

# Pattern Avoidance Classes Invariant Under the Modified Foata-Strehl Action

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# Permutations

## Definition

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## Example

The string  $\pi = 67284135$  is a permutation of the set  $\{1, 2, 3, 4, 5, 6, 7, 8\}$ .

# Peaks and Valleys

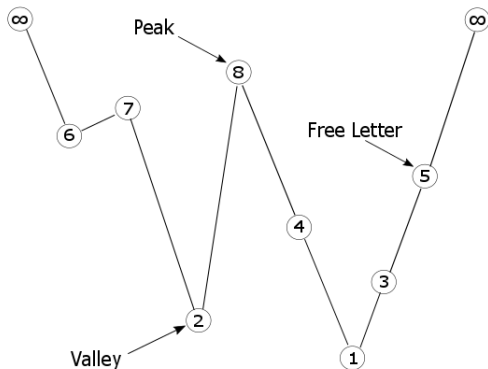
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## Example

The "mountain range" representation of the permutation 67284135:



# Pattern Avoidance

- Consider a "long" permutation, such as  $\pi = 23514$  and a shorter one, say  $\sigma = 132$ .
- The 3-tuple of entries  $(2,5,4)$  in  $\pi$  forms a *pattern* of type  $132$ .

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- We say the permutation  $\pi$  *contains*  $\sigma$ .
- The permutation 15234 *avoids* the pattern 321.



# Pattern Avoidance Classes

- Let  $\pi$  be a permutation of  $[n]$  and let  $\Sigma = \{\sigma_1, \sigma_2, \dots, \sigma_m\}$  be a set of patterns each of length at most  $n$ . We say that  $\pi$  avoids  $\Sigma$  if  $\pi$  avoids every pattern in  $\Sigma$ .

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- $Av_n(\Sigma)$  denotes the set of all length- $n$  permutations  $p$  such that  $p$  avoids  $\Sigma$ .
- $Av(\Sigma)$  denotes the set of all permutations that avoid  $\Sigma$ .

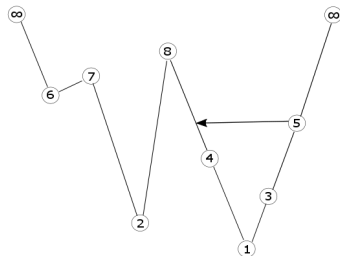
# Valley Hopping

## Definition

A *valley-hop* (formally known as the modified Foata-Strehl Action)  $H_j(\sigma)$  is the permutation obtained by moving the free letter  $j$  in  $\sigma$  across the adjacent valleys to the nearest slope of the same height.

## Example

$H_j(\sigma)$  for  $j = 5$  and  $\sigma = 67284135$



# Hop Equivalence Classes

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## Definition

Two permutations  $\sigma_1$  and  $\sigma_2$  of  $[n]$  are in the same *hop equivalence class* if there exists some sequence of valley-hops  $H_{i_1}, H_{i_2}, \dots, H_{i_k}$  such that  $H_{i_1}(H_{i_2}(\dots(H_{i_k}(\sigma_1))\dots)) = \sigma_2$ . We let  $Hop(\sigma)$  denote the hop equivalence class of  $\sigma$ .

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## Example

$Hop(13542) = \{13542, 13524, 31542, 31524\}$

# Valley Hopping and Pattern Avoidance Classes

## Definition

Let  $\Sigma$  be a set of patterns. We say that  $Av_n(\Sigma)$  is invariant under valley-hopping if for any permutation  $\pi \in Av_n(\Sigma)$ , any valley-hop  $\pi'$  of  $\pi$  is also in  $Av_n(\Sigma)$ .

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- If  $Av_n(\Sigma)$  is invariant under valley-hopping for all  $n$ , the distribution of peaks and valleys for permutations in  $Av(\Sigma)$  is well understood.
- Our problem: Classify all pattern sets  $\Sigma$  such that  $Av(\Sigma)$  is invariant under valley-hopping.

