

TRACES OF CM VALUES OF CERTAIN WEAK MAASS FORMS

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ABSTRACT. For an automorphic form f , the *trace generating series* of f is a Fourier expansion whose coefficient of degree D is the sum of the values of f at imaginary quadratic integers of discriminant D . In [BF04], Bruinier and Funke show that when f is a modular function, the trace generating series appears in the positive exponents of the *theta lift* of f , a weight $3/2$ nonholomorphic modular form for a certain congruence subgroup. Building off of their work, we give an analogous formula for the theta lift of f containing the trace generating series when f is a nonholomorphic weight 0 weak Maass form for Γ satisfying the following conditions: (1) the constant terms for the Fourier expansions of f at all cusps vanish, and (2) the cusp widths of Γ are all integer multiples of the width of the infinite cusp.

1. INTRODUCTION

Let $\tau \in \mathbb{H}$ and let $q := e^{2\pi i\tau}$. The j -invariant $j: \mathbb{H} \rightarrow \mathbb{C}$

$$j(\tau) := \frac{1}{q} + 744 + 196884q + 21493760q^2 + 864299970q^3 + 20245856256q^4 + \dots$$

parameterizes elliptic curves defined over the complex numbers. It is a modular of weight 0.¹ If τ is an imaginary quadratic integer, the j -invariant takes on algebraic values, in which case $j(\tau)$ then lies in the ring class field of $\mathbb{Z}[\tau]$. Such values are so special that there exists a name for them: *singular moduli*.²

It is natural to study the values of other modular functions at quadratic points. Let \mathcal{Q}_D be the set of positive definite integral binary quadratic forms with discriminant $D > 0$. There is a right action of $\Gamma := SL_2(\mathbb{Z})$ on \mathcal{Q}_D via

$$\begin{pmatrix} r & s \\ t & u \end{pmatrix} (ax^2 + bxy + cy^2) := a(rx + sy)^2 + b(rx + sy)(tx + uy) + c(tx + uy)^2.$$

For $Q := Q(x, y) \in \mathcal{Q}_D$, let $\alpha_Q \in \mathbb{H}$ be its associated *CM point*, the unique root of $Q(x, 1) = 0$ in \mathbb{H} , and let $\bar{\Gamma}_Q$ be the image of its stabilizer in $PSL_2(\mathbb{Z})$. Then, for a modular function f , we define

$$\mathrm{tr}_f(D) := \sum_{Q \in \mathcal{Q}_D/\Gamma} \frac{f(\alpha_Q)}{|\bar{\Gamma}_Q|}.$$

We can then assemble the trace generating series

$$\sum_{D < 0} \mathrm{tr}_j(D) q^{-D}.$$

In 2002, Zagier proved the following landmark result:

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¹For a comprehensive overview of modular forms, see [DS05].

²For more on the relationship between j -invariants and ring class fields, see Lectures 15-22 of [Sut19].

4, we define weak Maass forms and derive its (nonholomorphic) Fourier expansion in terms of I - and K -Bessel functions. In Section 5, we define the theta lift of f associated to L and note some modularity and convergence properties. In Section 6, we define the trace, which is analogous to the function $\text{tr}_f(D)$ defined in the introduction when $D > 0$. We extend the definition to the case of negative D ; however, our definition in this case differs from that in [BF04, Definition 4.3]. Finally, in Section 7, we state and prove the main result, carrying over many ideas from [BF04, Propositions 4.10-13] (oftentimes they merely depend on f being real analytic).

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2. CONVENTIONS

2.1. Variables. In what follows, $\tau := u+iv$ and $z := x+iy$ are variables in \mathbb{C} . Let $\mathbb{H} := \{z \in \mathbb{C} : y > 0\}$ be the upper-half plane, and let $q := e^{2\pi i\tau}$.

2.2. Differential forms. We give a brief summary of complex differential forms. Let M be a complex manifold. Then around each point, there is a holomorphic bijection between some neighborhood of the point and an open subset of \mathbb{C} . The local coordinates are given by dz and $d\bar{z}$, which, in terms of real variables, are

$$dz := dx + i dy \qquad d\bar{z} := dx - i dy.$$

For a smooth function $f \in C^\infty(M)$, we define

$$\begin{aligned} \partial f &:= \frac{df}{dz} dz \\ \bar{\partial} f &:= \frac{df}{d\bar{z}} d\bar{z} \\ df &:= \partial f + \bar{\partial} f, \end{aligned}$$

where, in local coordinates, we have

$$\frac{df}{dz} = \frac{1}{2} \left(\frac{\partial f}{\partial x} - i \frac{\partial f}{\partial y} \right) \qquad \frac{df}{d\bar{z}} = \frac{1}{2} \left(\frac{\partial f}{\partial x} + i \frac{\partial f}{\partial y} \right).$$

Further define

$$\omega := \frac{dx \wedge dy}{y^2} = \frac{idz \wedge d\bar{z}}{y^2} \qquad d^c := \frac{1}{4\pi i} (\partial - \bar{\partial})$$

and observe that $dd^c = -\frac{1}{2\pi i} \partial \bar{\partial}$.

When integrating over an area, the space of relevant differential forms is denoted $\Omega^{1,1}(M)$. A typical element of $\Omega^{1,1}(M)$ might, for example, look like $f(z)dz \wedge d\bar{z}$.

3. THE UPPER HALF-PLANE AS A SYMMETRIC SPACE

Let V be an oriented quadratic space of signature $(1, 2)$ viewed as an algebraic group defined over \mathbb{Q} .

Remark 3.1. By *oriented*, we assign one $\mathrm{GL}^+(V)$ -equivalence class of the set of all ordered bases to $+1$ and assign the other to -1 .

Let (\cdot, \cdot) be the bilinear form, and let $q(X) := \frac{1}{2}(X, X)$ be the corresponding quadratic form. (Do not confuse $q(X)$ with q .) Let d be the discriminant of V ; by definition, it is the unique square-free positive integer such that for any basis $\{v_i\}_i$ of $V(\mathbb{Q})$, the determinant of the matrix $[(v_i, v_j)]_{i,j}$ lies in $d(\mathbb{Q}^\times)^2$.

