

Applications of Homology

Mentor: Lucy Yang

Andrew Wen

MIT PRIMES

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Simplices

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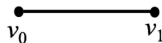
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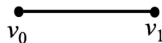
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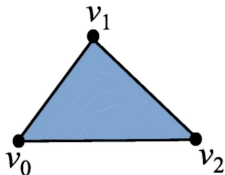
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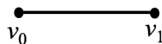
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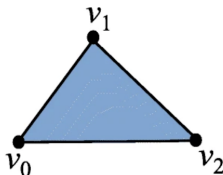
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I think you all see where this is headed.

Definition (n -Simplex)

A n -simplex in \mathbb{R}^n with vertices $v_0, v_1, \dots, v_n \in \mathbb{R}^n$, denoted $[v_0, \dots, v_n]$, is defined to be the set of all points that can be expressed as some weighted average of the vertices v_i . That is,

$$[v_0, \dots, v_n] = \left\{ \sum_{i=0}^n a_i v_i \mid \sum_{i=0}^n a_i = 1, a_i \geq 0 \text{ for all } i \in [0, n] \right\}.$$

Simplices

Note that the orientation (ordering of vertices) of a simplex matters: that is, $[v_0, v_1, v_2]$ is not the same simplex as $[v_0, v_2, v_1]$.

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To account for orientation, we must define the following:

Orientation of Simplices

For any two oriented simplices,

$$[v_0, \dots, v_i, \dots, v_j, \dots, v_n] = (-1)[v_0, \dots, v_j, \dots, v_i, \dots, v_n].$$

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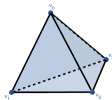
So in fact, $[v_0, v_1, v_2] = -[v_0, v_2, v_1]$.

Faces

Now, given a n -simplex $[v_0, \dots, v_n]$, we introduce a concept of a *face*.

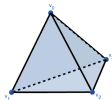
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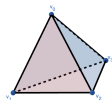


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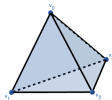
This is a face of $[v_0, v_1, v_2, v_3]$:



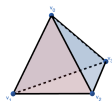
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Similarly, the other faces are $[v_0, v_1, v_3]$, $[v_0, v_2, v_3]$, $[v_1, v_2, v_3]$, where the order of the vertices in each face follow the order in which they are given in the original simplex.

Definition (Face)

Upon deletion of one vertex x_i from a n -simplex Δ^n , the remaining $n - 1$ simplex $[x_0, \dots, x_{i-1}, x_{i+1}, \dots, x_n]$ is a face of Δ^n .

Definition (Face)

Upon deletion of one vertex x_i from a n -simplex \triangle^n , the remaining $n - 1$ simplex $[x_0, \dots, x_{i-1}, x_{i+1}, \dots, x_n]$ is a face of \triangle^n .

Remark

Each n -simplex $[v_0, \dots, v_n]$ has $n + 1$ faces, created from removing each of the $n + 1$ vertices from the original representation of the simplex.

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For example, the 2-simplex $[v_0, v_1, v_2]$ has the faces $[v_1, v_2]$, $[v_0, v_2]$, $[v_0, v_1]$, which are formed by removing each of v_0 , v_1 , and v_2 from $[v_0, v_1, v_2]$.

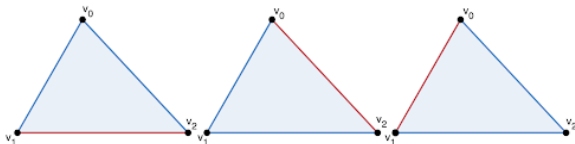
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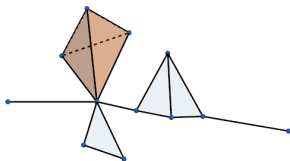
Simplicial Complexes

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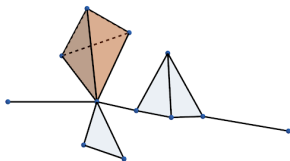
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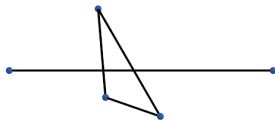
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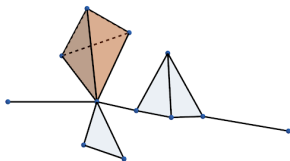
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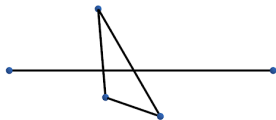
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So after all, there have to be rules governing how the simplices can be put together.

