

# The size of infinite-dimensional representations

David Vogan

Nankai University, 6 June, 2010

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Lessons from  $p$ -adic fields

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Topological grp  $G$  acts on  $X$ , have **questions about  $X$** .

**Step 1.** Attach to  $X$  Hilbert space  $\mathcal{H}$  (e.g.  $L^2(X)$ ).

Questions about  $X \rightsquigarrow$  questions about  $\mathcal{H}$ .

**Step 2.** Find finest  $G$ -eqvt decomp  $\mathcal{H} = \bigoplus_{\alpha} \mathcal{H}_{\alpha}$ .

Questions about  $\mathcal{H} \rightsquigarrow$  questions about each  $\mathcal{H}_{\alpha}$ .

Each  $\mathcal{H}_{\alpha}$  is **irreducible unitary representation of  $G$** : indecomposable action of  $G$  on a Hilbert space.

**Step 3.** Understand  $\widehat{G}_u =$  all irreducible unitary representations of  $G$ : **unitary dual problem**.

**Step 4.** Answers about irr reps  $\rightsquigarrow$  answers about  $X$ .

Topic today: **what's an irreducible unitary representation look like?**

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Stay vague about (locally compact) ground field  $k$ : mostly  $\mathbb{R}$  or  $\mathbb{C}$ , but  $\mathbb{F}_q$ ,  $p$ -adic fields also interesting.

$G(k)$  acts on  $(n-1)$ -diml (over  $k$ ) proj alg variety

$$X_{1,n-1}(k) = \{1\text{-diml subspaces of } V(k)\}$$

Hilbert space

$$\mathcal{H}_{1,n-1}(k) = \{L^2 \text{ half-densities on } X_{1,n-1}(k)\}$$

$k = \mathbb{R}, \mathbb{C}, p$ -adic:  $G(k)$  acts by irr rep  $\rho(1, n-1)$ .

Question for today: how big is this Hilbert space?

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Want “dimension” for inf-diml Hilbert space

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For guidance, look at fin-diml analogue: take base field  $k = \mathbb{F}_q$ ; then  $\#V(\mathbb{F}_q) = q^n$ ,

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$G(\mathbb{F}_q)$  acts on

$$X_{1,n-1}(\mathbb{F}_q) = \{1\text{-diml subspaces of } V(\mathbb{F}_q)\};$$

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$$\mathcal{H}_{1,n-1}(\mathbb{F}_q) = \{\text{functions on } X_{1,n-1}(\mathbb{F}_q)\}$$

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# About $GL(V(\mathbb{F}_q))$

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To understand size of reps of  $GL(V)$ , need size of  $GL(V)$ ...

The “ $q$ -analogue” of  $m$  is the polynomial

$$q^{m-1} + q^{m-2} + \cdots + q + 1 = \frac{q^m - 1}{q - 1};$$

value at  $q = 1$  is  $m$ .

$$\begin{aligned}(m!)_q &= (q^{m-1} + q^{m-2} + \cdots + 1)(q^{m-2} + \cdots + 1) \cdots (q + 1) \cdot 1 \\ &= \frac{q^m - 1}{q - 1} \cdot \frac{q^{m-1} - 1}{q - 1} \cdots \frac{q^2 - 1}{q - 1} \cdot \frac{q - 1}{q - 1}.\end{aligned}$$

( $q$ -analogue of  $m!$ ; poly in  $q$ ,  $\deg = \binom{m}{2}$ , val at 1 =  $m!$ )

Geometric meaning: number of complete flags in an  $m$ -dimensional vector space over  $\mathbb{F}_q$ .

Cardinality of  $GL(V(\mathbb{F}_q))$  is  $(n!)_q (q - 1)^n q^{\binom{n}{2}}$ .

$GL(V(\mathbb{F}_q))$  is “ $q$ -analogue” of symmetric group.