By Witt's theorem, we may identify $V \simeq \left\{ \begin{pmatrix} x_1 & x_2 \\ x_3 & -x_1 \end{pmatrix} : x_i \in \mathbb{Q} \right\}$ such that $q(X) = d \det(X)$ and $(X, Y) = -d \operatorname{tr}(XY)$. Let $G := \operatorname{Spin}(V)$, the two-fold cover of $\operatorname{SO}(V)$. It is well-known that $G \simeq \operatorname{SL}_2$, which acts on V by conjugation. Denote this by $g.X := gXg^{-1}$. Note that this action may be identified with the adjoint action of SL_2 on its Lie algebra \mathfrak{sl}_2 , which is precisely V , the set of trace zero matrices.

3.1. Identifying \mathbb{H} in V . Let $D := \{X\mathbb{R} : X \in V, q(X) > 0\}$ be the set of positive definite lines in V , and let $\operatorname{Iso}(V) := \{X\mathbb{R} : X \in V, q(X) = 0\}$ be the set of isotropic lines. The following statement explains why we work in V .

Proposition 3.2. *Let $\operatorname{SL}_2(\mathbb{R})$ act on \mathbb{H} and $\mathbb{P}^1(\mathbb{Q})$ in the usual way:*

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} z = \frac{az + b}{cz + d} \qquad \begin{pmatrix} a & b \\ c & d \end{pmatrix} (x : y) = (ax + by : cx + dy).$$

For $z \in \mathbb{H}$, choose $g_z \in \operatorname{SL}_2(\mathbb{R})$ such that $g_z i = z$. Then we have bijections

$$\begin{aligned} \mathbb{H} &\simeq D & \mathbb{P}^1(\mathbb{Q}) &\simeq \operatorname{Iso}(V) \\ g_z i &\mapsto g_z \cdot \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \mathbb{R} & (\alpha : \beta) &\mapsto \begin{pmatrix} -\alpha\beta & \alpha^2 \\ \beta^2 & \alpha\beta \end{pmatrix} \mathbb{R} \end{aligned}$$

compatible with the SL_2 -action.

For $X \in V$, let $D_X \in D$ be the line in $V(\mathbb{R})$ spanned by X . For $z \in \mathbb{H}$, let $X(z) := \frac{1}{\sqrt{d}y} \begin{pmatrix} -x & |z|^2 \\ -1 & x \end{pmatrix}$; it is then clear that $D_{X(z)}$ corresponds to z in the isomorphism $D \simeq \mathbb{H}$. The factor $\frac{1}{\sqrt{d}y}$ is in front to ensure that $q(X(z)) = 1$. Moreover, $X(gz) = g.X(z)$.

By explicit computation, we have

$$(X, X(z)) = -\frac{d(x_3x - x_1)^2 + q(X)}{\sqrt{d}x_3y} - \sqrt{d}x_3y.$$

For convenience, further define $(X, X)_z := (X, X(z))^2 - (X, X)$.

3.2. Lattices in V .

Definition 3.3. An *even lattice* in V is a lattice L such that for all $X \in L$, $q(X) \in \mathbb{Z}$.

Let L be an even lattice; let $L^* := \{X \in V : (X, L) \in \mathbb{Z}\}$ be its dual lattice. In particular, $L \subseteq L^*$.

We define $\operatorname{Spin}(L)$ to be the elements of $\operatorname{Spin}(V)$ also act as automorphisms of L .

Let $\Gamma \subseteq \operatorname{Spin}(L)$ be a subgroup of finite index that fixes every coset $h + L \in L^*/L$, and let $\bar{\Gamma}$ be its image in $\operatorname{SO}(V)$ and let $M := \Gamma \backslash D$ be the quotient space. For $X \in L$, let G_X be the stabilizer of X in G , and let $\Gamma_X := G_X \cap \Gamma$.

Let $L_{h,m} := \{X \in L + h : q(X) = m\}$. Because L is discrete, the set of $m \in \mathbb{Q}$ for which $L_{h,m}$ is nonempty is discrete. This motivates the following definition:

Definition 3.4. The *level* of L is the smallest positive $k \in \mathbb{Z}$ such that $q(X) \in \frac{1}{k}\mathbb{Z}$ for all $X \in L^*$.

3.3. Cusps. Note that Γ acts on $\text{Iso}(V)$ with finitely many orbits. Call an element $\ell \in \Gamma \backslash \text{Iso}(V)$ a *cusps*. Letting $X_0 := \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$, observe that $\infty := (1 : 0) \in \mathbb{P}^1(\mathbb{Q})$ corresponds to $D_{X_0} =: \ell_0 \in \text{Iso}(V)$.

Definition 3.5. For $\ell \in \text{Iso}(V)$, let $\sigma_\ell \in \text{SL}_2(\mathbb{Q})$ be such that $\sigma_\ell \cdot \ell_0 = \ell$.

We orient every $\ell \in \text{Iso}(V)$, requiring that $\sigma_\ell \cdot X_0$ be oriented positively.

The stabilizer Γ_{ℓ_0} is a discrete subgroup of $G_{\ell_0} \simeq \left\{ \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix} \right\}$; hence $\Gamma_{\ell_0} \simeq \left\langle \pm \begin{pmatrix} 1 & \alpha_{\ell_0} \\ 0 & 1 \end{pmatrix} \right\rangle$ for some $\alpha_{\ell_0} \in \mathbb{Q}$. Generalizing this, we find that for $\ell \in \text{Iso}(V)$,

$$\sigma_\ell^{-1} \Gamma_\ell \sigma_\ell \simeq \left\langle \pm \begin{pmatrix} 1 & \alpha_\ell \\ 0 & 1 \end{pmatrix} \right\rangle$$

for some $\alpha_\ell \in \mathbb{Q}$.

Definition 3.6. For $\ell \in \Gamma \backslash \text{Iso}(V)$, we call α_ℓ the *width* of the cusp ℓ . Note that α_ℓ is independent of our choice of cusp representative.

Definition 3.7. For ℓ , let $\beta_\ell \in \mathbb{Q}_{>0}$ be such that $\ell_0 \cap \sigma_\ell^{-1} L = \left\langle \begin{pmatrix} 0 & \beta_\ell \\ 0 & 0 \end{pmatrix} \right\rangle$. The quantity β_ℓ is also independent of cusp representative.

