# Group testing via zero-error channel capacity 

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## Introduction to Group Testing

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- This turns out to be optimal.


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- Idea: What if we just selected half of these $n$ people? If both or none of the infected people are in this subset, we recurse. Otherwise, do binary search on each half. This gives an upper bound of about $2 \log _{2}(n)$.


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Main result The best algorithm gives about $1.266 \log _{2}(n)$ tests.

## Binary Decision Tree for Single Item Testing

## Testing procedure for $\{1,2, \ldots, 8\}$



## Ternary Decision Tree for Double Item Testing

Testing procedure for $\{1,2,3,4\}$


## Two-User Binary Adder Channel with Feedback



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- The optimal number of pooled tests is $\sim 2 \log _{2} n / c$.
- Computing $c$ is equivalent to an optimization problem involving the entropy function

$$
H\left(x_{1}, x_{2}, \ldots, x_{n}\right)=-\sum_{i=1}^{n} x_{i} \log _{2} x_{i}
$$

and the related function

$$
L(x)=H\left(\frac{1-x}{2}, x, \frac{1-x}{2}\right)
$$

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- $0 \leq a_{i}, b_{i}, p_{i} \leq 1$,
- $\sum_{i=1}^{n} p_{i}=1$
- $L\left(\sum_{i=1}^{n} p_{i}\left(a_{i} \bar{b}_{i}+\bar{a}_{i} b_{i}+2 c_{i}\right)\right) \geq$
$\sum_{i=1}^{n} p_{i} H\left(a_{i} b_{i}-c_{i}, a_{i} \bar{b}_{i}+c_{i}, \bar{a}_{i} b_{i}+c_{i}, \bar{a}_{i} \bar{b}_{i}-c_{i}\right)$ for all points $\boldsymbol{c}=\left(c_{1}, \ldots, c_{n}\right)$ that make the terms inside $H(\cdot, \cdot, \cdot, \cdot)$ non-negative.


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- Is there some $n_{0}$ such that, for $n>n_{0}$, the maximum achieved in the optimization problem doesn't increase?
- Dueck's paper says that $n_{0}=6$ works. But can we do better?


## Uniqueness Theorem

We wish to show that $\widetilde{\boldsymbol{c}}$, defined as the $\boldsymbol{c}$ that causes the inequality to be as tight as possible, is uniquely defined. Furthermore, we wish to show it can be defined by taking partial derivatives of the inequality bounding $\boldsymbol{c}$.

## Reducing $n_{0}$ to 3

In order to reduce $n_{0}$ down to 3 , we want to show that any possible $\boldsymbol{a}, \boldsymbol{b}, \boldsymbol{p}$ with $n \geq 4$ can be adjusted by changing $\boldsymbol{p}$ to $\boldsymbol{p}^{*}$ while preserving

- $\sum_{i=1}^{n} p_{i}^{*}=1$
- $\sum_{i=1}^{n} p_{i}^{*}\left(a_{i} \bar{b}_{i}+\bar{a}_{i} b_{i}+2 \widetilde{c}_{i}\right)$ is fixed
- $\sum_{i=1}^{n} p_{i}^{*} H\left(a_{i} b_{i}-\widetilde{c}_{i}, a_{i} \bar{b}_{i}+\widetilde{c}_{i}, \bar{a}_{i} b_{i}+\widetilde{c}_{i}, \bar{a}_{i} \bar{b}_{i}-\widetilde{c}_{i}\right)$ is fixed
- $\sum_{i=1}^{n} p_{i}^{*}\left(H\left(a_{i}, \bar{a}_{i}\right)+H\left(b_{i}, \bar{b}_{i}\right)\right)$ is non-decreasing

It can be shown that, if $n \geq 4$, then $p^{*}$ can be created such that $\exists i$ with $p_{i}^{*}=0$.

## Conjectures

- We suspect $n=1$ suffices and that the maximum occurs at $a_{1}=b_{1}=\frac{\log (2+\sqrt{3})-\log (2)}{2 \log (2+\sqrt{3})} \approx 0.23684$ giving a maximum of $\approx 1.57948$.


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- This would mean 2 defects out of $n$ could be found with $\left(\frac{2}{H\left(a_{1}, \overline{a_{1}}\right)+H\left(b_{1}, \bar{b}_{1}\right)}+O(1)\right) \log _{2}(n) \approx 1.266 \log _{2}(n)$ tests.


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- Sam's cat



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