g}^*$ **elliptic** if elements are diagonalizable with purely imaginary eigenvalues.

Always \simeq **open orbit** X on **plx flag variety**: **Kähler**.

Remind me, what was a Kähler manifold?

First, **complex manifold** X : real space $T_x X$ has **complex structure** j_x : real linear aut, $j_x^2 = -I$.

Second, **symplectic**: $T_x X$ has **symp form** ω_x .

Third, structures **compatible**: $\omega_x(j_x u, j_x v) = \omega_x(u, v)$.

These structures define **indefinite Riemannian structure** $g_x(u, v) = \omega_x(u, j_x v)$.

Kähler structure is **positive** if all g_x are positive;
signature (p, q) if all g_x have signature (p, q)

Example: $X =$ **complex structures on** \mathbb{R}^{2n} has
signature $\left(\binom{n}{2}, \binom{n+1}{2}\right)$ or $\left(\binom{n+1}{2}, \binom{n}{2}\right)$.

Positive Kähler structures are better, but here we
can't have them. Need direction. . .

Dealing with indefinite Kähler

Example: $U(n)/U(1)^n$ has $n!$ equivariant Kähler structures. Here's how...

1. Distinct reals $\ell = (\ell_1, \dots, \ell_n) \rightsquigarrow U(n)$ coadjt orbit

$$\mathcal{O}_e(\ell) = U(n) \cdot \text{diag}(i\ell_1, \dots, i\ell_n);$$

with natural symplectic structure.

2. Isomorphic to complex $X =$ complete flags in \mathbb{C}^n by

$$\lambda \in \mathcal{O}_e(\ell) \mapsto (\{0\} \subset \mathbb{C}_{i\ell_n}^n(\lambda) \subset \mathbb{C}_{i\ell_n}^n(\lambda) + \mathbb{C}_{i\ell_{n-1}}^n(\lambda) \subset \dots);$$

here $\mathbb{C}_{i\ell_j}^n(\lambda) =$ (one-diml) $i\ell_j$ -eigenspace of λ .

3. Define $\sigma =$ permutation putting ℓ in decreasing order.
4. Isomorphism with $X \rightsquigarrow$ Kähler structure of signature $(\binom{n}{2} - \ell(\sigma), \ell(\sigma))$.

How do you quantize a Kähler manifold?

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Kostant-Auslander idea:

Hamiltonian G -space X **positive Kähler** \implies

unitary representation = L^2 **holomorphic sections**

of holomorphic line bundle on X

But Kähler structures on

$$O_e(X) = 2n \times 2n \text{ real } \lambda, \lambda^2 = -X^2$$

are both **indefinite**.

New idea comes from **Borel-Weil-Bott** theorem about compact groups (proved algebraically by Kostant)

Quantizing $U(n) \cdot \text{diag}(i\ell_1, \dots, i\ell_n) \subset \mathfrak{u}(n)^*$

ℓ_j distinct real; now assume $\ell_j \equiv (n-1)/2 \pmod{\mathbb{Z}}$. Put

$$\rho = ((n-1)/2, (n-3)/2, \dots, (-n+1)/2) \in \mathbb{R}^n$$

$$\ell - \rho = (\ell_1 - (n-1)/2, \dots, \ell_n + (n-1)/2) \in \mathbb{Z}^n.$$

Get $\mathcal{L}_{\ell-\rho}$ **hol line bdl** on $X = \text{flags in } \mathbb{C}^n$.

Recall $\sigma \cdot \ell$ **decr**; so $\mu = \sigma\ell - \rho = \text{dom wt}$ for $U(n)$.

Write $E_\mu = \text{irr rep of } U(n) \text{ of highest weight } \mu$.

Theorem (Borel-Weil-Bott-Kostant)

Write $\mathcal{O}_{\ell-\rho} = \text{sheaf of germs of hol secs of } \mathcal{L}_{\ell-\rho}$. Then

$$H^p(X, \mathcal{O}_{\ell-\rho}) = \begin{cases} E_\mu & p = \ell(\sigma) \\ 0 & \text{otherwise.} \end{cases}$$

Moral of the story: look for representations not in holomorphic sections, but in cohomological degree given by **signature** of Kähler metric.

Let's try that: $O_e(x)$ for $GL(2n, \mathbb{R})$

Brought to you by Birgit Speh.

$X =$ space of cplx structures on \mathbb{R}^{2n} .

$$n^2 = \dim_{\mathbb{C}}(X), \quad s = \dim_{\mathbb{C}}(\text{maxl cpt subvar}) = \binom{n}{2}.$$

Point $x \in X$ interprets \mathbb{R}^{2n} as n -diml complex vector space \mathbb{R}_x^{2n} . Defines (tautological) holomorphic vector bundle \mathcal{V} on X ; $\wedge^n(\mathcal{V}) = \mathcal{L}$ holomorphic line bundle on X .

Every eqvt hol line bdl on X is \mathcal{L}^p , some $p \in \mathbb{Z}$.

Canonical bdl is $\omega_X = \mathcal{L}^{-2n}$.

Better: $O_e(x) \leftrightarrow \text{repn } H^{0,s}(X, \mathcal{L}^p)$. Inf unit for $p \leq -n$.

Better: $O_e(x) \leftrightarrow \text{repn } H^{0,s}(X, \mathcal{L}^{-x} \otimes \omega_X^{1/2})$. Inf unit for $x \geq 0$.

Moral: interesting orbits \leftrightarrow , $x + n \in \mathbb{Z}$: Duflo's admissible orbits.

Best: $O_e(x) \leftrightarrow \text{repn } H_c^{n^2, n^2-s}(X, \mathcal{L}^x \otimes \omega_X^{1/2})$. Pre-unit for $x \geq 0$.

This is Serre duality plus analytic results of Hon-Wai Wong.

Call this (last) representation $\pi(x)$ ($x = 0, 1, 2, \dots$).

Inclusion of compact subvariety Z gives lowest $O(V)$ -type:

$(x+1)$ -Cartan power of $\wedge^n(\mathbb{C}^{2n})$. (Shift $+1$ since $\omega_Z = \omega_X^{1/2} \otimes \mathcal{L}^{-1}$.)

Parallel techniques deal with elliptic coadjt orbits (that is, orbits of semisimple matrices with purely imaginary eigenvalues).