Example 3.8. A comprehensive example relating the level 4 lattice $L := \left\{ \begin{pmatrix} b & 2a \\ 2c & -b \end{pmatrix} : a, b, c \in \mathbb{Z} \right\}$ to Zagier's weight 3/2 Eisenstein series may be found in [Fun02, Example 3.9, p. 302].

Sometimes, when computing an integral $\int_M f$, the integrand may diverge at a cusp. Therefore, it is oftentimes necessary to introduce a *truncation*, a parameter $T \gg 0$ at which point to stop integrating when in a sufficiently small neighborhood of the cusp. Formally, as in [BF04, (2.6)], we define

$$M_T := M \setminus \bigcup_{\ell \in \Gamma \backslash \text{Iso}(V)} Q_\ell^{-1} D_{1/T},$$

where

$$D_{1/T} := \left\{ z \in \mathbb{C} : |z| < \frac{1}{2\pi T} \right\}$$

$$Q_\ell := e^{2\pi i \sigma_\ell^{-1} z / \alpha_\ell}.$$

The expression $Q_\ell^{-1} D_{1/T}$ defines a neighborhood of ℓ to delete, which can be made arbitrarily small for large T .

Example 3.9. When $\ell = \infty$, we may identify the boundary of $Q_\ell^{-1} D_{1/T}$ with the interval $[iT', \alpha_\infty + iT']$ for some large T' .

Consequently, for a differential form $f \in \Omega^{1,1}(M)$ diverging at a cusp, we define the integral

$$\int_M^{reg} f := \lim_{T \rightarrow \infty} \int_{M_T} f.$$

4. AUTOMORPHIC FORMS

Assume the notation in the previous section, in particular identifying \mathbb{H} with D .

Definition 4.1. For our purposes, a *weak Maass form* for Γ is a real-analytic function $f : \mathbb{H} \rightarrow \mathbb{C}$ such that:

- (1) For all $\gamma \in \Gamma$ and $z \in \mathbb{H}$, $f(\gamma z) = f(z)$.
- (2) There exists $\lambda \in \mathbb{C}$ such that $\Delta f = \lambda f$, where $\Delta := -y^2 \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right)$ denotes the hyperbolic Laplacian.
- (3) There exists a constant $C > 0$ such that for all $\gamma \in \Gamma$, we have $f(\gamma \tau) = O(e^{Cy})$ as $y \rightarrow \infty$.

If, in addition, $\lambda = 0$, then f is said to be a *weak harmonic Maass form*.

Remark 4.2. In [BF04, p. 28], Bruinier and Funke refer to a weak harmonic Maass form as simply a “weak Maass form” and compute the theta lift for those functions. Our work concerns Maass forms that need not be harmonic, although it is based on [BF04].

Definition 4.3. For $\nu \in \mathbb{C}$, the *Bessel functions* $I_\nu, K_\nu : \mathbb{R}_{>0} \rightarrow \mathbb{C}$ are defined as

$$I_\nu(y) := -\frac{\sin \nu \pi}{\pi} \int_0^\infty e^{-y \cosh t - \nu t} dt$$

$$K_\nu(y) := \frac{1}{2} \int_0^\infty t^{\nu-1} e^{(-y/2)(t+1/t)} dt.$$

The functions I_ν, K_ν describe solutions to the differential equation

$$y^2 \frac{d^2 f}{dy^2} + y \frac{df}{dz} - (y^2 + \nu^2) f = 0.$$

Proposition 4.4. As $y \rightarrow +\infty$, we have the following asymptotics for I_ν and K_ν :

$$I_\nu(y) \sim \frac{e^z}{\sqrt{2\pi z}} \qquad K_\nu(y) \sim \sqrt{\pi} 2z e^{-z}.$$

Proof. See [AS72, p. 374]. □

Weak Maass forms admit the following Fourier expansion in terms of I_ν and K_ν :

Theorem 4.5. Let f be a weak Maass form with eigenvalue λ , and $\nu \in \mathbb{C}$ satisfy $\lambda = 1/4 - \nu^2$. Then, at every cusp $\ell \in \Gamma \setminus \text{Iso}(V)$, f has a Fourier expansion of the form

$$f(\sigma_\ell z) = \sum_{n \in \frac{1}{\alpha_\ell} \mathbb{Z}} a_{\ell,n}(y) e^{2\pi i n x},$$

where

$$a_{\ell,n}(y) = \begin{cases} c_{\ell,n} \sqrt{y} K_\nu(2\pi |n| y) + d_{\ell,n} \sqrt{y} I_\nu(2\pi |n| y) & n \neq 0 \\ c_{\ell,0} y^{1/2-\nu} + d_{\ell,0} y^{1/2+\nu} & n = 0 \end{cases}$$

for some $c_{\ell,i}, d_{\ell,i} \in \mathbb{C}$.

Proof. We adapt [Bum97]. For convenience let $g(z) := f(\sigma_\ell z)$.

Lemma 4.6. The function $g(z)$ is also an eigenfunction for Δ with the same eigenvalue λ .

Proof. Let $\sigma_\ell := \begin{pmatrix} a & b \\ c & d \end{pmatrix}$; then observe that $\frac{\partial \sigma_\ell(z)}{\partial z} = \frac{1}{(cz+d)^2}$ and $\Im(\sigma_\ell z) = \frac{\Im(z)}{|cz+d|^2}$. Applying the chain rule twice, we get

$$\begin{aligned}
-y^2 \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) g(z) &= -4y^2 \frac{\partial^2 g}{\partial \bar{z} \partial z} \\
&= -4y^2 \frac{\partial}{\partial \bar{z}} \left(\frac{\partial f}{\partial z}(\sigma_\ell z) \cdot \frac{\partial \sigma_\ell}{\partial z} \right) \\
&= -4y^2 \frac{\partial}{\partial \bar{z}} \left(\frac{\partial f}{\partial z}(\sigma_\ell z) \cdot \frac{1}{(cz+d)^2} \right) \\
&= -4y^2 \frac{\partial^2 f}{\partial \bar{z} \partial z}(\sigma_\ell z) \cdot \frac{1}{|cz+d|^4} \\
&= -\Im(\sigma_\ell z)^2 \left(\frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2} \right)(\sigma_\ell z) \\
&= (\Delta f)(\sigma_\ell z) = \lambda f(\sigma_\ell z) = \lambda g(z).
\end{aligned}$$

