

# ARITHMETIC PROPERTIES OF WEIGHTED CATALAN NUMBERS

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## Definition

The **Catalan numbers** are the sequence of integers

$$C_n = \frac{1}{n+1} \binom{2n}{n}.$$

The first few values are:

$$C_0 = 1$$

$$C_1 = 1$$

$$C_2 = 2$$

$$C_3 = 5$$

$$C_4 = 14$$

$$C_5 = 42$$

$$C_6 = 132$$

$$C_7 = 429$$

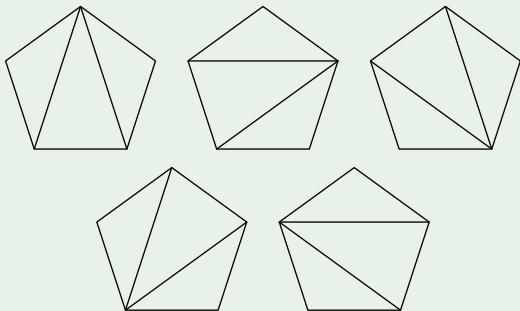
$$C_8 = 1430.$$

## BACKGROUND: CATALAN NUMBERS

$C_n$  is the number of full triangulations of an  $(n + 2)$ -gon.

### Example

$$C_3 = 5$$



$C_n$  is the number of ways to pair  $n$  sets of brackets.

### Example

$$C_3 = 5$$

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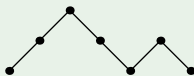
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## Definition

A **Dyck path** of length  $2n$  is a continuous broken line lying in the first quadrant of the plane, starting at the origin  $(0, 0)$  and consisting of  $n$  “up-steps” in the direction  $\langle 1, 1 \rangle$  and  $n$  “down-steps” in the direction  $\langle 1, -1 \rangle$ .

## Example

length 6:



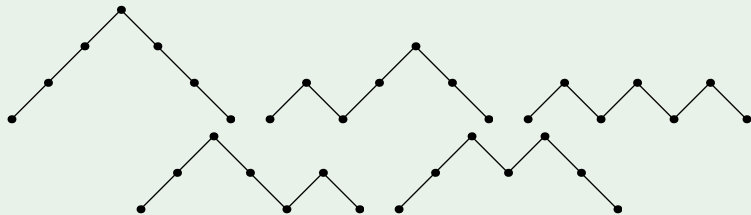
length 8:



$C_n$  is the number of Dyck paths of length  $2n$ .

## Example

$$C_3 = 5$$



The Catalan numbers are known to satisfy the recurrence

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From the recurrence, we get the equation

$$\mathcal{C}(x) = x \cdot \mathcal{C}(x)^2 + 1,$$

where  $\mathcal{C}(x)$  is the generating function of the Catalan numbers:

$$C_0 + C_1x + C_2x^2 + C_3x^3 + \dots .$$



Solving  $\mathcal{C}(x) = x \cdot \mathcal{C}(x)^2 + 1$  gives

$$\mathcal{C}(x) = \frac{1 - \sqrt{1 - 4x}}{2x}.$$

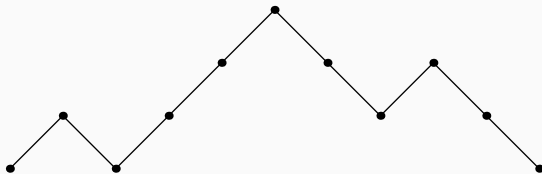
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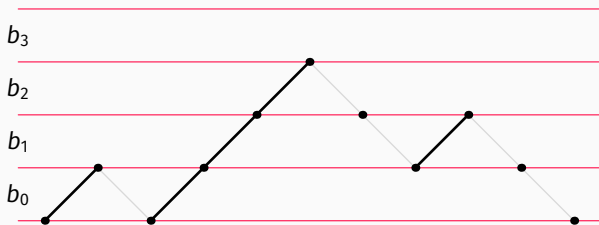
Another expression for  $\mathcal{C}(x)$  is the continued fraction:

$$\mathcal{C}(x) = \frac{1}{1 - \frac{x}{1 - \frac{x}{1 - \frac{x}{1 - \ddots}}}}.$$