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The “ $q$ -analogue” of  $m$  is the polynomial

$$q^{m-1} + q^{m-2} + \cdots + q + 1 = \frac{q^m - 1}{q - 1};$$

value at  $q = 1$  is  $m$ .

$$\begin{aligned}(m!)_q &= (q^{m-1} + q^{m-2} + \cdots + 1)(q^{m-2} + \cdots + 1) \cdots (q + 1) \cdot 1 \\ &= \frac{q^m - 1}{q - 1} \cdot \frac{q^{m-1} - 1}{q - 1} \cdots \frac{q^2 - 1}{q - 1} \cdot \frac{q - 1}{q - 1}.\end{aligned}$$

( $q$ -analogue of  $m!$ ; poly in  $q$ ,  $\deg = \binom{m}{2}$ , val at 1 =  $m!$ )

Geometric meaning: number of complete flags in an  $m$ -dimensional vector space over  $\mathbb{F}_q$ .

Cardinality of  $GL(V(\mathbb{F}_q))$  is  $(n!)_q (q - 1)^n q^{\binom{n}{2}}$ .

$GL(V(\mathbb{F}_q))$  is “ $q$ -analogue” of symmetric group.

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$\pi = (p_1, \dots, p_m)$ ,  $\sum_j p_j = n$ ;  $G(\mathbb{F}_q)$  acts on

$$X_\pi(\mathbb{F}_q) = \{0 = S_0 \subset S_1 \subset \dots \subset S_m = V(\mathbb{F}_q), \\ \text{subspace chains, } \dim(S_j/S_{j-1}) = p_j\};$$

$\mathbb{F}_q$ -variety of dimension

$$d(\pi) =_{\text{def}} \binom{n}{2} - \sum_j \binom{p_j}{2}.$$

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Continue with  $k = \mathbb{F}_q$ ,  $G(\mathbb{F}_q) = GL(V(\mathbb{F}_q))$ .

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irr rep  $\approx$  functions on  $X_\pi(\mathbb{F}_q)$

$\dim(\text{irr rep}) = \text{poly in } q \text{ of degree } \dim X_\pi$

Problem: what partition is attached to each irr rep?

Dimension of representation provides a clue.

big reps  $\rightsquigarrow$  partitions with small parts.

Note: partition  $\pi \rightsquigarrow$  irreducible rep of  $S_n$ .

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$k$   $p$ -adic field  $\supset \mathfrak{O}$  ring of integers  $\supset \mathfrak{p}$  maximal ideal

$\mathfrak{O}/\mathfrak{p} = \mathbb{F}_q$  residue field

$V(k)$   $n$ -diml vec space; fix basis  $\rightsquigarrow V(k) \simeq k^n$ .

Basis  $\rightsquigarrow V(\mathfrak{O}) \simeq \mathfrak{O}^n \subset k^n \simeq V(k)$

$G(k) = GL(V(k)) \simeq GL(n, k)$ .

For  $r \geq 0$ , have open subgroups (nbhd base at  $I$ )

$$\begin{aligned} G_r &= \{g \in GL(n, \mathfrak{O}) \mid g \equiv I \pmod{\mathfrak{p}^r}\} \\ &= \text{subgp of } G(\mathfrak{O}) \text{ acting triv on } V(\mathfrak{O})/V(\mathfrak{p}^r). \end{aligned}$$

Note  $G_0 = G(\mathfrak{O}) \simeq GL(n, \mathfrak{O})$ .

$G_0/G_r \simeq GL(V(\mathfrak{O}/\mathfrak{p}^r))$  **finite group**, extension of  $G(\mathbb{F}_q)$  by nilp gp of order  $q^{n^2 r}$ .

$G_r \rightsquigarrow$  decompose  $G(k)$ -spaces, reps.

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Hilbert space

$$\mathcal{H}_\pi(k) = \{L^2 \text{ half-densities on } X_\pi(k)\}$$

carries unitary rep  $\rho(\pi)$  of  $G(k)$ ; space is incr union

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Hilbert space

$$\mathcal{H}_\pi(k) = \{L^2 \text{ half-densities on } X_\pi(k)\}$$

carries unitary rep  $\rho(\pi)$  of  $G(k)$ ; space is incr union

$$\mathcal{H}_\pi(k)^{G_0} \subset \mathcal{H}_\pi(k)^{G_1} \subset \dots \subset \mathcal{H}_\pi(k)^{G_r} \subset \dots$$

finite-diml reps of  $G_0$ .