This completes the lemma. □

The Fourier coefficient $a_{n,\ell}(y)$ equals $\int_0^1 g(z) e^{-2\pi i n x} dx$ by definition. Then

$$\begin{aligned}
\left(\frac{1}{4} - \nu^2 \right) a_{\ell,n}(y) &= \int_0^1 (\Delta g)(z) e^{-2\pi i n x} dx \\
&= -y^2 \left(\int_0^1 \frac{\partial^2 g}{\partial x^2}(z) e^{-2\pi i n x} dx + \int_0^1 \frac{\partial^2 g}{\partial y^2}(z) e^{-2\pi i n x} dx \right).
\end{aligned}$$

The first term is the n -th Fourier coefficient of $\frac{\partial^2 g}{\partial x^2}$, or in other words $-4\pi n^2 a_{\ell,n}(y)$. Switching the order of integration in the second term, the expression becomes

$$\begin{aligned}
\left(\frac{1}{4} - \nu^2 \right) a_{\ell,n}(y) &= 4\pi n^2 y^2 a_{\ell,n}(y) - y^2 \frac{\partial^2}{\partial y^2} \int_0^1 g(z) e^{-2\pi i n x} dx \\
&= 4\pi n^2 y^2 a_{\ell,n}(y) - y^2 \frac{\partial^2}{\partial y^2} a_{\ell,n}(y)
\end{aligned}$$

which yields the differential equation

$$y^2 \frac{\partial^2}{\partial y^2} a_{\ell,n}(y) + \left(\frac{1}{4} - \nu^2 - 4\pi n^2 y^2 \right) a_{\ell,n}(y) = 0.$$

Arguing as in [Bum97, p. 105], we obtain the solutions

$$a_{\ell,n}(y) = \begin{cases} c_{\ell,n} \sqrt{y} K_\nu(2\pi|n|y) + d_{\ell,n} \sqrt{y} I_\nu(2\pi|n|y) & n \neq 0 \\ c_{\ell,0} y^{1/2-\nu} + d_{\ell,0} y^{1/2+\nu} & n = 0 \end{cases}$$

for some constants $c_i, d_i \in \mathbb{C}$. Note that we do not omit the $I_\nu(2\pi|n|y)$ term because f is only required to have at most exponential growth at the cusps. This completes the proof. □

For the rest of the paper, let f be a weak Maass form with eigenvalue λ whose constant coefficients at all cusps vanish.

5. THETA KERNELS AND THETA LIFTS

Let $\omega := \frac{dx \wedge dy}{y^2}$ as usual. We define

$$R(X, z) := \frac{1}{2}(X, X(z))^2 - (X, X)$$

for convenience. Kudla and Millson, in [KM86], now define the functions

$$\begin{aligned} \varphi(X, z) &:= \left((X, X(z))^2 - \frac{1}{2\pi} \right) e^{-\pi(X, X)z} \omega \\ \varphi^0(X, z) &:= e^{\pi(X, X)} \varphi(X, z) = \left((X, X(z))^2 - \frac{1}{2\pi} \right) e^{-2\pi R(X, z)} \omega. \end{aligned}$$

In [Kud97], Kudla defines a *Green function* for φ given by

$$\xi^0(X, z) := \int_1^\infty \frac{e^{-2\pi R(X, z)t}}{t} dt.$$

Proposition 5.1. *For all $\gamma \in \mathrm{SL}_2$, we have $\varphi(\gamma.X, \gamma.z) = \varphi(X, z)$, $\varphi^0(\gamma.X, \gamma.z) = \varphi^0(X, z)$ and $\xi^0(\gamma.X, \gamma.z) = \xi^0(X, z)$.*

Proof. Compute each of the expressions and use that $(\gamma.X, \gamma.Y) = (X, Y)$ and $X(\gamma.z) = \gamma.X(z)$. \square

Let d, ∂ and $\bar{\partial}$ be the complex differentials. Recall that $d^c := \frac{1}{4\pi i}(\partial - \bar{\partial})$, so that $dd^c = -\frac{1}{2\pi i}\partial\bar{\partial}$. There following motivates Kudla's Green function:

Theorem 5.2. *On everywhere but D_X , we have $dd^c \xi^0(X, z) = \varphi^0(X, z)$.*

Proof. See [Kud97, Proposition 11.1]. \square

Here is a nice corollary.

Proposition 5.3. *Fix $X \in V$ such that $q(X) > 0$. Then the differential forms $\xi^0(X, z)$, $\partial\xi^0(X, z)$, $\bar{\partial}\xi^0(X, z)$ and $\varphi^0(X, z)$ undergo square-exponential decay as $x \rightarrow \pm\infty$, as $y \rightarrow +\infty$ and as $y \rightarrow 0$.*

Proof. Use theorem 5.2 and stare at the formulas for $\xi^0(X, z)$, $R(X, z)$ and $(X, X(z))$. \square

Now let

$$\varphi(X, \tau, z) := \varphi^0(\sqrt{v}X, z)q^m$$

where $m = q(X)$ and $q = e^{2\pi i\tau}$ as usual.

Consider the group algebra $\mathbb{C}[L^*/L]$; as a \mathbb{C} -vector space, it has a basis $\{e_h\}$ for $h \in L^*/L$. Define

$$\begin{aligned} \theta_{h,m}^0(v, z) &:= \sum_{X \in L_{h,m}} \varphi^0(\sqrt{v}X, z) \\ \theta_{h,m}(\tau, z) &:= \sum_{X \in L_{h,m}} \varphi(X, \tau, z) \\ \theta_h(\tau, z) &:= \sum_{X \in L+h} \varphi(X, \tau, z) \\ \Theta(\tau, z) &:= \sum_{h \in L^*/L} \theta_h(\tau, z)e_h. \end{aligned}$$

The following property is important:

Theorem 5.4. Let N be the level of L . The function $\theta_h(\tau, z)$ defines a non-holomorphic modular form of weight $3/2$ for $\Gamma(N)$ in the variable z , and if $h = 0$, we may enlarge the group to $\Gamma_0(N)$.