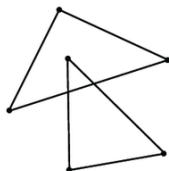
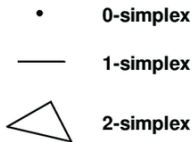
Definition (Simplicial Complex)

A simplicial complex \mathcal{K} is a set of simplices satisfying the following properties:

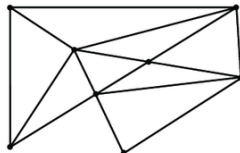
- Any face of any simplex in \mathcal{K} is in \mathcal{K}
- The intersection of any two simplices in \mathcal{K} must either be empty or a face of both simplices.

Simplicial Complexes

Another example for what is and is not a simplicial complex:



no simplicial complex



simplicial complex

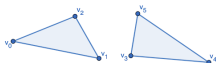
Simplicial Complexes

The sum of simplices ρ_1 and ρ_2 can be visually represented by combining them with each other via the face they share in common.

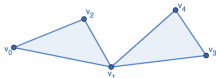
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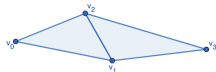
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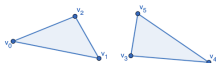
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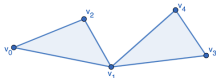
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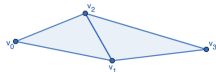
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Note that multiples of a simplex are also defined, that is, for a simplex ρ , the term $n\rho$ simply represents n copies of ρ overlaid on top of each other.

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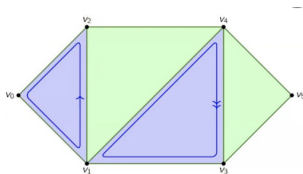
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For example, in the simplicial complex shown below,



we have highlighted the n -chain $[v_0, v_1, v_2] - 2[v_1, v_3, v_4]$ in blue.

Definition (Chain Complexes)

Given a simplicial complex X , let $C_n(X)$ be the free abelian group with its elements as all the n -chains of X . More formally,

$$C_n(X) = \left\{ \sum_{\Delta_i^n \in S} a_i \Delta_i^n \mid a_i \in \mathbb{Z} \right\}$$

where S is the set of n -simplices of X .

Definition (Boundary)

The boundary $\partial\Delta^n$ of a n -simplex $\Delta^n = [v_0, v_1, \dots, v_n]$ is defined to be

$$\begin{aligned}\partial\Delta^n &= \sum_{i=0}^n (-1)^i [v_0, v_1, \dots, v_{i-1}, v_{i+1}, \dots, v_n] \\ &= [v_1, v_2, \dots, v_n] - [v_0, v_2, \dots, v_n] + \cdots + (-1)^n [v_0, v_1, \dots, v_{n-1}].\end{aligned}$$

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where signs exist to make sure all vertices of the simplices are oriented correctly in the boundary.

This is a little tough to unpack at first glance, but this is really just saying that the boundary of a simplex ρ is an alternating sum of all of its faces.

Simplicial Complexes

Let's take a look at some examples:

For example, intuitively, the boundary of $[a, b, c]$ if we start at a and go in the counterclockwise orientation is

$$\partial[a, b, c] = [a, b] + [b, c] + [c, a] = [b, c] - [a, c] + [a, b],$$

indeed satisfying the definition. We see that the purpose of the negative sign here is indeed to preserve the counterclockwise orientation.

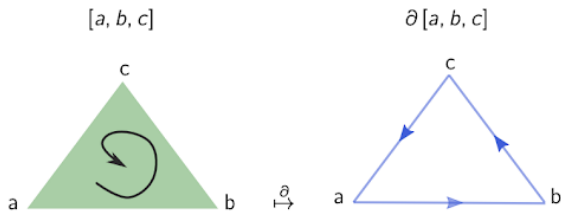
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Simplicial Complexes

Having defined the boundary operator for simplices, we are able to define the boundary operator for any linear combination of simplices due to the additivity of ∂ . This leads to:

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Definition (Boundary Map)