$\dim(\mathcal{H}_\pi(k)^{G_r}) =$  number of orbits of  $G_r$  on  $X_\pi(k)$

$$= \frac{(n!)_q}{(\rho_1!)_q (\rho_2!)_q \cdots (\rho_m!)_q} \cdot q^{rd(\pi)}.$$

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$$\pi = (\rho_1, \dots, \rho_m), \quad \sum_j \rho_j = n$$

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## Theorem (Shalika germs)

If  $(\rho, \mathcal{H})$  arb irr rep of  $G(k)$ , then for every partition  $\pi$  of  $n$  there is an integer  $a_\pi(\rho)$  so that for  $r \geq r(\rho)$

$$\mathcal{H} \simeq \sum_{\pi} a_\pi \mathcal{H}_\pi(k)$$

as (virtual) representations of  $G_r$ .

## Corollary

$\dim \mathcal{H}^{G_r} = \text{poly in } q^r \text{ of deg } d(\pi(\rho))$ ,  
some partition  $\pi(\rho)$ , and all  $r \geq r(\rho)$ .

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Problem: what partition is attached to each irr rep?

Rate of growth of chain of subspaces

$$\mathcal{H}_\pi^{G_0} \subset \mathcal{H}_\pi^{G_1} \subset \cdots \mathcal{H}_\pi^{G_r} \subset \cdots$$

provides a clue.

big reps  $\longleftrightarrow$  partitions with small parts.

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# Representations of $GL(V(\mathbb{R}))$

$$G(\mathbb{R}) = GL(V(\mathbb{R})) \simeq GL(n, \mathbb{R}).$$

$G(\mathbb{R})$  acts on  $(n-1)$ -diml compact manifold

$$\mathcal{X}_{1, n-1}(\mathbb{R}) = \{1\text{-diml subspaces of } V(\mathbb{R})\} \simeq \mathbb{R}P^{n-1}$$

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Hilbert space carrying irr unitary rep of  $G(\mathbb{R})$ .

Question for today: **how big is this Hilbert space?**

Can we extract  $n-1$  from it?

Difficulty: all inf-diml separable Hilbert spaces are isomorphic (as Hilbert spaces).

Same problem for other function spaces:

$$C^\infty(\mathbb{R}P^{n-1}) \simeq C^\infty(\mathbb{R}P^{m-1}) \text{ as topological vec space}$$

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**Distinguish using exhaustion by fin-diml subspaces.**

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# Lessons from real analysis

The size of infinite-dimensional representations

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$X$  compact  $d$ -diml Riemannian,  $\Delta_X$  Laplacian

$\mathcal{H} = L^2(X)$ ,  $\mathcal{H}_\lambda = \lambda$ -eigenspace of  $\Delta_X$ .

Theorem (Weyl)

If  $\mathcal{H}(N) = \sum_{\lambda \leq N} \mathcal{H}_\lambda$ , then  $\dim \mathcal{H}(N) \sim c_X N^d$ .

Conclude:  $\dim X \rightsquigarrow$  asymp distn of  $\Delta_X$  eigenvalues

Example:  $X = \mathbb{R}P^{n-1}$ ,  $C^\infty(X) =$  homog even fns on  $\mathbb{R}^n$ .

$\mathcal{H}_{2k(2k+(n-1))} \simeq$  deg  $2k$  pols mod  $r^2 \cdot$  (deg  $2(k-1)$  pols)

$$\dim \mathcal{H}_{2k(2k+(n-1))} = \frac{[(2k+1)(2k+2)\cdots(2k+n-3)][4k+n-2]}{(n-2)!},$$

polynomial in  $k$  of degree  $n-2$ .

$$\mathcal{H}\left(2k\sqrt{1 + \frac{n-1}{2k}}\right) \simeq S^{2k}(\mathbb{R}^n)$$

$$\dim \mathcal{H}\left(2k\sqrt{1 + \frac{n-1}{2k}}\right) = \binom{n+2k-1}{n-1},$$

polynomial in  $k$  of degree  $n-1$ .

$O(n) \subset GL(n, \mathbb{R})$  commutes with  $\Delta_X$ , preserves  $\mathcal{H}_\lambda$ .