Proof. See [Fun02]. □

Definition 5.5 ([KM86]). For an automorphic form f , the Kudla-Millson theta lift is defined to be

$$I(\tau, f) := \int_M f(z) \Theta(\tau, z) = \sum_{h \in L'/L} \left(\int_M f(z) \theta_h(\tau, z) \right) e_h.$$

In addition, we define

$$I_h(\tau, f) = \int_M f(z) \theta_h(\tau, z).$$

As an integral, the Kudla-Millson theta lift is well-defined because $\theta_h(\tau, z)$ has uniform square-exponential decay at every cusp. For the proof of this, see [BF04, Proposition 4.1]. It follows from theorem 5.4 that $I_h(\tau, f)$ is also a weight $3/2$ modular form for $\Gamma(N)$.

6. TRACES

6.1. Traces of positive index. For $m \in \mathbb{Q}_{>0}$, Γ acts on $L_{h,m}$ with finitely many orbits, and for $X \in L_{h,m}$, the stabilizer Γ_X is finite cyclic. We define

$$t_f(h, m) := \sum_{X \in \Gamma \backslash L_{h,m}} \frac{1}{|\bar{\Gamma}_X|} f(D_X).$$

6.2. Zero index trace. Following the convention of [BF04, Definition 4.3], we let

$$t_f(h, 0) := -\frac{\delta_{h,0}}{2\pi} \int_M^{reg} f(z) \frac{dx dy}{y^2}.$$

6.3. Traces of negative index. Consider $X \in V$ such that $q(X) =: m < 0$. If $m \notin -d(\mathbb{Q}^\times)^2$, then X^\perp is non-split, $\bar{\Gamma}_X$ is infinite cyclic and we set $t_f(h, m) := 0$.

On the other hand, if $m \in -d(\mathbb{Q}^\times)^2$, then $\bar{\Gamma}_X$ is trivial and X^\perp is split. Equivalently, we can find two isotropic lines $\ell_X, \tilde{\ell}_X \subset X^\perp$. Choose ℓ_X to be such that the basis $(X, \ell_X, \tilde{\ell}_X)$ is positively oriented. Then $\tilde{\ell}_X = \ell_{-X}$.

Definition 6.1. For $\ell \in \text{Iso}(V)$ and $X \in V$, we denote the relation $X \sim \ell$ if $\ell = \ell_X$.

Definition 6.2. Denote, for X such that $q(X) < 0$, the following:

$$\begin{aligned} c_X &:= \{z \in D : z \perp X\} \\ c(X) &:= \Gamma_X \backslash c_X. \end{aligned}$$

Now let $X \in L_{h,-dm^2}$. Then $\sigma_\ell^{-1} X \perp \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$. Observe that $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}^\perp$ has generators $\left\langle \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \right\rangle$. Since $\det X = -m^2$, we may therefore pick an orientation of V such that for some $r \in \mathbb{Q}$,

$$\sigma_\ell^{-1} X = \begin{pmatrix} m & r \\ 0 & -m \end{pmatrix}.$$

The quantity $-r/2m$ is called the *real part* of $c(X)$ and is denoted $\text{Re}(c(X))$.

Let the Fourier expansion of f at ℓ be

$$\sum_{n \in \frac{1}{\alpha_\ell} \mathbb{Z}} [c_{\ell,n} \sqrt{y} K_\nu(2\pi|n|y) + d_{\ell,n} \sqrt{y} I_\nu(2\pi|n|y)] e^{2\pi i n x}.$$

We now define

$$\langle f, c(X) \rangle := - \sum_{w \in \frac{1}{\alpha_\ell} \mathbb{Z}_{<0}} \frac{d_{\ell_X}(w)}{2\pi\sqrt{|w|}} e^{-2\pi i \operatorname{Re}(c(X))w} - \sum_{w \in \frac{1}{\alpha_{\ell-X}} \mathbb{Z}_{<0}} \frac{d_{\ell-X}(w)}{2\pi\sqrt{|w|}} e^{-2\pi i \operatorname{Re}(c(-X))w}$$

and finally

$$t_f(h, -dm^2) := \sum_{X \in \Gamma \backslash L_{h,m}} \langle f, c(X) \rangle.$$

Remark 6.3. Note that our definition of $\langle f, c(X) \rangle$ differs slightly from the one provided in [BF04, p. 11], due to the fact f is no longer holomorphic. Moreover, only the $d_{\ell,w}$ terms appear, and there is an extra $2\pi\sqrt{|w|}$ term in the denominator. The reasons for this will appear in the proof of lemma 7.5.

7. COMPUTING THE THETA LIFT OF A WEAK MAASS FORM

In this section, we find a formula for the Fourier expansion of $I(\tau, f)$ that contains the trace generating series.

Lemma 7.1. *Let f be a weak Maass form with eigenvalue λ . Then $dd^c f(z) = \frac{\lambda}{4\pi} f(z) \frac{dx \wedge dy}{y^2}$.*

Proof. We compute

$$\begin{aligned} dd^c f(z) &= -\frac{1}{2\pi i} \partial \bar{\partial} f = -\frac{1}{2\pi i} \frac{\partial^2 f}{\partial z \partial \bar{z}} d\bar{z} dz \\ &= -\frac{1}{8\pi i} \left(\frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2} \right) d\bar{z} dz \\ &= -\frac{1}{4\pi} y^2 \left(\frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2} \right) dx dy \\ &= \frac{\lambda}{4\pi} f(z) \frac{dx \wedge dy}{y^2}. \end{aligned}$$

□

We now state and prove the main result of our paper.

Theorem 7.2. *Let f be a weak Maass form for Γ with eigenvalue λ such that at all cusps, the constant term of its Fourier expansion vanishes. In light of this, write the Fourier expansion at $\ell \in \Gamma \backslash \operatorname{Iso}(V)$ as*

$$\sum_{n \in \frac{1}{\alpha_\ell} \mathbb{Z} \setminus \{0\}} [c_{\ell,n} \sqrt{y} K_\nu(2\pi|n|y) + d_{\ell,n} \sqrt{y} I_\nu(2\pi|n|y)] e^{2\pi i n x}.$$

Further assume that the all widths α_ℓ are integer multiples of α_∞ . Then, we have that

$$I_h(\tau, f) = \sum_{m \geq 0} \operatorname{tr}_f(h, m) q^m + \sum_{m > 0} \operatorname{tr}_f(h, -dm^2) q^{-dm^2} + \sum_{m \neq 0} \left(\frac{\lambda}{4\pi} \sum_{X \in L_{h,m}} \int_M f(z) \xi^0(\sqrt{v}X, z) \frac{dx \wedge dy}{y^2} \right) q^m$$

where the summands are taken for $m \in \mathbb{Q}$.