Suppose we have a sequence of integers  $\mathbf{b} = (b_0, b_1, b_2, b_3, \dots)$  and a Dyck path  $P$ , as shown



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$$\text{wt}(P) = b_0^2 b_1^2 b_2$$

## Definition

The  $n$ th weighted Catalan number  $C_n^{\mathbf{b}}$  is the sum of weights over all Dyck paths  $P$  of length  $2n$ .

$$C_n^{\mathbf{b}} = \sum_{\text{paths } P} \text{wt}(P).$$

The first few values in terms of  $b_i$  are:

$$C_0^{\mathbf{b}} = 1$$

$$C_1^{\mathbf{b}} = b_0$$

$$C_2^{\mathbf{b}} = b_0^2 + b_0 b_1$$

$$C_3^{\mathbf{b}} = b_0^3 + 2b_0^2 b_1 + b_0 b_1^2 + b_0 b_1 b_2$$

$$C_4^{\mathbf{b}} = b_0^4 + 3b_0^3 b_1 + 3b_0^2 b_1^2 + b_0 b_1^3 + 2b_0^2 b_1 b_2 + 2b_0 b_1^2 b_2 \\ + b_0 b_1 b_2^2 + b_0 b_1 b_2 b_3$$

$$C_5^{\mathbf{b}} = b_0^5 + 4b_0^4 b_1 + 6b_0^3 b_1^2 + 3b_0^3 b_1 b_2 + 4b_0^2 b_1^3 + 2b_0^2 b_1 b_2^2 \\ + b_0 b_1 b_2^3 + 3b_0 b_1^2 b_2^2 + b_0 b_1 b_2 b_3^2 + 3b_0 b_1^3 b_2 + 2b_0 b_1 b_2^2 b_3 \\ + 2b_0 b_1^2 b_2 b_3 + b_0 b_1 b_2 b_3 b_4$$

⋮

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The sequence  $\mathbf{b} = (1, 2, 3, 4, \dots)$  gives  $C_n^{\mathbf{b}} = (2n - 1)!!$ .

Similarly,  $\mathbf{b} = (1, 1, 2, 2, 3, 3, 4, \dots)$  gives  $C_n^{\mathbf{b}} = n!$ .

### Example

For an integer  $q$ ,  $\mathbf{b} = (q^0, q^1, q^2, q^3, \dots)$  gives the  $q$ -Catalan numbers.

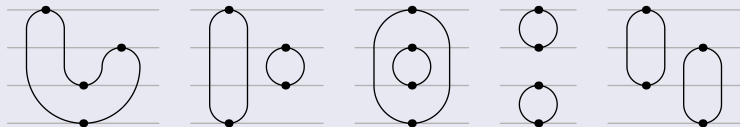
### Example

For an integer  $q$ ,  $\mathbf{b} = (q^0, q^1, q^2, q^3, \dots)$  gives the  $q$ -Catalan numbers.

- Macdonald polynomials
- Geometry of Hilbert schemes
- Harmonic analysis
- Representation theory
- Mathematical physics
- Algebraic combinatorics

## Theorem (A. Postnikov, 2000)

The number of *plane Morse links of order  $n$*  are the weighted Catalan numbers  $C_n^{\mathbf{b}}$  for the sequence  $\mathbf{b} = (1^2, 3^2, 5^2, 7^2, \dots)$ . This sequence is commonly denoted  $L_n$ .



**Theorem (Kummer)**

*If  $\nu_2(n)$  denotes the largest power of 2 dividing  $n$ , and  $s_2(n)$  denotes the sum of the binary digits of  $n$ , then*

$$\nu_2(C_n) = s_2(n + 1) - 1.$$

## Definition

Let  $\Delta$  be the **difference operator**, acting on functions  $f: \mathbb{Z}_{\geq 0} \rightarrow \mathbb{Z}$  by  $(\Delta f)(x) = f(x+1) - f(x)$ .