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# More representations over $\mathbb{R}$

The size of infinite-dimensional representations

David Vogan

Choice of basis defines compact subgroup

$$O(n) \subset G(\mathbb{R}) = GL(V(\mathbb{R})) \simeq GL(n, \mathbb{R}).$$

Casimir  $\Omega_{O(n)} = -\sum X_j^2$ ,  $\{X_j\}$  orth basis of Lie  $O(n)$ .

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$X_\pi(\mathbb{R}) =$  subspace chains of type  $\pi$

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$$O(n) \subset G(\mathbb{R}) = GL(V(\mathbb{R})) \simeq GL(n, \mathbb{R}).$$

**Casimir**  $\Omega_{O(n)} = -\sum X_i^2$ ,  $\{X_i\}$  orth basis of Lie  $O(n)$ .

$\pi = (p_1, \dots, p_m)$ ,  $\sum_j p_j = n$ ;  $G(\mathbb{R})$  acts on cpt Riemannian

$X_\pi(\mathbb{R}) =$  subspace chains of type  $\pi$

$$d(\pi) =_{\text{def}} \binom{n}{2} - \sum_m \binom{p_m}{2} = \dim X_\pi$$

$O(n)$  **transitive** on  $X_\pi(\mathbb{R})$ ,  $\Delta_{X_\pi} =$  **action of  $\Omega_{O(n)}$** ; isotropy

$$O(\pi) =_{\text{def}} O(p_1) \times \cdots \times O(p_m) \subset O(n).$$

Unitary rep  $\rho(\pi)$  on  $\mathcal{H}_\pi(\mathbb{R}) = L^2(X_\pi(\mathbb{R}))$ ; res to  $O(n)$  is

$$\text{Ind}_{O(\pi)}^{O(n)}(\mathbb{C}) = \sum_{\mu \in \widehat{O(n)}} (\dim \mu^{O(\pi)}) \mu$$

Therefore compute Laplacian eigenvalue distribution

$$\mathcal{H}_\pi(N) = \sum_{\mu(\Omega) \leq N^2} (\dim \mu^{O(\pi)}) \mu.$$

$\dim \mathcal{H}_\pi(N) \sim a(\pi) N^{d(\pi)}$ : res to  $O(n)$  computes  $d(\pi)$ .

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# More representations over $\mathbb{R}$

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# General representations over $\mathbb{R}$

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$(\rho, \mathcal{H})$  arbitrary irr rep of  $G(\mathbb{R}) \simeq GL(n, \mathbb{R})$ .  
Restriction to cpt subgp  $O(n)$  decomposes

$$\mathcal{H} \simeq \sum_{\mu \in \widehat{O(n)}} m_{\rho}(\mu) \mu \quad (m_{\rho}(\mu) \text{ non-neg integer}).$$

Example of  $\mathcal{H}_{\pi} = L^2(X_{\pi})$  suggests defining

$$\mathcal{H}(N) =_{\text{def}} \sum_{\mu(\Omega) \leq N^2} m_{\rho}(\mu) \mu.$$

Theorem

There is partition  $\pi(\rho)$  of  $n$ , pos integer  $c(\rho)$  so that

$$\dim \mathcal{H}(N) \sim c(\rho) a(\pi(\rho)) N^{d(\pi(\rho))}.$$

Recall that  $\dim \mathcal{H}_{\pi}(N) \sim a(\pi) N^{d(\pi)}$ .

Definition

For  $\rho$  irr rep of  $G(\mathbb{R})$ , the Gelfand-Kirillov dimension of  $\rho$  is the non-neg integer  $\text{Dim}(\rho) = d(\pi(\rho))$ ; measures asymp distn of eigenvalues of Casimir  $\Omega_{O(n)}$  in  $\rho$ .

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what else does partition tell you about irr rep?

To address these questions, use characters of reps. . .

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# Distribution characters

The size of infinite-dimensional representations

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Idea of Gelfand-Kirillov dimension began with *dimension* for **fin-diml** irr rep  $(\rho, \mathcal{H})$  of  $G$ .

Can write  $\dim \rho = \text{tr } \text{Id}_{\mathcal{H}} = \text{tr } \rho(1)$ .