Remark 7.3. It may seem strange that the exponents lie in \mathbb{Q} ; however, note that the coefficient of q^m is nonzero if and only if $L_{h,m}$ is non-empty. The $m \in \mathbb{Q}$ represented by L^* have denominator bounded by the level of L .

Remark 7.4. The hypothesis that all widths are integer multiples of α_∞ happens, for example, when $\Gamma \in \{\Gamma(N), \Gamma_1(N), \Gamma_0(N)\}$ is a congruence subgroup of $\mathrm{SL}_2(\mathbb{Z})$, and then $\alpha_\infty = 1$ while $\alpha_\ell \in \mathbb{Z}$ for all other ℓ .

Proof of theorem 7.2. We follow the exposition of [BF04] closely. Observe that

$$\begin{aligned} I_h(\tau, f) &= \int_M \sum_{m \in \mathbb{Q}} f(z) \theta_{h,m}(\tau, z) \\ &= \sum_{m \in \mathbb{Q}} \left(\int_M f(z) \theta_{h,m}^o(v, z) \right) q^m \end{aligned}$$

and that

$$\begin{aligned} \int_M f(z) \theta_{h,m}^o(v, z) &= \int_M \sum_{X \in \Gamma \backslash L_{h,m}} \sum_{\gamma \in \Gamma_X \backslash \Gamma} f(z) \varphi^0(\gamma^{-1} \sqrt{v} X, z) \\ &= \sum_{X \in \Gamma \backslash L_{h,m}} \left(\int_M \sum_{\gamma \in \Gamma_X \backslash \Gamma} f(z) \varphi^0(\sqrt{v} X, \gamma z) \right). \end{aligned}$$

As in [BF04], there are four cases:

Case 1: $m > 0$. Following [BF04, Proposition 4.10], since $f(\gamma z) = f(z)$ and $\varphi^0(\gamma.X, \gamma z) = \varphi^0(X, z)$ for $\gamma \in \Gamma$, we have

$$\begin{aligned} \int_M \sum_{\gamma \in \Gamma_X \backslash \Gamma} f(z) \varphi^0(\sqrt{v} X, \gamma z) &= \int_M \sum_{\gamma \in \Gamma_X \backslash \Gamma} f(\gamma z) \varphi^0(\sqrt{v} X, \gamma z) \\ &= \frac{1}{|\bar{\Gamma}_X|} \int_D f(z) \varphi^0(\sqrt{v} X, z). \end{aligned}$$

By Stokes' theorem and lemma 7.1,

$$\begin{aligned} \frac{1}{|\bar{\Gamma}_X|} \int_D f(z) \varphi^0(\sqrt{v} X, z) &= \frac{1}{|\bar{\Gamma}_X|} \left[f(D_X) + \int_D \xi^0(\sqrt{v} X, z) dd^c f(z) \right] \\ &= \frac{1}{|\bar{\Gamma}_X|} \left[f(D_X) + \frac{\lambda}{4\pi} \int_D \xi^0(\sqrt{v} X, z) f(z) \frac{dx dy}{y^2} \right] \\ &= \frac{1}{|\bar{\Gamma}_X|} f(D_X) + \frac{\lambda}{4\pi} \int_{\Gamma_X \backslash D} \xi^0(\sqrt{v} X, z) f(z) \frac{dx dy}{y^2}. \end{aligned}$$

Hence the coefficient of q^m for $m > 0$ is

$$\sum_{X \in \Gamma \backslash L_{h,m}} \left(\int_M \sum_{\gamma \in \Gamma_X \backslash \Gamma} f(z) \varphi^0(\sqrt{v} X, \gamma z) \right) = \mathrm{tr}_f(h, m) + \left(\frac{\lambda}{4\pi} \sum_{X \in \Gamma \backslash L_{h,m}} \int_{\Gamma_X \backslash D} f(z) \xi^0(\sqrt{v} X, z) \frac{dx dy}{y^2} \right).$$

Case 2: $m < 0$ and $m \notin -d(\mathbb{Q}^\times)^2$. Everything in the proof of [BF04, Proposition 4.11] stays the same except for the last step. Namely, the proof gives

$$\int_M f(z) \sum_{\gamma \in \Gamma_X \backslash \Gamma} \varphi^0(\sqrt{v} X, \gamma z) = \int_{\Gamma_X \backslash D} \xi^0(\sqrt{v} X, z) dd^c f(z).$$

Now by lemma 7.1, this equals

$$\frac{\lambda}{4\pi} \int_{\Gamma_X \setminus D} \xi^0(\sqrt{v}X, z) f(z) \frac{dx dy}{y^2}$$

Summing over $X \in \Gamma \setminus L_{h,m}$ yields

$$\sum_{X \in \Gamma \setminus L_{h,m}} \frac{\lambda}{4\pi} \int_{\Gamma_X \setminus D} \xi^0(\sqrt{v}X, z) f(z) \frac{dx dy}{y^2} = \frac{\lambda}{4\pi} \sum_{X \in L_{h,m}} \int_M f(z) \xi^0(\sqrt{v}X, z) \frac{dx \wedge dy}{y^2}$$

for the coefficient of q^m .