## Theorem (A. Postnikov, 2006)

If the sequence  $\mathbf{b}$  satisfies:

- $b(0)$  is odd, and
- $2^{n+1} \mid (\Delta^n \mathbf{b})(x)$  for all  $n \geq 1$  and  $x \in \mathbb{Z}_{\geq 0}$ ,

then

$$\nu_2(\mathbf{C}_n^{\mathbf{b}}) = \nu_2(C_n) = s_2(n+1) - 1.$$

Let  $\mathcal{S}$  be the **shift operator**:

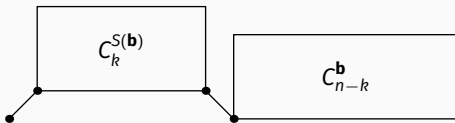
$$\mathcal{S}: (a_0, a_1, a_2, a_3, \dots) \mapsto (a_1, a_2, a_3, \dots).$$

Let  $S$  be the **shift operator**:

$$S: (a_0, a_1, a_2, a_3, \dots) \mapsto (a_1, a_2, a_3, \dots).$$

Then we have the recurrence:

$$c_{n+1}^{\mathbf{b}} = b_0 \sum_{k=0}^n c_k^{S(\mathbf{b})} c_{n-k}^{\mathbf{b}}.$$





Recall the generating function for  $C_n$

$$C(x) = \frac{1}{1 - \frac{x}{1 - \frac{x}{1 - \ddots}}}$$

For  $C_n^{\mathbf{b}}$ ,

$$C^{\mathbf{b}}(x) = \frac{1}{1 - \frac{b_0 x}{1 - \frac{b_1 x}{1 - \frac{b_2 x}{1 - \ddots}}}}$$

We want to study  $C_n^{\mathbf{b}}$  modulo primes  $p$ , or more generally,  $p^n$ .  
Since  $C_n^{\mathbf{b}}$  is a polynomial in  $b_i$ , it suffices to consider the residues of  $b_i$  modulo  $p$ .

### Theorem

*Let  $\mathbf{b} = (b_0, b_1, b_2, \dots)$  and  $p$  be prime. Then  $C_n^{\mathbf{b}}$  is eventually periodic modulo  $p$  iff any of  $b_i$  are congruent to 0 mod  $p$ .*

This is because if any of the  $b_i$  are 0, then  $C^{\mathbf{b}}(x)$  is rational, i.e.

$$C^{\mathbf{b}}(x) = \frac{p(x)}{q(x)}$$

for some polynomials  $p(x)$ ,  $q(x)$ .

If  $p = 2$ , then we can describe the period:

### Theorem

Consider a sequence  $\mathbf{b} \in \mathbb{F}_2^{\mathbb{N}}$  such that  $b_k = 0$ , and  $b_i = 1$  for  $i < k$ . Then

$$C^{\mathbf{b}}(x) = \frac{p_{k+1}(x)}{p_{k+2}(x)},$$

where

$$p_{k+2}(x) = p_{k+1}(x) + x \cdot p_k(x),$$

with  $p_0(x) = 0$  and  $p_1(x) = 1$ .

The period of the sequence  $C_n^{\mathbf{b}}$  is equal to the minimal number  $m$  such that  $p_{k+2}(x)$  divides  $x^m - 1$ .

It turns out that  $p_{2^k}(x) = 1$  for all  $k$ . In particular, we get:

### Corollary

Let  $k \geq 1$  be a natural number. Consider a sequence  $\mathbf{b} \in \mathbb{F}_2^{\mathbb{N}}$  such that  $b_{2^k-2} = 0$ , and  $b_i = 1$  for  $i < 2^k - 2$ . Then

$$C^{\mathbf{b}}(x) = p_{2^k-1}(x).$$

In particular, it is a polynomial of degree  $2^k-1$  and so the sequence  $C_n^{\mathbf{b}}$  is identically 0 for  $n \geq 2^k-1$ .

- What is the period of  $L_n$  modulo  $p^n$ ?

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*The period of  $L_n$  modulo  $3^{k+3}$  is exactly  $2 \cdot 3^k$ .*

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- What is the period of  $C_n^b$  modulo  $p^n$ ?



- What is the period of  $L_n$  modulo  $p^n$ ?

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*The period of  $L_n$  modulo  $3^{k+3}$  is exactly  $2 \cdot 3^k$ .*

- What is the period of  $C_n^b$  modulo  $p^n$ ?
- Can we classify sequences for primes greater than 2?

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- Dmitry Kubrak

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- Professor Alexander Postnikov
- Dmitry Kubrak
- My parents

QUESTIONS?