Useful to consider **character of  $\rho$** , function on  $G$ :

$$\Theta_{\rho}(g) =_{\text{def}} \text{tr } \rho(g),$$

because **character of  $\rho$  determines  $\rho$  up to equiv.**

Inf-diml irr  $(\rho, \mathcal{H})$ :  $\rho(g)$  never trace class. *Regularize...*

$G(\mathbb{R}) = GL(V(\mathbb{R}))$ ,  $\delta$  cptly supp test density on  $G(\mathbb{R})$ ,

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is **trace class** operator (Harish-Chandra).

Map  $\Theta_{\rho}(\delta) = \text{tr } \rho(\delta)$  is generalized function on  $G(\mathbb{R})$ .

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Can write **dim**  $\rho = \text{tr } \text{Id}_{\mathcal{H}} = \text{tr } \rho(1)$ .

Useful to consider **character** of  $\rho$ , function on  $G$ :

$$\Theta_{\rho}(g) =_{\text{def}} \text{tr } \rho(g),$$

because **character of  $\rho$  determines  $\rho$  up to equiv.**

Inf-diml irr  $(\rho, \mathcal{H})$ :  $\rho(g)$  never trace class. *Regularize...*

$G(\mathbb{R}) = GL(V(\mathbb{R}))$ ,  $\delta$  cptly supp test density on  $G(\mathbb{R})$ ,

$$\rho(\delta) = \int_{G(\mathbb{R})} \rho(g) \delta(g) \in \text{End}(\mathcal{H})$$

is **trace class** operator (Harish-Chandra).

Map  $\Theta_{\rho}(\delta) = \text{tr } \rho(\delta)$  is generalized function on  $G(\mathbb{R})$ .

**GK dim** of  $\rho \leftrightarrow$  singularity of  $\Theta_{\rho}$  at  $1 \in G(\mathbb{R})$ .

# More lessons from real analysis

The size of infinite-dimensional representations

David Vogan

$f$  smooth on vec space  $W(\mathbb{R})$ ,  $f_t(w) = f(tw)$ ; **Taylor**  
 $f_t \sim \sum_{k=0}^{\infty} t^k P_k$ ,  $(t \rightarrow \infty)$ ,  $P_k$  homog deg  $k$  poly.

Seek analogous expansion for non-smooth gen fns.

Theorem (Barbasch-V)

$\Theta_\rho$  distn char of irr rep  $\rho$  of  $G(\mathbb{R})$ , **exp** gen fn  $\theta_\rho$  on  
 $\mathfrak{g}(\mathbb{R}) = \text{Lie}(G(\mathbb{R})) = n \times n$  real matrices

Then  $\theta_\rho$  has asymptotic expansion

$$\theta_{\rho,t} \sim \sum_{k=-d(\rho)}^{\infty} t^k T_k(\rho),$$

$T_k(\rho)$  tempered gen fn homog of deg  $k$ .

Leading terms match:  $T_{-d(\rho)}(\rho) = c(\rho) T_{-d(\pi)}(\rho(\pi(\rho)))$ .

Conclusion: **char  $\Theta_\rho$  near  $1 \in G(\mathbb{R})$  equal to  $c(\rho) \cdot \Theta_{\rho(\pi)}$  modulo lower order terms.**

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irr rep  $\rho$  of  $G(\mathbb{R})$

trace → distribution character  $\Theta_\rho$  (gen fn on  $G(\mathbb{R})$ )

exp → generalized function  $\theta_\rho$  on  $\mathfrak{g}(\mathbb{R})$

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distribution on  $\mathfrak{g}(\mathbb{R})^* \simeq n \times n$  real matrices

support → conjugacy class  $\mathcal{O}_{\pi'}$  of real nilp matrices

Jordan → partition  $\pi(\rho)$  of  $n$

That finds the partition attached to each irr rep.

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# Other real reductive groups

$G(\mathbb{R})$  real reductive group,  $K(\mathbb{R})$  maximal compact subgroup,  $\Omega_{K(\mathbb{R})}$  Casimir operator for  $K(\mathbb{R})$ .

**Example:**  $Sp(2n, \mathbb{R})$ ,  $\mathbb{R}$ -linear transf of  $\mathbb{C}^n$  preserving symplectic form

$$\omega(v, w) = \text{Im}\langle v, w \rangle$$

(imag part of std Herm form);  $K(\mathbb{R}) = U(n)$ .