Case 3: $m < 0$ and $m \in -d(\mathbb{Q}^\times)^2$. Following [BF04, Proposition 4.12], write our exponent m as $-dm^2$ for some $m \in \mathbb{Q}_{>0}$. We have that Γ_X is trivial. Integrating by parts and using theorem 5.2, we obtain

$$\begin{aligned} \int_M \sum_{\gamma \in \Gamma_X \setminus \Gamma} f(z) \varphi^0(\sqrt{v}X, \gamma z) &= \frac{1}{2\pi i} \int_M f(z) \bar{\partial} \partial \sum_{\gamma \in \Gamma} \xi^0(\sqrt{v}X, \gamma z) \\ (\star) \quad &= \frac{1}{2\pi i} \int_M d \left(f(z) \partial \sum_{\gamma \in \Gamma} \xi^0(\sqrt{v}X, \gamma z) \right) - \frac{1}{2\pi i} \int_M \bar{\partial} f(z) \partial \sum_{\gamma \in \Gamma} \xi^0(\sqrt{v}X, \gamma z) \end{aligned}$$

We turn our attention to the second term of (\star) . Integrating by parts, the second term equals

$$\frac{1}{2\pi i} \int_M d \left(\bar{\partial} f(z) \sum_{\gamma \in \Gamma} \xi^0(\sqrt{v}X, \gamma z) \right) - \frac{1}{2\pi i} \int_M (\partial \bar{\partial} f(z)) \sum_{\gamma \in \Gamma} \xi^0(\sqrt{v}X, \gamma z).$$

By Stokes' theorem, the first term vanishes, and since f is a Maass form we have for the second term

$$-\frac{1}{2\pi i} \int_M (\partial \bar{\partial} f(z)) \sum_{\gamma \in \Gamma} \xi^0(\sqrt{v}X, \gamma z) = \frac{\lambda}{4\pi} \int_M f(z) \sum_{\gamma \in \Gamma} \xi^0(\sqrt{v}X, \gamma z) \frac{dx \wedge dy}{y^2}.$$

Now we focus on the first term of (\star) . By Stokes' theorem again, we have

$$\frac{1}{2\pi i} \int_M d \left(f(z) \partial \sum_{\gamma \in \Gamma} \xi^0(\sqrt{v}X, \gamma z) \right) = \frac{1}{2\pi i} \lim_{T \rightarrow \infty} \int_{\partial M_T} f(z) \sum_{\gamma \in \Gamma} \partial \xi^0(\sqrt{v}X, \gamma z).$$

By [BF04, Lemma 5.2] (which only uses that f is real-analytic), this expression equals

$$\frac{1}{2\pi i} \lim_{T \rightarrow \infty} \int_{\partial M_{T, \ell_X}} f(z) \sum_{\gamma \in \Gamma_{\ell_X}} \partial \xi^0(\sqrt{v}X, \gamma z) + \frac{1}{2\pi i} \lim_{T \rightarrow \infty} \int_{\partial M_{T, \ell_{-X}}} f(z) \sum_{\gamma \in \Gamma_{\ell_{-X}}} \partial \xi^0(\sqrt{v}X, \gamma z).$$

Lemma 7.5. *Let α be the width of the cusp ℓ_X . Then we have*

$$\frac{1}{2\pi i} \lim_{T \rightarrow \infty} \int_{\partial M_{T, \ell_X}} f(z) \sum_{\gamma \in \Gamma_{\ell_X}} \partial \xi^0(\sqrt{v}X, \gamma z) = - \sum_{w \in \frac{1}{\alpha} \mathbb{Z}_{<0}} d_{\ell_X, w} \frac{e^{-2\pi i \operatorname{Re}(c(X))w}}{2\pi \sqrt{|w|}}.$$

Proof. Following the conventions of [BF04, Lemma 5.3], write $g(z) := f(\sigma_{\ell_X} z)$. Translate the integral by σ_{ℓ_X} . Then, ℓ_X is sent to the cusp ∞ , and hence we are integrating over the line $[iT, \alpha + iT]$.

Moreover, Γ_{ℓ_X} becomes $\langle \pm \begin{pmatrix} 1 & \alpha \\ 0 & 1 \end{pmatrix} \rangle$. By Section 6.2, we may write $\sigma_{\ell_X}^{-1}X$ as $m \begin{pmatrix} 1 & r \\ 0 & -1 \end{pmatrix}$ for some $r \in \mathbb{Q}$. Hence $\text{Re}(c(X)) = -r$. Using these as well as the Γ -invariance of ξ^0 , we see that

$$(*) \quad \frac{1}{2\pi i} \lim_{T \rightarrow \infty} \int_{\partial M_{T, \ell_X}} f(z) \sum_{\gamma \in \Gamma_{\ell_X}} \partial \xi^0(\sqrt{v}X, \gamma z) = -\frac{1}{2\pi i} \lim_{T \rightarrow \infty} \int_{iT}^{\alpha+iT} g(z) \sum_{n \in \mathbb{Z}} \partial \xi^0 \left(\sqrt{v}m \begin{pmatrix} 1 & 2(r+\alpha n) \\ 0 & -1 \end{pmatrix}, z \right).$$

We now recall the following fact of Bruinier and Funke (see [BF04, p. 20]):

$$\begin{aligned} \sum_{n \in \mathbb{Z}} \partial \xi^0 \left(\sqrt{v}m \begin{pmatrix} 1 & 2(r+\alpha n) \\ 0 & -1 \end{pmatrix}, z \right) &= \sum_{w \in \frac{1}{\alpha}\mathbb{Z}} \frac{i}{2\alpha\sqrt{v}dm} e^{-2\pi i(x+r)w} \\ &\quad \cdot \left(2\pi\sqrt{v}dme^{2\pi w y} \text{erfc} \left(2\sqrt{\pi v}dm + \sqrt{\pi}w y / 2\sqrt{v}dm \right) - e^{-4\pi v dm^2 - \pi w^2 y^2 / 4v dm^2} \right) dz. \end{aligned}$$

Here $\text{erfc}(t) := \frac{2}{\sqrt{\pi}} \int_t^\infty e^{-x^2} dx$ is the *error function* (see [AS72, p. 297] for more details).