The n -th boundary map $\partial_n : C_n(X) \rightarrow C_{n-1}(X)$ on a simplicial complex X is a homomorphism defined by

$$\partial_n(\rho) = \partial(\rho) \text{ for every } \rho \in C_n(X).$$

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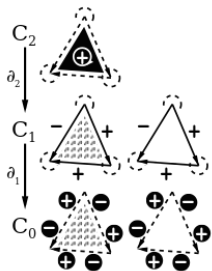
$$\begin{aligned}\partial^2[a, b, c] &= \partial[b, c] - \partial[a, c] + \partial[a, b] \\ &= ([c] - [b]) - ([c] - [a]) + ([b] - [a]) \\ &= 0.\end{aligned}$$

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Indeed, it turns out that applying the boundary operation twice on any simplex sends it to 0.



Lemma ($\partial^2 = 0$)

The composition map $\partial_n \circ \partial_{n+1}$ for any n is the 0 map.

Note that $\partial_n \circ \partial_{n+1} = 0$ implies $\text{Im}(\partial_{n+1}) \subset \text{Ker}(\partial_n)$.

Definition (Homology Group)

The n -th homology group H_n of a simplicial complex X is defined to be

$$H_n(X) = \text{Ker}(\partial_n) / \text{Im}(\partial_{n+1}).$$

Extensions of Homology

So the reason we started off with simplices and simplicial complexes is that homology is easier with them.

Furthermore, the idea of a simplicial complex is useful because it allows us to reduce hard-to-grasp geometric figures to shapes that are easier to deal with using algebra, which is something we are familiar with.

That being said, homology can actually be extended to all sorts of geometric spaces, as long as they can be topologically reduced to simplicial complexes.

Theorem

A continuous map $f : X \rightarrow Y$ between two geometric spaces X, Y induces a homomorphism $f_* : H_n(X) \rightarrow H_n(Y)$ for every n .

Now, we use will prove a famous application of homology.

Brouwer's Fixed Point Theorem

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Every continuous map $f : D^n \rightarrow D^n$ has a fixed point, where D^n is the closed unit disk in \mathbb{R}^n .

Brouwer's Fixed Point Theorem

A famous real-life example of Brouwer's Fixed Point Theorem is exhibited by the following scenario:

- Consider a map, and overlay a rotated and dilated copy of it on top of the original (it has to be entirely contained within it). It is always possible to pin a point that marks the same location on both maps.



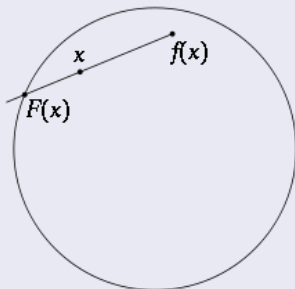
Proof Sketch of Brouwer

Part 1: (Defining $F : D^n \rightarrow \partial D^n$)

Instead, suppose f has no fixed point. We define $F : D^n \rightarrow \partial D^n$ from f :

- For each $x \in D^n$, let the ray from $f(x)$ to x intersect the boundary of D^n at $F(x)$.

This induces a continuous map F bringing each point in D^n to a point on its boundary, ∂D^n , also known as a retraction.



Part 2: Homology Group Map induced by F

$F : D^n \rightarrow \partial D^n$ induces a homomorphism

$$\phi_F : H_{n-1}(D^n) \rightarrow H_{n-1}(\partial D^n).$$

Let $i : \partial D^n \rightarrow D^n$ be the inclusion map, which induces a homomorphism

$$\phi_i : H_{n-1}(\partial D^n) \rightarrow H_{n-1}(D^n).$$

Note that $\phi_F \circ \phi_i$ is the identity map on $H_{n-1}(\partial D^n)$, which turns out to be impossible due to $H_{n-1}(D^n) \cong 0$ and $H_{n-1}(\partial D^n) \cong \mathbb{Z}$, contradiction.

Acknowledgements

I would like to thank the following for their continued support:

- my mentor, Lucy Yang
- Slava Gerovitch and Pavel Etingof for running the PRIMES program
- Tanya Khovanova and Kent Vashaw for helping me organize this presentation
- my parents
- and finally you all for listening

- 1 Allen Hatcher: Algebraic Topology,
<https://pi.math.cornell.edu/hatcher/AT/AT.pdf>