**Example:**  $O(p, q)$  linear transf of  $\mathbb{R} \times \mathbb{R}^q$  preserving symmetric form

$$\langle (v_1, v_2), (w_1, w_2) \rangle_{p,q} = \langle v_1, w_1 \rangle - \langle v_2, w_2 \rangle;$$

$K(\mathbb{R}) = O(p) \times O(q)$ .

**(Al)most general example:**  $G(\mathbb{R}) \subset GL(N, \mathbb{R})$  closed subgp preserved by transpose,  $K(\mathbb{R}) = G(\mathbb{R}) \cap O(N)$ .

**Big idea:**

$G(\mathbb{R})$  rep “size”  $\leftrightarrow$  restriction to  $K(\mathbb{R})$  asymptotics

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# GK dimension for other real reductive

The size of infinite-dimensional representations

David Vogan

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$(\rho, \mathcal{H})$  irr rep of  $G(\mathbb{R})$ ; then (Harish-Chandra)

$$\mathcal{H} \simeq \sum_{\mu \in \widehat{K(\mathbb{R})}} m_{\rho}(\mu) \mu, \quad (m_{\rho}(\mu) \text{ non-neg integer}).$$

As for  $GL(n)$ , can define

$$\mathcal{H}(N) =_{\text{def}} \sum_{\mu(\Omega_{K(\mathbb{R})}) \leq N^2} m_{\rho}(\mu) \mu.$$

Theorem

There is a non-negative integer  $d(\rho)$  and a positive constant  $b(\rho)$  so that

$$\dim \mathcal{H}(N) \sim b(\rho) N^{d(\rho)}.$$

Call  $d(\rho)$  the **Gelfand-Kirillov dimension of  $\rho$** .

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Case of  $GL(n)$ : have special homog spaces  $X_\pi(\mathbb{R})$  (partial flag variety) so that reps  $L^2(X_\pi(\mathbb{R}))$  “approximately model” any irr rep.

Other  $G(\mathbb{R})$ : have analogues of  $X_\pi$  (real flag varieties); but they no longer model *all* irr reps.

**Example:**  $G(\mathbb{R}) = Mp(4, \mathbb{R})$  nonlinear double cover of symplectic group. Four possible spaces “ $X_\pi$ ”:

point  $X_0$  (dim = 0)

(isotropic) lines  $X_1 = \{L_1 \subset \mathbb{R}^4\} = \mathbb{R}P^3$  (dim 3)

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Get GK dims 0, 3, 4; **metaplectic repn** has GK dim 2.

But asymptotic expansion of characters still works...

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# Character expansions for real groups

The size of infinite-dimensional representations

David Vogan

$G(\mathbb{R})$  real reductive group,  $(\rho, \mathbb{H})$  irr rep

$\delta$  cptly supp test density on  $G(\mathbb{R}) \rightsquigarrow$  trace class op

$$\rho(\delta) = \int_{G(\mathbb{R})} \rho(g)\delta(g) \in \text{End}(\mathcal{H})$$

Map  $\Theta_\rho(\delta) = \text{tr } \rho(\delta)$  is generalized function on  $G(\mathbb{R})$ .

Lift via exp to gen fn  $\theta_\rho$  on  $\mathfrak{g}(\mathbb{R}) = \text{Lie}(G(\mathbb{R}))$

Theorem (Barbasch-V)

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## Theorem (Barbasch-V)

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# Character expansions for real groups

The size of infinite-dimensional representations

David Vogan

$G(\mathbb{R})$  real reductive group,  $(\rho, \mathbb{H})$  irr rep

$\delta$  cptly supp test density on  $G(\mathbb{R}) \rightsquigarrow$  trace class op

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of several nilpotent orbits of  $G(\mathbb{R})$  on  $\mathfrak{g}(\mathbb{R})^*$

More to do...

Can (approx) describe  $\rho|_{K(\mathbb{R})}$  with orbits  $\mathcal{O}$ .

Relate **unitarity of  $\rho$**  to expansion; not understood.

Seek to **compute constants  $c(\rho, \mathcal{O})$**  using KL calculation of character  $\Theta_\rho$ ; not understood.

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