Further recall that by theorem 4.5, we have, letting v satisfy $\lambda = \frac{1}{4} - v^2$, that

$$g(z) = \sum_{n \in \frac{1}{\alpha}\mathbb{Z} \setminus \{0\}} (c_{\ell_X, n} \sqrt{y} K_\nu(2\pi|n|y) + d_{\ell_X, n} \sqrt{y} I_\nu(2\pi|n|y)) e^{2\pi i n x}.$$

Therefore, the integrand of (*) is a product of two sums. Observe that:

- Since we are integrating on $[iT, \alpha + iT]$, we may replace y with T in the integrand and assume it is a constant.
- Hence, when expanding the product, each term is a constant multiple of $e^{2\pi i(n-w)x}$. Note that since both $n, w \in \frac{1}{\alpha}\mathbb{Z}$, we have $\int_0^\alpha e^{2\pi i(n-w)x} dx = \delta_{n,w}\alpha$.
- When $T \rightarrow \infty$, the term $e^{-4\pi v dm^2 - \pi w^2 T^2 / 4v dm^2}$ has square-exponential decay, which dominates all other terms (namely I_ν , which is merely linear exponential in growth). Thus, we may ignore that term.

As a result, the expression (*) simplifies to

$$-\frac{1}{2} \lim_{T \rightarrow \infty} \sum_{w \in \frac{1}{\alpha}\mathbb{Z} \setminus \{0\}} \left(c_{\ell_X, w} \sqrt{T} K_\nu(2\pi|w|T) + d_{\ell_X, w} \sqrt{T} I_\nu(2\pi|w|T) \right) e^{-2\pi i r w + 2\pi w T} \text{erfc} \left(2\sqrt{\pi v}dm + \sqrt{\pi}wT / 2\sqrt{v}dm \right).$$

When $w > 0$, the error function has square exponential decay as $T \rightarrow \infty$ (see [AS72, p. 7.1.23]), so the limit equals 0. On the other hand, when $w < 0$, we have $\lim_{t \rightarrow -\infty} \text{erfc}(t) = 2$. Combining this with proposition 4.4, we get for $w < 0$ that

$$\begin{aligned} &-\frac{1}{2} \lim_{T \rightarrow \infty} \left(c_{\ell_X, w} \sqrt{T} K_\nu(2\pi|w|T) + d_{\ell_X, w} \sqrt{T} I_\nu(2\pi|w|T) \right) e^{-2\pi i r w + 2\pi w T} \text{erfc} \left(2\sqrt{\pi v}dm + \sqrt{\pi}wT / 2\sqrt{v}dm \right) \\ &= -\lim_{T \rightarrow \infty} d_{\ell_X, w} \sqrt{T} \frac{e^{2\pi|w|T}}{2\pi\sqrt{|w|T}} e^{-2\pi i r w + 2\pi w T} = -\frac{d_{\ell_X, w} e^{-2\pi i r w}}{2\pi\sqrt{|w|}}. \end{aligned}$$

Summing over all $w < 0$, we obtain our desired result. \square

Therefore, for Case 3 we have

$$\int_M \sum_{\gamma \in \Gamma_X \setminus \Gamma} f(z) \varphi^0(\sqrt{v}X, \gamma z) = - \sum_{w \in \frac{1}{\alpha} \mathbb{Z}_{<0}} d_{\ell_X, w} \frac{e^{-2\pi i \operatorname{Re}(c(X))w}}{2\pi \sqrt{|w|}} - \sum_{w \in \frac{1}{\alpha} \mathbb{Z}_{<0}} d_{\ell_{-X}, w} \frac{e^{-2\pi i \operatorname{Re}(c(-X))w}}{2\pi \sqrt{|w|}} + \frac{\lambda}{4\pi} \int_M f(z) \sum_{\gamma \in \Gamma} \xi^0(\sqrt{v}X, \gamma z) \frac{dx \wedge dy}{y^2}.$$

Summing over $X \in \Gamma \setminus L_{h, -dm^2}$ gives

$$\operatorname{tr}_f(h, -dm^2) + \frac{\lambda}{4\pi} \sum_{X \in L_{h, -dm^2}} \int_M f(z) \xi^0(\sqrt{v}X, z) \frac{dx \wedge dy}{y^2}$$

for the coefficient of q^{-dm^2} .

Case 4: $m = 0$. Arguing as in [BF04, p. 15] and the proof of [BF04, Proposition 4.13], we have that the constant coefficient of $I_h(\tau, f)$, given by

$$\int_M \sum_{X \in L_{h,0} \setminus \{0\}} f(z) \varphi^0(\sqrt{v}X, z),$$

equals

$$-\frac{\delta_{h,0}}{2\pi} \int_M^{reg} f(z) \frac{dx dy}{y^2} + \int_M^{reg} \sum_{X \in L_{h,0} \setminus \{0\}} f(z) \varphi^0(\sqrt{v}X, z).$$

The first term equals $\operatorname{tr}_f(h, 0)$, while by [BF04, p. 21], for each $\ell \in \Gamma \setminus \operatorname{Iso}(V)$ there exist numbers k_ℓ such that the second term equals

$$\frac{1}{2\pi} \sum_{\ell \in \Gamma \setminus \operatorname{Iso}(V), \delta_\ell(h) \neq 0} \lim_{T \rightarrow \infty} \int_{iT}^{iT + \alpha_\ell} f(z) \sum_{n \in \mathbb{Z} \setminus \{0\}} \frac{e^{-\pi v d(n\beta_\ell + k_\ell)^2 / y^2}}{y} dx.$$

Here, $\delta_\ell(h) = 0$ iff ℓ does not intersect $L + h$. Using the same reasoning as in lemma 7.5, we may treat $y = T$ to be constant. Moreover, recall the Fourier expansion of f at ∞ ,

$$f(z) = \sum_{n \in \frac{1}{\alpha_\infty} \mathbb{Z}} a_{\infty, n}(y) e^{2\pi i n x}.$$

The integrand is thus a linear combination of $\{e^{2\pi i n x}\}_{n \in \frac{1}{\alpha_\infty} \mathbb{Z}}$. But by the hypothesis, α_ℓ is an integer multiple of α_∞ , so $\int_0^{\alpha_\ell} e^{2\pi i n x} dx = 0$ unless $n = 0$. (If we remove the hypothesis, then the limit diverges due to the exponential growth of $I_v(2\pi|n|y)$.) Since the constant coefficient of f vanishes at all cusps, it follows that the whole summand is 0. Therefore, the constant term of $I_h(\tau, f)$ is simply

$$\operatorname{tr}_f(h, 0) = -\frac{\delta_{h,0}}{2\pi} \int_M^{reg} f(z) \frac{dx dy}{y^2}.$$

Putting all four cases together, we obtain the theorem statement. \square

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