### Group testing via zero-error channel capacity

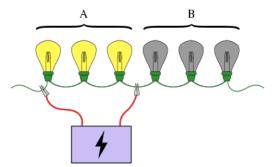
#### Sam Florin Matthew Ho Rahul Thomas Mentor: Dr. Zilin Jiang

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## Introduction to Group Testing

• What is group testing? <sup>1</sup>

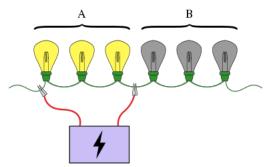


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Sam Florin, Matthew Ho, Rahul Thomas Group testing via zero-error channel capacity

# Introduction to Group Testing

• What is group testing? <sup>1</sup>



• Origin of group testing

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- Binary search gives us an algorithm that requires  $\lceil \log_2(n) \rceil$  steps.
- This turns out to be optimal.

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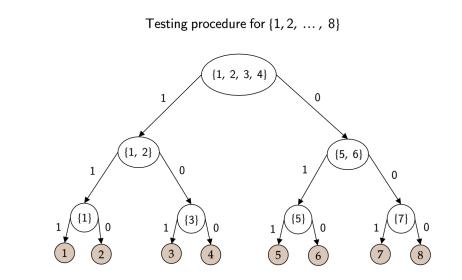
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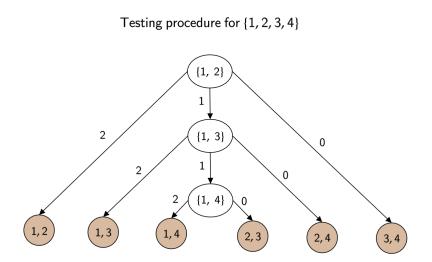
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**Main result** The best algorithm gives about  $1.266 \log_2(n)$  tests.

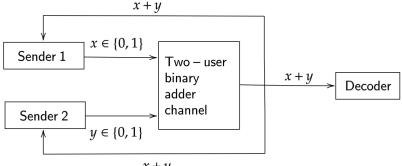
### Binary Decision Tree for Single Item Testing



## Ternary Decision Tree for Double Item Testing



### Two-User Binary Adder Channel with Feedback



x + y

#### Definition

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- The optimal number of pooled tests is  $\sim 2 \log_2 n/c$ .
- Computing *c* is equivalent to an optimization problem involving the entropy function

$$H(x_1, x_2, \ldots, x_n) = -\sum_{i=1}^n x_i \log_2 x_i$$

and the related function

$$L(x) = H\left(\frac{1-x}{2}, x, \frac{1-x}{2}\right).$$

Using bounds on channel capacity by Dueck, the group testing problem is equivalent to the following optimization problem:

•  $0 \le a_i, b_i, p_i \le 1$ ,

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•  $L\left(\sum_{i=1}^{n} p_i(a_i\bar{b}_i + \bar{a}_ib_i + 2c_i)\right) \ge \sum_{i=1}^{n} p_i H\left(a_ib_i - c_i, a_i\bar{b}_i + c_i, \bar{a}_ib_i + c_i, \bar{a}_i\bar{b}_i - c_i\right)$  for all points  $\boldsymbol{c} = (c_1, ..., c_n)$  that make the terms inside  $H(\cdot, \cdot, \cdot, \cdot)$  non-negative.

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- Is there some  $n_0$  such that, for  $n > n_0$ , the maximum achieved in the optimization problem doesn't increase?
- Dueck's paper says that  $n_0 = 6$  works. But can we do better?

We wish to show that  $\tilde{c}$ , defined as the c that causes the inequality to be as tight as possible, is uniquely defined. Furthermore, we wish to show it can be defined by taking partial derivatives of the inequality bounding c.

In order to reduce  $n_0$  down to 3, we want to show that any possible  $\boldsymbol{a}, \boldsymbol{b}, \boldsymbol{p}$  with  $n \ge 4$  can be adjusted by changing  $\boldsymbol{p}$  to  $\boldsymbol{p}^*$  while preserving

• 
$$\sum_{i=1}^{n} p_i^* = 1$$
  
•  $\sum_{i=1}^{n} p_i^* (a_i \overline{b}_i + \overline{a}_i b_i + 2\widetilde{c}_i)$  is fixed  
•  $\sum_{i=1}^{n} p_i^* H (a_i b_i - \widetilde{c}_i, a_i \overline{b}_i + \widetilde{c}_i, \overline{a}_i b_i + \widetilde{c}_i, \overline{a}_i \overline{b}_i - \widetilde{c}_i)$  is fixed  
•  $\sum_{i=1}^{n} p_i^* (H(a_i, \overline{a}_i) + H(b_i, \overline{b}_i))$  is non-decreasing

It can be shown that, if  $n \ge 4$ , then  $p^*$  can be created such that  $\exists i$  with  $p_i^* = 0$ .

• We suspect n = 1 suffices and that the maximum occurs at  $a_1 = b_1 = \frac{\log(2+\sqrt{3}) - \log(2)}{2\log(2+\sqrt{3})} \approx 0.23684$  giving a maximum of  $\approx 1.57948$ .

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- This would mean 2 defects out of *n* could be found with  $\left(\frac{2}{H(a_1,\bar{a}_1)+H(b_1,\bar{b}_1)}+O(1)\right)\log_2(n) \approx 1.266\log_2(n)$  tests.

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- Parents
- Sam's cat



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