# Singleton Patterns

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- We show that these are the only possible values for  $\Sigma$ :

## Proposition

Let  $\Sigma$  be a nontrivial singleton pattern set with  $Av(\Sigma)$  invariant under valley-hopping. Then  $\Sigma = \{132\}$  or  $\Sigma = \{231\}$ .

# Single Hop Equivalence Classes

- If  $\Sigma$  is a pattern set such that  $Av(\Sigma)$  is invariant under valley-hopping and  $\sigma \in \Sigma$ , then  $Hop(\sigma) \subseteq \Sigma$ .

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- If  $\Sigma$  is a pattern set such that  $Av(\Sigma)$  is invariant under valley-hopping and  $\sigma \in \Sigma$ , then  $Hop(\sigma) \subseteq \Sigma$ .
- Only nontrivial  $\Sigma$  with more than one element for which  $Av(\Sigma)$  was known to be invariant under valley-hopping was  $\Sigma = \{1423, 1432\}$ .
- Can we classify all  $\sigma$  for which  $Av(Hop(\sigma))$  is invariant under valley-hopping?



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## Proposition

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## Proposition

There does not exist a position  $i < |\sigma|$  for which  $i$  and  $i + 1$  are both free letters in  $\sigma$ .

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## Theorem

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## Theorem

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$A_v$  of the hop equivalence classes for following permutations are invariant under valley-hopping:

- 132, 231
- 1423, 2413, 3412, 1243, 1342, 2341
- 12534, 13524, 14523, 23514, 24513, 34512

# Construction for General Pattern Sets

- For any permutation pattern  $\sigma$  of length  $n$ , there is a trivial pattern set  $\Sigma$  such that  $A_{V_n}(\Sigma)$  is invariant under valley-hopping. We would like to improve upon this:

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## Theorem

Let  $\sigma$  be a permutation pattern of length  $n$ . Then there exists a family of length- $n$  permutation patterns  $\Sigma$  containing  $\sigma$  such that

$$|\Sigma| < \frac{n!}{(n - pk(\sigma))!} 2^{n-2pk(\sigma)-1}$$

where  $pk(\sigma)$  denotes the number of peaks in  $\sigma$ .



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- Improvement over trivial family size of  $n!$  by factor of  $\frac{(n-pk(\sigma))!}{2^{n-2pk(\sigma)-1}}$ .

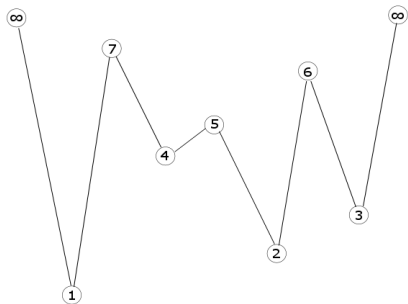
# Alternating Permutations

## Definition

A permutation is *strictly alternating* if it has no free letters.

## Example

1745263 is a strictly alternating permutation.



# Families of Strictly Alternating Permutations

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We call a permutation *tall* if every peak is greater than every valley.

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## Theorem

Let  $\Sigma$  be a family of strictly alternating permutations with  $k$  peaks such that  $Av(\Sigma)$  invariant under valley-hopping. Then there exists some subset  $\Pi$  of  $\Sigma$  of size  $(k - 1)!$  such that

- Every permutation in  $\Pi$  is tall
- Every permutation in  $\Pi$  has the same valleys
- For any  $\pi \in \Pi$ , the letter in position 2 is the smallest peak.

## Future Work

- Classify all sets of strictly alternating permutations with  $Av(\Sigma)$  invariant under valley-hopping.
- Current strategy: start with singleton pattern set  $\Sigma$  and insert more elements until  $Av(\Sigma)$  is invariant under valley-hopping. Is there a more general way of generating  $\Sigma$  invariant under valley-hopping?

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